

1 **I. FACTS AND PROCEDURAL BACKGROUND**

2 *A. Plaintiff's Employment at Defendant*

3 Plaintiff Daniel J. Burkhart ("Plaintiff") was an employee of Defendant Intuit, Inc.
4 ("Defendant"), in the position of Sales Agent, from March 21, 2005 to December 16,
5 2005, when Defendant terminated Plaintiff's employment.¹ Prior to beginning his
6 employment, Plaintiff, a Vietnam War veteran, was diagnosed with Post Traumatic Stress
7 Disorder ("PTSD") by the Department of Veterans Affairs ("Veterans Administration")
8 and was assessed with a 30% disability rating by the Veterans Administration.

9 Plaintiff applied for the position of Sales Agent in March 2005 by submitting an
10 electronic application. One question on the application was "Have you ever plead 'guilty'
11 or 'no contest' to, or been convicted of a crime?" Plaintiff responded "N," indicating that
12 he had never plead "guilty" or "no contest" to a crime, nor had he been convicted of a
13 crime. Pursuant to Defendant's pre-employment screening policies, Defendant conducted
14 a limited background check in March 2005, which requested information going back
15 seven or eight years. The limited background check did not reveal any convictions during
16 that time period. Plaintiff had, however, been convicted of numerous felonies for
17 financial crimes in the 1990s, and had served time in prison as a result. Defendant was
18 not made aware of Plaintiff's criminal history until discovery in this case, when Plaintiff
19 revealed during deposition that he had been convicted in the late 1980s for "aiding and
20 abetting" and other crimes that he did not recall. Following Plaintiff's admission, in June
21 2008, Defendant conducted a background check that was not limited in time, which
22 revealed that Plaintiff had numerous felony convictions in 1990 for financial crimes,
23 including conspiracy, aiding and abetting, wire fraud, and securities fraud. Pursuant to
24 Defendant's pre-employment screening policies and procedures, knowledge of Plaintiff's

25
26 ¹Unless otherwise noted, the statement of facts is taken from Defendant's Opposition
27 to Plaintiff's Motion for Partial Summary Judgment on the Issue of ADA Qualification and
28 Cross Motion for Summary Judgment ("DMSJ"). [Doc. #69]. These facts are
uncontroverted by the Plaintiff.

1 convictions would have presumptively disqualified him from employment at Defendant.
2 Moreover, had Plaintiff responded truthfully on his employment application, Defendant
3 would have conducted an unlimited background check to determine the nature of
4 Plaintiff's convictions.

5 Lacking knowledge of Plaintiff's convictions and misleading resume, Defendant
6 hired Plaintiff for the position of Sales Agent and Plaintiff began work on March 21,
7 2005. Pursuant to Defendant's procedure in 2005, on the first day of training during
8 "New Hire Orientation," Defendant provided new-hires with information regarding
9 Defendant's employment policies and procedures and how to access those policies and
10 procedures on the Intranet, including information regarding the ADA. In a Policy Review
11 Confirmation Form ("PRC"), Plaintiff checked the box "Americans with Disabilities Act
12 Policy," indicating he was made aware of Defendant's ADA policy. The PRC provides
13 that in signing the form new-hires acknowledge they understand it is their responsibility
14 to go to Defendant's Intranet site and familiarize themselves with the company's policies
15 and procedures, and they should contact the Human Resources Manager should they have
16 any questions regarding those policies or procedures. Plaintiff signed the PRC and dated
17 it March 21, 2005.

18 In addition to providing new-hires with information regarding important company
19 policies and procedures, Defendant's "Talent Acquisition" provides new-hires with two
20 confidential forms, an Equal Employment Opportunity Form ("EEO") and a Post-Offer
21 Enrollment Form ("POE"). New-hires are informed that completion of these forms is
22 entirely voluntary. If the forms are completed and submitted, Talent Acquisition transfers
23 them to Defendant's HR Data Services department for entry into Defendant's employee
24 tracking software, PeopleSoft. Per Defendant's confidentiality policy, once the data is
25 entered into PeopleSoft, the HR Data Services Department shreds the completed forms.
26 Only if an employee has voluntarily completed a POE form and requested
27 accommodation for a disability does Data Services notify Human Resources and/or the
28 ADA coordinator. The POE form explicitly provides "[i]nformation you submit will be

1 kept confidential, except that (1) supervisors and manager *may* be informed regarding
2 restrictions on the work or duties of individuals with disabilities or special disabled
3 veterans, and regarding necessary accommodations” (emphasis added). PMSJ Exh.
4 7 [Doc. #67]. In his pleadings, Plaintiff asserts that “it was the implicit understanding of
5 [the POE form] that this information would be shared with supervisors and managers,
6 upon gathering of full information on the disability at a later date.” Pl.’s Reply in Support
7 of Mot. for Partial Summ. J. (“Pl.’s Reply”) at 9:11-13 [Doc. # 72].

