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6 IN THE UNITED STATES DISTRICT COURT  
7 FOR THE DISTRICT OF ARIZONA

8  
9 Sherry Lynn Larson,

10 Plaintiff,

11 v.

12 Carolyn W. Colvin, Acting Commissioner  
of the Social Security Administration,

13 Defendant.

No. CV-12-01844-PHX-JAT

**ORDER**

14  
15 Pending before the Court is Plaintiff's appeal from the Administrative Law  
16 Judge's denial of Plaintiff's application for disability insurance benefits under Title II of  
17 the Social Security Act.

18 **I. PROCEDURAL BACKGROUND**

19 On May 29, 2009, Plaintiff Sherry Lynn Larson filed a Title II application for a  
20 period of disability and disability insurance benefits with the Commissioner of the Social  
21 Security Administration (the "Commissioner"), alleging that her disability began on  
22 October 15, 2006. (Record Transcript ("TR") 28). Plaintiff's claim was denied initially  
23 on September 17, 2009, and upon reconsideration it was denied again on March 9, 2010.  
24 (*Id.*).

25 Following the denials, on March 25, 2010, Plaintiff filed a request for a hearing  
26 with an Administrative Law Judge ("ALJ"). (*Id.*). Plaintiff appeared and testified before  
27 the ALJ on January 4, 2011. (*Id.*). On March 11, 2011, the ALJ issued a decision finding  
28 that Plaintiff suffered from severe fibromyalgia and dysthymic disorder and was unable

1 to perform past relevant work. (TR 30; TR 36). However, the ALJ found that Plaintiff  
2 was not disabled under the Social Security Act because she retained the ability to do  
3 other work performing jobs that exist in significant numbers in the national economy.  
4 (TR 37-38).

5 Following the ALJ's denial of Plaintiff's claim, on March 28, 2011, Plaintiff  
6 requested review of the ALJ's decision with the Appeals Council, Office of Hearings and  
7 Appeals, Social Security Administration. (TR 22). On July 13, 2012, the Appeals  
8 Council denied Plaintiff's request for review stating the Council had "considered the  
9 reasons [Plaintiff] disagrees with the decision" and "this information does not provide a  
10 basis for changing the [ALJ's] decision." (TR 1-2). The Appeals Council adopted the  
11 ALJ's decision as the final decision of the Commissioner. (TR 1).

12 On August 30, 2012, Plaintiff filed her Complaint with this Court for judicial  
13 review of the Commissioner's decision denying her claim, which is the subject of this  
14 appeal. (Doc. 1). On February 19, 2013, Plaintiff filed an opening brief (the "Brief")  
15 seeking judicial review of her claim for disability insurance benefits. (Doc. 16). In the  
16 Brief, Plaintiff argues that the Court should vacate the ALJ's decision and award benefits  
17 because the ALJ's decision contains legal error as it lacks substantial justification to  
18 support the ALJ's conclusions. (*Id.* at 27).

## 19 **II. LEGAL STANDARD**

20 The Commissioner's decision to deny benefits will be overturned "only if it is not  
21 supported by substantial evidence or is based on legal error." *Magallanes v. Bowen*, 881  
22 F.2d 747, 750 (9th Cir. 1989) (quotation omitted). Substantial evidence is more than a  
23 mere scintilla, but less than a preponderance. *Reddick v. Chater*, 157 F.3d 715, 720 (9th  
24 Cir. 1998).

25 "The inquiry here is whether the record, read as a whole, yields such evidence as  
26 would allow a reasonable mind to accept the conclusions reached by the ALJ." *Gallant*  
27 *v. Heckler*, 753 F.2d 1450, 1453 (9th Cir. 1984) (citation omitted). In determining  
28 whether there is substantial evidence to support a decision, this Court considers the

