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IN THE UNITED STATES DISTRICT COURT
FOR THE DISTRICT OF ARIZONA

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9 Florence E. Stone,

) No. CV 09-01730-PHX-EHC

10 Plaintiff,

) **ORDER**

11 vs.

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13 Michael J. Astrue, Commissioner of Social
Security,

14 Defendant.

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17 This is an action for judicial review of a denial of disability benefits under the Social
18 Security Act, 42 U.S.C. § 405(g). The matter is fully briefed (Doc. 31 & 33). Plaintiff did
19 not file a reply brief.

20 Plaintiff applied for disability benefits on January 13, 2006 (Administrative Record
21 [Tr.]) at approximately 50 years of age, alleging an onset of disability beginning October 1,
22 2002 (Tr. 12, 66). Plaintiff alleged impairments due to depression; bipolar disorder; anxiety;
23 fibromyalgia; hypertension; osteoarthritis in both knees; back pain; poor eye sight;
24 degenerative disc disease in her back and hips; plantar fasciitis; hand, ankle, and shoulder
25 pain; chronic pain syndrome; and memory and concentration problems (Tr. 78, 93-96, 164).
26 Plaintiff is insured for benefits through March 31, 2012 (Tr. 12). The Administrative Law
27 Judge (“ALJ”) listed Plaintiff’s medically determinable impairments as back disorder

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1 (spondylosis of the lumbar spine);¹ arthritis (osteoarthritis of the knees); mental depression;
2 and generalized anxiety disorder (Tr. 16). Plaintiff's past relevant work was listed as
3 assembler, daycare provider, and home health aid (Tr. 24-25, 90-91, 113, 376). Plaintiff has
4 a high school general equivalency degree (GED) (Tr. 355).

5 Plaintiff's application was denied initially and upon reconsideration (Tr. 12, 49-52, 57-
6 61). Plaintiff timely requested a hearing before an ALJ (Tr. 12, 44, 347-386). During the
7 hearing, Plaintiff, through counsel, amended her alleged disability onset date to January 1,
8 2006 (Tr. 12, 78, 349-350). The ALJ denied Plaintiff's application (Tr. 12-25). The Social
9 Security Appeals Council denied Plaintiff's request for review (Tr. 2-4), which was a final
10 decision. Plaintiff filed her Complaint in this Court on August 20, 2009 (Doc. 1). Defendant
11 filed an Answer on February 4, 2010 (Doc. 10).

12 I.

13 Standard of Review

14 A person is "disabled" for purposes of receiving social security benefits if he or she
15 is unable to engage in any substantial gainful activity due to a medically determinable
16 physical or mental impairment which can be expected to result in death or which has lasted
17 or can be expected to last for a continuous period of at least twelve months. Drouin v.
18 Sullivan, 966 F.2d 1255, 1257 (9th Cir. 1992). Social Security disability cases are evaluated
19 using a five-step sequential evaluation process pursuant to 20 C.F.R. §§ 404.1520 and
20 416.920 to determine whether the claimant is disabled. The claimant has the burden of
21 demonstrating the first four steps. Tackett v. Apfel, 180 F.3d 1094, 1098 (9th Cir. 1999).

22 In the first step, the ALJ must determine whether the claimant currently is engaged in
23 substantial gainful activity; if so, the claimant is not disabled and the claim is denied.

25 ¹Spondylosis refers to "ankylosis" (stiffening or fixation) of the vertebra, often applied
26 non-specifically to any lesion of the spine of a degenerative nature. Stedman's Medical
27 Dictionary, at 90, 1678 (27th ed. 2000); Crawford v. Astrue, 633 F. Supp. 2d 618, 627 n.2
28 (N.D. Ill. 2009).

1 If the claimant is not currently engaged in substantial gainful activity, the second step
2 requires the ALJ to determine whether the claimant has a “severe” impairment or combination
3 of impairments which significantly limits the claimant’s ability to do basic work activities;
4 if not, a finding of “not disabled” is made and the claim is denied.

5 If the claimant has a “severe” impairment or combination of impairments, the third step
6 requires the ALJ to determine whether the impairment or combination of impairments meets
7 or equals an impairment listed in the regulations; if so, disability is conclusively presumed and
8 benefits are awarded.

9 If the impairment or impairments do not meet or equal a listed impairment, the ALJ
10 will make a finding regarding the claimant’s “residual functional capacity” based on all the
11 relevant medical and other evidence in the record. A claimant’s residual functional capacity
12 (“RFC”) is what he or she can still do despite existing physical, mental, nonexertional and
13 other limitations. Cooper v. Sullivan, 880 F.2d 1152, 1155 n.5 (9th Cir. 1989).

14 At step four, the ALJ determines whether, despite the impairments, the claimant can
15 still perform “past relevant work”; if so, the claimant is not disabled and the claim is denied.
16 The claimant has the burden of proving that he or she is unable to perform past relevant work.
17 If the claimant meets this burden, a prima facie case of disability is established.

