# Edward W. Funk, Ph.D.

Chemical Engineering Consultant / Expert Witness

May 7, 2008

Re: Soilworks v. Midwest Industrial Supply

I have been retained by Kutak-Rock LLP to evaluate if the Durasoil product infringes any of the Midwest products covered in US 7,074,266 and US 7,081,270.

Enclosed is my CV and list of technical publications, authored patents, and major presentations. I have particular experience with soil-type material from my research at Exxon- Mobil on separating valuable organics from tar sands, which are 90% clay and soil-type material. Over the last 5 years, I have given 6 depositions.

My conclusions are based on an understanding of the composition of Durasoil and an analysis of the Midwest patents US 7,074,266 and US 7,081,270.

First, the components of Durasoil. It contains white mineral oil which is generally defined as a very pure paraffinic hydrocarbon. The second component is light petroleum which has been hydro-treated to assure that it is paraffinic. The third component is a middle distillate from the fractionation of oil which has been hydro-treated. It also contains some esters; these are modified carboxylic acids.

Durasoil does not contain key components claimed in the above named Midwest patents. The Midwest product contains organic acids (carboxylic and fatty acids). This would give the product an acidic property where the paraffinic components in Durasoil give it a non-acidic property.

The Midwest product contains and emulsifier, which in industrial use are typically longchained alcohols or fatty acids

Durasoil does not include an iso-alkane (a paraffinic component that has some branching rather than a straight chain material. Iso-alkanes do not naturally occur in petroleum but are manufactured in a specialized process (the most common synthetic iso-alkane is isoctane used in gasoline to increase performance).

EWF Consulting, Inc. • 676 De Tamble Avenue • Highland Park, IL 60035-4002 847-433-5659 • Fax 847-433-2837 • ewi@ewiconsulting.net Case 2:06-cv-02141-DGC Document 79-4 Filed 05/09/2008 Page 26 of 36 The Midwest product includes a polyolefin (a low molecular weight polymer). This is used as a binder for the other organic components. Durasoil does not use polymeric materials or a binder.

From the above analysis, it is my conclusion that Durasoil is distinctly different from the materials claimed in the Midwest patents.

Edward W Funk, Ph D

President

EWF Consulting, Inc.

Appendix 1-EWF CV and publications

CURRICULUM VITAE -EDWARD W.FUNK, Ph. D.

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#### PROFESSIONAL SUMMARY

Experienced R&D director at Exxon and Honeywell and presently an expert witness along being a Professor of Chemical Engineering at University of Illinois.

#### EDUCATION

Ph.D., Chemical Engineering, University of California, Berkeley, 1970 B.S., Engineering Science, Yale, 1967

#### PRESENT PROFESSIONAL

- 1. Consultant and expert witness 1992-present. EWF Consulting, Inc. Technical consultant to medium-sized chemical companies and national laboratories. Expert witness on patent infringement and process/product liability cases with law firms.
- 2. Professor of Chemical Engineering, University of Illinois 1992-present Teaching of courses in process and product design. Technical writing and oral communication.

#### PREVIOUS EXPERIENCE

Allied-Signal/UOP (now Honeywell), Des Plaines, IL, 1982-1992 Manager of Chemical Process/Froduct Technology

- Responsible for the commercial development of new products in the areas of petrochemicals, polymers, membranes and films, environmental processes, and chemical processing, and novel process systems.
- This work led to new membranes, surface-modified films, ozone-friendly fluorocarbons, extraction processes for treating contaminated soils, conducting polymer resins, carbon molecular sieves, etc.
- Managed the advanced technology for UOP division of Honeywell, the process technology affiliate of Honeywell. This included petroleum processes and process technology in the food and related industries.

- Led the design effort that identified new commercial applications for oxygenenrichment membranes and ultrafiltration processes for food processing.
- Lead technology manager in the Dow/Honeywell patent infringement suit, which resulted in a \$30 million award to Honeywell.
- Project Manager for a \$5 million Department of Energy contract on the development of energy saving membrane processes. The yield from this contract included the first commercial processes for hydrocarbon separations, and a gas-membrane process for removal of carbon dioxide from natural gas.
- · Obtained for Honeywell R&D contracts from NASA and Los Alamos and established joint R&D projects with Kraft and Proctor & Gamble.

Corporate Research, Exxon, Linden, NJ, 1973-1982 Senior Research Engineer.

