

**IN THE UNITED STATES DISTRICT COURT FOR THE
SOUTHERN DISTRICT OF ALABAMA
SOUTHERN DIVISION**

THOMAS PEYTON, JR.,)	
Petitioner,)	
vs.)	CIVIL ACTION 05-00482-KD-B
GWENDOLYN MOSLEY,)	
Respondent.)	

ORDER

After due and proper consideration of all portions of this file deemed relevant to the issues raised, and a *de novo* determination of those portions of the Recommendation to which objection is made, the Recommendation of the Magistrate Judge made under 28 U.S.C. § 636(b)(1)(B) is **ADOPTED** as the opinion of this Court, with the following amendment:

This is an action under 28 U.S.C. § 2254 by an Alabama inmate, which was referred for report and recommendation pursuant to 28 U.S.C. § 636(b)(1)(B), Local Rule 72.2(c)(4), and Rule 8 of the Rules Governing Section 2254 Cases. This action is now ready for consideration. The state record is adequate to determine Petitioner's claims, and no federal evidentiary hearing is required. Kelley v. Secretary for Dep't of Corrs., 377 F.3d 1317 (11th Cir. 2004).

Upon careful consideration, it is recommended that the following claims be dismissed without prejudice for failure to exhaust:(1) Petitioner's claim that his inculpatory statements were involuntary; (2) Petitioner's claim that the indictment failed to charge an offense; and (3) Petitioner's claim that his life sentence constituted cruel and unusual punishment. It is further recommended that Petitioner's habeas petition be denied, that this action be dismissed, and that judgment be entered in favor of Respondent and against Petitioner Thomas Peyton, Jr., on

Petitioner's Sixth Amendment claim.

I. Background Facts

The Alabama Court of Criminal Appeals found the facts of this case to be as follows:¹

[O]n July 18, 2002, Investigator Dean McGowan of the Baldwin County Sheriff's Department was contacted by the Baldwin County Department of Human Resources ("DHR") concerning a suspected case of child sexual abuse. McGowan and Stephanie Buehler, a DHR social worker, interviewed eight-year-old B.P. ("the victim"). Buehler took the lead role during the interview; McGowan took notes during the interview but did not otherwise participate in interviewing the victim. Also present during the interview was the victim's mother.

During the interview, Buehler and the victim discussed "good touches" and "bad touches." They also discussed which parts of the body were considered "private parts." During this part of the discussion, Buehler showed the victim a book with drawings of a girl and a boy wearing bathing suits. Buehler explained that the areas under a bathing suit were considered to be "private parts" of the body. Buehler asked the victim if anyone had ever touched his private parts, to which the victim responded that his grandfather, T.P., had touched him on his buttocks. Further questioning established that on at least one occasion his grandfather had touched the victim's buttocks through his clothing. On at least one other occasion, the victim told Buehler that his grandfather had taken him inside a camper, unfastened his pants, and placed his hands under his underwear on his bare buttocks. The victim stated that his grandfather told him not to tell his daddy-T.P.'s son-what had happened. The victim told Buehler the last time that his grandfather had touched his buttocks occurred just a few days before the interview. . . .

Later that day, McGowan interviewed T.P. at the sheriff's office in Robertsedale. McGowan explained to T.P. the nature of the investigation. He advised T.P. of his Miranda rights. T.P. indicated that he understood those rights; he thereafter elected to waive those rights and to be interviewed by McGowan. Initially, T.P. denied touching his grandson inappropriately. He implied that the allegations were the result of custody issues between the victim's parents-his son

¹ AEDPA directs that a presumption of correctness be afforded factual findings of state courts, "which may be rebutted only by clear and convincing evidence." Bui v. Haley, 321 F.3d 1304, 1312 (11th Cir. 2003) (citing 28 U.S.C. § 2254(e)(1)). "This presumption of correctness applies equally to factual determinations made by state trial and appellate courts." Id. (citing Sumner v. Mata, 449 U.S. 539, 547 (1981)).

