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6 IN THE UNITED STATES DISTRICT COURT

7 FOR THE DISTRICT OF ARIZONA

8 No. CV-12-00540-PHX-DGC

9 Timothy L. Silver,

10 Plaintiff,

11 v.

12 Michael J. Astrue,  
Commissioner of Social Security,

13 Defendant.

**ORDER**

14 Plaintiff filed an application for disability insurance benefits on October 29, 2007,  
15 claiming to have been disabled as of November 20, 2005. Tr. at 25. Plaintiff's  
16 application was denied on July 2, 2008, and, after reconsideration, on November 28,  
17 2008. *Id.* Plaintiff was granted a hearing in which he appeared, with counsel, before  
18 Administrative Law Judge ("ALJ") James E. Seiler on September 8, 2010. *Id.* The ALJ  
19 determined that Plaintiff was not disabled under the relevant provisions of the Social  
20 Security Act. *Id.* The Appeals Council denied review on January 12, 2012 (*id.* at 1-6),  
21 and Plaintiff filed this action seeking reversal of the denial and remand for an award of  
22 benefits. Doc. 16. Defendant has filed a memorandum in opposition (Doc. 17), and  
23 Plaintiff has filed a reply. Doc. 18. Neither party has requested oral argument. For the  
24 reasons that follow, the Court will vacate Defendant's decision and remand for an award  
25 of benefits.

26 **I. Standard of Review.**

27 Defendant's decision to deny benefits will be vacated "only if it is not supported  
28 by substantial evidence or is based on legal error." *Robbins v. Soc. Sec. Admin.*, 466 F.3d

1 880, 882 (9th Cir. 2006). “‘Substantial evidence’ means more than a mere scintilla, but  
2 less than a preponderance, i.e., such relevant evidence as a reasonable mind might accept  
3 as adequate to support a conclusion.” *Id.* In determining whether the decision is  
4 supported by substantial evidence, the Court must consider the record as a whole,  
5 weighing both the evidence that supports the decision and the evidence that detracts from  
6 it. *Reddick v. Charter*, 157 F.3d 715, 720 (9th Cir. 1998). If there is sufficient evidence  
7 to support the Commissioner’s determination, the Court cannot substitute its own  
8 determination. *See Young v. Sullivan*, 911 F.2d 180, 184 (9th Cir. 1990).

9 **III. Analysis.**

10 For purposes of Social Security benefits determinations, a disability is

11 the inability to do any substantial gainful activity by reason of  
12 any medically determinable physical or mental impairment  
13 which can be expected to result in death or which has lasted  
or can be expected to last for a continuous period of not less  
than 12 months.

14 20 C.F.R. § 404.1505.

15 Determining whether a claimant is disabled involves a sequential five-step  
16 evaluation process. The claimant must show (1) he is not currently engaged in  
17 substantial gainful employment, (2) he has a severe physical or mental impairment, and  
18 (3) the impairment meets or equals a listed impairment or (4) his residual functional  
19 capacity (“RFC”) precludes him from performing his past work.<sup>1</sup> If at any step the  
20 Commission determines that a claimant is or is not disabled, the analysis ends; otherwise,  
21 it proceeds to the next step. If the claimant establishes his burden through step four,  
22 the Commissioner must find the claimant disabled unless he finds that the claimant can  
23 make an adjustment to other work. The Commissioner bears the burden at step five of  
24 showing that the claimant has the RFC to perform other work that exists in substantial  
25 numbers in the national economy. *See* 20 C.F.R. § 404.1520(a)(4)(i)-(v).

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27 <sup>1</sup> RFC is the most a claimant can do with the limitations caused by his  
28 impairments. *See Rodriguez v. Bowen*, 876 F.2d 759, 762 (9th Cir. 1989); 20 C.F.R.  
§ 416.945(a); SSR 96-8p, 1996 WL 374184 (July 2, 1996).