1 record as a whole, weighing both the evidence that supports the ALJ's conclusions and  
2 the evidence that detracts from the ALJ's conclusions. *Reddick*, 157 F.3d at 720.  
3 "Where evidence is susceptible of more than one rational interpretation, it is the ALJ's  
4 conclusion which must be upheld; and in reaching his findings, the ALJ is entitled to  
5 draw inferences logically flowing from the evidence." *Gallant*, 753 F.2d at 1453  
6 (citations omitted). If there is sufficient evidence to support the Commissioner's  
7 determination, the Court cannot substitute its own determination. *See Young v. Sullivan*,  
8 911 F.2d 180, 184 (9th Cir. 1990). The ALJ is responsible for resolving conflicts in  
9 medical testimony, determining credibility, and resolving ambiguities. *See Andrews v.*  
10 *Shalala*, 53 F.3d 1035, 1039 (9th Cir. 1995). Thus, if on the whole record before this  
11 Court, substantial evidence supports the Commissioner's decision, this Court must affirm  
12 it. *See Hammock v. Bowen*, 879 F.2d 498, 501 (9th Cir. 1989); *see also* 42 U.S.C. §  
13 405(g).

#### 14 **A. Definition of Disability**

15 To qualify for disability benefits under the Social Security Act, a claimant must  
16 show, among other things, that she is "under a disability." 42 U.S.C. § 423(a)(1)(E).  
17 "The mere existence of an impairment is insufficient proof of a disability." *Matthews v.*  
18 *Shalala*, 10 F.3d 678, 680 (9th Cir. 1993) (citing *Sample v. Schweiker*, 694 F.2d 639,  
19 642-43 (9th Cir. 1982)). Disability has "a severity and durational requirement for  
20 recognition under the [Social Security] Act that accords with the remedial purpose of the  
21 Act." *Flaten v. Sec'y of Health & Human Svcs.*, 44 F.3d 1453, 1459 (9th Cir. 1995).

22 The Social Security Act defines "disability" as the "inability to engage in any  
23 substantial gainful activity by reason of any medically determinable physical or mental  
24 impairment which can be expected to result in death or which has lasted or can be  
25 expected to last for a continuous period of not less than 12 months." 42 U.S.C. §  
26 423(d)(1)(A). A person is "under a disability only if h[er] physical or mental impairment  
27 or impairments are of such severity that [s]he is not only unable to do h[er] previous work  
28 but cannot, considering h[er] age, education, and work experience, engage in any other

1 kind of substantial gainful work which exists in the national economy.” *Id.* at §  
2 423(d)(2)(A).

3 “A claimant bears the burden of proving that an impairment is disabling.”  
4 *Matthews*, 10 F.3d at 680 (quoting *Miller v. Heckler*, 770 F.2d 845, 849 (9th Cir. 1985)).  
5 Thus, “[t]he applicant must show that [s]he is precluded from engaging in not only h[er]  
6 ‘previous work,’ but also from performing ‘any other kind of substantial gainful work’  
7 due to such impairment.” *Id.* (quoting 42 U.S.C. § 423(d)(2)(A)).

### 8 **B. Five-Step Evaluation Process**

9 The Social Security regulations set forth a five-step sequential process for  
10 evaluating disability claims. 20 C.F.R. § 404.1520; *see also Reddick*, 157 F.3d at 721  
11 (describing the sequential process). A finding of “not disabled” at any step in the  
12 sequential process will end the ALJ’s inquiry and the claim will be denied. 20 C.F.R. §  
13 404.1520(a)(4). The claimant bears the burden of proof at the first four steps, but the  
14 burden shifts to the ALJ at the final step. *Reddick*, 157 F.3d at 721.

15 The five steps are as follows:

16 First, the ALJ determines whether the claimant is “doing substantial gainful  
17 activity.” 20 C.F.R. § 404.1520(a)(4)(i). If so, the claimant is not disabled.

18 Second, if the claimant is not gainfully employed, the ALJ determines whether the  
19 claimant has a “severe medically determinable physical or mental impairment.” 20  
20 C.F.R. § 404.1520(a)(4)(ii). A severe impairment is one that “significantly limits [the  
21 claimant’s] physical or mental ability to do basic work activities.” *Id.* at § 404.1520(c).  
22 Basic work activities means the “abilities and aptitudes to do most jobs.” *Id.* at §  
23 404.1521(b). Further, the impairment must either be expected “to result in death” or “to  
24 last for a continuous period of twelve months.” *Id.* at § 404.1509 (incorporated by  
25 reference in 20 C.F.R. § 404.1520(a)(4)(ii)). The “step-two inquiry is a de minimis  
26 screening device to dispose of groundless claims.” *Smolen v. Chater*, 80 F.3d 1273, 1290  
27 (9th Cir. 1996).