18 The Commissioner bears the burden as to the fifth and final step in the analysis of
19 establishing that the claimant can perform other substantial gainful work. The Commissioner
20 may meet this burden based on the testimony of a vocational expert or by reference to the
21 Medical-Vocational Guidelines. Tackett, 180 F.3d at 1099.

22 The Court has the “power to enter, upon the pleadings and transcript of record, a
23 judgment affirming, modifying, or reversing the decision of the Commissioner of Social
24 Security, with or without remanding the cause for rehearing.” 42 U.S.C. § 405(g). The
25 decision to deny benefits should be upheld unless it is based on legal error or is not supported
26 by substantial evidence. Ryan v. Commissioner of Social Security, 528 F.3d 1194, 1198 (9th
27 Cir. 2008). Substantial evidence means “such relevant evidence as a reasonable mind might
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1 accept as adequate to support a conclusion.” Richardson v. Perales, 402 U.S. 389, 401, 91
2 S.Ct. 1420, 1427 (1971). “Substantial evidence is more than a mere scintilla but less than a
3 preponderance.” Bayliss v. Barnhart, 427 F.3d 1211, 1214 n. 1 (9th Cir. 2005) (internal
4 quotation marks and citation omitted). The Court must consider the record in its entirety and
5 weigh both the evidence that supports and the evidence that detracts from the Commissioner’s
6 conclusion. Jones v. Heckler, 760 F.2d 993, 995 (9th Cir.1985).

7 II.

8 Background Facts

9 (A) Plaintiff’s 2006 Medical Records - Drs. Weldon and Blatny

10 In February 2006, Plaintiff was examined by Donald C. Weldon, M.D., regarding
11 arthritis, back pain, arm and shoulder pain, hypertension, dyslipidemia,² depression, and
12 cataracts (Tr. 272-274). Plaintiff reported living with her husband and two step-children,
13 working for Health and Human Services as a community assistant 17.5 hours a week, and
14 moving from Arizona to Nebraska in 2002 (Tr. 272). Dr. Weldon’s assessment of Plaintiff
15 included: smoker, hypertension (noncompliant with treatment), dyslipidemia (noncompliant
16 with treatment), bilateral cataracts with visual impairment, gastroesophageal reflux disease
17 (GERD), irritable bowel syndrome, anxiety/depression, menometrorrhagia (excessive uterine
18 bleeding) (suspect perimenopausal), left ulnar (forearm) neuropathy symptoms, chronic pain,
19 and history of workplace injury (Tr. 276). X-rays of Plaintiff’s spine in February 2006,
20 revealed “mild spondylosis deformans. No acute osseous [bony] abnormalities” (Tr. 278).

21 In March, May and June 2006, Richard Blatny, Jr., M.D., assessed Plaintiff with HTN
22 (hypertension), hyperlipidemia, anxiety and foot pain (Tr. 290, 291, 203). Dr. Blatny initially
23 assessed foot pain more likely related to Plaintiff’s shoes and walking surfaces. At the June
24 exam, Plaintiff also complained of swelling and fatigue and Dr. Blatny observed “noticeable

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26 ²Dyslipidemia refers to a condition marked by abnormal concentrations of lipids or
27 lipoproteins in the blood. See Rivera v. Commissioner of Social Sec., 728 F. Supp. 2d 297,
28 307 n.9 (S.D.N.Y. 2010).

1 edema from her knees down bilaterally, and this is new” (Tr. 203). Dr. Blatny assessed
2 weight gain and fatigue and prescribed a diuretic drug (Tr. 203). On August 28, 2006, Plaintiff
3 told Dr. Blatny she was doing okay but was convinced she had fibromyalgia,³ listing several
4 symptoms (Tr. 203). Plaintiff said she was not able to do activity due to pain from
5 fibromyalgia. Dr. Blatny assessed fatigue, multiple chronic pain syndrome consistent with
6 osteoarthritis and likely fibromyalgia (Tr. 202).

7 On October 2, 2006, Dr. Blatny, at Plaintiff’s request (Tr. 202), wrote a letter to
8 Plaintiff’s attorney (Tr. 191), stating that Plaintiff had been a patient for several years and had
9 experienced progressive pain. Dr. Blatny described the pain as widespread, throughout
10 Plaintiff’s head, neck, shoulders, back and legs, mostly in muscle areas, but there was some
11 joint involvement consistent with arthritis. An extensive past work-up was essentially
12 negative. Dr. Blatny opined that Plaintiff met the criteria for the diagnosis of fibromyalgia,
13 which was the cause for most of her pain, and that Plaintiff was under a lot of emotional
14 stress. Dr. Blatny opined: “[c]ertainly, from my standpoint, she would meet the diagnostic
15 criteria for this disease syndrome. There is no concrete testing that can be done for the
16 diagnosis. Rather this is a diagnosis of exclusion by history” (Tr. 191).