1           The ALJ found at step one that Plaintiff had not worked since his alleged onset of  
2 disability on November 20, 2005, through the date he was last insured of December 31,  
3 2009. Tr. at 27. At step two, the ALJ found that Plaintiff suffered from the following  
4 severe impairments: viral encephalitis, fibromyalgia, headaches, an adjustment disorder  
5 with depressed mood, and a cognitive disorder. *Id.* The ALJ found at step three that  
6 none of these impairments or combination thereof met or medically equaled one of the  
7 listed impairments. *Id.* The ALJ found that Plaintiff had the RFC to perform light work  
8 with moderate limitations in performing sustained attention and concentration. Tr. at 28.  
9 The ALJ found at step four that Plaintiff would not be able to perform his past relevant  
10 work in software due to his mental limitations. *Id.* at 34. The ALJ found at step five that  
11 Plaintiff was capable of adjusting to other work that existed in significant numbers in the  
12 economy. *Id.* at 35. The ALJ therefore concluded that Plaintiff was not disabled. *Id.*

13           Plaintiff argues that the ALJ's determination was based on legal error because he  
14 failed properly to weigh Plaintiff's subjective testimony and the third-party testimony of  
15 Plaintiff's mother and failed properly to weigh medical and opinion evidence.

16           **A.     Plaintiffs' Subjective Testimony.**

17           Plaintiff testified that he suffered a brain injury due to viral encephalitis in 2000.  
18 Tr. at 47, 53. He previously had an IQ in the 180's and a photographic memory. *Id.* at  
19 46-47. Prior to his illness, he was an entrepreneur for several start-up companies and  
20 worked for a software company that developed and implemented artificial intelligence.  
21 *Id.* at 46. After his illness, Plaintiff could no longer do computer programming work, and  
22 from 2000 until 2005, he worked for indeterminate periods as a retail clerk for several  
23 stores, including Game Crazy (part-time) and Home Depot. *Id.* at 56-58. Plaintiff  
24 testified to a variety of symptoms resulting from his brain injury, depression, and  
25 fibromyalgia, including that he can no longer process math or logic; he has difficulty  
26 transferring short term memory to long-term memory; he forgets dates, such as his kids'  
27 birthdays; he drives occasionally but gets lost or doesn't know where his is; he has  
28 feelings of worthlessness and suicidal thoughts; he can't keep a regular schedule or

1 remember to take his medicines; he has migraines lasting up to a couple of days about  
2 three times a month; he has “bad brain days” when he can’t function enough to pull  
3 thoughts together; he gets mentally exhausted, suffers fatigue, and has to take naps most  
4 days and spend time alone in his room in the dark due to migraines and overstimulation if  
5 he spends time with people. *Id.* at 47-53. Plaintiff lives with his retired parents, and he  
6 testified that he relies on them for help with daily activities such as dressing, getting to  
7 doctor’s appointments, and remembering to take his medications. *Id.* at 51-52. He  
8 described his parents as having to “rais[e] an adult child again.” *Id.* at 52.

9 The ALJ concluded that Plaintiff’s statements concerning the intensity,  
10 persistence, and limiting effects of his symptoms were “not credible to the extent they are  
11 inconsistent with the above residual functional capacity assessment.” Tr. at 33. In  
12 reaching this conclusion, the ALJ evaluated Plaintiff’s testimony using the two-step  
13 analysis established by the Ninth Circuit. *See Smolen v. Chater*, 80 F.3d 1273, 1281 (9th  
14 Cir. 1996). Applying the test of *Cotton v. Bowen*, 799 F.2d 1403 (9th Cir. 1986), the ALJ  
15 first determined that Plaintiff’s impairments could reasonably produce the symptoms  
16 alleged. Tr. at 33. Given this conclusion, and because there is no evidence of  
17 malingering, the ALJ was required to present “specific, clear and convincing reasons” for  
18 finding Plaintiff not entirely credible. *Smolen*, 80 F.3d at 1281.<sup>2</sup> Plaintiff argues that the