28 Third, having found a severe impairment, the ALJ next determines whether the

1 impairment “meets or medically equals the criteria of any of the listings in the Listing of  
2 Impairments in appendix 1, subpart P of 20 CFR part 404 (appendix 1).” SSR 12-2p,  
3 2012 WL 3104869 at \*6 (July 25, 2012). If so, the claimant is found disabled without  
4 considering the claimant’s age, education, and work experience. 20 C.F.R. § 404.1520(d).

5 Fibromyalgia (“FM”), however, cannot meet a listing in appendix 1 because FM is  
6 not a listed impairment. At step 3, therefore, the ALJ determines whether FM medically  
7 equals a listing (for example, listing 14.09D in the listing for inflammatory arthritis), or  
8 whether it medically equals a listing in combination with at least one other medically  
9 determinable impairment. SSR 12-2p at \*6.

10 When a claimant’s impairments does not meet or equal a listed impairment under  
11 appendix 1, the ALJ will assess a claimant’s Residual Functional Capacity (“RFC”). *Id.*  
12 The ALJ bases the RFC assessment on all relevant evidence in the case record. *Id.* The  
13 ALJ considers the effects of all of the claimant’s medically determinable impairments,  
14 including impairments that are not severe. *Id.* For a person with FM, the ALJ will  
15 consider a longitudinal record whenever possible because the symptoms of FM can wax  
16 and wane so that a person may have bad days and good days. *Id.*

17 At steps 4 and 5, the ALJ uses the RFC assessment to determine whether the  
18 claimant is capable of doing any past relevant work (step 4) or any other work that exists  
19 in significant numbers in the national economy (step 5). *Id.*; 20 C.F.R. § 404.1520(a). If  
20 the person is able to do any past relevant work, the ALJ will find that he or she is not  
21 disabled. *Id.* If the person is not able to do any past relevant work or does not have such  
22 work experience, the ALJ determines whether he or she can do any other work. *Id.* The  
23 usual vocational considerations apply (age, education, and work experience). *Id.*; 20  
24 C.F.R. § 404.1520(g)(1). If the claimant can make an adjustment to other work, then she  
25 is not disabled. If the claimant cannot perform other work, she will be found disabled.  
26 As previously noted, the ALJ has the burden of proving the claimant can perform other  
27 substantial gainful work that exists in the national economy. *Reddick*, 157 F.3d at 721.

28 With regard to steps 1-5 in this case, the ALJ found that Plaintiff: (1) had satisfied

1 the first step and had not engaged in substantial gainful activity since October 15, 2006  
2 (TR 30); (2) had fulfilled the second step and shown that she had the following severe  
3 impairments: FM and dysthymic disorder (*id.*); (3) with regard to the third step, the ALJ  
4 found that Plaintiff did not have an impairment or combination of impairments  
5 specifically listed in the regulations (TR 30-32), and therefore the ALJ determined that  
6 Plaintiff had the RFC to perform less than the full range of light work as defined by the  
7 regulations (TR 32); (4) as a result of this analysis, the ALJ found at the fourth step that  
8 Plaintiff is “unable to perform any past relevant work” as a corporate recruiter, office  
9 manager, human resources associate, traffic manager, inventory control manager,  
10 receptionist, or as an optician (TR 36). At the last step (5), however, the ALJ found that  
11 given Plaintiff’s age, education, work experience, and RFC that Plaintiff is capable of  
12 making a successful adjustment to other work and performing jobs that exist in  
13 significant numbers in the national economy. (TR 37-38). Thus, the ALJ found that  
14 Plaintiff was not disabled as defined in the Social Security Act. (TR 38).

### 15 **III. ANALYSIS**

16 Plaintiff makes three arguments for how the ALJ committed legal error and for  
17 why the Court should exercise its discretion and remand Plaintiff’s claim for a  
18 determination of disability benefits. Specifically, Plaintiff argues that (1) the ALJ erred  
19 by rejecting the assessment of Plaintiff’s treating physician (Doc. 16 at 15-20), (2) that  
20 the ALJ failed to properly weigh Plaintiff’s subjective complaints (*id.* at 20-25), and (3)  
21 that the ALJ failed to properly weigh third party testimony (*id.* at 25-27). The Court  
22 addresses each of Plaintiff’s arguments in turn.

