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IN THE UNITED STATES DISTRICT COURT  
FOR THE DISTRICT OF ARIZONA

Robert James Williams,	)	No. CV 09-8148-PCT-GMS (LOA)
	)	
Petitioner,	)	<b>REPORT AND RECOMMENDATION</b>
	)	
vs.	)	
	)	
Rick Mauldin, et al.,	)	
	)	
Respondents.	)	

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This matter arises on Petitioner’s Petition for Writ of Habeas Corpus by Person in State Custody Pursuant to 28 U.S.C. §2254. (docket # 1). Respondents filed an Answer (docket # 8) asserting that the Petition should be denied because Petitioner’s claims are either procedurally defaulted and barred from federal review, or lack merit. Petitioner has not replied and the deadline has expired.

**I. Factual and Procedural Background**

**A. Charges, Trial and Sentencing**

On or about September 22, 2006, a Prescott City police officer observed Petitioner’s vehicle cross the center line and swerve onto the right shoulder of the road several times. (Respondents’ Exh. A at 3) The police officer stopped Petitioner who was fidgety and nervous. Petitioner told the officer that his driver’s license was suspended. (Respondents’ Exh. A at 3) The police officer conducted a series of field sobriety tests and arrested Petitioner for driving under the influence of intoxicating liquor or drugs. (Respondents’ Exh. A at 3) Petitioner’s urine tested positive for methamphetamine and

1 amphetamine. (Respondents' Exh. A at 3) Based on the foregoing incident, the State of  
2 Arizona charged Petitioner by information with the following: (1) Count 1: driving or being  
3 in actual physical control of a vehicle while under the influence of intoxicating liquor or  
4 drugs and impaired to the slightest degree, and while his driver's license was suspended or  
5 revoked in violation of A.R.S. 28-1383, a class 4 felony; and (2) Count 2: driving or being in  
6 actual physical control of a vehicle with the presence of any drug defined in A.R.S. § 13-  
7 3401, or its metabolite, in his body, while his driver's license was suspended or revoked in  
8 violation of A.R.S. 28- 1383, a class 4 felony. (Respondents' Exhs. B) The State also  
9 alleged that Petitioner had two historical prior felony convictions for the crimes of  
10 possession of marijuana and possession of drug paraphernalia, both class six felonies.  
11 (Respondents' Exh. D) After a two-day trial, the jury found Petitioner guilty on both counts.  
12 (Respondents' Exhs. C, F)

13           At a subsequent hearing, the trial court<sup>1</sup> found that Petitioner had two prior  
14 felony convictions, which the court determined should be treated as one historical prior  
15 conviction for sentencing purposes because the offenses were committed on the same  
16 occasion. (Respondents' Exhs. D, E); A.R.S. § 13-604(M). On March 26, 2007, the trial  
17 court sentenced Petitioner to presumptive concurrent 4.5-year terms of imprisonment.  
18 (Respondents' Exh. F)

19           **B. Post-Conviction Proceeding**

20           On April 11, 2007, Petitioner's filed a notice of post-conviction relief pursuant to  
21 Ariz.R.Crim.P 32. (Respondents' Exh. G) On May 7, 2007, the court appointed counsel.  
22 (Respondents' Exh. H) On April 28, 2008, counsel filed a Supplemental Petition for Post-  
23 Conviction Relief arguing that Petitioner should be allowed to file a notice of delayed appeal  
24 because his counsel had failed to timely file a direct appeal (Respondents' Exh. I)  
25 Following an evidentiary hearing, the trial court found that Petitioner was entitled to file a  
26 notice of delayed appeal. (Respondents' Exh. J)

27 \_\_\_\_\_  
28           <sup>1</sup> The Honorable William T. Kiger presided.