17 In November 2006, Plaintiff reported to Dr. Blatny that overall she was doing okay
18 except for her usual aches and pains, fibromyalgia and arthritis (Tr. 202). Plaintiff’s lab work
19 looked “very good.” Dr. Blatny assessed chronic pain syndrome secondary to fibromyalgia
20 and arthritis, hyperlipidemia, and fatigue, and continued Plaintiff’s medications (Tr. 202).
21 In December 2006, Dr. Blatny treated Plaintiff for left knee pain, noting pain with full
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25 ³Fibromyalgia is a rheumatic disease that causes inflammation of the fibrous
26 connective tissue components of muscles, tendons, ligaments and other tissue. Common
27 symptoms include chronic body pain, multiple tender points, fatigue, stiffness, and a pattern
28 of sleep disturbance that can exacerbate pain and fatigue. Benecke v. Barnhart, 379 F.3d 587,
589 (9th Cir. 2004).

1 extension, marked crepitation in the knee and tenderness along both joint lines, assessed
2 osteoarthritis of the left knee, and administered an injection for the pain (Tr. 201).

3 (B) Plaintiff's Psychological Evaluation - February 2006

4 On February 27, 2006, Allen Meyer, Ph.D., performed a psychological evaluation of
5 Plaintiff (Tr. 281-285). Plaintiff's results on the Wechsler Memory Scale III (WMS-III)
6 placed her within the average range of ability. Dr. Meyer diagnosed generalized anxiety
7 disorder and rated Plaintiff's global assessment of functioning (GAF) at 55, which is
8 indicative of an individual with moderate difficulty in social, occupational, or school
9 functioning (Tr. 284; Doc. 33 at 15). Dr. Meyer found that Plaintiff would have no restrictions
10 in her activities of daily living, would have some difficulties in social functioning due to being
11 nervous around new people or unfamiliar places, and would be able to sustain concentration
12 and attention needed for task completion. Plaintiff could remember and understand short
13 simple instructions under ordinary supervision, would have difficulty relating appropriately
14 to co-workers and when she had to meet new people or go to new places, and no difficulty
15 adapting to changes in her environment (Tr. 286).

16 (C) State Agency Non-Examining Medical Opinions - 2006

17 On March 22, 2006, Linda Schmechel, Ph.D., a State Agency psychologist, reviewed
18 the medical evidence and opined that Plaintiff had generalized anxiety disorder which resulted
19 in moderate limitations in her ability to maintain social functioning (Tr. 246-262 [Tr. 246,
20 251, 256]). Dr. Schmechel's mental residual functional capacity assessment indicated that
21 Plaintiff would be moderately limited in her ability to perform activities within a schedule,
22 maintain attendance, work in coordination with or proximity to others without being distracted
23 by them, interact appropriately with the public, accept instructions, and complete a normal
24 workday and workweek without psychological interruptions (Tr. 260-261). On July 24, 2006,
25 Dr. Schmechel's assessment was affirmed by Rebecca K. Braymen-Lawyer, Ph.D., who
26 reviewed the updated medical records (Tr. 245). Also on July 24, 2006, P.E. Horley, M.D.,
27 reviewed the medical records and noted that Plaintiff's daily living activities showed she did
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1 chores, had some difficulty with close up work and was still driving (Tr. 167). Dr. Horley
2 affirmed a March 22, 2006 residual functional capacity assessment that Plaintiff would be able
3 to perform a modified range of light work (Tr. 167, 236-244).

4 (D) Plaintiff's 2007-2008 Medical Records - Drs. Blatny and Weldon

5 In July 2007, Plaintiff complained to Dr. Blatny of stress, depression at times and being
6 easily angered (Tr. 200). Dr. Blatny assessed fatigue, depression, and "palpitations, question
7 stress related" and recommended Cymbalta (Tr. 200), which is used to treat major depressive
8 disorders, generalized anxiety disorders, diabetic peripheral neuropathic pain, and
9 fibromyalgia (Doc. 33 at 12 n. 3). In August 2007, Plaintiff appeared "upbeat" and responsive
10 to Cymbalta. Dr. Blatny assessed depression with interval improvement and prescribed
11 Cymbalta daily (Tr. 200). However, in October 2007, Plaintiff complained to Dr. Blatny of
12 depressive type symptoms and said she had ceased taking Cymbalta because she could not
13 tolerate it and was taking an herbal treatment (Tr. 199). Plaintiff complained of pain in her
14 knees and said she had been "really busy" with daycare. Dr. Blatny assessed HTN stable,
15 hyperlipidemia stable, and "severe arthritis, diffuse". Plaintiff declined Dr. Blatny's offer of
16 an orthopedic referral and hormone therapy for suspected perimenopausal symptoms. Dr.
17 Blatny recommended smoking cessation and continued her prescribed medications (Tr. 199).