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20 <sup>2</sup> Defendant argues that the clear and convincing standard does not apply on the  
21 basis of the Ninth Circuit’s en banc decision in *Bunnell v. Sullivan*, 947 F.2d 341, 345-46  
22 (9th Cir. 1991), in which the Court of Appeals stated that to discredit a claimant’s  
23 subjective complaints the ALJ must make findings “properly supported by the record”  
24 that are “sufficiently specific to allow a reviewing court to conclude the adjudicator  
25 rejected the claimant’s testimony on permissible grounds.” Doc. 17 at 14. Defendant  
26 argues that subsequent cases that have articulated a “clear and convincing” standard are  
27 not binding because only an en banc panel can overrule *Bunnell*. *Id.* at 13. The Court  
28 finds this argument unpersuasive. Subsequent cases have explained that an ALJ “may  
only find an applicant not credible by making specific findings as to credibility *and*  
stating clear and convincing reasons for each.” *Robbins v. Soc. Sec. Admin.*, 466 F.3d  
880, 883 (9th Cir. 2006) (emphasis added); *see also Lingenfelter*, 504 F.3d at 1036. Thus,  
the cases applying the “clear and convincing” standard in no way contradict or overturn  
*Bunnell*. Furthermore, numerous cases have applied the “clear and convincing” standard,  
and this Court is in no position to disregard them. *See, e.g., Taylor v. Comm’r of Soc. Sec.*  
*Admin.*, 659 F.3d 1228, 1234 (9th Cir. 2011); *Vasquez v. Astrue*, 572 F.3d 586, 591 (9th  
Cir. 2009); *Lingenfelter*, 504 F.3d at 1036; *Orn*, 495 F.3d at 635; *Robbins*, 466 F.3d at  
883; *Smolen*, 80 F.3d at 1281; *Doddrill v. Shalala*, 12 F.3d 915, 918 (9th Cir. 1993).

1 ALJ failed to meet this burden. Doc. 13 at 18. The Court agrees.

2 General assertions that the claimant's testimony is not credible are insufficient.  
3 *See Parra v. Astrue*, 481 F.3d 742, 750 (9th Cir.2007). The ALJ must identify “what  
4 testimony is not credible and what evidence undermines the claimant’s complaints.” *Id.*  
5 (quoting *Lester*, 81 F.3d at 834). In weighing a claimant’s credibility, the ALJ may  
6 consider some of the following factors: claimant’s reputation for truthfulness,  
7 inconsistencies either in claimant’s testimony or between his testimony and his conduct,  
8 unexplained or inadequately explained failure to seek treatment or to follow a prescribed  
9 course of treatment, claimant’s daily activities, claimant’s work record, and the  
10 compatibility of claimant’s testimony with the medical evidence. *Thomas*, 278 F.3d at  
11 958-59; *Lee v. Astrue*, 472 Fed. Appx. 553, 555 (9th Cir. 2012)

12 Although the ALJ’s opinion contains a detailed reiteration of numerous reports  
13 and opinions in the record, the ALJ makes no attempt to integrate his lengthy  
14 recapitulation of the evidence with an analysis of what does or does not support  
15 Plaintiff’s testimony.<sup>3</sup> Moreover, the ALJ’s bare assertion that he found Plaintiff’s  
16 testimony about the severity of his symptoms not credible because it was inconsistent  
17 with the RFC not only fails to satisfy the requirement that the ALJ’s reasons be “specific,  
18 clear, and convincing,” but it rests on faulty reasoning. The ALJ cannot determine the  
19 RFC and only then consider Plaintiff’s testimony – the RFC must incorporate that  
20 testimony. *See* 20 CFR § 416.945(a)(1)&(3). Courts have routinely rejected this kind of  
21 circular approach. *See Leitheiser v. Astrue*, No. CV 10-6243-SI, 2012 WL 967647 at \*9  
22 (D. Or. March 16, 2012) (“Dismissing a claimant’s credibility because it is inconsistent