1                   **C. Direct Appeal**

2                   On July 3, 2008, Petitioner filed a notice of delayed appeal. (Respondents’ Exh.  
3 K) Counsel subsequently filed an opening brief in accordance with *Anders v. California*,  
4 386 U.S. 738 (1967), and *State v. Leon*, 104 Ariz. 297, 451 P.2d 878 (1969), stating that  
5 there were no arguable issues for appeal. (Respondents’ Exh. L) With leave of court,  
6 Petitioner filed a *pro se* supplemental brief arguing that: (1) his convictions and sentences  
7 violated the prohibition against double jeopardy in the United States and Arizona  
8 Constitutions; (2) he was improperly sentenced as a repetitive offender because A.R.S. § 13-  
9 604(W)(3) precludes Chapter 34 convictions involving drugs below the threshold amount  
10 from being considered historical prior felony convictions for sentence enhancement  
11 purposes; and (3) trial counsel was ineffective for allowing the foregoing errors to occur.  
12 (Respondents’ Exhs. M, N) On March 31, 2009, the Arizona Court of Appeals rejected  
13 Petitioner’s claims and affirmed his convictions and sentences. (Respondents’ Exh. A)

14                   Specifically, the court found that Petitioner’s double jeopardy claim lacked merit.  
15 (Respondents’ Exh. A) The court further found that Petitioner’s sentences complied with  
16 Arizona law. (Respondents’ Exh. A at 6-7) The court declined to consider Petitioner’s  
17 claim of ineffective assistance of counsel, explaining that “[s]uch claims must be brought in  
18 post-conviction relief proceedings pursuant to Arizona Rule of Criminal Procedure 32.”  
19 (Respondents’ Exh. A n. 2) Petitioner did not seek review in the Arizona Supreme Court or  
20 commence a second Rule-32 proceeding.

21                   **D. Petition for Writ of Habeas Corpus**

22                   On August 28, 2009, Petitioner timely filed the pending Petition for Writ of  
23 Habeas Corpus in this Court. (docket # 1; docket # 8 at 4) Petitioner raises the following  
24 claims:

25                   **Ground One:** His convictions and sentences violate the prohibition against  
26 double jeopardy in both the Arizona and United States Constitutions.

27                   **Ground Two:** His sentences violate the Sixth and Fourteenth  
28 Amendments.

**Ground Three:** Trial counsel was ineffective for “fail[ing] to explain

1 things so [he] could understand what was going on,” and for failing  
2 to “advocate[] or provide[] proper representation.”

3 (docket # 1, docket # 1-1) Respondents argue that Ground One, although properly before  
4 the Court, lacks merit. Respondents further argue that Ground Two does not present a  
5 cognizable claim because it presents essentially a state-law issue. Respondents alternatively  
6 argue that Ground Two is procedurally barred from federal habeas corpus review because  
7 Petitioner did not present a federal sentencing claim to the state courts. Finally,  
8 Respondents assert that Ground Three is procedurally barred from federal review because  
9 Petitioner did not properly present a claim of ineffective assistance to the state courts.  
10 Petitioner has not replied and the time to do so has passed. The Court will discuss  
11 Petitioner’s claims below.

## 12 **II. Exhaustion and Procedural Bar**

13 Title 28 U.S.C. § 2254(b) requires that a petitioner exhaust his available state  
14 court remedies before seeking federal review. A federal court cannot grant habeas relief  
15 unless petitioner demonstrates that he has completely exhausted his claims, either because he  
16 fully presented his claims to the state courts or because he no longer has available state  
17 remedies. 28 U.S.C. § 2254(b)(1)(A), (B), and (c). *See also, Rose v. Lundy*, 455 U.S. 509  
18 (1982). Under Ninth Circuit law, Arizona prisoners, other than those sentenced to death,  
19 exhaust state remedies by presenting their claims to the Arizona Court of Appeals. *Swoopes*  
20 *v. Sublett*, 196 F.3d 1008, 1008-09 (9<sup>th</sup> Cir. 1999).