18 On April 9, 2008, Plaintiff complained to Dr. Blatny about depression, mentioning a
19 break up with her husband, chronic bilateral knee pain and chronic back pain (Tr. 199). Dr.
20 Blatny recommended Sertraline therapy, a generic version of Zoloft an antidepressant
21 medication (Tr. 199; Doc. 33 at 13 n. 4). Dr. Blatny recommended weight loss and exercise
22 to relieve the pain and noted his previous Darvocet prescription appeared helpful (Tr. 199).
23 Dr. Blatny diagnosed obesity and diffuse osteoarthritis and continued Plaintiff's current
24 medications (Tr. 198).

25 On May 1, 2008, Plaintiff was examined by Dr. Weldon following Dr. Blatny's
26 referral (Tr.184). Dr. Weldon noted "handicap sticker, occasional cane". Plaintiff had not
27 seen an orthopedist following Dr. Blatny's recommendation for a bilateral total knee
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1 arthroplasty (TKA). Dr. Weldon's assessment included osteoarthritis knees, chronic pain,
2 fibromyalgia by history and anxiety (Tr.184). On June 13, 2008, Plaintiff asked Dr. Weldon
3 about Amrix to treat fibromyalgia. Plaintiff reported anxiety and mentioned a pending
4 deposition of her mother (Tr. 183). Dr. Weldon's assessment included fibromyalgia and
5 anxiety and he provided samples of Pristiq for anxiety. A June 16, 2008 note showed a
6 prescription for cyclobenzaprine instead of Amrix (Tr. 183). On June 26, 2008, Plaintiff saw
7 Dr. Weldon regarding her anxiety and anger (Tr. 183). Dr. Weldon noted Plaintiff's family
8 members with bipolar disorder and that Plaintiff reported seeing a psychologist. Dr. Weldon
9 assessed fibromyalgia Amrix 30 responsive, bipolar,⁴ and HTN. Dr. Weldon offered
10 authorization for Amrix, provided samples of Symbyax, and recommended a psychiatrist
11 referral (Tr. 183).

12 On July 11, 2008, Plaintiff reported to Dr. Weldon for follow-up regarding her bipolar
13 disorder and intolerance of Symbyax. Plaintiff said several relatives were taking Depakote.
14 Dr. Weldon diagnosed bipolar disorder and prescribed Depakote (Tr. 182). On July 21, 2008,
15 Dr. Weldon observed that Plaintiff appeared responsive to Depakote and noted her pleasant
16 mood. Dr. Weldon assessed bipolar disorder Depakote responsive, and fibromyalgia (Tr. 182).

17 On August 18, 2008, Plaintiff reported to Dr. Weldon that she had forgotten to take her
18 Depakote and her husband and mother noted hostility (Tr. 182). Dr. Weldon observed no
19 mood or thought disorder and opined that Plaintiff conveyed a "sense of chronic functional
20 insufficiency". Dr. Weldon diagnosed "bipolar/chronic musculoskeletal pain" and continued
21 Plaintiff's current medications (Tr. 182).

22 (E) Plaintiff's Eye Surgery 2005-2008

23 An October 2005 eye examination noted a decrease in Plaintiff's vision due to
24 development of cataracts in both eyes (Tr. 270-271). Cataract surgery and lens implants were

26 ⁴Bipolar disorder refers to manic depressive illness and is a brain disorder that causes
27 unusual shifts in mood, energy, activity levels, and the ability to carry out day-to-day tasks.
28 United States v. Kilkeary, 2011 WL 339460 *4 n.2 (3d Cir. 2011).

1 performed in Plaintiff's eyes in February and March 2007 (Tr. 219, 224, 226, 229, 232). On
2 June 6, 2008, Dr. Gordon Stelting treated Plaintiff post- surgery. Plaintiff's vision in her right
3 eye was 20/20 and 20/50 in her left eye (Tr. 194).

4 III.

5 The Hearing Before the ALJ: October 21, 2008

6 Plaintiff, represented by counsel, and the Vocational Expert ("VE") Steven Kuhn
7 testified at the hearing. Plaintiff amended her onset date to January 1, 2006 (Tr. 349-350,
8 355). Plaintiff testified she was 52 years of age, 5'10" tall, and weighed about 258 pounds
9 (Tr. 355). Plaintiff tried to do children's daycare in her home from October 2007 to January
10 2008 but could not pick up the children, stand or cook, or do the job (Tr. 356). Plaintiff
11 described her sister as "mentally handicapped" and said she took care of her about three and
12 one-half hours per day (Tr. 357, 370). Plaintiff's past care of her mother was about 3 hours
13 per week. She presently cares for her mother, who lives with her, about 10 hours and 55
14 minutes a week (Tr. 357).