#### 23 **A. Whether the ALJ Properly Weighed the Medical Assessment of** 24 **Plaintiff’s Treating Physician**

25 First, Plaintiff argues that the ALJ failed to properly weigh the medical source  
26 opinion evidence. Plaintiff had medical assessments performed by her treating physician  
27 and state agency physicians on both her physical and mental conditions. Based on these  
28 assessments and the record as a whole, the ALJ concluded that Plaintiff was only limited

1 to a range of sedentary to light work and not disabled as defined under the Social Security  
2 Act. (TR 35).

3 Plaintiff contends that the ALJ improperly rejected the medical assessment of her  
4 physical condition done by her treating rheumatologist, Joseph W. Nolan, M.D. (“Dr.  
5 Nolan”). Plaintiff argues that the ALJ gave too much weight to the medical assessments  
6 of state agency physicians Michael Peril, M.D. (“Dr. Peril”) and William Backlund, M.D.  
7 (“Dr. Backlund”). (*Id.* at 15-20).

8 The Court must determine whether there is substantial evidence supporting the  
9 ALJ’s decision to give more credence to the assessments done by the state agency  
10 physicians. The ALJ is responsible for resolving conflicts in the medical record. *Benton*  
11 *v. Barnhart*, 331 F.3d 1030, 1040 (9th Cir. 2003) (citing *Thomas v. Barnhart*, 278 F.3d  
12 947, 956-57 (9th Cir. 2002)).

13 Those physicians with the most significant clinical  
14 relationship with the claimant are generally entitled to more  
15 weight than those physicians with lesser relationships. *Lester*  
16 *v. Chater*, 81 F.3d 821, 830 (9th Cir. 1995); 20 C.F.R. §§  
17 404.1527(d), 416.927(d). As such, the ALJ may only reject a  
18 treating or examining physician’s uncontradicted medical  
19 opinion based on “clear and convincing reasons.” *Lester*, 81  
20 F.3d at 830–31. Where such an opinion is contradicted,  
however, it may be rejected for “specific and legitimate  
reasons that are supported by substantial evidence in the  
record.” *Id.*

21 *Carmickle v. Comm’r, Soc. Sec. Admin.*, 533 F.3d 1155, 1164 (9th Cir. 2008). As stated  
22 above, *see supra* Section II, substantial evidence is more than a mere scintilla, but less  
23 than a preponderance. *Reddick*, 157 F.3d at 720. Accordingly, because Dr. Nolan’s  
24 assessment was contradicted, the Court looks to whether the ALJ articulated specific and  
25 legitimate reasons for disregarding Dr. Nolan’s assessment, supported by such relevant  
26 evidence as a reasonable mind might accept as adequate to support a conclusion. *See id.*

27 Dr. Nolan found Plaintiff’s pain symptoms are moderately-severe and her level of  
28 fatigue was also severe. Dr. Nolan opined that Plaintiff’s pain seriously affects her

1 ability to function to the point where she is extremely impaired due to pain. Dr. Nolan  
2 also found that Plaintiff's symptoms would frequently interfere with her abilities to  
3 sustain concentration, attention, and persistence and would render her unable to sustain  
4 work on a regular and continuing basis. (TR 35).

5 The ALJ explained three specific and legitimate reasons why he "assign[ed] very  
6 low weight to the opinions of Dr. Nolan." (TR 35). First, "[t]he limitations asserted by  
7 the doctor [were] inconsistent with [Plaintiff's] treating records." (*Id.*). Second,  
8 Plaintiff's limitations were not justified by the clinical evidence, including Dr. Nolan's  
9 own progress notes indicating Plaintiff's FM is "stable." (*Id.*). Finally, Dr. Nolan's  
10 "own reports fail[ed] to reveal the type of significant clinical and laboratory  
11 abnormalities one would expect if [Plaintiff] were in fact disabled, and [Dr. Nolan] did  
12 not specifically address this weakness." (*Id.*).

13 Contrary to Dr. Nolan, the state agency physicians found based on the objective  
14 findings in the treating notes and the consultative examiners that Plaintiff "would have  
15 the capacity to perform a range of light exertional work." (TR 36). The ALJ recognized  
16 that these physicians were "non-examining" and that their opinions "do not as a general  
17 matter deserve as much weight as those of examining or treating physicians." (*Id.*).  
18 However, the ALJ explained that greater weight was given to the opinions of the state  
19 agency physicians because they were more consistent with the entire case record. *See*  
20 (TR 32, 36).