and former daughter-in-law. Later in the interview, however, T.P. asked McGowan "what would happen to somebody if they committed the crime that he was being accused of." (R. 30.) Eventually, T.P. told McGowan that he was going to tell him the truth. According to McGowan, T.P. said that the victim "liked to grab people on the penis and on the butt, and he said that [the victim] had done that to him." (R. 30.) The victim's actions made T.P. think that he "might be interested in having some kind of sexual contact with him." (R. 30.) T.P. then told McGowan that when the victim came to see him at his camper he "fondled his butt cheeks on the outside of his clothes." (R. 31.) A few days later, he said, he took the victim inside the bedroom of the camper, unbuttoned the victim's pants, put his hands down the back of the victim's underwear, and "played with his little hiney cheeks." (R. 31.) T.P. agreed to give McGowan an audiotaped statement to this effect. Following T.P.'s audiotaped statement, McGowan arrested T.P. on a charge of first-degree sexual abuse.

At trial, Buehler and McGowan testified regarding their actions during the investigation. Although the State had planned to have the victim testify, it advised the circuit court that the victim would be unable to testify. After hearing expert testimony to the effect that forcing the victim to testify could result in emotional trauma to the victim, the circuit court determined that the victim was "unavailable to testify," and that his out-of-court statements to Buehler were admissible under § 15-25-32, Ala. Code 1975. Over the objection of defense counsel, Buehler was permitted to testify regarding the victim's statements to her.

Two other witnesses also testified-M.B.L. and L.A.B. Both witnesses testified that T.P. had at one time been married to their aunt. Both witnesses-who were adults at the time of this trial-testified that while they were children T.P. had sexually abused them. The circuit court instructed the jury that M.B.L. and L.A.B.'s testimony could not be considered as evidence of T.P.'s guilt in the present case. Instead, the court advised, the evidence was being offered in order to establish T.P.'s motive, opportunity, intent, preparation, or plan, or that the contact did not occur as a result of mistake or accident.

The defense presented no witnesses. At the close of all the evidence, the circuit court instructed the jury on the law applicable to T.P.'s case. The jury returned a verdict finding T.P. guilty of first-degree sexual abuse. This appeal followed.

T.P. v. State, 911 So. 2d 1117, 1119-21 (Ala. Crim. App. 2004) (footnotes omitted).

The record shows that Petitioner was indicted by the Baldwin County Grand Jury on August 23, 2002, and charged with first degree sexual abuse. (Doc. 23, att. 2 at 4). Petitioner

entered a plea of not guilty to the charge, and his jury trial was set for November 5, 2003. (Id. at 5). On that date, the jury returned a verdict of guilty, and Petitioner was sentenced under the Habitual Felony Offender Act to life imprisonment. (Id. at 5, 155).

On December 30, 2003, Petitioner filed a written notice of appeal to the Alabama Court of Criminal Appeals. (Id. at 6). On October 29, 2004, the Alabama Court of Criminal Appeals affirmed petitioner's conviction by memorandum opinion. T.P. v. State, 911 So. 2d 1117, 1124 (Ala. Crim. App. 2004). The Alabama Court of Criminal Appeals denied Petitioner's application for rehearing on January 7, 2005. (Doc. 14 at 2). On March 11, 2005, the Alabama Supreme Court denied Petitioner's petition for a writ of certiorari, and a Certificate of Judgment was entered on that date. (Doc. 23, att. 1 at 1; att. 9). Petitioner did not seek post-conviction relief under Alabama Rule of Criminal Procedure 32.

On August 15, 2005, Petitioner filed a petition for a writ of habeas corpus with this Court. (Doc. 1). On October 18, 2005, the Court ordered Petitioner to refile his habeas petition on this Court's form, which he did on November 7, 2005. (Doc. 7).

In his habeas petition, Petitioner raises four claims: 1) his inculpatory statements to police investigators were not given willingly, knowingly, or voluntarily, and in contravention of his Miranda rights, which were not waived; 2) the indictment failed to charge an offense; 3) the life sentence imposed upon his conviction constitutes cruel and unusual punishment; and 4) admission of the victim's out-of-court statements violated the Confrontation Clause of the Sixth Amendment to the United States Constitution. (Doc. 7 at 7-9). Petitioner raised none of these claims in a petition for post-conviction relief and raised only his Sixth Amendment claim on direct appeal. The remaining claims are raised for the first time in the instant habeas petition.