15 Plaintiff said her pain is constant, is located in her back, arms, hands and knees, and
16 interferes with her daily living (Tr. 358). Plaintiff can stand and do dishes but after a few
17 minutes she has to sit down and take a 15 to 30 minute break (Tr. 358-359). Her ability to
18 stand is sometimes for a shorter period depending on the pain (Tr. 359). She has days when
19 she cannot do anything around the house and sits in a recliner or lies down (Tr. 359). She has
20 5 out of 7 "bad days" (Tr. 359) and this has gotten "worse" since January 2006 (Tr. 359-360).
21 Plaintiff said she does not sleep well due to pain constantly, she tires easily, and she takes a
22 nap for 2 to 3 hours (Tr. 360). This occurs 6 out of 7 days (Tr. 360).

23 Plaintiff said she has problems interacting with people and that during her past
24 employment she had to leave the workplace if a lot of people were going to be in the same
25 area (Tr. 360-361). Due to her anxiety, Plaintiff tries not to go out much to places where there
26 are a lot of people (Tr. 361). Her anxiety "flares up" when she has to go some place new (Tr.
27 362). She also has panic attacks (Tr. 362). The medications prescribed by Drs. Weldon and
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1 Blatny have not helped her anxiety (Tr. 362-363). Plaintiff has not seen a counselor for her
2 anxiety and bipolar disorder due to lack of money and health insurance coverage (Tr. 363).

3 Plaintiff said that extreme foot pain, which feels like bruising, limits her standing and
4 walking (Tr. 363). Plaintiff said on “bad days” it is a “chore” to walk from the house to the
5 mailbox, and on “other days” she can walk halfway around the block (Tr. 364). Plaintiff
6 experiences pain in her leg and back when sitting, stating she can sit for an hour to an hour-
7 and-a-half (Tr. 364). Plaintiff said she cannot get through an 8-hour day by alternating sitting,
8 standing and walking because when she is in severe pain she props her leg up (Tr. 365). She
9 also has to take a nap (Tr. 365). Plaintiff said she forgets things very easily (Tr. 365).

10 When questioned by the ALJ, Plaintiff testified she is paid \$7.22 an hour for caring for
11 her mother (Tr. 367). Plaintiff has been caring for her mother since 2004 and said she helps
12 her mother wash her hair, takes her to the doctor, and cooks the evening meal but no lifting
13 (Tr. 368-369). Plaintiff cared for her sister between 2003 and July/August 2007 and was paid
14 \$6.50 per hour (Tr. 369). Plaintiff said she helped teach her sister how to grocery shop (Tr.
15 370). Plaintiff described her past work as custom cable assembly for electronics for two years
16 (Tr. 372-373). She stopped because she could not read the blueprints (Tr. 373). Plaintiff said
17 she had cataract surgery in both eyes in 2007 but could not see any better (Tr. 373-374).
18 Plaintiff has a driver’s license with no restriction or endorsement for glasses (Tr. 374).
19 Plaintiff has difficulty seeing “small stuff” and claimed her vision is not correctable (Tr. 375).

20 The VE testified that work as an assembler is light, unskilled; that work as a daycare
21 provider is light semi-skilled; and that work as a home health aid (such as caring for Plaintiff’s
22 mother and sister) is light (Tr. 376, 381). Based on a hypothetical question that included
23 moderate limitations in dealing with the general public and large numbers of co-workers, the
24 VE testified that Plaintiff could perform her past relevant work as an assembler, home health
25 aid, and daycare provider (Tr. 377-378). When questioned by Plaintiff’s counsel, the VE
26 testified that all work would be precluded given Plaintiff’s testimony regarding the limitations
27 on standing, walking and lifting (Tr. 383-385).

1 IV.

2 The ALJ's Findings

3 The ALJ found that Plaintiff had not engaged in substantial gainful activity since the
4 amended onset date of January 1, 2006 (Tr. 15), and that Plaintiff's medically determinable
5 impairments were a back disorder (spondylosis of the lumbar spine), arthritis (osteoarthritis
6 of the knees), mental depression and generalized anxiety disorder (Tr. 16). Plaintiff did not
7 have an impairment or combination of impairments that met the listing criteria under the
8 regulations (Tr. 23).

9 The ALJ gave little weight to Plaintiff's testimony and statements based on a finding
10 that Plaintiff had not been fully credible (Tr. 24). The ALJ found that Plaintiff had overstated
11 the degree of her impairments based on the following: the medical evidence does not show
12 that Plaintiff has any type of impairment that could be expected to cause such major
13 limitations as Plaintiff described; Plaintiff is not taking any prescription pain medications;
14 Plaintiff testified that her vision had not improved after her cataract surgery when the medical
15 evidence showed otherwise; Plaintiff testified that she takes a nap everyday when no
16 physician of record indicated a need for sleep 2-3 hours during the day; medical records
17 showed treatment on a sporadic basis regarding her physical symptoms; the lack of treating
18 history regarding her mental symptoms; and, Plaintiff's daily activities, including caring for
19 her mother, suggest she is functioning at a fairly high level (Tr. 22-23). The ALJ included as
20 relevant to the credibility finding that Plaintiff had engaged in substantial gainful activity after
21 the alleged onset date from a previous application dated August 19, 1994 and after her original
22 alleged onset date of October 1, 2002 from her current application (Tr. 15).

