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6 **IN THE UNITED STATES DISTRICT COURT**  
7 **FOR THE DISTRICT OF ARIZONA**  
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9 **IN RE: BARD IVC FILTERS PRODUCTS**  
10 **LIABILITY LITIGATION**

MDL No. 2641

11 This Order Relates to:

12 Ronald Wolfe,

No. CV-16-00786-PHX-DGC

13 Plaintiff,

**ORDER**

14 v.

15 C.R. Bard, Inc., et al.,

16 Defendants.  
17

18 This case was originally filed in Ohio state court, and Defendants removed it to  
19 federal court. After removal but before consolidation in this MDL, Defendants filed a  
20 motion to sever and remand the claims against Defendant Lawrence Schmetterer, M.D.  
21 See Docs. 4, 5 in *Wolfe v. C.R. Bard, Inc.*, No. 2:16-cv-00786-DGC (N.D. Ohio Mar. 11,  
22 2016).<sup>1</sup> Plaintiff responded. See N.D. Ohio Doc. 8. Plaintiff also filed a motion to  
23 remand (N.D. Ohio Doc. 7), which Defendants opposed (Doc. 1323). No party has  
24 requested oral argument, and argument will not aid the Court's decision. See Fed. R. Civ.  
25 P. 78(b); *Partridge v. Reich*, 141 F.3d 920, 926 (9th Cir. 1998). For the following  
26 reasons, the Court will grant the motion to remand.

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28 <sup>1</sup> To avoid confusion, documents filed in the Northern District of Ohio case after  
removal but before consolidation in this MDL will be cited as "N.D. Ohio Doc.," and  
documents filed in MDL No. 2641 will be cited as "Doc."

1     **I.     Background.**

2             The allegations of Plaintiff’s complaint are taken as true for purposes of this  
3 motion. Plaintiff Ronald Wolfe is a resident of Ohio. N.D. Ohio Doc. 1-2 at 5.  
4 Defendant C.R. Bard, Inc. is a corporation organized under the laws of Delaware with its  
5 principal place of business in New Jersey. *Id.* at 7-8, ¶ 9. Defendant Bard Peripheral  
6 Vascular, Inc. is a wholly-owned subsidiary of C.R. Bard, Inc. with a principal place of  
7 business in Arizona. *Id.* at 8, ¶ 10. Defendant Lawrence Schmetterer, M.D. is a licensed  
8 physician who specializes in vascular surgery and resides in Ohio. *Id.* at 9, ¶ 12.

9             On or about March 30, 2012, Plaintiff had a Bard Eclipse Filter implanted in his  
10 inferior vena cava by Dr. Schmetterer in Youngstown, Ohio. *Id.* at 7, ¶ 8. The Eclipse  
11 Filter subsequently failed – it migrated out of position, tilted off center, penetrated the  
12 inferior vena cava, failed to protect against pulmonary emboli, and caused blood clots to  
13 develop. *Id.* The Eclipse Filter cannot be removed. *Id.* As a result, on or about  
14 March 24, 2015, Plaintiff had a Bard Meridian Filter implanted above the Eclipse Filter.  
15 *Id.* The Meridian Filter has failed in a manner similar to the Eclipse Filter. *Id.*

16             On February 1, 2016, Plaintiff filed this action against Defendants C.R. Bard, Inc.,  
17 Bard Peripheral Vascular, Inc., and Dr. Lawrence Schmetterer in Ohio’s Mahoning  
18 County Court of Common Pleas. *See id.* at 5. On March 9, 2016, C.R. Bard, Inc. and  
19 Bard Peripheral Vascular, Inc. (collectively “Bard”) removed the case to federal court in  
20 the Northern District of Ohio. *See* N.D. Ohio Doc. 1 at 1-10. On March 11, 2016, Bard  
21 moved for an order severing the claims against it from the claims against Dr.  
22 Schmetterer, and remanding the claims against Dr. Schmetterer to Ohio state court.<sup>2</sup> *See*  
23 N.D. Ohio Docs. 4-5. On March 18, 2016, Plaintiff filed a motion to remand the  
24 proceedings to Ohio state court. *See* N.D. Ohio Doc. 7. On March 21, 2016, the United  
25 States Judicial Panel on Multidistrict Litigation transferred the case for inclusion in this  
26 MDL. *See* Doc. 1156.