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24 <sup>3</sup> Defendant points to individual pieces of evidence contained within the ALJ’s six  
25 page summary of the record that it argues properly discount Plaintiff’s testimony  
26 (Doc. 17 at 15-18), but to the extent that the ALJ believed specific facts and medical  
27 opinions supported his negative credibility determination, he was required to say so and  
28 not leave the Court to guess at his reasoning. *See Bray v. Comm’r of Soc. Sec. Admin.*,  
554 F.3d 1219, 1225 (9th Cir. 2009) (“Long-standing principles of administrative law  
require us to review the ALJ’s decision based on the reasoning and factual findings  
offered by the ALJ – not *post hoc* rationalizations that attempt to intuit what the  
adjudicator may have been thinking.”)

1 with a conclusion that must itself address the claimant’s credibility is circular reasoning  
2 and is not sustained by this court.”); *Vasquez v. Astrue*, CV11-2406-PHX-GMS, 2012  
3 WL 491977 at \*8 (D. Ariz. Feb 8, 2013) (citing *Leitheiser*); *Carlson v. Astrue*, 682  
4 F.Supp.2d 1156, 1167 (D.Or.2010) (accord). The Court finds that the ALJ’s rejection of  
5 Plaintiff’s symptom testimony without proper explanation or analysis was legal error.

6 **B. Third-Party Testimony.**

7 Plaintiff’s mother, Roberta Silver, submitted a report in which she commented on  
8 her son’s daily activities and functioning. Tr. at 141-48. Ms. Silver noted that her son  
9 helps her around the house with walking and lifting heavy things, and he plays computer  
10 games. *Id.* at 142, 145. Among his limitations, Ms. Silver noted that her son forgets to  
11 change his clothes, bathe, shave, or comb his hair. *Id.* at 142. He needs to use lists and  
12 timers to remind him to take care of personal grooming and take his medicines, but these  
13 do not always work because he forgets what the reminders are for. *Id.* at 143. Before his  
14 injury, he was very active and social, but he now forgets family and friends; needs to see  
15 pictures to remember his daughters; is afraid to go outside; and can no longer drive  
16 except in an emergency because he gets lost. *Id.* at 141, 144, 146. Ms. Silver noted that  
17 when her son has a “bad brain day” he reverts to about 3 years old, has terrible  
18 headaches, and is unable to connect ideas or complete his sentences. *Id.* at 148.

19 As with Plaintiff’s testimony, the ALJ recounts Ms. Silver’s testimony in detail,  
20 but merely concludes that her statements are “not consistent with the record.” Tr. at 34.  
21 Social Security regulations recognize that “other sources,” including family, neighbors,  
22 and friends, may have special knowledge of an individual and can provide insight into his  
23 impairments and ability to function. 20 C.F.R. § 404.1545(a)(3); SSR 06–03p, 2006 WL  
24 2329939, at \*2 (Aug. 9, 2006). Consequently, “[i]f the ALJ wishes to discount the  
25 testimony of [a] lay witness [ ], he must give reasons that are germane to [that] witness.”  
26 *Dodrill v. Shalala*, 12 F.3d 915, 919 (9th Cir.1993). The ALJ’s summary statement that  
27 he finds Ms. Silver’s statements “inconsistent with the record” fails to meet this standard.