21 The ALJ considered Plaintiff's symptoms, the medical opinion evidence, the  
22 treating records, and the objective medical evidence in arriving at his conclusion. The  
23 objective evidence explicitly cited by the ALJ included the consultative examination  
24 performed by Ruben Aguilera, M.D., and the physical assessments performed by Doctors  
25 Peril and Backlund. (TR 34-36). Further, the objective evidence in the "record as a  
26 whole," aside from these assessments, showed Plaintiff was diagnosed with FM in 2006,  
27 yet there are no records of any hospitalizations or emergency room visits for the  
28 condition. (TR 34). Plaintiff also failed to follow-up with her doctor as recommended.



1 (*Id.*). Dr. Nolan's treatment record for Plaintiff is infrequent and rare. (*Id.*). The ALJ  
2 cited progress notes from Dr. Nolan that revealed Plaintiff's FM is active, but stable.  
3 (*Id.*). The ALJ also noted that Plaintiff's physical examinations showed that while she  
4 exhibited tender points of FM, there are no reports of any neurological deficits or  
5 synovitis and that Plaintiff reported that she felt her FM was about the same with no  
6 worsening or significant changes. (*Id.*). Social Security Ruling, SSR 12-2P, 2012 WL  
7 3104869 (July 25, 2012), states,

8 [a]s with any claim for disability benefits, before we find that  
9 a person with an MDI of FM is disabled, we must ensure  
10 there is sufficient objective evidence to support a finding that  
11 the person's impairment(s) so limits the person's functional  
12 abilities that it precludes him or her from performing any  
13 substantial gainful activity.

14 SSR 12-2p at \*2.

15 If objective medical evidence does not substantiate the  
16 person's statements about the intensity, persistence, and  
17 functionally limiting effects of symptoms, [the  
18 Commissioner] consider[s] all of the evidence in the case  
19 record, including the person's daily activities, medications or  
20 other treatments the person uses, or has used, to alleviate  
21 symptoms; the nature and frequency of the person's attempts  
22 to obtain medical treatment for symptoms; and statements by  
23 other people about the person's symptoms.

24 *Id.* at \*5. This is exactly the type of objective evidence that the ALJ relied on in making  
25 the determination that Plaintiff was not disabled.

26 In addition to the objective evidence throughout the record that was consistent  
27 with the state agency physician's assessments, the fact that Dr. Nolan's opinion was  
28 inconsistent with his treating records and not justified by the clinical evidence also  
influenced the ALJ's decision. (TR 35).

The Court finds the evidence cited by the ALJ was at the very least susceptible to  
more than one rational interpretation. Accordingly, the ALJ's conclusion must be  
upheld. *See Gallant*, 753 F.2d at 1453 (citations omitted).

1           **B.     Whether the ALJ Properly Weighed Plaintiff’s Symptom Testimony**

2           Next, Plaintiff argues that the ALJ failed to properly weigh her subjective  
3 complaints. (Doc. 16 at 20-25). Plaintiff argues that the ALJ must give clear and  
4 convincing reasons for rejecting her testimony and that the ALJ merely rejected her  
5 testimony in this case because the ALJ claimed it was not consistent with Plaintiff’s RFC  
6 assessment. (*Id.* at 20-21). However, to reject the subjective testimony of a claimant, the  
7 ALJ must make specific findings based on the record. The District Court of California  
8 has addressed this issue in a well-reasoned opinion and this Court has adopted that  
9 Court’s reasoning before in concluding that, to the extent there is actually any principled  
10 distinction between the two standards, the ALJ must make specific findings supported by  
11 the record to explain his credibility evaluation.<sup>1</sup>

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13 <sup>1</sup> The District Court of California set forth its reasoning as follows:

14           In *Bunnell*, the court addressed confusion regarding the  
15 standard for evaluating the credibility of subjective  
16 complaints and endorsed the standard set forth in *Cotton v.*  
17 *Bowen*, 799 F.2d 1403 (9th Cir.1986), *Varney v. Secretary of*  
18 *Health and Human Services*, 846 F.2d 581, 583–584 (9th  
19 Cir.1988) and *Gamer v. Secretary of Health and Human*  
20 *Services*, 815 F.2d 1275, 1279 (9th Cir.1987). *Bunnell*, 949  
21 F.2d at 345. The so-called “*Cotton* standard” requires the  
22 claimant to produce objective medical evidence of an  
23 underlying impairment that is reasonably likely to be the  
24 cause of the alleged pain. Once that evidence is produced, the  
25 adjudicator may not reject a claimant’s subjective complaints  
26 based solely on a lack of objective medical evidence fully  
27 corroborating the alleged severity of the pain. *Bunnell*, 949  
28 F.2d at 343, 345 (citing *Cotton*, 799 F.2d at 1407). Rather,  
the adjudicator must “specifically make findings which  
support this conclusion. These findings, properly supported  
by the record, must be sufficiently specific to allow a  
reviewing court to conclude the adjudicator rejected the  
testimony on permissible grounds and did not arbitrarily  
discredit a claimant’s testimony regarding pain.” *Bunnell*,  
949 F.2d at 345–46 (internal citation and quotation omitted).

Some subsequent decisions have stated that, unless there

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is affirmative evidence that a claimant is malingering, the ALJ must articulate “clear and convincing” reasons for rejecting subjective complaints. *See, e.g., Morgan v. Commissioner of the Social Security Administration*, 169 F.3d 595, 599 (9th Cir.1999); *Regennitter v. Commissioner of the Social Security Administration*, 166 F.3d 1294, 1296 (9th Cir.1999); *Reddick*, 157 F.3d at 722; *Light*, 119 F.3d at 792; *Lester v. Chater*, 81 F.3d 821, 834 (9th Cir.1995); *Smolen*, 80 F.3d at 1284; *Johnson v. Shalala*, 60 F.3d 1428, 1433 (9th Cir.1995); *Dodrill v. Shalala*, 12 F.3d 915, 918 (9th Cir.1993). Other decisions state that the ALJ must make specific findings based on the record, but do not use the “clear and convincing” formula. *See, e.g., Meanel v. Apfel*, 172 F.3d 1111, 1113–14 (9th Cir.1999); *Sousa v. Callahan*, 143 F.3d 1240, 1244 (9th Cir.1998); *Chavez v. Department of Health and Human Services*, 103 F.3d 849, 853 (9th Cir.1996); *Byrnes v. Shalala*, 60 F.3d 639, 641–42 (9th Cir.1995); *Moncada*, 60 F.3d at 524; *Orteza v. Shalala*, 50 F.3d 748, 749–50 (9th Cir.1995) (per curiam); *Flaten v. Secretary of Health and Human Services*, 44 F.3d 1453, 1464 (9th Cir.1995).

The “clear and convincing” language appears to have been derived from *Swenson v. Sullivan*, 876 F.2d 683 (9th Cir.1989), which states that “[t]he Secretary’s reasons for rejecting excess symptom testimony must be clear and convincing if medical evidence establishes an objective basis for some degree of the symptom and no evidence affirmatively suggests that the claimant was malingering.” *Swenson*, 876 F.2d at 687 (citing *Gallant v. Heckler*, 753 F.2d 1450, 1455 (9th Cir.1984)). In *Gallant*, however, the court did not hold, or even affirmatively state, that an ALJ is required to provide “clear and convincing” reasons for rejecting excess pain testimony whenever there is no evidence of malingering. Instead, the court merely observed that no witness had testified that the claimant was malingering, that “[n]o clear and convincing reasons were provided by the ALJ” for his rejection of the claimant’s testimony, and that the evidence relied on by the ALJ for his credibility evaluation was “insubstantial.” *Gallant*, 753 F.2d at 1455, 1456.