27 \_\_\_\_\_  
28             <sup>2</sup> Bard also requested a stay pending the resolution of its request for inclusion of  
this case in MDL No. 2641. *See* N.D. Ohio Doc. 5 at 9-14. This issue is now moot.

1     **II.     Legal Standard.**

2             A civil case brought in state court may be removed to the federal court in the  
3     district where the action is pending if the federal district court would have had original  
4     jurisdiction. 28 U.S.C. § 1441(a). Removal based on diversity jurisdiction is not proper  
5     if diversity is lacking or “if any of the parties in interest properly joined and served as  
6     defendants is a citizen of the State in which such action is brought,” 28 U.S.C.  
7     § 1441(b)(2), but the “fraudulent joinder of non-diverse defendants will not defeat  
8     removal on diversity grounds,” *Coyne v. American Tobacco Co.*, 183 F.3d 488, 493 (6th  
9     Cir. 1999) (citations omitted).<sup>3</sup> Section 1441 is to be strictly construed against removal.  
10    *See Syngenta Crop Protection, Inc. v. Henson*, 537 U.S. 28, 32 (2002); *Shamrock Oil &*  
11    *Gas Corp. v. Sheets*, 313 U.S. 100, 108-09 (1941). A defendant seeking to remove a case  
12    to federal court carries the burden of establishing diversity jurisdiction, and “[a]ll doubts  
13    as to the propriety of removal are resolved in favor of remand.” *Coyne*, 183 F.3d at 493  
14    (citation omitted); *see* 28 U.S.C. § 1447(c).

15    **III.     Analysis.**

16             **A.     Plaintiff’s Motion to Remand.**

17             Plaintiff argues that remand to Ohio state court is appropriate because: (1) there is  
18    no diversity of citizenship on the face of the complaint; (2) the Sixth Circuit has not  
19    adopted fraudulent misjoinder, and, even if it did adopt the doctrine, this would not be an  
20    appropriate case to apply it; and (3) public policy weighs in favor of remand. The Court  
21    will consider Plaintiff’s fraudulent misjoinder arguments first.

22             **1.     Fraudulent Joinder.**

23             The doctrine of fraudulent joinder provides a helpful reference point for  
24    understanding the doctrine of fraudulent misjoinder.<sup>4</sup> It is well-settled that “[f]ederal

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26             <sup>3</sup> Because an MDL transferee court applies the substantive law of the transferor  
27    forum, the Court applies the fraudulent misjoinder law of the Northern District of Ohio.  
28    *In re Zicam Cold Remedy Mktg., Sales Practices, & Prods. Liab. Litig.*, 797 F. Supp. 2d.  
29    940, 941 (D. Ariz. 2011) (citing *Ferens v. John Deere Co.*, 494 U.S. 516, 525 (1990)).

30             <sup>4</sup> In its broadest sense, the term “fraudulent joinder” encompasses three related but  
31    distinct concepts: (1) fraudulent joinder, (2) fraudulent misjoinder, and (3) outright fraud

1 courts may and should take such action as will defeat attempts to wrongfully deprive  
2 parties entitled to sue in the [f]ederal courts of the protection of their rights in those  
3 tribunals.” *Ala. Great S. Ry. Co. v. Thompson*, 200 U.S. 206, 218 (1906). Fraudulent  
4 joinder and fraudulent misjoinder have been developed to carry out this mandate.

5 In the Sixth Circuit, “fraudulent joinder of non-diverse defendants will not defeat  
6 removal on diversity grounds.” *Coyne*, 183 F.3d at 493 (citations omitted). “To prove  
7 fraudulent joinder, the removing party must present sufficient evidence that a plaintiff  
8 could not have established a cause of action against non-diverse defendants under state  
9 law.” *Id.* (citation omitted). Remand is appropriate, however, “if there is a colorable  
10 basis for predicting that a plaintiff may recover against non-diverse defendants.” *Id.* All  
11 ambiguities in the controlling state law and all doubts about the propriety of removal are  
12 resolved in favor of remand. *Id.* (citation omitted). A party’s motive for joining the non-  
13 diverse defendant is immaterial. *Jerome-Duncan, Inc. v. Auto-By-Tel, LLC*, 176 F.3d  
14 904, 907 (6th Cir. 1999) (citation omitted). “When deciding a motion to remand,  
15 including fraudulent joinder allegations, we apply a test similar to, but more lenient than,  
16 the analysis applicable to a Rule 12(b)(6) motion to dismiss.” *Casias v. Wal-Mart Stores,*  
17 *Inc.*, 695 F.3d 428, 433 (6th Cir. 2012) (citation omitted).<sup>5</sup>