23 The ALJ found that although the evidence showed a diagnosis of fibromyalgia, the file
24 showed minimal medical findings to justify the diagnosis (Tr. 24). The medical evidence also
25 showed that Plaintiff's hypertension was well-controlled with medication (Tr. 24).

26 The ALJ found that Plaintiff's determinable mental impairments of mental depression
27 and generalized anxiety disorder were "severe" (Tr. 23). After discussing that the case

1 showed that Plaintiff had moderate limitations on social functioning due to her depression and
2 anxiety, the ALJ found that the medical records showed her depressive symptoms had
3 improved on psychotropic medications such as Cymbalta and Depakote (Tr. 24).

4 The ALJ found that Plaintiff has the residual functional capacity for light work with
5 additional non-exertional limitations (Tr. 23). The ALJ concurred with the DDS opinion that
6 Plaintiff has moderate limitations in her social interaction, but no other significant mental
7 limitations, and that she is moderately limited in dealing with the general public, with co-
8 workers and supervisors. The ALJ found Plaintiff capable of performing her past work as
9 assembler, daycare provider and home health aid, and that she is not disabled (Tr. 24-25).

10 V.

11 Discussion

12 Plaintiff contends that the ALJ erred in finding that Plaintiff was not credible and in
13 failing to afford adequate weight to the opinion of her treating physician Dr. Blatny. Plaintiff
14 argues in favor of reversal for an award of disability benefits or, in the alternative, remand for
15 a new hearing before a different ALJ. Defendant argues that the ALJ's decision should be
16 affirmed.

17 The Court first considers the ALJ's consideration of Dr. Blatny's opinion. Defendant
18 has responded to Plaintiff's argument by noting that Plaintiff's objection is that the ALJ did
19 not consider fibromyalgia as one of her severe impairments (Doc. 33 at 29).

20 "By rule, the Social Security Administration favors the opinion of a treating physician
21 over non-treating physicians." See Orn v. Astrue, 495 F.3d 625, 631 (9th Cir. 2007) (citing
22 20 C.F.R. § 404.1527). Where a treating doctor's opinion is uncontradicted, an ALJ may
23 reject it only for "clear and convincing" reasons; however, a contradicted opinion of a treating
24 or examining physician may be rejected for "specific and legitimate" reasons supported by
25 substantial evidence in the record. See Lester v. Chater, 81 F.3d 821, 830-31 (9th Cir. 1995).

26 The record shows that Dr. Weldon included in Plaintiff's assessments chronic pain in
27 February 2006 (Tr. 276) and fibromyalgia, anxiety, chronic musculoskeletal pain and bipolar
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1 disorder in June, July and August 2008 (Tr. 183, 182). Dr. Blatny assessed fatigue, multiple
2 chronic pain syndrome consistent with osteoarthritis and fibromyalgia regarding Plaintiff's
3 examinations in August and November 2006 (Tr. 200, 202). In his October 2, 2006 letter, Dr.
4 Blatny said Plaintiff had been his patient for several years and described Plaintiff's pain as
5 widespread throughout her head, neck, shoulders, back and legs, in muscle areas and joints
6 consistent with arthritis (Tr. 191). Dr. Blatny opined that Plaintiff met the criteria for a
7 fibromyalgia diagnosis and referenced the diagnosis as "exclusion by history" (Tr.191).
8 Plaintiff testified regarding constant pain in her back, arms, hands and knees, that she has to
9 take breaks, the pain interferes with her sleep, and she tires easily (Tr. 358-360).

10 Fibromyalgia is a disease that eludes objective evidence. Benecke v. Barnhart, 379
11 F.3d 587, 590, 594 (9th Cir. 2004)("[f]ibromyalgia's cause is unknown, there is no cure, and
12 it is poorly-understood within much of the medical community"). "The process of diagnosing
13 fibromyalgia includes (1) the testing of a series of focal points for tenderness and (2) the
14 ruling out of other possible conditions through objective medical and clinical trials." Rogers
15 v. Commissioner of Social Security, 486 F.3d 234, 244 (6th Cir. 2007). In Benecke, the ALJ
16 erred in requiring objective evidence for a disease such as fibromyalgia that "is diagnosed
17 entirely on the basis of patients' reports of pain and other symptoms". Benecke, 379 F.3d at
18 590, 594.

