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6 **IN THE UNITED STATES DISTRICT COURT**
7 **FOR THE DISTRICT OF ARIZONA**
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9 Anthony Hopkins,

10 Plaintiff,

11 v.

12 City of Apache Junction, et al.,

13 Defendants.
14

No. CV-20-00735-PHX-SMB

ORDER

15 Pending before the Court is Defendants City of Apache Junction, Timothy Gearhart,
16 Joshua Hooper, and Kenneth Eshenbaugh's Motion for Summary Judgment. (Doc. 51.)
17 Defendants also filed a Statement of Facts in Support of their Motion for Summary
18 Judgment. (Doc. 52.) Plaintiff filed a response, (Doc. 54), and a controverting statement of
19 facts in opposition. (Doc. 54.) Defendants replied. (Doc. 57.) Oral argument was scheduled
20 for September 13, 2021, but the Court now elects to rule without oral argument, finding
21 that it is unnecessary. *See* LRCiv 7.2(f). The Court has considered the parties' pleadings
22 and statements of fact and now will grant in part and deny in part Defendants' Motion for
23 Summary Judgment.

24 **I. BACKGROUND**

25 This action arose when the Plaintiff suffered injury after being apprehended for
26 suspected shoplifting by Apache Junction Police. As Plaintiff's and Defendants' version of
27 the facts differ substantially, the Court will list each parties' evidence separately. Each
28

1 parties' version of the events are as follows:¹

2 **A. Defendants' Evidence**

3 On March 30, 2019 at 12:53 A.M., City of Apache Junction Police Officers
4 Eshenbaugh, Gearhart, and Hooper were dispatched to an alleged shoplifting at Circle K
5 in Apache Junction, Arizona. (Doc. 52 ¶ 1.) The store clerk reported a male suspect grabbed
6 items without paying and proceeded southbound on foot. (*Id.* ¶ 2.) The clerk described the
7 suspect as wearing a tan shirt, faded jeans, and tan shoes. (*Id.*) Officer Eshenbaugh was
8 searching an open desert area near Flat Iron Park when he heard someone running in the
9 desert and pointed his flashlight at the noise. (*Id.* ¶ 3.) He observed a subject wearing dark
10 clothes running west in the desert, and he believed the runner was potentially the
11 shoplifting suspect. (*Id.* ¶ 4.) After dispatching his observations, Officer Eshenbaugh gave
12 chase and yelled "Police, stop," several times. (*Id.* ¶¶ 4-5.) However, the subject continued
13 to run through the desert. (*Id.* ¶ 5.) Based on this behavior, Officer Eshenbaugh believed
14 that the subject was the shoplifter and continued the chase. (*Id.* ¶ 6.) Corporal Gearhart and
15 Officer Hooper were in separate patrol vehicles and advised they were enroute to the area
16 of the chase. (*Id.* ¶ 8.) As Officer Eshenbaugh gave chase, Officer Hooper arrived near
17 where the chase was headed in his marked patrol vehicle with his emergency lights
18 activated. (*Id.* ¶ 10.) Plaintiff and Officer Eshenbaugh rounded the corner of a building
19 during the chase and Officer Eshenbaugh saw Officer Hooper in his marked patrol vehicle
20 approximately 91 feet ahead with the emergency lights activated. (*Id.* ¶ 11.) Moments later,
21 Corporal Gearhardt pulled up with his emergency lights activated as well. (*Id.*) Officer
22 Hooper observed Plaintiff round the south corner of the building and proceed to run north
23 on the sidewalk with Officer Eshenbaugh in pursuit. (*Id.* ¶ 13.) Officer Hooper faced
24 Plaintiff as he approached. (*Id.*) Officer Hooper exited his vehicle immediately and yelled
25 at the suspect to stop and get on the ground. (*Id.* ¶ 14.)

26 Defendants claim that Officer Hooper yelled "Stop!" repeatedly. (*Id.* ¶ 15.) While
27 Plaintiff slowed his pace, he continued to jog toward Officer Hooper and refused to stop.