## 18 2. Fraudulent Misjoinder.

19 The doctrine of fraudulent misjoinder, or procedural misjoinder, was first  
20 recognized by the Eleventh Circuit in *Tapscott v. MS Dealer Service Corp.*, 77 F.3d 1353  
21 (11th Cir. 1996), *abrogated on other grounds by Cohen v. Office Depot, Inc.*, 204 F.3d  
22 1069 (11th Cir. 2000). In that case, an Alabama resident filed a class action lawsuit in  
23 Alabama state court against four defendants, one of which resided in Alabama. The

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25 by the plaintiff in setting out factual allegations to establish or thwart jurisdiction. *See*  
26 14B Charles Alan Wright & Arthur R. Miller, *Fed. Prac. & Proc. Juris.* § 3723 (4th ed.  
27 2015). Because there have been no allegations of outright fraud in this case, the third  
concept is not relevant. Thus, to distinguish it from fraudulent misjoinder, the term  
“fraudulent joinder” will refer only to the narrower concept, and not the umbrella term.

28 <sup>5</sup> Courts in other circuits have defined this more-lenient version of the Rule  
12(b)(6) test by reference to Rule 11 of the Federal Rules of Civil Procedure. *See, e.g.,*  
*Davis v. Prentiss Props. Ltd., Inc.*, 66 F. Supp. 2d 1112, 1114-16 (C.D. Cal. 1999).

1 statutory and common law fraud claims in the original complaint and the first amended  
2 complaint involved only the sale of service contracts in connection with automobile sales.  
3 *Id.* at 1355. The second amended complaint added parties as well as statutory and  
4 common law fraud claims relating to extended service contracts in the sale of retail goods  
5 by Lowe’s, a resident of North Carolina. *Id.* Lowe’s filed a notice of removal based on  
6 diversity jurisdiction and a motion to sever the retail goods class from the automobile  
7 class. *Id.* The federal district court granted the motion to sever and remanded the  
8 automobile class to Alabama state court. *Id.* Plaintiffs appealed. *Id.* at 1355-56.

9 The Eleventh Circuit affirmed. *Id.* at 1360. The court noted that Lowe’s had been  
10 joined solely through Rule 20 of the Federal Rules of Civil Procedure, which requires:  
11 “(1) a claim for relief asserting joint, several, or alternative liability and arising from the  
12 same transaction, occurrence, or series of transactions or occurrences, and (2) a common  
13 question of law or fact.” *Id.* (citing Fed. R. Civ. P. 20(a)). The court found no  
14 allegations of joint liability or any allegation of a conspiracy between the defendants;  
15 instead, the court found two sets of claims that were wholly distinct from one another.  
16 *Id.* The court concluded that “[m]isjoinder may be just as fraudulent as the joinder of a  
17 resident defendant against whom a plaintiff has no possibility of a cause of action.” *Id.*  
18 The court concluded that there was “no real connection” between the automobile class  
19 and the retail goods class. *Id.* The court held that “the district court did not err in finding  
20 an attempt to defeat diversity jurisdiction by fraudulent joinder.” *Id.* The court qualified  
21 that “mere misjoinder” does not constitute fraudulent misjoinder; instead, it requires  
22 conduct that is “so egregious as to constitute fraudulent joinder.” *Id.*

23 *Tapscott* has not been widely followed. Three circuits have declined to adopt the  
24 doctrine when presented with the opportunity. *See In re Prempro Prods. Liab. Litig.*, 591  
25 F.3d 613, 622 (8th Cir. 2010) (“The Eighth Circuit Court of Appeals has not yet  
26 considered the fraudulent misjoinder doctrine. We make no judgment on the propriety of  
27 the doctrine in this case, and decline to either adopt or reject it at this time.”) (citation  
28 omitted); *Lafalier v. State Farm Fire & Cas. Co.*, 391 F. App’x 732, 739 (10th Cir. 2010)