In Respondent's Motion to Dismiss a "Mixed Petition" (Doc. 14), Respondent asserts that Petitioner's habeas petition contains both exhausted and unexhausted claims, and thus presents a "mixed" petition that must be dismissed. According to Respondent, Petitioner's claims, specifically: 1) that his inculpatory statements to police were involuntary; 2) that the indictment failed to charge an offense because it lacked specificity; and 3) that his life sentence constitutes cruel and unusual punishment, have not been exhausted in the state courts because Petitioner remains eligible to raise these claims in a Rule 32 petition. Respondent also contends that while Petitioner exhausted his Sixth Amendment Confrontation Clause claim, that claim should nevertheless be dismissed because it is without merit.

In response to Respondent's motion to dismiss, Petitioner filed a motion for leave to amend his habeas petition in order to remove any unexhausted claims. (Doc. 16). Petitioner seeks to dismiss without prejudice his claims that: 1) his statement to police was involuntary; and 2) that a sentence of life imprisonment constitutes cruel and unusual punishment, so that those claims may be exhausted in the state courts. Petitioner further requests that his claim that the indictment against him failed to charge an offense be dismissed without prejudice should this Court find that such claim must first be presented to the state courts. In addition, Petitioner argues that he exhausted his Sixth Amendment claim by raising it on direct appeal before the Alabama Court of Criminal Appeals, and that this claim is ripe for federal habeas review.

II. Discussion

A. Exhaustion Principles

Before a federal court may grant habeas relief to a state prisoner, the prisoner must exhaust his remedies in state court. In other words, the state prisoner must give the state courts

an opportunity to act on his claims before he presents those claims to a federal court in a habeas petition. O'Sullivan v. Boerckel, 526 U.S. 838, 845, 119 S.Ct. 1728, 1731 (1999).

The governing statutory language is found in 28 U.S.C. § 2254:

b)(1) An application for a writ of habeas corpus on behalf of a person in custody pursuant to the judgment of a State court shall not be granted unless it appears that—

(A) the applicant has exhausted the remedies available in the courts of the State; or

(B)(i) there is an absence of available State corrective process; or

(ii) circumstances exist that render such process ineffective to protect the rights of the applicant.

...

(c) An applicant shall not be deemed to have exhausted the remedies available in the courts of the State, within the meaning of this section, if he has the right under the law of the State to raise, by any available procedure, the question presented.

28 U.S.C. § 2254(b) & (c) (1996).

"Section 2254(c) requires only that state prisoners give state courts a *fair* opportunity to act on their claims." O'Sullivan, 526 U.S. at 843, 119 S. Ct. at 1732. A habeas claim is deemed to be exhausted when "it is fair to assume that further state proceedings would be useless." Castille v. Peoples, 489 U.S. 346, 351, 109 S. Ct. 1056, 1060 (1989). This standard is met when the precise issue raised in a habeas corpus petition has been "fairly presented" to the state's highest court. Id., citing Picard v. Connor, 404 U.S. 270, 275, 92 S. Ct. 509, 512 (1971).

In construing the exhaustion requirement, the Supreme Court has explained that "state prisoners must give the state courts one full opportunity to resolve any constitutional issues by invoking one complete round of the State's appellate review process." O'Sullivan, 526 U.S. 838, 845, 119 S. Ct. 1728, 1732 (1999). A complete round of the state appellate process includes

discretionary appellate review "when that review is part of the ordinary appellate review procedure in the State." Id. at 847, 119 S. Ct. at 1733. In Alabama, the established appellate review process includes an appeal to the Alabama Court of Criminal Appeals, an application for rehearing to the Alabama Court of Criminal Appeals, and an application for discretionary review by the Alabama Supreme Court. See Ala. R. App. P. 4, 39, 40. If an inmate fails to give the state courts one full opportunity to resolve constitutional claims prior to filing his federal habeas petition, his claims are considered unexhausted.