21 The exhaustion requirement also requires that a state prisoner “fairly present” his  
22 federal claims to the state courts. *See, Duncan v. Henry*, 513 U.S. 364 (1995) (holding that  
23 petitioner did not exhaust his state remedies where he failed to advise the state court that his  
24 claim that an evidentiary ruling violated state law also violated his right to due process under  
25 the Fourteenth Amendment); *Anderson v. Harless*, 459 U.S. 4 (1982) (holding that petitioner  
26 failed to exhaust his remedies where he challenged jury instructions as “erroneous” on direct  
27 appeal but raised a federal constitutional claim on habeas review.) “If state courts are to be  
28 given the opportunity to correct alleged violation of prisoners’ federal rights, they must

1 surely be alerted to the fact that the prisoners are asserting claims under the United States  
2 Constitution.” *Henry*, 513 U.S. at 888. In *Lyons v. Crawford*, 247 F.3d 904 (9<sup>th</sup> Cir. 2001),  
3 the Ninth Circuit held that regardless of whether a petitioner is proceeding *pro se*, “a  
4 petitioner for habeas corpus relief under 28 U.S.C. § 2254 exhausts available state remedies  
5 only if he characterized the claims he raised in state proceedings specifically as federal  
6 claims. In short, the petitioner must have either referenced the specific provisions of the  
7 federal constitution or statutes or cited to federal law.” *Id.*; *See, Rose v. Lundy*, 455 U.S.  
8 509, 520 (1982) (holding that the standards of explicitness “appl[y] equally to *pro se*  
9 litigants because [j]ust as *pro se* petitioners have managed to use the federal habeas  
10 machinery, so too should they be able to master this straightforward exhaustion  
11 requirement.”); *Picard v. Connor*, 404 U.S. 270, 276-78 (1971) (holding that “the claim that  
12 an indictment is invalid is not the substantial equivalent of a claim that it results in an  
13 unconstitutional discrimination” in violation of the equal protection clause.); *Shumway v.*  
14 *Payne*, 223 F.3d 982, 987-88 (9<sup>th</sup> Cir. 2000) (holding that petitioner’s “naked reference to  
15 due process” in his state court appeal did not fairly present a federal claim to the state  
16 court.); *Hiivala v. Wood*, 195 F.3d 1098, 1106-07 (9<sup>th</sup> Cir. 1999) (holding that a claim of  
17 insufficient evidence was unexhausted because the petitioner “did not refer to the Due  
18 Process Clause of the United States Constitution” and cited [neither] the Fourteenth  
19 Amendment nor any federal case law involving the legal standard for a federal  
20 Constitutional violation predicated [thereon].”); *Johnson v. Zenon*, 88 F.3d 828, 830-31 (9<sup>th</sup>  
21 Cir. 1996) (finding unexhausted petitioner’s claim that his due process rights were violated  
22 by admission of prejudicial evidence of prior bad acts, despite the fact that the petitioner had  
23 argued to the state court that the admission of such evidence “infringed upon his right to  
24 present a defense and receive a fair trial.”)

25           Where a state prisoner has failed to “fairly present” a claim to the state court in a  
26 procedurally appropriate manner, state court remedies may, nonetheless, be exhausted. In  
27 such a situation, a state prisoner has “procedurally defaulted” his claims and federal habeas  
28 review of his claims is “procedurally barred.” *See, Ylst v. Nunnemaker*, 501 U.S. 797, 802-

1 05 (1991). In general, procedural default falls into two categories. First, a state court may  
2 have applied a procedural bar to a prisoner’s claims in state court. *Nunnemaker*, 501 U.S. at  
3 802-05. Second, the prisoner may have failed to present his claims in state court, but  
4 pursuant to state procedural rules, a return to state court would be futile. *Teague v. Lane*,  
5 489 U.S. 288, 297-99 (1989). In either case, federal habeas review is barred absent a  
6 showing of “cause and prejudice” or a “fundamental miscarriage of justice.” *Murray v.*  
7 *Carrier*, 477 U.S. 478, 488 (1986).