1 (unpublished) (“There may be many good reasons to adopt procedural misjoinder . . . .  
2 But we need not decide that issue today, because the record before us does not show that  
3 adopting the doctrine would change the result in this case.”); *Cal. Dump Truck Owners*  
4 *Ass’n v. Cummins Engine Co., Inc.*, 24 F. App’x 727, 729 (9th Cir. 2001) (unpublished)  
5 (“The Eleventh Circuit has recognized the additional theory of egregious misjoinder,  
6 where the claims of non-diverse plaintiffs have no real connection or nexus to the claims  
7 of the diverse plaintiffs. For purposes of discussion we will assume, without deciding,  
8 that this circuit would accept the doctrines of fraudulent and egregious joinder as applied  
9 to plaintiffs.”) (citing *Tapscott*, 77 F.3d 1353). The Fifth Circuit has cited *Tapscott* with  
10 approval, while stopping short of fully adopting fraudulent misjoinder. *See In re*  
11 *Benjamin Moore & Co.*, 318 F.3d 626, 630-31 (5th Cir. 2002) (“Thus, without detracting  
12 from the force of the *Tapscott* principle that fraudulent misjoinder of plaintiffs is no more  
13 permissible than fraudulent misjoinder of defendants to circumvent diversity jurisdiction,  
14 we do not reach its application in this case.”); *see also Crockett v. R.J. Reynolds Tobacco*  
15 *Co.*, 436 F.3d 529, 533 n.5 (5th Cir. 2006). The remaining circuits, including the Sixth  
16 Circuit, have not yet addressed this issue.

17 District courts in the Sixth Circuit have come to different conclusions. *Compare*  
18 *Bird v. Carteret Mortg. Corp.*, No. 2:06-cv-00588, 2007 WL 894841, at \*3-5 (S.D. Ohio  
19 Mar. 22, 2007) (refusing to apply fraudulent misjoinder and remanding case), *with Asher*  
20 *v. Minn. Mining & Mfg. Co.*, No. CV04-00522-KKC, 2005 WL 1593941, at \*7-8 (E.D.  
21 Ky. June 30, 2005) (“The Court agrees with the Eleventh Circuit’s holding in *Tapscott*  
22 that, just as this Court may find diversity jurisdiction exists where a plaintiff has no  
23 possible cause of action against a nondiverse defendant, this Court may also find  
24 diversity jurisdiction where diversity is destroyed only through misjoinder of parties.”).  
25 The Court need not decide whether the Sixth Circuit would recognize the doctrine of  
26 fraudulent misjoinder. Even assuming the doctrine applies, the Court finds that Dr.  
27 Schmetterer was not fraudulently misjoined and that removal was therefore improper.

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1                                   **3. Analysis.**

2           In applying the doctrine of fraudulent misjoinder, the first issue is whether the  
3 federal or state standard for joinder should be used. In some cases, a state’s permissive  
4 joinder rule will be interpreted identically to Federal Rule of Civil Procedure 20, in which  
5 case the choice is not determinative. Although the language differs only slightly, Ohio’s  
6 permissive joinder rule is, in fact, broader than Rule 20. *Compare* Fed. R. Civ. P. 20,  
7 *with* Ohio R. Civ. P. 20; *see also* 1 Baldwin’s Ohio Prac. Civ. Prac. § 20:6 (2014) (“The  
8 second requirement of sentence one of [Ohio] Civ. R. 20(A) permits extremely broad  
9 joinder. The ‘transaction-occurrence’ aspect of the requirement is broader even than the  
10 analogous Federal Rule 20(a).”).<sup>6</sup>