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1           **C. Medical and Other Opinion Evidence.**

2           “The ALJ must consider all medical opinion evidence.” *Tommasetti v. Astrue*,  
3 533 F.3d 1035, 1041 (9th Cir. 2008); *see* 20 C.F.R. § 404.1527(c); SSR 96-5p, 1996 WL  
4 374183, at \*2 (July 2, 1996). The ALJ may reject the opinion of a treating or examining  
5 physician by making “findings setting forth specific legitimate reasons for doing so that  
6 are based on substantial evidence in the record.” *Thomas v. Barnhart*, 278 F.3d 947, 957  
7 (9th Cir. 2002) (citation omitted). “The ALJ can ‘meet this burden by setting out a  
8 detailed and thorough summary of the facts and conflicting clinical evidence, stating his  
9 interpretation thereof, and making findings.” *Id.* “The opinions of non-treating or non-  
10 examining physicians may also serve as substantial evidence when the opinions are  
11 consistent with independent clinical findings or other evidence in the record.” *Id.*  
12 Further, “[t]he ALJ need not accept the opinion of any physician, including a treating  
13 physician, if that opinion is brief, conclusory, and inadequately supported by clinical  
14 findings.” *Id.*

15           Plaintiff argues that the ALJ failed to consider the opinions offered by various  
16 treating physicians, including Drs. Dongmei Lui, M.D. and Roland V. Feltner, M.D., and  
17 neuropsychologists Drs. Virginia L. Connor, Ed.D., P.C., and John R. Walker III, Psy.D.  
18 Doc. 16 at 22-23.

19           Dr. Lui examined Plaintiff on April 13, 2006. Tr. at 221-23. Dr. Lui noted that  
20 Plaintiff complained of very poor short term memory, difficulty following daily routines,  
21 and chronic headaches. *Id.* at 221. Dr. Lui found that Plaintiff had “residual cognitive  
22 dysfunction” and “diffuse brain damage.” *Id.* at 222. Dr. Lui examined Plaintiff again  
23 on May 17, 2006, and noted that he “appear[ed] to be very frustrated and anxious[,]”  
24 “[wa]s stuttering with his speech[,]” and “ha[d] trouble with expressing ideas.” *Id.* at  
25 219. Dr. Lui recommended a neuropsychological evaluation and a reassessment of  
26 medications. *Id.* at 219-20. The ALJ noted that the electroencephalogram (“EEG”) and  
27 MRI that Dr. Lui had ordered between these examinations came back within normal  
28 limits, but did not otherwise cite to or comment on Dr. Lui’s findings. *Id.* at 30.

1 Dr. Feltner evaluated Plaintiff on March 16, 2006. *Id.* at 224-25. He reported that  
2 Plaintiff “continues to have significant fatigue, trouble getting awake, trouble functioning  
3 during the day as well as he would like.” *Id.* at 224. He recommended a neurology  
4 consultation and further guidance on appropriate medications. *Id.* at 225. The ALJ  
5 noted, in an apparent reference to Dr. Feltner’s report, that Plaintiff had fatigue and  
6 “probable cognitive dysfunction and depression” but “no seizures.” *Id.* at 30.

7 Neuropsychologist Virginia L. Connor examined Plaintiff on October 17 and 18,  
8 2007, at which time she administered a number of clinical tests and made a number of  
9 findings, including that Plaintiff had “cognitive disorder” and “adjustment disorder with  
10 mixed anxiety and depressed mood – chronic.” Tr. at 284-88; 287. The ALJ, in an  
11 apparent reiteration of Dr. Connor’s five-page report, stated the following:

12 A neurological evaluation revealed the claimant performed in the superior  
13 range of intelligence. A loss of function was observable in the frontal lobe  
14 executive function, cognitive flexibility, problem solving and focused  
15 attention. There was lowered processing speed. Current testing did not  
16 show elevations on scales of depression or anxiety. There were some  
17 difficulties with intermittent depression. He was easily offended. His  
18 performance showed a mild impairment in sustained focused attention,  
19 working memory, divided attention and speed of processing. Fine motor  
20 coordination was impaired. The diagnoses were a cognitive disorder, NOS  
21 and an adjustment disorder with depressed mood and anxiety. There was a  
22 general assessment of functioning (GAF) of 65.