*Bunnell* did not cite either *Gallant* or *Swenson*, and neither

1           Turning to the ALJ’s decision in this case, the Court finds the ALJ did in fact  
2 make specific findings supported by the record in explaining why he disregarded  
3 Plaintiff’s subjective complaints. First, the ALJ found Plaintiff’s subjective complaints  
4 were not supported by the medical evidence. (TR 34). While an ALJ may not reject a  
5 claimant’s subjective complaints based solely on lack of objective medical evidence to  
6 fully corroborate the alleged severity of pain, *see Rollins*, 261 F.3d at 856-57; *Fair*, 885  
7 F.2d at 602, the lack of objective medical evidence supporting the claimant’s claims may  
8 support the ALJ’s finding that the claimant is not credible. *See Batson v. Comm’r of the*  
9 *Soc. Sec. Admin.*, 359 F.3d 1190, 1197 (9th Cir. 2003). While Plaintiff was diagnosed  
10 with FM in 2006, there are no records of hospitalization or emergency room visits due to  
11 the condition. (TR 34). Progress notes from her treating physician show Plaintiff’s FM  
12 is active, but stable. (*Id.*). While her physical examinations show she does exhibit tender  
13 points of FM, there are no reports of any neurological deficits or synovitis. (*Id.*).  
14 Further, Plaintiff also reported that she feels her FM is about the same, with no worsening  
15 or significant changes. (*Id.*).

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16           *Bunnell* nor the cases it did cite with approval (that is, *Cotton*,  
17 *Varney*, and *Gamer*) use the “clear and convincing” formula.  
18 It thus appears that the “clear and convincing” standard is an  
19 unwarranted elaboration of the substantial evidence standard  
20 of review, and that it was not part of the *Cotton* test adopted  
21 in *Bunnell*, where the en banc court attempted to clarify the  
22 law. Any difference between the standards may be more  
23 apparent than real. There does not appear to be any  
24 principled distinction between the two standards as they have  
25 been applied. To the extent that there is or may be a conflict,  
26 however, *Bunnell* must control since it was an en banc  
27 decision. Accordingly, this Court will adhere to *Bunnell*’s  
28 requirement that the ALJ make “specific findings” supported  
by the record to explain his credibility evaluation, rather than  
imposing the arguably more exacting “clear and convincing”  
requirement suggested by *Morgan* and its predecessors.

*Ballard v. Apfel*, No. CV 99-2195-AJW, 2000 WL 1899797, at \*2 (C.D. Cal. Dec. 19,  
2000).

1           Second, the ALJ also found Plaintiff was noncompliant with treatment  
2 recommendations. (TR 34). “[U]nexplained, or inadequately explained, failure to seek  
3 treatment or follow a prescribed course of treatment” is a relevant factor in assessing  
4 credibility of pain testimony. *Bunnell v. Sullivan*, 947 F.2d 341, 346 (9th Cir.1991); *see*  
5 *also Meanal v. Apfel*, 172 F.3d 1111, 1114 (9th Cir. 1999) (ALJ may consider Social  
6 Security disability claimant’s failure to follow treatment advice as a factor in assessing  
7 Social Security disability claimant’s credibility). After being diagnosed with FM, Dr.  
8 Nolan recommended that Plaintiff follow-up with him in six months. (TR 34). Plaintiff  
9 waited a year for one follow-up and fifteen months for another follow-up evaluation.  
10 (*Id.*).

11           Third, the ALJ found Plaintiff’s daily activities undermined her subjective  
12 complaints (TR 33). *See Matthews*, 10 F.3d at 679-80 (Ninth Circuit Court of Appeals  
13 upheld ALJ’s rejection of claimant’s subjective complaints where ALJ found claimant’s  
14 performance of daily activities like housecleaning, light gardening, and shopping  
15 undermined claimant’s assertion of disabling pain.). The ALJ noted that Plaintiff “is  
16 independent in self-care and hygiene, prepares simple meals, drives, does light household  
17 chores, such as laundry, washes dishes, surfs the internet, uses the computer for e-mail to  
18 chat with family, goes to the grocery shopping, and reads. Her hobbies also include  
19 playing video games and watching television.” (TR 33). The ALJ explained that  
20 Plaintiff’s subjective allegations of disabling pain were undermined by her ability to  
21 spend a substantial part of the day engaged in activities involving the performance of  
22 various physical and mental functions. (*Id.*).

23           Fourth, the record also contained evidence of exaggeration. In weighing  
24 credibility, the ALJ may consider evidence that a claimant exaggerated her symptoms  
25 when evaluating the claimant’s subjective complaints of pain. *See Hall v. Astrue*, No.  
26 CV 12-3494 JC, 2012 WL 3779080, at \*4 (C.D. Cal. Aug. 31, 2012); *Jones v. Callahan*,  
27 122 F.2d 1148, 1152 (8th Cir. 1997). As the ALJ expressly noted in his decision,  
28 Plaintiff’s “activities suggest that the she has better physical and mental capacities than

1 she has stated in testimony and written statements, as her impairments do not appear to  
2 significantly limit her daily functional abilities.” (TR 33).