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¹ For various reasons, there is no bodycam footage of the incident. (Doc. 51 at 5 n.4.)

1 (*Id.* ¶ 15.) Officer Hooper drew his Taser, stepped on the sidewalk, and ordered Plaintiff to
2 “stop” and “get on the ground.” (*Id.* ¶ 17.) Plaintiff closed to within 10 feet of Officer
3 Hooper, made no statements to Officer Hooper, and had to physically turn his head to the
4 left to see Officer Hooper as he got near to him. (*Id.*) Officer Hooper observed that
5 Plaintiff’s eyes were glossy and that his conduct indicated that he was not stopping. (*Id.* ¶
6 19.) Officer Gearhart saw that Plaintiff had slowed to a walk but continued towards Officer
7 Hooper. (*Id.* ¶ 20.) At this point, since Plaintiff had refused to comply, Officer Gearhart
8 decided that a take-down would be preferable to using the Taser. (*Id.* ¶ 22.) Thus, Officer
9 Gearhart took control of Plaintiff’s right arm. (*Id.* ¶ 23.) As Officer Gearhart grabbed his
10 arm, Plaintiff was in motion and dropped to his knees. (*Id.* ¶ 25.) Officer Hooper observed
11 that Plaintiff responded by tensing his right arm and resisting. (*Id.* ¶ 26.) Officer Gearhart
12 also felt that Plaintiff “stiffened up.” (*Id.* ¶ 26.) Officer Hooper took control of Plaintiff’s
13 left arm with the Taser still in his hand. (*Id.* ¶ 27.) Plaintiff felt a forearm at the base of his
14 skull that he believed was Officer Hooper’s. (*Id.* ¶ 28.) Officer Gearhart then performed an
15 arm-bar takedown of Plaintiff. (*Id.* ¶ 29.) At some point, Plaintiff’s resistance ceased and
16 Officers Gearhart, Hooper, and Plaintiff all went to the ground quickly. (*Id.* ¶ 30.) Officer
17 Eshenbaugh came up behind Plaintiff and placed his right knee in the small of Plaintiff’s
18 back. (*Id.* ¶ 31.) Officer Eshenbaugh did not apply his full weight on his knee, and his knee
19 was on Plaintiff’s back for approximately five seconds. (*Id.*) Officer Hooper then
20 handcuffed Plaintiff. (*Id.* ¶ 32.) While taking Plaintiff to the ground, Plaintiff’s mouth
21 struck the pavement causing broken front teeth and bleeding. (*Id.* ¶ 37.) He also sustained
22 cuts and abrasions to his ear, nose, and mouth, as well as a bruise to his back. (*Id.*) Plaintiff
23 admits that he consumed 6-7 beers at a bar and then attempted to jog home before he
24 encountered the officers. (*Id.* ¶ 33.)

25 **B. Plaintiff’s Evidence**

26 Plaintiff’s version of the facts are as follows: Around midnight, Plaintiff decided to
27 head home from Superstition Skies, a bar and restaurant in Apache Junction, Arizona.
28 (Doc. 54 ¶ B.) He decided to walk/jog to his nearby home. (*Id.*) On his way home walking