8 To establish cause, a petitioner must establish that some objective factor external  
9 to the defense impeded his efforts to comply with the state’s procedural rules. *Murray v.*  
10 *Carrier*, 477 U.S. 478, 488 (1986). The following objective factors may constitute cause:  
11 (1) interference by state officials, (2) a showing that the factual or legal basis for a claim was  
12 not reasonably available, or (3) constitutionally ineffective assistance of counsel. *Murray*,  
13 477 U.S. at 488. To establish prejudice, a prisoner must demonstrate that the alleged  
14 constitutional violation infected the trial with constitutional error. *United States v. Frady*,  
15 456 U.S. 152, 170 Rules of Practice of the U.S. District Court for the District of  
16 Arizona(1982).

17 To establish a “fundamental miscarriage of justice” resulting in the conviction of  
18 one who is actually innocent, a state prisoner must establish that it is more likely than not  
19 that no reasonable juror would have found him guilty beyond a reasonable doubt in light of  
20 new evidence. *Schlup v. Delo*, 513 U.S. 298, 327 (1995); 28 U.S.C. § 2254(c)(2)(B). The  
21 Court will discuss the exhaustion requirement as it pertains to Petitioner’s claims.

## 22 **A. Ground Two**

23 In ground two, Petitioner argues that his sentences violate the Sixth and  
24 Fourteenth Amendments because he was improperly sentenced as a repetitive offender.  
25 (docket # 1 at 7) In support of his claim, Petitioner argues that neither of his prior  
26 convictions qualify as a “historical prior felony conviction” under Arizona law (A.R.S. § 13-  
27 105(22), formerly A.R.S. § 13-604(W)(3)). (docket # 1-1 at 11-14) Respondents argue that  
28 ground two is not cognizable on federal habeas corpus review because it does not present a

1 federal claim. (docket # 8 at 4) Respondents explain that, although Petitioner cites the Sixth  
2 and Fourteenth Amendments, the crux of his claim is that his prior felony conviction does  
3 not constitute a historical prior under Arizona law. (docket # 8 at 5) The Court agrees that,  
4 although Petitioner labels ground two a Sixth and Fourteenth Amendment claim, it only  
5 asserts a violation of Arizona law which is not cognizable on federal habeas corpus review.  
6 *See Estelle v. McGuire*, 502 U.S. 62, 67-68 (1991) (explaining that “it is not the province of  
7 a federal habeas court to reexamine state-court determinations on state-law questions.”) A  
8 state prisoner can obtain federal habeas relief only if his conviction violates the Constitution  
9 or the laws and treaties of the United States. *Engle v. Isaac*, 456 U.S. 107, 119 (1982).  
10 Petitioner cannot “transform a state law issue into a federal one merely by asserting a  
11 violation of due process.” *Langford v. Day*, 110 F.3d 1380, 1389 (9<sup>th</sup> Cir. 1996). Because  
12 federal habeas relief does not lie for alleged violations of state law or procedure or for  
13 alleged error in the interpretation or application of state law, Petitioner’s state-law challenge  
14 to his sentences does not state a claim for habeas relief. *See, Estelle*, 502 U.S. 67-68 (1991);  
15 *Peltier v. Wright*, 15 F.3d 860, 861-62 (9<sup>th</sup> Cir. 1994)

16 In the alternative, Respondents argue that Petitioner’s federal challenge to his  
17 sentences asserted in ground two is procedurally barred because he did not present that  
18 federal claim to the state courts. The Court agrees that Petitioner did not exhaust a federal  
19 challenge to his sentences in state court. On direct appeal, Petitioner argued that he was  
20 improperly sentenced as a repetitive offender because his prior conviction did not qualify as  
21 a historical prior under Arizona law. Petitioner did not state a federal basis for his claim.  
22 (Respondents’ Exh. N) The appellate court denied Petitioner’s sentencing claim on the basis  
23 of state law. (Respondents’ Exh. A)