19 "An ALJ may reject a treating physician's opinion if it is based 'to a large extent' on
20 a claimant's self-reports that have been properly discounted as incredible." Tommasetti v.
21 Astrue, 533 F.3d 1035, 1041 (9th Cir. 2008). In this case, however, the ALJ found that the
22 record showed minimal medical findings regarding the fibromyalgia diagnosis (Tr. 24),
23 describing the record as showing benign examinations regarding physical impairments, such
24 as x-rays of the lumbar spine showing mild spondylosis and range of motion in both knees
25 (Tr. 24). However, this "benign" evidence seems consistent with Dr. Blatny's reference to
26 the fibromyalgia diagnosis "of exclusion by history." Fibromyalgia patients may "present no
27 objectively alarming signs" and may "manifest normal muscle strength and neurological
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1 reactions and have a full range of motion.” Rogers, 486 F.3d at 243-244(noting that objective
2 tests are of little relevance in determining the existence or severity of fibromyalgia).
3 Moreover, Dr. Weldon included fibromyalgia in Plaintiff’s assessments.

4 As for the ALJ’s concern that minimal medical findings supported the fibromyalgia
5 diagnosis (noting lack of trigger points on examination), the ALJ should have attempted to
6 develop the record further by contacting the treating physician to determine whether required
7 information is available. See 20 C.F.R. § 404.1512(e). The ALJ could have obtained an
8 explanation from Dr. Blatny regarding his August 2006 report that Plaintiff listed several
9 fibromyalgia symptoms and his October 2006 letter stating that Plaintiff met the criteria for
10 a fibromyalgia diagnosis. An ALJ’s duty to develop the record is triggered when there is
11 ambiguous evidence or when the record is inadequate to allow for proper evaluation of the
12 evidence. Mayes v. Massanari, 276 F.3d 453, 459-460 (9th Cir. 2001). “The ALJ in a social
13 security case has an independent duty to fully and fairly develop the record and to assure that
14 the claimant’s interests are considered.” Tonapetyan v. Halter, 242 F.3d 1144, 1150 (9th Cir.
15 2001)(internal quotation marks and citations omitted). This duty applies even where the
16 claimant is represented by counsel. Celaya v. Halter, 332 F.3d 1177, 1183 (9th Cir. 2003).
17 Remand for further proceedings is appropriate in this case because outstanding issues remain
18 that must be resolved before a determination of disability can be made. Varney v. Sec’y of
19 HHS, 859 F.2d 1396, 1400 (9th Cir. 1988).

20 Upon remand, a more complete report from Dr. Blatny regarding his findings that
21 support the fibromyalgia diagnosis should be obtained. Dr. Weldon also diagnosed Plaintiff
22 with fibromyalgia and a more complete report should be obtained from this physician as well.
23 It further may be helpful to obtain residual functional capacity reports from these physicians.
24 After such reports are obtained, Defendant will be better able to make a well-reasoned
25 decision as to the weight to be given the opinions of Plaintiff’s treating physicians.

26 Additional issues should be clarified upon further development of the record. “Social
27 Security proceedings are inquisitorial rather than adversarial. It is the ALJ’s duty to
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1 investigate the facts and develop the arguments both for and against granting benefits.” Sims
2 v. Apfel, 530 U.S. 103, 110-111 (2000)(citing Richardson v. Perales, 402 U.S. 389, 400-401
3 (1971)).

4 Plaintiff’s medical records show depression and anxiety diagnosed by Dr. Weldon and
5 Dr. Blatny between 2006 and 2008 (Tr. 276, 291, 200, 199, 184, 183). In February 2006, Dr.
6 Meyer diagnosed generalized anxiety disorder and discussed Plaintiff’s difficulties in social
7 functioning, relating to co-workers, meeting new people and in going to new places. Dr.
8 Meyer noted Plaintiff’s report of difficulty falling asleep because of her mind racing, recurring
9 episodes of deterioration whenever she had to meet new people, and increasing problems with
10 anxiety over the years ((Tr. 281-285). Dr. Schmechel, a State Agency psychologist, noted
11 Plaintiff’s generalized anxiety disorder as of March 2006 (Tr. 246-262). Dr. Schmechel
12 reported moderate limitations in Plaintiff’s ability to perform activities within a schedule,
13 maintain attendance, work in coordination with or in proximity to others, interact
14 appropriately with the public, accept instructions, and complete a normal workday and
15 workweek without psychological interruptions (Tr. 260-261). Other State Agency reviewing
16 assessments occurred in July 2006 (Tr. 245, 167).

17 Plaintiff’s care-giving activities for her sister and mother have been part-time. Plaintiff
18 testified she could not do the job of operating a child daycare in her home between October
19 2007 and January 2008 (Tr. 356). Plaintiff testified she has problems interacting with people
20 causing her in the past to leave the workplace (Tr. 360-361). She reported increased anxiety
21 when going someplace new (Tr. 362). Plaintiff’s testimony on these issues seems consistent
22 with Dr. Meyer’s and Dr. Schmechel’s findings regarding Plaintiff’s limitations.