1 southwest on Apache Trail, he noticed a patrol car traveling in the opposite direction past
2 him. (*Id.* ¶ D.) The vehicle slowed as it approached him, sped up after it passed him, and
3 then drove off. (*Id.*) Anthony then resumed his run. (*Id.* ¶ E.) As he rounded the corner and
4 proceeded north on Plaza Drive, he saw that there was a southbound patrol car. (*Id.*) As he
5 proceeded north, the oncoming patrol car cut across the road diagonally towards the
6 sidewalk on which he was running. (*Id.*) A police officer, now known to be Officer Hooper,
7 jumped out of the patrol car, drew a weapon, and starting yelling at Plaintiff to stop. (*Id.*)
8 Plaintiff was “stunned,” but he immediately began to comply. (*Id.* ¶ F.) Since he had been
9 running, it took a few steps (not more than three) for him to bring himself to a complete
10 stop. (*Id.* ¶ F.) He brought his hands forward as not be perceived as a threat and actively
11 listened to and complied with the police commands. (*Id.*) Officer Gearhart rolled up to the
12 scene shortly after Officer Hooper and began to yell commands. (*Id.* ¶ G.) In the
13 commotion, with both officers yelling commands at the same time, it was difficult for
14 Plaintiff to know what exactly they wanted him to do. (*Id.* ¶ H.) He got down on his knees
15 as he perceived that that was what they wanted him to do. (*Id.*) While in the kneeling
16 position, Plaintiff was focused on Officer Hooper because he believed that Officer Hooper
17 was about to shoot him. (*Id.* ¶ I.) Officer Gearhart then grabbed ahold of his right arm and
18 began to pin it behind his back. (*Id.*) Then Officer Hooper holstered his weapon, grabbed
19 ahold of Anthony’s left arm, and pinned it behind his back. (*Id.*) Plaintiff was kneeling on
20 the sidewalk offering no resistance with both arms behind his back when Officer Hooper
21 applied his forearm to the back of Anthony’s head and drove his face into the concrete.
22 (*Id.*) The impact knocked him unconscious and broke several of his teeth. (*Id.*) During the
23 investigation, it was found that Plaintiff was not the suspect who shoplifted from Circle K.
24 (Doc. 52-1 at 4.)

25 **II. LEGAL STANDARD**

26 Summary judgment is appropriate when “there is no genuine dispute as to any
27 material fact and the movant is entitled to judgment as a matter of law.” Fed. R. Civ. P.
28 56(a). A material fact is any factual issue that might affect the outcome of the case under

1 the governing substantive law. *Anderson v. Liberty Lobby, Inc.*, 477 U.S. 242, 248 (1986).
2 A dispute about a fact is “genuine” if the evidence is such that a reasonable jury could
3 return a verdict for the nonmoving party. *Id.* “A party asserting that a fact cannot be or is
4 genuinely disputed must support the assertion by . . . citing to particular parts of materials
5 in the record” or by “showing that materials cited do not establish the absence or presence
6 of a genuine dispute, or that an adverse party cannot produce admissible evidence to
7 support the fact.” Fed. R. Civ. P. 56(c)(1)(A), (B). The court need only consider the cited
8 materials, but it may also consider any other materials in the record. *Id.* 56(c)(3). Summary
9 judgment may also be entered “against a party who fails to make a showing sufficient to
10 establish the existence of an element essential to that party’s case, and on which that party
11 will bear the burden of proof at trial.” *Celotex Corp. v. Catrett*, 477 U.S. 317, 322 (1986).

12 Initially, the movant bears the burden of demonstrating to the Court the basis for the
13 motion and “identifying those portions of [the record] which it believes demonstrate the
14 absence of a genuine issue of material fact.” *Id.* at 323. If the movant fails to carry its initial
15 burden, the nonmovant need not produce anything. *Nissan Fire & Marine Ins. Co. v. Fritz*
16 *Cos.*, 210 F.3d 1099, 1102–03 (9th Cir. 2000). If the movant meets its initial responsibility,
17 the burden then shifts to the nonmovant to establish the existence of a genuine issue of
18 material fact. *Id.* at 1103. The nonmovant need not establish a material issue of fact
19 conclusively in its favor, but it “must do more than simply show that there is some
20 metaphysical doubt as to the material facts.” *Matsushita Elec. Indus. Co. v. Zenith Radio*
21 *Corp.*, 475 U.S. 574, 586 (1986). The nonmovant’s bare assertions, standing alone, are
22 insufficient to create a material issue of fact and defeat a motion for summary judgment.
23 *Liberty Lobby*, 477 U.S. at 247–48. “If the evidence is merely colorable, or is not
24 significantly probative, summary judgment may be granted.” *Id.* at 249–50 (citations
25 omitted). However, in the summary judgment context, the Court believes the nonmovant’s
26 evidence, *id.* at 255, and construes all disputed facts in the light most favorable to the non-
27 moving party, *Ellison v. Robertson*, 357 F.3d 1072, 1075 (9th Cir. 2004). If “the evidence
28 yields conflicting inferences [regarding material facts], summary judgment is improper,

1 and the action must proceed to trial.” *O’Connor v. Boeing N. Am., Inc.*, 311 F.3d 1139,
2 1150 (9th Cir. 2002).

