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6 **IN THE UNITED STATES DISTRICT COURT**  
7 **FOR THE DISTRICT OF ARIZONA**  
8

9 Unknown Shaka,

No. CV 15-0050-PHX-SMM (BSB)

10 Plaintiff,

11 v.

**ORDER**

12 Charles L. Ryan, et al.,

13 Defendants.  
14

15 Plaintiff Unknown Shaka, who is confined in the Arizona State Prison Complex-  
16 Yuma, has filed a *pro se* civil rights Complaint pursuant to 42 U.S.C. § 1983 and an  
17 Application to Proceed *In Forma Pauperis* (Doc. 2). The Court will deny the deficient  
18 Application to Proceed and will give Plaintiff 30 days to pay the filing and administrative  
19 fees or file a complete Application to Proceed *In Forma Pauperis*.

20 **I. Payment of Filing Fee**

21 When bringing an action, a prisoner must either pay the \$350.00 filing fee and a  
22 \$50.00 administrative fee in a lump sum or, if granted the privilege of proceeding *in*  
23 *forma pauperis*, pay the \$350.00 filing fee incrementally as set forth in 28 U.S.C.  
24 § 1915(b)(1). An application to proceed *in forma pauperis* requires an affidavit of  
25 indigence and a *certified* copy of the inmate's trust account statement for the six months  
26 preceding the filing of the Complaint. 28 U.S.C. § 1915(a)(2). An inmate must submit  
27 statements from each institution where the inmate was confined during the six-month  
28

1 period. *Id.* To assist prisoners in meeting these requirements, the Court requires use of a  
2 form application. LRCiv 3.4.

3 If a prisoner is granted leave to proceed *in forma pauperis*, the Court will assess an  
4 initial partial filing fee of 20% of either the average monthly deposits or the average  
5 monthly balance in Plaintiff's account, whichever is greater. 28 U.S.C. § 1915(b)(1). An  
6 initial partial filing fee will only be collected when funds exist. 28 U.S.C. § 1915(b)(4).  
7 The balance of the \$ 350.00 filing fee will be collected in monthly payments of 20% of  
8 the preceding month's income credited to an inmate's account, each time the amount in  
9 the account exceeds \$10.00. 28 U.S.C. § 1915(b)(2).

## 10 **II. Application Fails to Comply With Statute**

11 Plaintiff has used the court-approved form, but he has not submitted a certified  
12 six-month trust account statement. In light of this deficiency, the Court will deny the  
13 Application to Proceed and will give Plaintiff 30 days to either pay the \$400.00 filing and  
14 administrative fees or file a complete Application to Proceed *In Forma Pauperis*.

15 The Arizona Department of Corrections (ADOC) has notified the Court that a  
16 certified trust fund account statement showing deposits and average monthly balances is  
17 available from the ADOC's Central Office. *Accordingly, Plaintiff must obtain the*  
18 *certified copy of his ADOC trust fund account statement for the six months immediately*  
19 *preceding the filing of the Complaint from the ADOC's Central Office.*

## 20 **III. Warnings**

### 21 **A. Address Changes**

22 Plaintiff must file and serve a notice of a change of address in accordance with  
23 Rule 83.3(d) of the Local Rules of Civil Procedure. Plaintiff must not include a motion  
24 for other relief with a notice of change of address. Failure to comply may result in  
25 dismissal of this action.

### 26 **B. Copies**

27 Plaintiff must submit an additional copy of every filing for use by the Court. *See*  
28 LRCiv 5.4. Failure to comply may result in the filing being stricken without further

1 notice to Plaintiff.

2 **C. Possible Dismissal**

3 If Plaintiff fails to timely comply with every provision of this Order, including  
4 these warnings, the Court may dismiss this action without further notice. *See Ferdik v.*  
5 *Bonzelet*, 963 F.2d 1258, 1260-61 (9th Cir. 1992) (a district court may dismiss an action  
6 for failure to comply with any order of the Court).

7 **IT IS ORDERED:**

8 (1) Plaintiff's Application to Proceed *In Forma Pauperis* (Doc. 2) is **denied**  
9 **without prejudice.**

10 (2) Within 30 days of the date this Order is filed, Plaintiff must either pay the  
11 \$350.00 filing fee and \$50.00 administrative fee **or** file a complete Application to  
12 Proceed *In Forma Pauperis* and a certified six-month trust account statement.

13 (3) If Plaintiff fails to either pay the \$350.00 filing fee and \$50.00  
14 administrative fee or file a complete Application to Proceed *In Forma Pauperis* within 30  
15 days, the Clerk of Court must enter a judgment of dismissal of this action without  
16 prejudice and without further notice to Plaintiff.

17 (4) The Clerk of the Court must mail Plaintiff a court-approved form for filing  
18 an Application to Proceed *In Forma Pauperis* (Non-Habeas).

19 DATED this 13th day of February, 2015.  
20  
21

22 

23 \_\_\_\_\_  
24 Stephen M. McNamee  
25 Senior United States District Judge  
26  
27  
28

**Instructions for Prisoners Applying for Leave to Proceed *in Forma Pauperis*  
Pursuant to 28 U.S.C. § 1915 in a Civil Action (Non-habeas) in Federal Court**

You must pay the \$350.00 filing fee plus the \$50.00 administrative fees for a civil action. If you later file an appeal, you will be obligated to pay the \$455.00 filing fee for the appeal.

If you have enough money to pay the full \$400.00 filing and administrative fees, you should send a cashier's check or money order payable to the Clerk of the Court with your complaint.