24 Petitioner failed to present a federal sentencing challenge to the state courts. Any  
25 attempt to return to state court to present such a federal claim would be futile because it  
26 would be procedurally barred pursuant to Arizona law. Petitioner is time-barred under  
27 Arizona law from raising his claim in a successive petition for post-conviction relief because  
28 the time for filing a notice of post-conviction relief has long expired. *See Ariz.R.Crim.P.*

1 32.1 and 32.4 (a petition for post-conviction relief must be filed “within ninety days after the  
2 entry of judgment and sentence or within thirty days after the issuance of the order and  
3 mandate in the direct appeal, whichever is later.”) A state post-conviction action is futile  
4 where it is time-barred. *Beaty v. Stewart*, 303 F.3d 975, 987 (9th Cir. 2002); *Moreno v.*  
5 *Gonzalez*, 116 F.3d 409, 410 (9th Cir. 1997) (recognizing untimeliness under Ariz. R. Crim.  
6 P. 32.4(a) as a basis for dismissal of an Arizona petition for post-conviction relief, distinct  
7 from preclusion under Rule 32.2(a)).

8           Although Rule 32.4 does not bar dilatory claims if they fall within the category  
9 of claims specified in Ariz.R.Crim.P 32.1(d) through (h), Petitioner has not asserted that any  
10 of these exceptions apply to him. Furthermore, under Rule 32.2(a) of the Arizona Rules of  
11 Criminal Procedure, a defendant is precluded from raising claims that could have been  
12 raised on direct appeal or in any previous collateral proceeding. *See also Krone v. Hotham*,  
13 181 Ariz. 364, 366, 890 P.2d 1149, 1151 (1995) (capital defendant’s early petition for  
14 post-conviction relief raised limited number of issues and waived other issues that he could  
15 have then raised, but did not); *State v. Curtis*, 185 Ariz. 112,113, 912 P.2d 1341, 1342 (App.  
16 1995) (“Defendants are precluded from seeking post-conviction relief on grounds that were  
17 adjudicated, or could have been raised and adjudicated, in a prior appeal or prior petition for  
18 post-conviction relief.”); *State v. Berryman*, 178 Ariz. 617, 624, 875 P.2d 850, 857 (App.  
19 1994) (defendant’s claim that his sentence had been improperly enhanced by prior  
20 conviction was precluded by defendant’s failure to raise issue on appeal). Petitioner’s  
21 federal sentencing claim could have been raised on direct appeal. Consequently, the state  
22 court would find this claim procedurally barred. In section II.C, *infra*, the Court will address  
23 whether Petitioner has established a basis for overcoming the procedural bar.

### 24           **B. Ground Three**

25           In ground three, Petitioner argues that trial counsel was ineffective for failing to  
26 adequately explain “things” to Petitioner and for failing to advocate and properly represent  
27 Petitioner. (docket # 1 at 7) Respondents assert this claim is procedurally barred because  
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1 Petitioner did not properly present a claim of ineffective assistance of counsel to the state  
2 courts. (docket # 8 at 5)

3           On direct appeal, Petitioner argued that counsel was ineffective for allowing him  
4 to be illegally convicted and sentenced, and for failing to present mitigating evidence at  
5 sentencing. (Respondents' Exh. N at 17) The court of appeals declined to consider this  
6 claim because, under Arizona law, a claim of ineffective assistance of counsel must be  
7 raised in petition for post-conviction relief under Rule 32. *State v. Spreitz*, 202 Ariz. 1, 39  
8 P.3d 525, 527. (Respondents' Exh. A n. 2) Because Petitioner did not present his claim of  
9 ineffective assistance of counsel in a procedurally proper manner, his claim is not properly  
10 exhausted. *Coleman*, 501 U.S. at 732 (stating that "a habeas petitioner who has failed to  
11 meet the State's procedural requirements for presenting his federal claim has deprived the  
12 state courts of an opportunity to address those claims.")