3 **III. DISCUSSION**

4 At the outset, the Court notes that Plaintiff opposes Defendants’ Motion for
5 Summary Judgment on the § 1983 claim as to Defendant Hooper and his spouse, but not
6 as to any other Defendant. (Doc. 54 at 1.) Thus, the Court will grant summary judgment
7 on the § 1983 claim for all Defendants besides Defendant Hooper and his spouse.
8 Additionally, Plaintiff opposes summary judgment for the state law battery claim against
9 Defendant Hooper, his spouse, and the City of Apache Junction, but not as to any other
10 Defendant. (*Id.* at 2.) Thus, the Court will grand summary judgment on the state law battery
11 claims to all Defendants besides Defendant Hooper, his spouse, and the City of Apache
12 Junction.

13 **A. § 1983 Claim**

14 **1. Qualified Immunity Rule of Law**

15 “The doctrine of qualified immunity protects government officials ‘from liability
16 for civil damages insofar as their conduct does not violate clearly established statutory or
17 constitutional rights of which a reasonable person would have known.” *Pearson v.*
18 *Callahan*, 555 U.S. 223, 231 (2009) (quoting *Harlow v. Fitzgerald*, 457 U.S. 800, 818
19 (1982)). “[Q]ualified immunity is ‘an immunity from suit rather than a mere defense to
20 liability. *Id.* The doctrine “shields federal and state officials from money damages unless a
21 plaintiff pleads facts showing (1) that the official violated a statutory or constitutional right,
22 and (2) that the right was ‘clearly established’ at the time of the challenged conduct.”
23 *Ashcroft v. al-Kidd*, 563 U.S. 731, 735 (2011) (citing *Harlow v. Fitzgerald*, 457 U.S. 800,
24 818 (1982)). “Courts have discretion to decide the order in which to engage these two
25 prongs.” *Tolan v. Cotton*, 572 U.S. 650, 656 (2014) (citing *Pearson*, 555 U.S. at 236).
26 “When this test is properly applied, it protects ‘all but the plainly incompetent or those who
27 knowingly violate the law.’” *Hernandez v. City of San Jose*, 897 F.3d 1125, 1132-33 (9th
28 Cir. 2018) (quoting *al-Kidd*, 563 U.S. at 743)).

1 A government official’s conduct violates clearly established law when, at the time
2 of the challenged conduct, the contours of the right are sufficiently clear such that “every
3 ‘reasonable official would [have understood] that what he is doing violates that right.” *al-*
4 *Kidd*, 563 U.S. at 741 (quoting *Anderson v. Creighton*, 483 U.S. 635, 640 (1987)). While
5 a case directly on point is not required, “existing precedent must have placed the statutory
6 or constitutional question beyond debate.” *al-Kidd*, 563 U.S. at 741 (citations omitted).
7 Where excessive force is at issue, police officers “are entitled to qualified immunity unless
8 existing precedent ‘squarely governs’ the specific facts at issue.” *Kisela v. Hughes*, 138
9 S.Ct. 1148, 1153 (2018) (citing *Mullenix v. Luna*, 136 S.Ct. 305, 308 (2015)). To be clearly
10 established, the court must identify a case “‘where an officer acting under similar
11 circumstances’” was held to have violated the constitutional right at issue. *S.B. v. Cty. of*
12 *San Diego*, 864 F.3d 1010 (9th Cir. 2017). Thus, liability will not attach unless there is “‘a
13 case where an officer acting under similar circumstances ... was held to have violated the
14 Fourth Amendment.’” *Emmons v. City of Escondido*, 921 F.3d 1172, 1174 (9th Cir. 2019)
15 (quoting *White v. Pauly*, 137 S.Ct. 548, 552 (2017)). To achieve this kind of notice, “the
16 prior precedent must be ‘controlling’—from the Ninth Circuit or Supreme Court—or
17 otherwise be embraced by a ‘consensus’ of courts outside the relevant jurisdiction.” *Sharp*
18 *v. Cty. of Orange*, 871 F.3d 901, 911 (9th Cir. 2017) (citing *Wilson v. Layne*, 526 U.S. 603,
19 617 (1999)). The plaintiff bears the burden of showing that the rights allegedly violated
20 were clearly established. *Shafer v. Cty. of Santa Barbara*, 868 F.3d 1110, 1118 (9th Cir.
21 2017) (citation omitted). Each defendant is entitled to an “individualized analysis” of
22 qualified immunity. *Cunningham v. Gates*, 229 F.3d 1271, 1289 (9th Cir. 2000).