11           Plaintiff suggests that the state joinder rule applies (N.D. Ohio Doc. 7 at 6-7),  
12 while Bard primarily cites the federal rule (Doc. 1323 at 8-11). Although there is no  
13 consensus on which standard to employ, the majority view appears to be that state joinder  
14 rules should be utilized. *See* E. Farish Percy, *Defining the Contours of the Emerging*  
15 *Fraudulent Misjoinder Doctrine*, 29 Harv. J.L. & Pub. Pol’y 569, 591 (2006) (“While a  
16 minority of district courts evaluate allegations of fraudulent misjoinder pursuant to the  
17 federal joinder rule, most evaluate such allegations by reference to the state joinder  
18 rule.”). This makes sense. For purposes of fraudulent misjoinder, the Court must  
19 determine whether a diversity-defeating party was properly joined while the case resided  
20 in state court and was governed by state procedural rules. If the party was properly  
21 joined there, complete diversity of citizenship is lacking here, and the Court cannot  
22 exercise subject matter jurisdiction. Federal Rule of Civil Procedure 20 never applies in  
23 such a case.<sup>7</sup> This also comports with how courts in the Northern District of Ohio

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25           <sup>6</sup> Bard argues, without citation, that the same analysis would apply regardless of  
26 the rule selected because “Federal Rule of Civil Procedure 20(a) and Ohio Rule of Civil  
27 Procedure 20(a) have the same permissive joinder standard for defendants.” Doc. 1323 at  
28 9 n.5. The Court does not agree.

27           <sup>7</sup> The Supreme Court has instructed that a valid rule promulgated under the Rules  
28 Enabling Act is to be applied by the federal courts in diversity actions, *see Hanna v.*  
*Plumer*, 380 U.S. 460, 465 (1965), but this instruction applies to cases where diversity  
jurisdiction clearly exists. In this case, the Court is asked to determine whether a pre-

1 conduct fraudulent joinder analysis. *See NFC Acquisition, LLC v. Comerica Bank*, 640 F.  
2 Supp. 2d 964, 972 n.7 (N.D. Ohio 2009) (“When assessing a claim of fraudulent joinder,  
3 I rely on the Ohio Rules of Civil Procedure because even though the ultimate question is  
4 whether federal jurisdiction exists, the analysis relies on a determination of whether the  
5 defendant was a proper party to a state court action.”).

6 Under Ohio’s permissive joinder statute, “[a]ll persons may be joined in one  
7 action as defendants if there is asserted against them jointly, severally, or in the  
8 alternative, any right to relief in respect of or arising out of the same transaction,  
9 occurrence, or succession or series of transactions or occurrences.” Ohio R. Civ. P.  
10 20(a). Such a joinder is proper only “if any question or law or fact common to all  
11 defendants will arise in the action.” *Id.* Moreover, a “defendant need not be interested in  
12 . . . defending against all the relief demanded.” *Id.*

13 The Court finds instructive the case of *Geffen v. General Electric Co.*, 575 F.  
14 Supp. 2d 865 (N.D. Ohio 2008). In that case, plaintiffs alleged products liability claims  
15 against the manufacturers and sellers of injectable gadolinium-based contrast agents. *Id.*  
16 at 866-67. Plaintiffs also alleged medical malpractice claims against the physicians who  
17 administered the injections. *Id.* at 867. Defendants removed, citing the doctrine of  
18 fraudulent misjoinder. *Id.* 867-68. Defendants argued that “the medical malpractice  
19 claims asserted against the Medical Defendants [were] improperly joined with the  
20 products-liability claims against the Manufacturer Defendants, because the medical  
21 malpractice claims are factually and legally distinct from the products-liability claims.”  
22 *Id.* at 869. After surveying the fraudulent misjoinder case law, the court concluded that  
23 “[i]n the absence of controlling Sixth Circuit authority, and faced with conflicting case  
24 law even from within the Sixth Circuit, the Court finds the reasoning articulated in [cases  
25 declining to apply fraudulent misjoinder] to be more persuasive.” *Id.* at 871. The court  
26 concluded that it lacked subject matter jurisdiction and remanded the case to state court.  
27 *Id.* at 872.

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removal joinder in state court was proper and sufficient to defeat diversity jurisdiction.