13           Petitioner is time-barred under Arizona law from raising his claim of ineffective  
14 assistance of counsel in a petition for post-conviction relief because the time for filing a  
15 notice of post-conviction relief has long expired. *See* Ariz.R.Crim.P. 32.1 and 32.4 (a  
16 petition for post-conviction relief must be filed "within ninety days after the entry of  
17 judgment and sentence or within thirty days after the issuance of the order and mandate in  
18 the direct appeal, whichever is later.") A state post-conviction action is futile where it is  
19 time-barred. *Beaty v. Stewart*, 303 F.3d 975, 987 (9th Cir. 2002); *Moreno v. Gonzalez*, 116  
20 F.3d 409, 410 (9th Cir. 1997) (recognizing untimeliness under Ariz. R. Crim. P. 32.4(a) as a  
21 basis for dismissal of an Arizona petition for post-conviction relief, distinct from preclusion  
22 under Rule 32.2(a)).

23           Although Rule 32.4 does not bar dilatory claims if they fall within the category  
24 of claims specified in Ariz.R.Crim.P 32.1(d) through (h), Petitioner has not asserted that any  
25 of these exceptions apply to him. Consequently, the state court would find this claim  
26 procedurally barred. In section II.C. *infra*, the Court will address whether Petitioner has  
27 established a basis for overcoming the procedural bar.

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1                   **C. Cause and Prejudice or Fundamental Miscarriage of Justice**

2                   Because Petitioner’s claims raised in grounds two and three are procedurally  
3 defaulted, federal review of the claim is barred absent a showing of “cause and prejudice” or  
4 a “fundamental miscarriage of justice.” *Dretke v. Haley*, 541 U.S. 386, 393-94 (2004);  
5 *Murray v. Carrier*, 477 U.S. 478, 488 (1986). To establish cause, a petitioner must establish  
6 that some objective factor external to the defense impeded his efforts to comply with the  
7 state’s procedural rules. *Id.* The following objective factors may constitute cause: (1)  
8 interference by state officials, (2) a showing that the factual or legal basis for a claim was  
9 not reasonably available, or (3) constitutionally ineffective assistance of counsel. *Id.* To  
10 establish prejudice, a prisoner must demonstrate that the alleged constitutional violation  
11 “worked to his actual and substantial disadvantage, infecting his entire trial with error of  
12 constitutional dimension.” *United States v. Frady*, 456 U.S. 152, 170 (1982). Where  
13 petitioner fails to establish cause, the court need not reach the prejudice prong.

14                   To establish a “fundamental miscarriage of justice” resulting in the conviction of  
15 one who is actually innocent, a state prisoner must establish that it is more likely than not  
16 that no reasonable juror would have found him guilty beyond a reasonable doubt in light of  
17 new evidence. *Schlup v. Delo*, 513 U.S. 298, 327 (1995); 28 U.S.C. § 2254(c)(2)(B).

18                   Petitioner has not established either “cause and prejudice” or that a fundamental  
19 miscarriage of justice will occur if the Court does not consider his defaulted claims.  
20 Accordingly, the Court need not consider the merits of Petitioner’s claims asserted in  
21 Grounds Two and Three which are procedurally barred.

22                   **III. Ground One - Double Jeopardy Claim**

23                   In his first ground for relief, Petitioner argues that his convictions and sentences  
24 violate the prohibition against double jeopardy found in the Arizona and the United States  
25 Constitutions because they stem from a single act. (docket # 1 at 6)

26                   Petitioner first argues that his sentences were improper under the “same  
27 transaction” test articulated in *State v. Gordon*, 161 Ariz. 308, 315, 778 P.2d 1204, 1211  
28 (1989). (docket # 1-1 at 2) In certain cases involving two or more offenses committed in