23 Tr. at 30.

24 Neuropsychologist John R. Walker examined Plaintiff on February 25, 2008.  
25 Tr. at 246-48; repeated at 264-66. Dr. Walker incorporated Dr. Connor’s findings and  
26 diagnoses and conducted his own supplemental tests. *Id.* Dr. Walker noted that these  
27 tests “suggest[ed] that Mr. Silver may have a tendency to become moody and  
28 unpredictable, particularly under stressful situations. . . . he may be easily offended, and  
complain of being misunderstood and unappreciated.” *Id.* at 247. Further, “he may show  
a tendency to complain and be pessimistic as a result of frequent bodily discomfort and  
somatic complaints.” *Id.* In addition to Dr. Connor’s diagnoses, Dr. Walker included  
“Fibromyalgia with Chronic Pain, Migraines.” *Id.* Dr. Walker opined that Plaintiff  
would need “to address a number of issues prior to attempting to resume full time

1 compensated employment” and recommended that he see a neuropsychologist “to address  
2 depression, anxiety, and adjustment to disability.” *Id.* at 248. The ALJ did not separately  
3 cite to or address Dr. Walker’s findings.

4 The ALJ stated that he accepted the state agency mental evaluation because it was  
5 supported by the medical evidence. *Id.* at 31. This evaluation listed Plaintiff’s  
6 impairments as “cognitive disorder” and “adjustment disorder with depressed mood and  
7 anxiety[,]” and it rated these conditions as “not severe.” *Id.* at 301; 302; 304. It also  
8 listed Plaintiff’s restrictions of daily living and difficulties maintaining social functioning  
9 as “mild” and his difficulties in maintaining concentration, persistence, or pace as  
10 “moderate.” *Tr.* at 311. Because the ALJ did not specifically comment on or provide  
11 any substantive analysis of the above-cited examining physicians’ opinions, it is difficult  
12 to tell what weight he gave to them or the extent to which he found them consistent with  
13 the above-referenced evaluation. The ALJ did note that Plaintiff’s GAF score of 65 and  
14 IQ score of 130 were in “the average range of ability.” *Id.* at 31.<sup>4</sup> These findings  
15 correlate to the findings made by Dr. Connor and incorporated by Dr. Walker. The ALJ  
16 also noted that Plaintiff had “some difficulties in focused attention[,]” “mild deficits in  
17 cognitive ability and simple motor speed[,]” and “average to high average scores in  
18 comprehension[,]” findings also consistent with – and apparently derived from – Dr.  
19 Connor’s and Dr. Walker’s reports. *Id.*

20 Plaintiff argues that the ALJ discredited pertinent evidence, such as that Plaintiff  
21 stuttered, had difficulty expressing ideas, had difficulty concentrating and “cognitive  
22 slowness” (Doc. 16 at 22), but these findings are not clearly inconsistent with an RFC  
23 assessment of mild difficulties performing daily activities and engaging in social  
24 interaction and moderate difficulties maintaining concentration, persistence, or pace. The

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26 <sup>4</sup> The GAF scale ranges from 1 to 100 and reflects a person’s overall  
27 psychological, social, and occupational functioning. *See Morgan*, 169 F.3d at 598 n.1;  
28 *Vargas v. Lambert*, 159 F.3d 1161, 1164 n.2 (9th Cir. 1998). A GAF score of 41 to 50  
indicates severe symptoms or severe difficulty in functioning and a GAF score of 51 to  
60 indicates moderate symptoms or moderate difficulty in functioning. *See id.*

1 fact that Dr. Feltner wrote a letter to the technical university where Plaintiff enrolled to  
2 explain that he would need such accommodations as audio-visual recordings of lectures  
3 and extended time and quiet to take tests due to his post-encephalitis memory and  
4 concentration deficits also does not, as Plaintiff argues, clearly conflict with the mild to  
5 moderate limitations the ALJ found in this case. *See* Doc. 16 at 22; Tr. at 291.