1 Other district court cases are in accord. *See, e.g., Bostic v. Glaxosmithkline, LLC*,  
2 No. 15-cv-00084-ART, 2015 WL 8486181, at \*1-2 (E.D. Ky. Dec. 9, 2015) (“The  
3 Pharmaceutical Defendants argue that the Healthcare Defendants are fraudulently  
4 misjoined. . . . Moreover, even applying this questionable doctrine, the Healthcare  
5 Defendants are not fraudulently misjoined.”); *Williams v. Altman, McGuire, McClellan &*  
6 *Crum, P.S.C.*, No. 12-cv-00131-ART, 2013 WL 28378, at \*6 (E.D. Ky. Jan. 2, 2013)  
7 (“[Plaintiff] alleges that she was injured by a mesh implant that the [nondiverse]  
8 defendants placed in her and the removing defendants manufactured and marketed. None  
9 of the defendants can say that they have no real connection with the controversy.”)  
10 (quotation marks, alteration, and citation omitted); *see also Lopez v. Pfeffer*, No. CV13-  
11 03341-NC, 2013 WL 5367723, at \*4-5 (N.D. Cal. Sept. 25, 2013); *N.C. ex rel. Jones v.*  
12 *Pfizer, Inc.*, No. C-12-00531-WHA, 2012 WL 1029518, at \*1-4 (N.D. Cal. Mar. 26,  
13 2012); *Watson v. Gish*, No. C-10-03770-SBA, 2011 WL 2160924, at \*3-4 (N.D. Cal.  
14 June 1, 2011).

15 With one exception, district courts in the Sixth Circuit have rejected allegations of  
16 fraudulent misjoinder. *See Bostic*, 2015 WL 8486181, at \*1-2 (rejecting allegations of  
17 fraudulent misjoinder); *Cent. Bank v. Jerrolds*, No. 14-1163, 2015 WL 1486368, at \*2-4  
18 (W.D. Tenn. Mar. 31, 2015) (same); *Williams*, 2013 WL 28378, at \*6 (same); *Cent. Ohio*  
19 *Gaming Ventures, LLC v. Goodman*, No. 2:11-cv-00223, 2011 WL 1981185, at \*6-9  
20 (S.D. Ohio May 20, 2011) (same); *Murriel-Don Coal Co., Inc. v. Aspen Ins. UK Ltd.*, 790  
21 F. Supp. 2d 590, 599-600 (E.D. Ky. 2011) (same); *Bird*, 2007 WL 894841, at \*3-5  
22 (same); *but see Asher*, 2005 WL 1593941, at \*7-9 (finding that plaintiff fraudulently  
23 misjoined defendants).<sup>8</sup>

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25 <sup>8</sup> The Court located several other district court cases within the Sixth Circuit that  
26 applied fraudulent misjoinder, but they were applying the law of the Eleventh Circuit,  
27 which created the doctrine. *See Harper v. DePuy Orthopaedics, Inc.*, No. 1:11-dp-20522,  
28 2011 WL 3049082 (N.D. Ohio July 25, 2011); *Milner v. DePuy Orthopaedics, Inc.*, No.  
3:11-dp-20529, 2011 WL 3102320 (N.D. Ohio July 25, 2011); *Patterson v. DePuy*  
*Orthopaedics, Inc.*, No. 1:11-dp-20521, 2011 WL 3047794 (N.D. Ohio July 25, 2011);  
*Slay v. DePuy Orthopaedics, Inc.*, No. 1:11-dp-20524, 2011 WL 3052531 (N.D. Ohio  
July 25, 2011); *Taylor v. DePuy Orthopaedics, Inc.*, No. 1:22-dp-20523, 2011 WL  
3055295 (N.D. Ohio July 25, 2011).