1 the same “transaction” against a particular victim, Arizona law requires, for the offenses to  
2 be separate crimes, that they must not only contain separate elements but it must also be  
3 factually impossible to commit the “ultimate” offense without committing the “other”  
4 offense or that committing the other offense subjected the victim to additional harm other  
5 than that which was inherent in the ultimate offense. *Gordon*, 161 Ariz. at 315; 778 P.2d at  
6 1211. Habeas corpus relief is only available for violations of federal law as determined by  
7 the U.S. Supreme Court. 28 U.S.C. §2254(d). A state prisoner can obtain federal habeas  
8 relief only if his conviction violates the Constitution or the laws and treaties of the United  
9 States. *Engle v. Isaac*, 456 U.S. 107, 119 (1982); *Peltier v. Wright*, 15 F.3d 860, 861-62 (9<sup>th</sup>  
10 Cir. 1994). The U.S. Supreme Court has rejected the “same-transaction test” discussed in  
11 *Gordon*. See *U.S. v. Dixon*, 509 U.S. 688, 709 n. 14 (1993). Rather, the Supreme Court  
12 analyzes double jeopardy claims under the same-elements test articulated in *Blockburger v.*  
13 *United States*, 284 U.S. 299, 304 (1932). *Id.* at 704. To the extent that Petitioner bases his  
14 double jeopardy on state law, the claim is not properly before this court. 28 U.S.C.  
15 § 2254(d); See *Estelle v. McGuire*, 502 U.S. 62, 67-68 (1991) (explaining that “it is not the  
16 province of a federal habeas court to reexamine state-court determinations on state-law  
17 questions.”).

18           Moreover, Petitioner’s federal double jeopardy claim lacks merit. Petitioner  
19 presented a federal double jeopardy claim on direct appeal. The appellate court addressed  
20 this claim and applied the *Blockburger* test for determining whether two offenses constitute  
21 the same offense for purposes of double jeopardy. (Respondents’ Exh. A) The court  
22 rejected Petitioner’s claim finding that no double jeopardy violation had occurred. This  
23 Court may not grant habeas corpus relief unless Petitioner establishes that the state court  
24 decision was based on an unreasonable determination of the facts, or “was contrary to, or  
25 involved an unreasonable application of, clearly established federal law, as determined by  
26 the Supreme Court of the United States.” 28 U.S.C. § 2254(d)(1); *Williams v. Taylor*, 529  
27 U.S. 362, 376 (2000). As discussed below, Petitioner has not shown that the state court’s  
28 rejection of his double jeopardy claim was contrary to, or involved an unreasonable

1 application of federal law, or that it was based on an unreasonable determination of the facts.  
2 Accordingly, he is not entitled to habeas corpus relief.

3           The Double Jeopardy Clause of the Fifth Amendment to the United States  
4 Constitution provides that a person may not “be subject for the same offence to be twice put  
5 in jeopardy of life or limb.” Double jeopardy bars multiple prosecutions and punishments for  
6 the same offense. *North Carolina v. Pearce*, 395 U.S. 711, 717 (1969). In deciding whether  
7 a defendant has been tried and punished twice for the same offense, courts apply the  
8 “same-elements” test of *Blockburger v. United States*, 284 U.S. 299 (1932), in which the  
9 court inquires “whether each offense contains an element not contained in the other.” *United*  
10 *States v. Dixon*, 509 U.S. 688, 696, 703-04 (1993) (stating that the “same-elements” test is  
11 the only test for double jeopardy bar, overruling the “same-conduct” test adopted by *Grady*  
12 *v. Corbin*, 495 U.S. 508 (1990)). The “same-elements” test focuses on the statutory  
13 elements of the crime charged, not the factual evidence offered to secure a conviction.  
14 *Illinois v. Vitale*, 447 U.S. 410, 416 (1980) (stating that the “*Blockburger* test focuses on the  
15 proof necessary to prove the statutory elements of each offense, rather than on the actual  
16 evidence presented at trial.”). Under the *Blockburger* test, if each offense contains an  
17 element not present in the other, they are not the same offense, and double jeopardy does not  
18 bar additional punishment and successive prosecution. *Dixon*, 509 U.S. at 696.

