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NOT FOR PUBLICATION

**IN THE UNITED STATES DISTRICT COURT
FOR THE DISTRICT OF ARIZONA**

Frank Pauline, Jr.,
Plaintiff,

vs.

Chris Loos, et al.,
Defendants.

) No. CV 10-248-PHX-GMS (MHB)

) **ORDER**

Plaintiff Frank Pauline, Jr., who is confined in the Corrections Corporation of America–Saguaro Correctional Center, filed a *pro se* Complaint. On March 4, 2010, the Court directed Plaintiff to pay \$350.00 civil action filing fee or file an Application to Proceed *In Forma Pauperis* and certified account statement. On March 15, 2010, Plaintiff filed an Application to Proceed that does not include a certified six-month trust account statement. The Court will give Plaintiff 30 days to pay the fee or file a completed Application to Proceed *In Forma Pauperis* and certified six-month trust account statement.

I. Payment of Filing Fee

When bringing an action, a prisoner must either pay the \$350.00 filing fee in a lump sum or, if granted the privilege of proceeding *in forma pauperis*, pay the fee incrementally as set forth in 28 U.S.C. § 1915(b)(1). An application to proceed *in forma pauperis* requires an affidavit of indigence and a *certified* copy of the inmate’s trust account statement for the

1 six months preceding the filing of the Complaint. 28 U.S.C. § 1915(a)(2). An inmate must
2 submit statements from each institution where he was confined during the six-month period.
3 Id. To assist prisoners in meeting these requirements, the Court requires use of a form
4 application. LRCiv 3.4(a).

5 If a prisoner is granted leave to proceed *in forma pauperis*, the Court will assess an
6 initial partial filing fee of 20% of either the average monthly deposits or the average monthly
7 balance in Plaintiff's account, whichever is greater. 28 U.S.C. § 1915(b)(1). An initial
8 partial filing fee will only be collected when funds exist. 28 U.S.C. § 1915(b)(4). The
9 balance of the fee will be collected in monthly payments of 20% of the preceding month's
10 income credited to an inmate's account, each time the amount in the account exceeds \$10.00.
11 28 U.S.C. § 1915(b)(2).

12 **II. Application Fails to Comply With Statute**

13 Plaintiff has used the court-approved form, but he has not submitted a certified six-
14 month trust account statement. In light of this deficiency, Plaintiff will be permitted 30 days
15 to either pay the \$350.00 filing fee or file a complete Application to Proceed *In Forma*
16 *Pauperis*.¹

17 **III. Warnings**

18 **A. Address Changes**

19 Plaintiff must file and serve a notice of a change of address in accordance with Rule
20 83.3(d) of the Local Rules of Civil Procedure. Plaintiff must not include a motion for other

21
22 ¹Before paying the filing fee or filing an Application to Proceed *In Forma Pauperis*,
23 Plaintiff should note that his claims should be filed in state court, not federal court. Federal
24 courts have limited jurisdiction, and limitations on the court's jurisdiction must neither be
25 disregarded nor evaded. Owen Equip. & Erection Co. v. Kroger, 437 U.S. 365, 374 (1978).
26 The Court is obligated to determine *sua sponte* whether it has subject matter jurisdiction. See
27 Valdez v. Allstate Ins. Co., 372 F.3d 1115, 1116 (9th Cir. 2004). See also Fed. R. Civ. P.
28 12(h)(3) (If the court determines at any time that it lacks subject-matter jurisdiction, the court
must dismiss the action.”). If Plaintiff pays the filing fee or files an Application to Proceed,
Plaintiff's Complaint will be dismissed because the Court lacks jurisdiction over Plaintiff's
claims. Plaintiff will still be obligated to pay the entire \$350.00 filing fee. If Plaintiff does
not wish to pay the filing fee for a case that will be dismissed, he should do nothing and this
action will automatically be dismissed without prejudice and a filing fee will not be assessed.

1 relief with a notice of change of address. Failure to comply may result in dismissal of this
2 action.

3 **B. Copies**

4 Plaintiff must submit an additional copy of every filing for use by the Court. See
5 LRCiv 5.4. Failure to comply may result in the filing being stricken without further notice
6 to Plaintiff.

7 **C. Possible Dismissal**

8 If Plaintiff fails to timely comply with every provision of this Order, including these
9 warnings, the Court may dismiss this action without further notice. See *Ferdik v. Bonzelet*,
10 963 F.2d 1258, 1260-61 (9th Cir. 1992) (a district court may dismiss an action for failure to
11 comply with any order of the Court).

12 **IT IS ORDERED:**

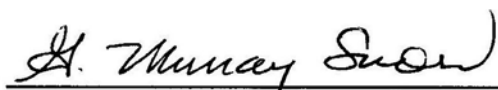
13 (1) Plaintiff's March 15, 2010 Application to Proceed *In Forma Pauperis* (Doc.
14 #4) is **denied without prejudice**.

15 (2) Within 30 days of the date this Order is filed, Plaintiff must either pay the
16 \$350.00 filing fee **or** file a completed Application to Proceed *In Forma Pauperis* and a
17 certified six-month trust account statement.

18 (3) If Plaintiff fails to either pay the \$350.00 filing fee or file a completed
19 Application to Proceed *In Forma Pauperis* within 30 days, the Clerk of Court must enter a
20 judgment of dismissal of this action without prejudice and without further notice to Plaintiff.

21 (4) The Clerk of the Court must mail Plaintiff a court-approved form for filing an
22 Application to Proceed *In Forma Pauperis* (Non-Habeas).