1           The Court concludes that Plaintiff had a reasonable, non-frivolous basis for joining  
2 Dr. Schmetterer. Plaintiff's claims center on the Bard Filters implanted in his inferior  
3 vena cava. The Filters were manufactured by Bard and implanted by Dr. Schmetterer.  
4 Plaintiff asserts the following claims: (1) several strict products liability claims against  
5 Bard; (2) failure to recall or retrofit against Bard; (3) misrepresentation against Bard;  
6 (4) fraudulent misrepresentation against Bard; (5) fraudulent concealment against Bard;  
7 (6) violation of Ohio state law prohibiting consumer fraud and deceptive trade practices  
8 against Bard; and (7) medical negligence against Dr. Schmetterer. *See* N.D. Ohio Doc. 1-  
9 2 at 34-48. Although none of the claims involve both Bard and Dr. Schmetterer,  
10 Plaintiff's allegations establish a relationship between the Defendants. Plaintiff's  
11 medical negligence claim alleges that Dr. Schmetterer recommended that the Bard Filters  
12 be implanted in Plaintiff. *Id.* at 47, ¶ 215. Plaintiff alleges that Dr. Schmetterer was  
13 negligent in "failing to offer the Plaintiff other reasonable, effective, better and safe  
14 forms of treatment." *Id.* at 47-48, ¶ 216. In his fraudulent misrepresentation claim, for  
15 example, Plaintiff alleges that Bard intentionally misrepresented to Plaintiff and his  
16 healthcare providers the Filters' safety, efficacy, rate of failure, pre-market testing,  
17 approved uses, and retrievability. *Id.* at 40-41, ¶ 167. Plaintiff also alleges that Bard  
18 misrepresented the fact that its filters "have a statistically significant higher rate of failure  
19 and injury than do other comparable [inferior vena cava] filters." *Id.* at 42, ¶ 175. If this  
20 claim is proven, it undermines Plaintiff's medical negligence claim against Dr.  
21 Schmetterer; if it is not proven, it strengthens the medical negligence claim.

22           Dr. Schmetterer was properly joined under Ohio's permissive joinder statute.  
23 Plaintiff alleges alternative liability between Bard and Dr. Schmetterer arising from  
24 implantation of the Bard filters. This satisfies Ohio Rule 20, which permits joinder of  
25 "alternative" rights to relief arising from a "series of transactions or occurrences." Ohio  
26 R. Civ. P. 20(a). Plaintiff also alleges successive torts committed by Bard and Dr.  
27 Schmetterer, which also satisfies the Ohio rule. *See Williams v. Gragston*, 455 N.E.2d  
28 1075, 1077 (Ohio Ct. App. 1982) ("Successive torts, separated and unrelated in time,

1 place or source, constitute a ‘series of occurrences’ within the meaning of [Ohio R. Civ.  
2 P. 20(a)].”). The claims also involve common questions of fact, such as whether there  
3 was a design defect, whether Bard knew about the defect, whether Bard misrepresented  
4 its filters’ safety to the public, including Dr. Schmetterer, how the filters failed, and  
5 Plaintiff’s injury and damages. This satisfies the second criterion set forth in Rule 20(a).  
6 *See also Williams*, 455 N.E.2d at 1077 (finding that “causal contribution of each  
7 defendant to the plaintiff’s injuries was a question of fact common to all parties more  
8 effectively determinable in a single action before one trier of fact”).<sup>9</sup> With this claim  
9 sufficient to support the permissive joinder of Dr. Schmetterer, there is no need to  
10 analyze any other claims. Ohio R. Civ. P. 20(a) (“A plaintiff or defendant need not be  
11 interested in obtaining or defending against all the relief demanded.”).

12 This case is unlike those where fraudulent misjoinder has been applied. In  
13 *Tapscott*, for example, the claims involved violation of the same state statute, but differed  
14 in virtually every other respect. The two classes of claims had no facts in common; one  
15 involved automobile service contracts, the other retail goods service contracts; and the  
16 two classes had no parties in common. The court concluded that joining the claims  
17 against the retail goods class with those against the automobile class was done solely to  
18 prevent the retail goods class from being removed to federal court. Given Plaintiff’s  
19 compliance with Ohio Rule of Civil Procedure 20(a), the Court does not find the same  
20 situation here.<sup>10</sup>

21 Even if this Court were not completely satisfied that Dr. Schmetterer was properly

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22  
23 <sup>9</sup> Bard notes that types of evidence required to prove Plaintiff’s products liability  
24 claims will differ from that required to prove his medical negligence claim. Doc. 1323 at  
25 10-11. Bard may be correct, but this is not the proper inquiry. The right inquiry is  
whether these claims involve “*any* question of law or fact common to all defendants.”  
Ohio R. Civ. P. 20(a) (emphasis added). Plaintiff has cleared this low threshold.