B. Petitioner's Motion to Amend

Petitioner's Motion to Amend (Doc. 16) requests leave to amend his petition and dismiss without prejudice any unexhausted claims so that they may be exhausted in the state courts. Federal Rule of Civil Procedure 15(a) governs the amendments to pleadings and provides that, after any responsive pleading has been filed, subsequent amendments are permitted only with the leave of the district court. Gramegna v. Johnson, 846 F.2d 675, 678 (11th Cir. 1988) (citing Espey v. Wainwright, 734 F.2d 748, 750 (11th Cir. 1984)). While the decision whether to grant leave to amend lies within the discretion of the district court, Rule 15(a) operates in favor of the disposition of a claim on the merits. Thus, "unless there is a substantial reason to deny leave to amend, the discretion of the district court is not broad enough to permit denial," Espey, 734 F.2d at 750, and leave to amend shall be freely given where justice so requires. Id.

In the instant case, Respondent has demonstrated that Petitioner failed to present three of his four claims, namely 1) that his inculpatory statements were involuntary, 2) that the indictment failed to charge an offense, and 3) that his life sentence constituted cruel and unusual punishment, to the Alabama courts for review; thus, he has failed to satisfy the exhaustion

requirement. Accordingly, the undersigned recommends that Petitioner's Motion to Amend (Doc. 16) be granted and that the three above-referenced claims be dismissed without prejudice.

C. Petitioner's Sixth Amendment Claim

Petitioner asserts that he is entitled to habeas relief because his conviction was based on testimonial evidence presented in violation of his rights under the Confrontation Clause of the Sixth Amendment. Specifically, Petitioner contends that he was not allowed to cross-examine the victim, whose out-of-court statements to a social worker and a sheriff's office investigator were admitted at trial.²

The Antiterrorism and Effective Death Penalty Act ("AEDPA") amended the standard to be applied by federal courts reviewing constitutional claims brought by prisoners challenging

² The trial court declared the child victim to be unavailable to testify pursuant to § 15-25-32, Ala. Code 1975, which provides:

An out-of-court statement may be admitted as provided in Section 15-25-31, if:

- (1) The child testifies at the proceeding, or testifies by means of video tape deposition as provided by Section 15-25-2, or testifies by means of closed circuit television as is provided in Section 15-25-3, and at the time of such testimony is subject to cross-examination about the out-of-court statements; or
- (2)a. The child is found by the court to be unavailable to testify on any of these grounds:
...
 5. The child's incompetency, including the child's inability to communicate about the offense because of fear or a similar reason; or
 6. Substantial likelihood that the child would suffer severe emotional trauma from testifying at the proceeding or by means of closed circuit television....

state court convictions. Under the AEDPA, when a state court has adjudicated a claim, in order to obtain federal habeas relief, a petitioner must show that a decision by the Alabama state courts was "contrary to, or involved an unreasonable application of, clearly established Federal law, as determined by the Supreme Court of the United States," or was "based on an unreasonable determination of the facts, in light of the evidence presented in the State court proceeding." 28 U.S.C. § 2254(d); ³ Williams v. Taylor, 529 U.S. 362, 404-405 (2000). A state court's decision can be "contrary to" federal law either (1) if it fails to apply the correct controlling authority, or (2) if it applies the controlling authority to a case involving facts "materially indistinguishable" from those in a controlling case, but nonetheless reaches a different result. Id. at 405-06. A state court's decision can involve an "unreasonable application" of federal law if it either (1) correctly identifies the governing rule but then applies it to a new set of facts in a way that is objectively unreasonable, or (2) extends or fails to extend a clearly established legal principle to a new context in a way that is objectively unreasonable. Id. at 407. "Federal habeas relief is available under the 'unreasonable application' standard only if the state court's application of clearly

³ 28 U.S.C. § 2254(d) provides that:

An application for a writ of habeas corpus on behalf of a person in custody pursuant to the judgment of a State court shall not be granted with respect to any claim adjudicated on the merits in State court proceedings unless the adjudication of the claim:

(1) resulted in a decision contrary to, or involved an unreasonable application of, clearly established federal law, as determined by the Supreme Court; or

(2) resulted in a decision based on an unreasonable determination of the facts, in light of the evidence presented in the State court proceedings.

established federal law was 'objectively unreasonable.' " Parker v. Head, 244 F.3d 831 (11th Cir. 2001) (citing Williams, 529 U.S. at 409). It is the objective reasonableness, not the correctness per se, of the state court decision that this court must decide. See Williams, 529 U.S. at 411.