23 DATED this 31st day of March, 2010.

24
25 
26 _____
27 G. Murray Snow
28 United States District Judge

**Instructions for Prisoners Applying for Leave to Proceed *in Forma Pauperis*
Pursuant to 28 U.S.C. § 1915 in a Civil Action (Non-habeas) in Federal Court**

You must pay the full filing fee of \$350.00 for a civil action. If you later file an appeal, you will be obligated to pay the \$455.00 filing fee for the appeal.

If you have enough money to pay the full filing fee, you should send a cashier's check or money order payable to the Clerk of the Court with your complaint, petition, or notice of appeal.

If you do not have enough money to pay the full filing fee, you can file the action without prepaying the filing fee. However, the court will assess an initial partial filing fee. The initial partial filing fee will be the greater of 20% of the average monthly deposits or 20% of the average monthly balance in your prison or jail account for the six months immediately preceding the filing of the lawsuit. The court will order the agency that has custody of you to withdraw the initial partial filing fee from your prison or jail account as soon as funds are available and to forward the money to the court.

After the initial partial filing fee has been paid, you will owe the balance of the filing fee. Until the filing fee is paid in full, each month you will owe 20% of your preceding month's income. The agency that holds you in custody will collect that money and forward it to the court any time the amount in your account exceeds \$10.00. The balance of the filing fee may be collected even if the action is later dismissed, summary judgment is granted against you, or you fail to prevail at trial.

To file an action without prepaying the filing fee, and to proceed with an action *in forma pauperis*, you must complete the attached form and return it to the court with your complaint. You must have a prison or jail official complete the certificate on the bottom of the form and attach a certified copy of your prison or jail account statement for the last six months. If you were incarcerated in a different institution during any part of the past six months, you must attach a certificate and a certified copy of your account statement from each institution at which you were confined. If you submit an incomplete form or do not submit a prison or jail account statement with the form, your request to proceed *in forma pauperis* will be denied.

Even if some or all of the filing fee has been paid, the court is required to dismiss your action if: (1) your allegation of poverty is untrue; (2) the action is frivolous or malicious; (3) your complaint does not state a claim upon which relief can be granted; or (4) your complaint makes a claim against a defendant for money damages and that defendant is immune from liability for money damages.

If you file more than three actions or appeals which are dismissed as frivolous or malicious or for failure to state a claim on which relief can be granted, you will be prohibited from filing any other action *in forma pauperis* unless you are in imminent danger of serious physical injury.

Name and Prisoner/Booking Number

Place of Confinement

Mailing Address

City, State, Zip Code

**IN THE UNITED STATES DISTRICT COURT
FOR THE DISTRICT OF ARIZONA**

_____)	CASE NO. _____
Plaintiff,)	
)	
vs.)	APPLICATION TO PROCEED
)	<i>IN FORMA PAUPERIS</i>
_____)	BY A PRISONER
Defendant(s).)	CIVIL (NON-HABEAS)
_____)	

I, _____, declare, in support of my request to proceed in the above entitled case without prepayment of fees under 28 U.S.C. § 1915, that I am unable to pay the fees for these proceedings or to give security therefor and that I believe I am entitled to relief.

In support of this application, I answer the following questions under penalty of perjury:

- Have you ever before brought an action or appeal in a federal court while you were incarcerated or detained? Yes No If "Yes," how many have you filed? _____.
Were any of the actions or appeals dismissed because they were frivolous, malicious, or failed to state a claim upon which relief may be granted? Yes No If "Yes," how many of them? _____.
- Are you currently employed at the institution where you are confined? Yes No
If "Yes," state the amount of your pay and where you work. _____

- Do you receive any other payments from the institution where you are confined? Yes No
If "Yes," state the source and amount of the payments. _____

4. Do you have any other sources of income, savings, or assets either inside or outside of the institution where you are confined? Yes No
 If "Yes," state the sources and amounts of the income, savings, or assets. _____

I declare under penalty of perjury that the above information is true and correct.

 DATE SIGNATURE OF APPLICANT

CONSENT TO COLLECTION OF FEES FROM TRUST ACCOUNT

I, _____, hereby consent to having the designated correctional officials at this institution release to the Court my trust account information. I further consent to having the designated correctional officials at this institution withdraw from my trust account the funds required to comply with the order of this Court for the payment of filing fees in accordance with 28 U.S.C. § 1915(b).

My consent includes withdrawal from my account by correctional officials of partial initial payments to this Court equal to 20% of the greater of:

- (A) the average monthly deposits to my account for the six-month period preceding my filing of this action, or
- (B) the average monthly balance in my account for the six-month period preceding my filing of this action.

My consent also includes monthly withdrawals from my account by correctional officials of an amount equal to 20% of each month's income. Whenever the amount in my account reaches \$10.00, correctional officials will withdraw that amount and forward it to the Court until the required filing fee is paid in full. I understand that I am liable for paying the entire fee, even if my case is dismissed by the Court before the fee is fully paid.

 DATE SIGNATURE OF APPLICANT

CERTIFICATE OF CORRECTIONAL OFFICIAL
 AS TO STATUS OF APPLICANT'S TRUST ACCOUNT

I, _____, certify that as of the date applicant signed this application:
 (Printed name of official)

The applicant's trust account balance at this institution is: \$ _____
 The applicant's average monthly deposits during the prior six months is: \$ _____
 The applicant's average monthly balance during the prior six months is: \$ _____
 The attached certified account statement accurately reflects the status of the applicant's account.

 DATE AUTHORIZED SIGNATURE TITLE/ID NUMBER INSTITUTION