26 <sup>10</sup> Bard’s Master Answer also contains a number of affirmative defenses which  
27 suggest that Dr. Schmetterer is a proper party in this case. *See* Doc. 366 at 44, ¶ 2  
28 (negligence of others as sole proximate cause); ¶ 6 (damages caused by others); 45, ¶ 11  
(others caused or contributed damages); 46, ¶ 18 (physicians acted as sophisticated  
purchasers); ¶ 19 (independent knowledge of risks by others was intervening and  
superseding cause); 47, ¶ 25 (contributory or comparative negligence); 51, ¶ 52 (failure to  
join necessary parties).

1 joined, finding fraudulent misjoinder would still be inappropriate. First, the Court could  
2 remand so that Plaintiff could amend his complaint. This would be consistent with Ohio  
3 law. *See Christ v. Konski*, 910 N.E.2d 520, 522, ¶ 14 (Ohio Ct. App. 2009) (“It is well  
4 established that motions to amend are treated with favor and deference, given the  
5 requisite liberal application of the relevant rules. Motions to amend should be denied  
6 only in extreme circumstances, such as evidence of bad faith, undue delay, or undue  
7 prejudice.”) (citing *Turner v. Cent. Local School Dist.*, 706 N.E.2d 1261, 1264 (Ohio  
8 1999)). Second, where it is unclear whether Dr. Schmetterer was properly joined,  
9 remand would still be appropriate to give effect to the strong presumption against  
10 removal, which requires that all doubts be resolved against the exercise of federal court  
11 jurisdiction. *Coyne*, 183 F.3d at 493 (citation omitted); *see* 28 U.S.C. § 1447(c).

12 Finally, the Court must consider the proper remedy. Bard asks the Court to sever  
13 and remand Plaintiff’s claims against Dr. Schmetterer under Federal Rule of Civil  
14 Procedure 21. Doc. 1323 at 4-8. Courts can sever and remand claims against non-  
15 diverse defendants when the test for permissive joinder has not been satisfied, i.e., when  
16 fraudulent misjoinder has occurred. *See Patrick Collins, Inc. v. John Does 1-21*, 286  
17 F.R.D. 319, 321 (E.D. Mich. 2012) (“If the test for permissive joinder is not satisfied, a  
18 court, in its discretion, may sever the misjoined parties, so long as no substantial right  
19 will be prejudiced by the severance.”) (quoting *Coughlin v. Rogers*, 130 F.3d 1348, 1350  
20 (9th Cir. 1997)). A court’s use of Federal Rule of Civil Procedure 21 presumes that the  
21 court has subject matter jurisdiction over the claims.

22 As Bard points out, some district courts have opted to sever and remand claims  
23 against a nondiverse party to perfect diversity jurisdiction. Doc. 1323 at 5-8 (citing  
24 *Joseph v. Baxter Int’l Inc.*, 614 F. Supp. 2d 868 (N.D. Ohio 2009); *Mayfield v. London*  
25 *Women’s Care, PLLC*, No. 15-19-DLB, 2015 WL 3440492 (E.D. Ky. May 28, 2015)).  
26 The Court cannot conclude that such an approach is proper where no fraudulent  
27 misjoinder has been found and all doubts are to be resolved against the exercise of federal  
28 court jurisdiction. *Coyne*, 183 F.3d at 493 (citation omitted); *see* 28 U.S.C. § 1447(c).

1 The proper remedy is to remand the case to the Mahoning County Court of Common  
2 Pleas.

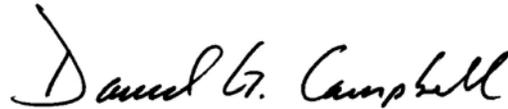
3 **B. Bard's Motion to Sever.**

4 Prior to removal, Bard filed a motion to sever and remand Plaintiff's claims  
5 against Dr. Schmetterer. *See* N.D. Ohio Docs. 4-5. Because there is no complete  
6 diversity between the parties, the Court lacks subject matter jurisdiction to resolve Bard's  
7 motion to sever.

8 **IT IS ORDERED:**

- 9 1. Plaintiff's motion to remand (N.D. Ohio Doc. 7) is **granted**.  
10 2. The Clerk of the Court shall remand this case to the Mahoning County  
11 Court of Common Pleas.

12 Dated this 23rd day of May, 2016.

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17 David G. Campbell  
18 United States District Judge  
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