Further, a state court's determinations of fact shall be "presumed to be correct," and the habeas petitioner "shall have the burden of rebutting the presumption of correctness by clear and convincing evidence." 28 U.S.C. § 2254(e)(1). "However, the statutory presumption of correctness applies only to findings of fact made by the state court, not to mixed determinations of law and fact." Parker, 244 F.3d at 835 (citing McBride v. Sharpe, 25 F.3d 962, 971 (11th Cir. 1994)).

In this action, Petitioner raised his Sixth Amendment claim on direct appeal. The Alabama Court of Criminal Appeals, applying the United States Supreme Court's decision in Crawford v. Washington, 541 U.S. 36, 124 S. Ct. 1354 (2004), determined that, although the child's out-of-court statements constituted inadmissible hearsay, the statements amounted to harmless error in light of the other evidence admitted at trial.⁴ T.P. v. State, 911 So. 2d 1117, 1124 (Ala. Crim. App. 2004). Most significant to the court was Petitioner's confession that he sexually abused his grandson. Id. at 1124. In his habeas petition, Petitioner argues that the decision of the Alabama Court of Criminal Appeals is contrary to federal law.

Upon consideration and a thorough review of the record the Court agrees with the

⁴ Petitioner's argument that Crawford eliminated the harmless error rule in Confrontation Clause cases is incorrect. (Doc. 30 at 1). The harmless error rule has been consistently applied following Crawford. See, e.g., Grossman v. McDonough, 466 F.3d 1325, 1339 (11th Cir. 2006) (applying harmless error rule in a Confrontation Clause case); U.S. v. McClain, 377 F.3d 219, 222 (2d Cir. 2004) ("It is well established that violations of the Confrontation Clause, if preserved for appellate review, are subject to harmless error review, however, and Crawford does not suggest otherwise."); accord U.S. v. Nielsen, 371 F.3d 574, 581 (9th Cir. 2004).

Alabama Court of Criminal Appeals that although the admission of some of the victim's hearsay statements was error, that error was harmless.

The record shows that, prior to trial, Petitioner filed a *motion in limine* to exclude hearsay testimony related to the victim's statements that Petitioner had sexually abused him. (Doc. 23, att. 2 at 30). The trial court denied the motion and proceeded with the trial. (*Id.* at 85-86). At trial, the first witness to testify was Officer Dean McGowan with the Baldwin County Sheriff's Office. (*Id.* at 90). Officer McGowan testified, *without objection*, that, on July 15th or 16th, 2002, he was contacted by the Baldwin County Department of Human Resources ("DHR") "in reference to a complaint that they had received or allegations that they had received of child sex abuse concerning a child named [B.P.]. . . ." (*Id.* at 91). Officer McGowan testified that thereafter he conducted an interview with Petitioner and that he read Petitioner his Miranda rights. (*Id.* at 93). In the context of explaining what he told Petitioner prior to taking a statement from Petitioner, Officer McGowan testified, *without objection*, that the child had identified Petitioner as his abuser. (*Id.* at 95). Specifically, Officer McGowan testified, *without objection*, that he informed Petitioner "that the child had stated that [Petitioner] had touched him inappropriately, both over his clothes and under his clothes." (*Id.* at 95). This testimony does not violate Crawford v. Washington because the statements of the child provided context to the Petitioner's statements, which is a permissible "other purpose" under the hearsay rule and the Confrontation Clause. U.S. v. Barriera-Vera, 2008 WL 5216017, at *8 (11th Cir. December 15, 2008)("Admission of [police officer's] interview statements and trial testimony regarding the anonymous phone call did not violate hearsay rules or the Confrontation Clause because they were not admitted for the truth of the matter asserted.")