- Established a new program on the extraction of oil from Canadian tar sands and obtained the key patents. Directed the laboratory studies, developed unique software for process analysis, and built a small-scale demonstration
- Developed new test methods for the characterization of asphaltenes. lubricating oils, and synthetic fuels.
- · Served as the principal engineer in the investigation of potential uses of supercritical fluid technology within Exxon's business units.
- Was lead engineer of the engineering/chemistry team that commercialized Exxon's FLEXSORB process for the use of aqueous tertiary amines for removal of acid gases from natural gas.

Ford Foundation, Chile 1971-1973 Fellow

Established new R&D programs focused on the food and mineral industries within government labs and universities.

#### PROFESSIONAL ACTIVITIES

Author of 40 technical papers and 35 presentations at technical meetings, national laboratories and industrial research centers. Author of 6 US patents,

#### PUBLICATIONS / PRESENTATIONS / PATENTS

- 1. Funk, E.W., and Prausnitz, J.M., "Thermodynamic Properties of Liquid Mixtures: Aromatic-Saturated Hydrocarbon Systems," Industrial and Engineering Chemistry, 9, 8 (1970)
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- 9. Equilibrio de Fases en Sistemas de Petroleo, Congreso de Quimicos, Santiago, Chile, January, 1972
- 10. Funk, E.W., "Activity Coefficients at High Concentrations in the Hydrochloric Acid-Sodium Chloride-Water System," IEC Process Design and Development, 13, 352 (1974).
- 11. Vega, R., and Funk, E.W., "Solid-Liquid Equilibria in Concentrated Aqueous Salt Solutions Systems with a Common Ion," Desalination, 15, (1974)
- 12. Irani, C., and Funk, E.W., "Supercritical Separations Using Gases," Chapter 3, Volume 4, Recent Developments in Separation Science, N.N. Li, Editor, 1976
- 13. Extraction of Athabasca Tar Sands, Laboratory Seminar, Imperial Oil., Sarnia, Canada, June, 1976
- 14. Heavy Hydrocarbon Processing: An Overview, Departmental Seminar, Chemical Engineering, University of California, Berkeley, California, 1977
- 15. Solvent Extraction of Tar Sands: The Process and Economics, Syncrude Canada Limited, Edmonton, Canada, November, 1977

- 16. Funk, E.W., and Gomez, E., "Determination of Vanadium in Athabasca Bitumen and Other Heavy Hydrocarbons by Visible Spectroscopy," Analytical Chemistry, 49, 972 (1977)
- 17. Funk, E.W., "Study of Heavy Hydrocarbons by Inverse-Phase Chromatography," ISEC Product Research and Development, 16, 115 (1977)
- 18. Funk, E.W., Irani, C.A.,, Espino, R.L., "Tar Sands Extraction Process," U.S. Patent 4, 036, 732 (July, 1977)
- 19. Funk, E.W., "Behavior of Tar Sand Bitumen with Paraffinic Solvents and its application to Separations for Athabasca Tar Sands," American Chemical Society, Division of Fuel, 23, 81 (1978)
- 20. Funk, E.W., "Athabasca Bitumen recovery Process," Oilweek Canada, October 23, 44 (1978)
- 21. Interfacial Effects in Separations for Tar Sands, Departmental Seminar, Chemical Engineering, University of Minnesota, July, 1978
- 22. Behavior of Athabasca Tar Sand Bitumen, American Chemical Society, Division of Fuel, Miami Beach, September, 1978
- 23. Thermodynamics of Aqueous Salt Systems, NSF Symposium on Thermodynamics Applied to Industrial Needs, Washington, D.C., October, 1979
- 24. Processing and Recovery of Heavy Hydrocarbons, Departmental seminar, McGill University, Montreal, Canada, November, 1979
- 25. Funk, E.W., "Behavior of Tar Sand Bitumen with Paraffinic Solvents," Canadian Journal of Chemical Engineering, 57, 333 (1979)
- 25. Pirkle, J.C., Funk, E.W., and May, W.G., "Computer Design of Multistage Sedimentation Operation for Separation of Asphaltenes, Sand, and Bitumen in Tar Sands," Proceedings of the 1979 Summer Computer Simulation Conference, Toronto, Canada, 213 (1979)
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- 28. Electrokinetics and Other Similar Effects, The Filtration Society, King of Prussia, Pa., March, 1980
- 29. Selective Absorption of Hydrogen Sulfide and Carbon Dioxide in Aqueous Solutions of Methyldiethanolamine, AIChE Meeting, Houston, Texas, April, 1981
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- 32. Li, N.N., and Funk, E.W., "Separations Based Upon Reversible Complexation," Proceedings of the Joint Meeting of Chemical Engineers of AIChE and CIESC, Vol. 1, 496 (1982)