6 Neither does the fact that Dr. Walker opined that Plaintiff was not ready to pursue  
7 full time employment show that the ALJ rejected Dr. Walker's opinion. Dr. Walker did  
8 not find Plaintiff's assessed limitations insurmountable. He opined that if Plaintiff went  
9 back to his treating physician to review his medications and address issues of pain, he  
10 could revisit the option of returning to work, though as an employee, not as a manager of  
11 his own business – a prospect with which, Dr. Walker noted, Plaintiff agreed. Tr. at 248.  
12 Additionally, the Commissioner, not the treating physician, makes findings of disability.  
13 20 C.F.R. § 404.1527(d)(1). Thus, the ALJ was not required to accept the opinion of an  
14 examining doctor about whether Plaintiff would be able to perform full time work. *Id.* at  
15 § 404.1527(d)(3) (“We will not give any special significance to the source of an opinion  
16 on issues reserved to the Commissioner”). Finally, the ALJ found that the record was  
17 sufficient to show that Plaintiff suffered from viral encephalitis, fibromyalgia, headaches,  
18 an adjustment disorder with depressed mood, and a cognitive disorder – the same  
19 conditions Dr. Walker and the other examining physicians diagnosed – and that these  
20 conditions could reasonably produce the symptoms Plaintiff alleged. In sum, Plaintiff  
21 has not shown that the ALJ rejected any substantive findings of the examining physicians  
22 that would require him to give specific legitimate reasons for doing so.

23 Plaintiff argues that the ALJ improperly discounted the opinion of nurse  
24 practitioner Sally Lemberg, LCSW. Doc. 16 at 22-23. As noted by the ALJ, Ms.  
25 Lemberg opined on August 5, 2010 that Plaintiff had “moderately severe limitations in  
26 restriction of daily activities, responding to supervisors, work pressures and moderate  
27 limitations in performing repetitive tasks.” Tr. at 33; *see id.* at 587-88. Ms. Lemberg  
28 also noted Plaintiff's pain from headaches that “take him down totally.” *Id.* at 588. She

1 opined that Plaintiff would not be able to work consistently because “he goes down for  
2 several days” and “he cannot tolerate others.” *Id.* The ALJ stated that these conclusions  
3 “were not supported by the mental health record” and were therefore given “little  
4 weight.” Tr. at 33. He also noted that Ms. Lemberg was not a psychologist or  
5 psychiatrist. *Id.*

6 Nurse Practitioners are not an “acceptable medical source” for documenting a  
7 medical impairment under 20 C.F.R. § 404.1513(a). They are, however, considered  
8 “other sources” that the Commissioner may use to show the severity of a claimant’s  
9 impairments and how these impairments may affect his ability to work. 20 C.F.R.  
10 § 404.1513(d). “The ALJ may discount testimony from these ‘other sources’ if the ALJ  
11 gives reasons germane to each witness for doing so.” *Molina v. Astrue*, 674 F.3d 1104,  
12 1111 (9th Cir. 2012) (internal quotation marks and citations omitted).

13 The ALJ’s statement that Ms. Lemberg was not a psychologist or psychiatrist  
14 merely affirms that her testimony is not entitled to the weight accorded an “acceptable  
15 medical source.” It is not itself a reason for discrediting Ms. Lemberg’s ability to  
16 comment on the severity of Plaintiff’s impairments. Inconsistencies with Plaintiff’s  
17 mental health record would be a reason for discounting Ms. Lemberg’s opinion, but the  
18 ALJ provided no guidance beyond simply pointing to “the mental health record” as to  
19 why he found Ms. Lemberg’s opinions unsupported. As previously discussed, the  
20 opinions of Plaintiff’s examining physicians are not clearly at odds with a finding of mild  
21 to moderate mental impairments, but this does not mean that Ms. Lemberg’s findings of  
22 moderate to moderately severe impairments are wholly unsupported. Moreover, the ALJ  
23 does not address Ms. Lemberg’s opinion regarding Plaintiff’s incapacitation from  
24 headaches. In short, the ALJ failed to provide germane reasons for discounting the  
25 opinion of Ms. Lemberg.