23 In June, July and August, 2008, Dr. Weldon diagnosed Plaintiff with bipolar disorder
24 (Tr. 183, 182). In June 2008, Plaintiff reported seeing a psychologist (Tr. 183). However, the
25 record does not contain any psychological evaluations or residual functional capacity
26 assessments dated after July 2006 that take into account Plaintiff’s depression, generalized
27 anxiety disorder *and* bipolar disorder. This issue should be clarified on remand.

1 Plaintiff's claims of error regarding the ALJ's credibility determination warrant some
2 discussion for purposes of remand. Credibility determinations bear on evaluations of medical
3 evidence when an ALJ is presented with conflicting medical opinions or inconsistency
4 between a claimant's subjective complaints and diagnosed condition. See Webb v. Barnhart,
5 433 F.3d 683, 688 (9th Cir. 2005).

6 Regarding Plaintiff's argument that the ALJ erred in finding she was not taking
7 prescription pain medications, Defendant concedes this error but argues it was harmless (Doc.
8 33 at 25-26). Medical records show that Plaintiff was prescribed various pain medications,
9 e.g., (Tr. 184, 199 (Darvocet); Tr. 200 & Doc. 33 at 12 n.3 (Cymbalta); Tr. 183 (Amrix)).

10 The ALJ found that Plaintiff's testimony that her vision had not improved following
11 her cataract surgery was inconsistent with Dr. Stelting's post-surgery report showing vision
12 improvement (Tr. 194). It may be appropriate for Plaintiff to clarify this issue on remand
13 since she now claims that she misstated this point at the hearing (Doc. 31 at 25).

14 Plaintiff argues error in the ALJ's credibility finding that no physician prescribed
15 Plaintiff's 2 to 3-hour daily naps. A claimant's limitation which is self-imposed rather than
16 a medical necessity is a basis on which an ALJ may discredit a claimant's alleged limitation.
17 See Blakeman v. Astrue, 509 F.3d 878, 882 (8th Cir. 2007). Medical records show Plaintiff's
18 reports of fatigue and pain (Tr. 272-276, 203, 202, 201, 200, 199, 191, 184, 182). Plaintiff
19 testified that pain interferes with her sleep (Tr. 360). The relevant issue is whether Plaintiff's
20 condition compels her to nap as she claims and the effect on her residual functional capacity.

21 Plaintiff argues error in the ALJ's credibility finding that she obtained sporadic
22 treatment (no emergency room visits or hospitalization) for her physical symptoms and in not
23 considering Plaintiff's explanation that she could not afford certain treatment.
24 Noncompliance with medical care or unexplained or inadequately explained reasons for
25 failing to seek medical treatment may cast doubt on a claimant's subjective complaints. Fair
26 v. Bowen, 885 F.2d 597, 603 (9th Cir. 1989). However, "disability benefits may not be
27 denied because of the claimant's failure to obtain treatment he cannot obtain for lack of
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1 funds.” Gamble v. Chater, 68 F.3d 319, 321 (9th Cir. 1995). The record should be further
2 developed to clarify the available medical resources in light of Plaintiff’s financial
3 circumstances.

4 Plaintiff argues that the ALJ erred in finding that Plaintiff’s allegations of physical and
5 mental limitations were belied by her daily activities and in not considering information
6 provided by third parties. The ALJ must make specific findings relating to a claimant’s daily
7 activities and their transferability to a work setting to conclude that a claimant’s daily
8 activities warrant an adverse credibility determination. Orn, 495 F.3d at 639. In any event,
9 these and the other issues relevant to credibility should be reconsidered or clarified as
10 appropriate upon remand based on a re-examination of the medical evidence, including
11 additional medical reports and residual functional capacity information.

12 Plaintiff requests that the matter be given to a different ALJ on remand. Although
13 courts have ordered or recommended that the Commissioner assign a case to a different ALJ
14 on remand, e.g., Miles v. Chater, 84 F.3d 1397, 1401 (11th Cir. 1996), the selection of a new
15 ALJ on remand has been considered to be within the discretion of the Commissioner. Hartnett
16 v. Apfel, 21 F. Supp. 2d 217, 222 (E.D.N.Y. 1998). The Court will deny Plaintiff’s request
17 without prejudice but will recommend that the matter be assigned to a different ALJ and that
18 a decision in the case be expedited.

19 Accordingly,

20 **IT IS ORDERED** that the decision of the Commissioner denying Plaintiff’s claim for
21 benefits is vacated and the case is remanded for further proceedings consistent with this
22 Order. The Court recommends that the matter be assigned to a different ALJ on remand and
23 that a decision in the case be expedited.

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IT IS FURTHER ORDERED that the Clerk of Court shall enter Judgment accordingly.

DATED this 29th day of March, 2011.



Earl H. Carroll
United States District Judge