If you do not have enough money to pay the full \$400.00 filing and administrative fees, you can file the action without prepaying the fees. However, the court will assess an initial partial filing fee. The initial partial filing fee will be the greater of 20% of the average monthly deposits or 20% of the average monthly balance in your prison or jail account for the six months immediately preceding the filing of the lawsuit. The court will order the agency that has custody of you to withdraw the initial partial filing fee from your prison or jail account as soon as funds are available and to forward the money to the court.

After the initial partial filing fee has been paid, you will owe the balance of the \$350.00 filing fee (you will not be required to pay the \$50.00 administrative fee). Until the filing fee is paid in full, each month you will owe 20% of your preceding month's income. The agency that holds you in custody will collect that money and forward it to the court any time the amount in your account exceeds \$10.00. The balance of the filing fee may be collected even if the action is later dismissed, summary judgment is granted against you, or you fail to prevail at trial.

To file an action without prepaying the filing fee, and to proceed with an action *in forma pauperis*, you must complete the attached form and return it to the court with your complaint. You must have a prison or jail official complete the certificate on the bottom of the form and attach a certified copy of your prison or jail account statement for the last six months. If you were incarcerated in a different institution during any part of the past six months, you must attach a certificate and a certified copy of your account statement from each institution at which you were confined. If you submit an incomplete form or do not submit a prison or jail account statement with the form, your request to proceed *in forma pauperis* will be denied.

Even if some or all of the filing fee has been paid, the court is required to dismiss your action if: (1) your allegation of poverty is untrue; (2) the action is frivolous or malicious; (3) your complaint does not state a claim upon which relief can be granted; or (4) your complaint makes a claim against a defendant for money damages and that defendant is immune from liability for money damages.

If you file more than three actions or appeals which are dismissed as frivolous or malicious or for failure to state a claim on which relief can be granted, you will be prohibited from filing any other action *in forma pauperis* unless you are in imminent danger of serious physical injury.

\_\_\_\_\_  
Name and Prisoner/Booking Number

\_\_\_\_\_  
Place of Confinement

\_\_\_\_\_  
Mailing Address

\_\_\_\_\_  
City, State, Zip Code

**IN THE UNITED STATES DISTRICT COURT  
FOR THE DISTRICT OF ARIZONA**

\_\_\_\_\_, )  
Plaintiff, ) CASE NO. \_\_\_\_\_  
vs. )  
\_\_\_\_\_, )  
Defendant(s). )  
\_\_\_\_\_ )

**APPLICATION TO PROCEED  
IN FORMA PAUPERIS  
BY A PRISONER  
CIVIL (NON-HABEAS)**

I, \_\_\_\_\_, declare, in support of my request to proceed in the above entitled case without prepayment of fees under 28 U.S.C. § 1915, that I am unable to pay the fees for these proceedings or to give security therefor and that I believe I am entitled to relief.

In support of this application, I answer the following questions under penalty of perjury:

1. Have you ever before brought an action or appeal in a federal court while you were incarcerated or detained?  
 Yes  No If "Yes," how many have you filed? \_\_\_\_\_.  
Were any of the actions or appeals dismissed because they were frivolous, malicious, or failed to state a claim upon which relief may be granted?  Yes  No If "Yes," how many of them? \_\_\_\_\_.
2. Are you currently employed at the institution where you are confined?  Yes  No  
If "Yes," state the amount of your pay and where you work. \_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_
3. Do you receive any other payments from the institution where you are confined?  Yes  No  
If "Yes," state the source and amount of the payments. \_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

4. Do you have any other sources of income, savings, or assets either inside or outside of the institution where you are confined? Yes No  
If "Yes," state the sources and amounts of the income, savings, or assets. \_\_\_\_\_  
\_\_\_\_\_

I declare under penalty of perjury that the above information is true and correct.

\_\_\_\_\_  
DATE

\_\_\_\_\_  
SIGNATURE OF APPLICANT

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### CONSENT TO COLLECTION OF FEES FROM TRUST ACCOUNT

I, \_\_\_\_\_, hereby consent to having the designated correctional officials at this institution release to the Court my trust account information. I further consent to having the designated correctional officials at this institution withdraw from my trust account the funds required to comply with the order of this Court for the payment of filing fees in accordance with 28 U.S.C. § 1915(b).

My consent includes withdrawal from my account by correctional officials of partial initial payments to this Court equal to 20% of the greater of:

- (A) the average monthly deposits to my account for the six-month period preceding my filing of this action, or
- (B) the average monthly balance in my account for the six-month period preceding my filing of this action.

My consent also includes monthly withdrawals from my account by correctional officials of an amount equal to 20% of each month's income. Whenever the amount in my account reaches \$10.00, correctional officials will withdraw that amount and forward it to the Court until the required filing fee is paid in full. I understand that I am liable for paying the entire fee, even if my case is dismissed by the Court before the fee is fully paid.

\_\_\_\_\_  
DATE

\_\_\_\_\_  
SIGNATURE OF APPLICANT

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### CERTIFICATE OF CORRECTIONAL OFFICIAL AS TO STATUS OF APPLICANT'S TRUST ACCOUNT

I, \_\_\_\_\_, certify that as of the date applicant signed this application:  
(Printed name of official)

The applicant's trust account balance at this institution is: \$ \_\_\_\_\_  
The applicant's average monthly deposits during the prior six months is: \$ \_\_\_\_\_  
The applicant's average monthly balance during the prior six months is: \$ \_\_\_\_\_  
The attached certified account statement accurately reflects the status of the applicant's account.

\_\_\_\_\_  
DATE

\_\_\_\_\_  
AUTHORIZED SIGNATURE

\_\_\_\_\_  
TITLE/ID NUMBER

\_\_\_\_\_  
INSTITUTION