23 The Supreme Court has repeatedly “stressed the importance of resolving immunity
24 questions at the earliest possible stage in litigation.” *Hunter v. Bryant*, 502 U.S. 224, 227
25 (1991) (citations omitted). The trend of the Supreme Court’s qualified immunity
26 jurisprudence is “toward resolving qualified immunity as a legal issue before trial
27 whenever possible.” *Morales v. Fry*, 873 F.3d 817, 823 (9th Cir. 2017). Where disputed
28 facts exist, courts can determine whether the denial of qualified immunity was appropriate

1 by assuming that the version of the material facts asserted by the non-moving party is
2 correct. *Bingue v. Prunchak*, 512 F.3d 1169, 1172-73 (9th Cir. 2008) (citing *Jeffers v.*
3 *Gomez*, 267 F.3d 895, 903 (9th Cir. 2001)).

4 **2. Excessive Force Rule of Law**

5 Claims of excessive force are analyzed under the reasonableness standard of the
6 Fourth Amendment. *Graham v. Connor*, 490 U.S. 386, 395 (1989). Objective
7 reasonableness turns on the facts and circumstances of each case. *Kingsley v. Hendrickson*,
8 576 U.S. 389, 397 (2015) (“A court must make this determination from the perspective of
9 a reasonable officer on the scene, including what the officer knew at the time, not with the
10 20/20 vision of hindsight.”). Reasonableness is assessed by weighing the type and amount
11 of force used with: (1) the severity of the crime at issue; (2) whether the suspect posed an
12 immediate threat to the safety of the officers or others; and (3) whether the suspect was
13 actively resisting arrest or attempting to evade arrest by flight.” *Miller v. Clark Cty.*, 340
14 F.3d 959, 964 (9th Cir. 2003) (citing *Graham*, 490 U.S. at 396). “Of all these factors, the
15 ‘most important’ one is ‘whether the suspect posed an immediate threat to safety of the
16 officers or others.’” *S.B. v. Cty. of San Diego*, 864 F.3d 1010, 1013 (9th Cir. 2017). ““Other
17 relevant factors include the availability of less intrusive alternatives to the force employed,
18 whether proper warnings were given and whether it should have been apparent to officers
19 that the person they used force against was emotionally disturbed.”” *S.B. v. Cty. of San*
20 *Diego*, 864 F.3d 1010, 1013 (9th Cir. 2017) (quoting *Glenn v. Washington Cty.*, 673 F.3d
21 864, 870 (9th Cir. 2011)). “The calculus of reasonableness must embody allowance for the
22 fact that police officers are often forced to make split-second judgments—in circumstances
23 that are tense, uncertain, and rapidly evolving—about the amount of force that is necessary
24 in a particular situation.” *Graham*, 490 U.S. at 396-97.

25 **3. Analysis**

26 The Court will begin by analyzing the first prong of the qualified immunity
27 analysis—whether officer Hooper violated a statutory or constitutional right of Plaintiff.
28 *See al-Kidd*, 563 U.S. at 735. The majority of dispositive facts are disputed by the parties.