The next time the child's statement was solicited was on cross examination by Petitioner's attorney. Petitioner's attorney asked Officer McGowan to tell him the substance of the child's allegations, to which Officer McGowan responded, "[t]he child had made the statement – had made the disclosures in the interview with the social worker that his grandfather had touched him and I believe the child called it his bad spots. And then the social worker, I believe, asked him, you know, if he – they have a little book that they have pictures and I'm sure she'll explain that but asked the child to show her where he was talking about and the child identified the places on his body where he had been touched." (Id. at 101). Petitioner's attorney then asked, "what places were identified," to which Officer McGowan responded, "I believe just his butt." (Id.) Because these statements were solicited by the defense, they do not form the basis for a confrontation challenge.

The next witness at trial was Stephanie Buehler, a social worker for the Baldwin County DHR. (Id. at 102). Ms. Buehler testified that she and Officer McGowan had interviewed the child in July, 2002, and that she had given the child a book that showed a little boy and a little girl in bathing suits covering their private parts. (Id. at 105). She testified, *without objection*, that she asked the child if anyone had ever touched him on his private parts, and he circled the buttocks area. (Id. at 106). The State proffered two drawings depicting the buttocks area circled by the child, and the trial court admitted the drawings into evidence, *without objection*, and published them to the jury. (Id.) Ms. Buehler then testified, *without objection*, that she asked the child "if anybody had ever touched him on his private parts before, and he said, yes, his granddaddy had." (Id. at 107). The State's attorney then asked Ms. Buehler if the child had given her any details about how the abuse happened, and, at that point, Petitioner's attorney

objected for the first time at trial, seeking to renew his pre-trial *motion in limine*. (Id.). The judge overruled Petitioner's objection but noted Petitioner's continuing objection to that line of questioning. (Id.).

In Grossman v. McDonough, 466 F.3d 1325, 1339 (11th Cir. 2006), the Court, citing Brecht v. Abrahamson, 507 U.S. 619, 623, 113 S.Ct. 1710, 123 L.Ed.2d 353 (1993), stated that "in a habeas corpus proceeding under 28 U.S.C. § 2254, we analyze [petitioner's] claim under a different harmless error standard of review: whether the Confrontation Clause error alleged in this case 'had substantial and injurious effect or influence in determining the jury's verdict.'" In this case the error did not have a substantial and injurious effect for two reasons. First, the objectionable evidence was cumulative of evidence already solicited by the Petitioner's attorney. Second, the evidence of Petitioner's guilt was overwhelming. Petitioner confessed on tape to sexually abusing the victim in the same manner that was presented through the victim's statements. Accordingly, while it was error to admit Buehler's testimony regarding the victim's statements that Petitioner had sexually abused him, the Alabama Court of Criminal Appeals application of the harmless error standard was not unreasonable.

Based upon a review of Petitioner's Sixth Amendment claim, which was considered by the Alabama Court of Criminal Appeals and denied on the merits, the Court finds that Petitioner has failed to establish under the "contrary to" clause of § 2254(d)(1) that the Alabama Court of Criminal Appeals applied a rule that contradicts the governing law as set forth in Supreme Court case law, or that the court decided the case differently than the Supreme Court did in a previous case presenting a set of materially indistinguishable facts. Likewise, Petitioner has failed to establish under the "unreasonable application" clause that the state court, though recognizing the

correct governing principles from the Supreme Court's decisions, unreasonably applied those principles to the facts in this case. Thus, Petitioner has not established that he is entitled to relief under § 2254(d)(1). In addition, Petitioner has failed to establish entitlement to relief under § 2254(d)(2) by showing that the decision of the Alabama Court of Criminal Appeals was based on an unreasonable determination of the facts in light of the evidence presented in the state court proceeding. This Court must presume as correct the determinations of all factual issues made by the state court. 28 U.S.C. § 2254(e). Petitioner has not rebutted that presumption of correctness by clear and convincing evidence. Accordingly, Petitioner's request for habeas relief on the basis of his Sixth Amendment claim is denied.

Accordingly, it is **ORDERED** that this action be and is hereby **DISMISSED with prejudice**.

DONE this the 12th day of February, 2009.

s / Kristi K. DuBose
KRISTI K. DuBOSE
UNITED STATES DISTRICT JUDGE