19           In evaluating Petitioner’s claim, the Court must decide whether the sections of  
20 the aggravated DUI statute under which Petitioner was convicted each contain an element  
21 not present in the other. *Id.* On direct appeal, the court applied the *Blockburger* test and  
22 determined that Petitioner was not convicted or punished twice for the same offense.  
23 (Respondents’ Exh. A) Petitioner was convicted of violating A.R.S. § 28-1381(A)(1) and  
24 (3), both while his driver’s license was suspended or revoked. A person violates A.R.S. §  
25 28-1381(A)(1) if he drives or is in actual physical control of a vehicle while under the  
26 influence of intoxicating liquor or drugs if the person is impaired to the slightest degree. A  
27 person violates A.R.S. § 28-1381(A)(3) if he drives or is in actual physical control of a  
28

1 vehicle while there is any drug defined in A.R.S. § 13-3401, or its metabolite, in the  
2 person's body.

3 As the appellate court noted, both offenses require proof that a person was  
4 driving or in actual physical control of a vehicle. (Respondents' Exh. A, ¶ 10) However, as  
5 the appellate court also noted, each of these offenses contains a statutory element not  
6 contained in the other: A.R.S. § 28-1381(A)(1) requires that the person was under the  
7 influence of intoxicating liquor or drugs; and A.R.S. § 28-1381(A)(3) requires that the  
8 person had any drug defined in A.R.S. § 13-3401 in his body. Thus, Petitioner's convictions  
9 and consecutive sentences do not implicate the double jeopardy clause. Petitioner has not  
10 established that the state court's application of federal law was contrary to, or involved an  
11 unreasonable application of federal law, or was based on an unreasonable determination of  
12 the facts. Accordingly, he is not entitled to habeas corpus relief. 28 U.S.C. § 2254(d)(1).

13 In accordance with the foregoing,

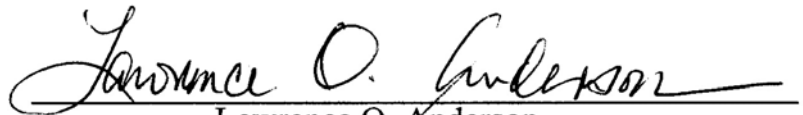
14 **IT IS HEREBY RECOMMENDED** that Petitioner's Petition for Writ of  
15 Habeas Corpus pursuant to 28 U.S.C. § 2254(d) (docket # 1) be **DENIED**.

16 **IT IS FURTHER RECOMMENDED** that a Certificate of Appealability and  
17 leave to proceed *in forma pauperis* on appeal be **DENIED** because dismissal of the Petition  
18 is justified by a plain procedural bar and jurists of reason would not find the procedural  
19 ruling debatable and because Petitioner has not made a substantial showing of the denial of a  
20 constitutional right

21 This recommendation is not an order that is immediately appealable to the Ninth  
22 Circuit Court of Appeals. Any notice of appeal pursuant to Rule 4(a)(1), Federal Rules of  
23 Appellate Procedure, should not be filed until entry of the District Court's judgment. The  
24 parties shall have fourteen days from the date of service of a copy of this recommendation  
25 within which to file specific written objections with the Court. *See*, 28 U.S.C. § 636(b)(1);  
26 Rules 72, 6(a), 6(e), Federal Rules of Civil Procedure. Thereafter, the parties have seven  
27 days within which to file a response to the objections. Failure timely to file objections to the  
28 Magistrate Judge's Report and Recommendation may result in the acceptance of the Report

1 and Recommendation by the District Court without further review. *See United States v.*  
2 *Reyna-Tapia*, 328 F.3d 1114, 1121 (9th Cir. 2003). Failure timely to file objections to any  
3 factual determinations of the Magistrate Judge will be considered a waiver of a party's right  
4 to appellate review of the findings of fact in an order or judgment entered pursuant to the  
5 Magistrate Judge's recommendation. *See*, Rule 72, Federal Rules of Civil Procedure.

6 DATED this 8<sup>th</sup> day of January, 2010.

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10 Lawrence O. Anderson  
11 United States Magistrate Judge  
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