#### 26 **IV. Remedy.**

27 The decision to remand for further development of the record or for an award  
28 benefits is within the discretion of the Court. 42 U.S.C. § 405(g); *see Harman v. Apfel*,

1 211 F.3d 1172, 1173-74 (9th Cir. 2000). This Circuit has held, however, that an action  
2 should be remanded for an award of benefits where three conditions are met: the ALJ has  
3 failed to provide legally sufficient reasons for rejecting evidence, no outstanding issue  
4 remains that must be resolved before a determination of disability can be made, and it is  
5 clear from the record that the ALJ would be required to find the claimant disabled were  
6 the rejected evidence credited as true.

7 The Court has found that the ALJ failed to give legally-sufficient reasons for not  
8 crediting Plaintiff's subjective testimony, the third-party testimony of Plaintiff's mother,  
9 and the "other source" testimony of nurse practitioner Sally Lemberg. As a result, under  
10 Ninth Circuit law, this evidence must be credited as true. *See Varney v. Secretary of*  
11 *Health and Human Services*, 859 F.2d 1396 (9th Cir. 1988) ("if grounds for [discrediting  
12 a claimant's testimony] exist, it is both reasonable and desirable to require the ALJ to  
13 articulate them in the original decision."); *Lester v. Chater*, 81 F.3d 821, 834 (9th Cir.  
14 1995) ("Where the Commissioner fails to provide adequate reasons for rejecting the  
15 opinion of a treating or examining physician, we credit that opinion 'as a matter of law.' "  
16 (citing *Hammock v. Bowen*, 879 F.2d 498, 502 (9th Cir.1989); *Harman v. Apfel*, 211 F.3d  
17 1172, 1178 (9th Cir.2000) (same); *Benecke v. Barnhart*, 379 F.3d 587 (9th Cir.2007); (  
18 "Because the ALJ failed to provide legally sufficient reasons for rejecting Benecke's  
19 testimony and her treating physicians' opinions, we credit the evidence as true.").

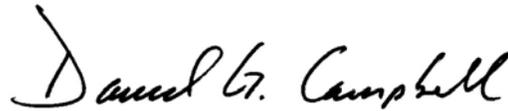
20 When vocational expert Mark Kelman was asked to consider Plaintiff's testimony  
21 about his headaches and his memory and concentration deficits, he opined that Plaintiff  
22 could not do his past relevant work or "any other work." Tr. at 60, 61. Similarly, when  
23 presented with a hypothetical involving an individual with the limitations noted in Ms.  
24 Lemberg's report, including moderate to moderately severe limitations in ability to  
25 understand, carry out, and remember instructions, moderately severe limitations in ability  
26 to respond appropriately to supervision, moderate limitations in ability to respond  
27 appropriately to coworkers, and moderately severe limitations in ability to respond to  
28 customary work pressures, the vocational expert opined that such an individual would not

1 be able to do any work. *Id.* at 62. The vocational expert also opined that someone who  
2 had to miss work three or more days a month or was unable to complete tasks in a timely  
3 manner three or more days a month would not be able to sustain regular work. *Id.* Based  
4 on these findings, it is clear that, credited as true, Plaintiff's own testimony, the report of  
5 Ms. Lemberg about his limitations, and the testimony of Plaintiff's mother regarding his  
6 avoidance of social interaction and his propensity for headaches and "bad brain days"  
7 would compel a finding of disability. Because there are no outstanding issues to be  
8 resolved, the Court will vacate the Commission's negative disability determination and  
9 remand for an award of benefits.

10 **IT IS ORDERED:**

- 11 1. Defendant's decision denying benefits is **reversed**.
- 12 2. The case is **remanded** for an award of benefits.

13 Dated this 28th day of February, 2013.

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17 David G. Campbell  
18 United States District Judge  
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