1 Accepting Plaintiff's evidence, the amount of force used by Officer Hooper was not
2 objectively reasonable. First, the severity of the suspected crime was not severe. The police
3 were simply looking for a person who was suspected of shoplifting at Circle K. The suspect
4 was not known to have any weapons. Second, Plaintiff did not pose a threat to the safety
5 of the officers or others. Under his version of the facts, he was complying with officer
6 commands by kneeling and offering no resistance when Officer Hooper applied force to
7 the back of his neck caused his face to hit the concrete. Third, taking Plaintiff's evidence
8 as true, he was not resisting or attempting to evade arrest by flight. Therefore, there is a
9 dispute of material facts as to whether Officer Hooper engaged in excessive force.

10 Further, if Plaintiff's evidence is accepted as true, the excessive force engaged in by
11 Officer Hooper was a clearly established violation of constitutional law. The state of the
12 law at the time of the incident was such that it would have given Officer Hooper notice that
13 his conduct of driving Plaintiff's face into the concrete while Plaintiff was on his knees and
14 offering no resistance was a clearly established violation of constitutional law. The Ninth
15 Circuit has stated that "we need look no further than *Graham's* holding that force is only
16 justified when there is a need for force." *Blackenhorn v. City of Orange*, 485 F.3d 463, 481
17 (9th Cir. 2007) ("We conclude that this clear principle would have put a prudent officer on
18 notice that gang-tackling without first attempting a less violent means of arresting a
19 relatively calm trespass suspect—especially one who had been cooperative in the past and
20 was at the moment not actively resisting arrest—was a violation of that person's Fourth
21 Amendment rights."). The unprovoked use of force that Plaintiff claims occurred would
22 clearly violate this principle. Accordingly, the Court finds that Plaintiff is not entitled to
23 qualified immunity, and summary judgment on Plaintiff's § 1983 excessive force claim
24 must be denied due to the dispute of material facts between the parties.

25 **B. State Law Battery Claim**

26 In order to establish a battery claim, a plaintiff must prove that the defendant
27 intentionally caused a harmful or offensive contact with the plaintiff to occur. *Johnson v.*
28 *Pankratz*, 2 P.3d 1266, 1268 (Ariz. Ct. App. 2000). An Arizona statute provides a

1 justification for the use of physical force during law enforcement activities. It reads:

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3 A person is justified in threatening or using physical force against another if
4 in making or assisting in making an arrest or detention or in preventing or
5 assisting in preventing the escape after arrest or detention of that other
6 person, such person uses or threatens to use physical force and all of the
7 following exist:

- 8 1. A reasonable person would believe that such force is immediately
9 necessary to effect the arrest or detention or prevent the escape.
10 2. Such person makes known the purpose of the arrest or detention or
11 believes that it is otherwise known or cannot reasonably be made known
12 to the person being arrested or detained.
13 3. A reasonable person would believe the arrest or detention to be lawful.

14 A.R.S. § 13-409.

15 Here, as noted above, Plaintiff's version of the facts differs substantially from that
16 of Defendants'. Taking Plaintiff's evidence as true, a reasonable person would not believe
17 that the force used by Officer Hooper was immediately necessary to effect the arrest or
18 detention. Under Plaintiff's version of the events, he complied with the officers' commands
19 and was offering no resistance when Officer Hooper applied the force that sent his face
20 into the concrete. Therefore, there is a dispute of fact as to whether Arizona's statutory
21 exception to battery would apply. Accordingly, the Court denies summary judgment on
22 this Plaintiff's state law battery claim.

23 **IV. CONCLUSION**

24 Accordingly,

25 **IT IS ORDERED** granting in part and denying in part Defendants' Motion for
26 Summary Judgment (Doc. 51) as outlined above.

27 **IT IS FURTHER ORDERED** vacating the oral argument scheduled for September
28 13, 2021.

Dated this 8th day of September, 2021.



Honorable Susan M. Brnovich
United States District Judge