3 Fifth, as the ALJ noted *(id.)*, medications were effective. “Impairments that can  
4 be controlled effectively with medication are not disabling for the purpose of determining  
5 eligibility for [disability] benefits.” *Warre v. Comm’r of Soc. Sec. Admin.*, 439 F.3d  
6 1001, 1006 (9th Cir. 2006) (citing *Brown v. Barnhart*, 390 F.3d 535, 540 (8th Cir. 2004));  
7 *Lovelace v. Bowen*, 813 F.2d 55, 59 (5th Cir. 1987); *Odle v. Heckler*, 707 F.2d 439, 440  
8 (9th Cir. 1983) (affirming a denial of benefits and noting that the claimant’s impairments  
9 were responsive to medication)).

10 The Court finds the ALJ’s credibility finding was a “reasonable interpretation” of  
11 the evidence and was supported by substantial evidence in the record, accordingly, “it is  
12 not [the Court’s] role to second-guess it.” *Rollins*, 261 F.3d at 857 (citing *Fair*, 885 F.2d  
13 at 604). Therefore, the ALJ did not err in rejecting Plaintiff’s subjective complaints.

14 **C. Whether the ALJ Properly Weighed Third Party Testimony**

15 Finally, Plaintiff argues that he ALJ failed to properly weigh the testimony of  
16 Plaintiff’s spouse. (Doc. 16 at 25-27). When an ALJ discounts the testimony of lay  
17 witnesses, “he or she must give reasons that are germane to each witness.” *Valentine v.*  
18 *Comm’r Soc. Sec. Admin.*, 574 F.3d 685, 694 (9th Cir. 2009) (quoting *Dodrill v. Shalala*,  
19 12 F.3d 915, 919 (9th Cir. 1993)).

20 The ALJ explained that Plaintiff’s spouse’s testimony (TR 153-160) was primarily  
21 rejected because it was similar to Plaintiff’s subjective complaints. (TR 36). The  
22 limitations Plaintiff’s spouse reported were not credible for the same reasons that  
23 Plaintiff’s testimony was not credible—the testimony was inconsistent with the  
24 “preponderance of the opinions and observations by medical doctors in this case.” (*Id.*).

25 In *Valentine*, the Ninth Circuit Court of Appeals found that the ALJ gave germane  
26 reasons for discounting the testimony of a claimant’s spouse by rejecting the spouse’s lay  
27 testimony for the same reasons the ALJ rejected the claimant’s own subjective  
28 complaints. The Court of Appeals explicitly made this finding because the spouse’s

1 testimony was similar to the testimony given by the claimant. 574 F.3d at 693-94. The  
2 Court of Appeals explained that because the ALJ provided valid reasons for rejecting  
3 claimant's own subjective complaints, and "[the spouse's] testimony was similar to such  
4 complaints, it follows that the ALJ also gave germane reasons for rejecting the spouse's  
5 testimony" by rejecting that testimony for the same reasons. *Id.* at 694.

6 In this case, as discussed above, *see supra* Section II.B, the ALJ gave valid  
7 reasons for rejecting Plaintiff's own subjective complaints and the ALJ referenced those  
8 reasons as the basis for also rejecting Plaintiff's spouse's testimony. *See* (TR 36).  
9 Therefore, the ALJ gave germane reasons for rejecting the lay statements of Plaintiff's  
10 spouse and did not err in how he rejected that testimony.

11 **IV. CONCLUSION**

12 Accordingly, the ALJ did not err in finding that Plaintiff was not disabled within  
13 the meaning the Social Security Act.


14 Based on the foregoing,

15 **IT IS ORDERED** that the decision of the Administrative Law Judge is  
16 **AFFIRMED.**

17 **IT IS FURTHER ORDERED** that the Clerk of the Court shall enter judgment  
18 accordingly. The judgment will serve as the mandate of this Court.

19 Dated this 24th day of June, 2013.

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James A. Teilborg  
Senior United States District Judge