- 33. Funk, E.W., May, W.G., Pirkle, J.C., "Solvent Extraction Process for Tax Sands," U.S. Patent 4, 347, 118 (August, 1982)
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- 36. Membrane Separation Processes for Acid Gases, AIChE Summer Meeting, Denver, Colorado, August, 1983
- 37. Polymeric Membranes for Petrochemical Separations, Departmental Seminar, Chemical Engineering, University of Illinois at Chicago, October, 1983
- 38. Membrane Separations for Liquid Systems, Membrane Symposium, U.S. Department of Energy, University of Texas, Austin, Texas, October, 1983
- 39. Membrane Separations with Polymeric films, ACS Meeting, Rock River Selection, Beloit College, November, 1983
- 40. Separations for Closed-Loop Space Stations, Marshall Spacecraft Center, Huntsville, Alabama, September, 1984
- 41. Membrane Separations for Hydrocarbon Systems, U.S. Department of Energy Symposium, Clemson University, October, 1984
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- 44. Funk, E.W., May, W.G., and Pirkle, J.C., "Processing Approach for the Solvent Extraction of Athabasca Tar Sands," AICHE Energy Progress Quarterly, 4, 12 (1984)
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- 46. Separation of Heavy Oils Using Supercritical Fluids, ACS National Meeting, Chicago, September, 1985
- 47. Membrane Separations in This World and Beyond, Departmental Seminar, Chemical Engineering, Michigan State University, October, 1985
- 48. Membrane Technology for Acid Gas Separation, DOE Membrane Symposium, Knoxville, Tennessee, October, 1985
- 49. Novel Separations with Polymeric Membranes, Departmental Seminar, Chemical Engineering, Northwestern University, Evanston, Illinois, March, 1986
- 50. The Effect of Impurities on Membrane Performance, AIChE Meeting, New Orleans, April, 1986

- 51. Application of Membrane Technology in the Paper and Pulp Industry, American Chemical Society, New York, April, 1986
- 52. Advanced Membranes for Spacelab Water Management, American Chemical Society, New York, April, 1986
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- 61. Use of Statistics For Membrane Optimization, AIChE National Meeting, New York, N.Y., November, 1987
- 62. Funk, E.W., Kulprathipanja, "Separation of Monosaccharides with Mixed Matrix Membranes," U.S. Patent 4, 735, 193 (April, 1988)
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- 73. Membrane Separations in the Petrochemical Industry, 8th Annual Membrane Technology Planning Conference; BCC Communications, Newton, MA., October, 1990
- 74. New Membrane Processes for Oil Upgrading, Chevron Research Membrane Conference, Richmond, CA., November, 1990
- 75. New Membrane Processes in an Environment of Change, Departmental Seminar, Chemical Engineering, Northwestern University, April, 1991
- 76. Organic Separations with Membranes, First Hanford Separation Symposium, Richland, Washington, July, 1991
- 77. New Applications of Membrane Technology for the Chemical Industry, Plenary Lecture, Canadian Chemical Engineering Society, Vancouver, October, 1991
- 78. Manufacturing Process for Cellulose Acetate Membranes and Industrial Uses, 7th Symposium on Separation Science and Technology, Knoxville, Tennessee, October, 1991
- 79. Mixed Matrix Membranes, AIChE National Meeting, Los Angeles, CA, November, 1991
- 80. Ultrafiltration, Chapters 27-31. Membrane Handbook, Van Nostrand Reinhold, New York, NY, 1992.
- 81. Process Analysis of Membrane Separations, Departmental Seminar, University of Illinois, Urbana, IL, April, 1992
- 82. Surface Modification of Membranes, 10th Annual Membrane Technology Planning Conference, BCC Communications, Newton, MA, October, 1992
- 83. Membranes Tailored for Aqueous Phase Separations, AIChE National Meeting, Miami Beach, FL, November, 1992
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