8 Pursuant to Defendant’s shredding policy, Defendant’s records do not contain
9 Plaintiff’s EEO or POE, assuming he completed and submitted both forms. In deposition,
10 however, Plaintiff asserted he retained a copy of his completed POE for his records
11 following orientation. On the POE copy, Plaintiff checked two black spaces, identifying
12 himself as a “Vietnam-era Veteran” and a “Disabled Veteran.” PMSJ Exh. 7 [Doc. #67].
13 Defendant’s PeopleSoft history indicates only that Plaintiff identified himself as a
14 Vietnam-era Veteran.

15 Although Plaintiff’s POE copy identifies Plaintiff as a “Disabled Veteran,” it also
16 reveals that Plaintiff answered “No” in response to the form’s question: “[d]o you require
17 a reasonable accommodation to perform the essential functions for which you have been
18 hired?” and left blank the space provided for the follow-up question, “If yes, describe the
19 accommodation required to perform the essential requirements for which you have been
20 hired.” PMSJ Exh. 7 [Doc. #67]. At no time during Plaintiff’s employment did Plaintiff
21 inform Defendant, either verbally or in writing, that Plaintiff suffered from PTSD.

22 Although Plaintiff commented to co-workers and training supervisors that he suffered
23 from a “mental disability” or a “stress related disability,” at no time did he affirmatively
24 inform Defendant that he suffered from PTSD. Notably, Plaintiff also failed to access
25 Defendant’s Intranet site to retrieve information regarding how to inform Defendant
26 about his disability and request an accommodation, and failed to contact Defendant’s
27 ADA coordinator. In Plaintiff’s pleadings to the Court, Plaintiff confirms that he did not
28 require any accommodation on March 21, 2005, his date of hire, and asserts only that his

1 PTSD was exacerbated as “stress conditions mounted” during his last twenty (20) days of
2 employment. Pl.’s Reply at 9:27-28 [Doc. # 72]. During this time, however, Plaintiff was
3 placed on a Performance Improvement Plan for poor performance and a Final
4 Performance Improvement Plan when his performance did not improve. Plaintiff was
5 placed on the Performance Improvement Plan on November 30, 2005, and was placed on
6 the Final Performance Improvement Plan on December 9, 2005.² Notably, in his
7 pleadings, Plaintiff admits he had difficulty mastering a sales technique employed by
8 Defendant known as “Spin Spelling,” because “[Plaintiff’s] direct sales experience from
9 prior sales activities did not require such rigid question and response matrix.” Pl.’s Reply
10 at 4:20-21 [Doc. # 72]. Plaintiff’s employment was terminated on December 16, 2005,
11 for failing to meet performance objectives.

12 Plaintiff asserts that he met with Human Resource Manager, Chris Hopkins,
13 merely six days before his termination, on December 12, 2005, “regarding a reassignment
14 as an accommodation,” and specifically a reassignment to the position of Talent
15 Acquisition Coordinator. PMSJ SOF ¶ 5 [Doc. #67]. Hopkins, however, per affidavit,
16 has no recollection of meeting with Plaintiff, nor is there evidence suggesting that
17 Plaintiff informed Hopkins that he suffered from PTSD and that the “reassignment” was
18 requested specifically as an accommodation for his PTSD. The record does reveal,
19 however, that Defendant advertised the position of Talent Acquisition Coordinator, that
20 the position opened up on November 29, 2005, and that Plaintiff submitted a “Job Interest
21 Form” applying for the position. PMSJ Exh. 6 [Doc. #67]. At no place on the Job
22 Interest Form did Plaintiff indicate that he suffered from PTSD and was requesting the
23 position as an accommodation. Rather, in the space provided to answer the “Specific
24

25 ² Although irrelevant, the Court acknowledges Plaintiff’s assertion that Defendant
26 terminated Plaintiff in part as a result of it’s reduction in sales force. Plaintiff asserts that
27 Defendant asked Plaintiff to increase performance at the same time that Defendant was
28 rerouting work to a call-center in India thereby rendering it impossible for Plaintiff to
increase sales as Defendant requested. Pl.’s Reply at 10:8-27 [Doc. #72]. Assuming their
truth, these facts standing alone do not give rise to an ADA claim.

Reason for Desire to Change,” Plaintiff answered “I have the knowledge skills & ability to do this job. This position would build upon the skills that I possess.” PMSJ Exh. 6 [Doc. #67]. Plaintiff attached his resume to his pleadings, thus implying that he submitted this resume with his application for the Talent Acquisition Coordinator position. *Id.* Plaintiff testified at deposition, however, that his resume misrepresented his relevant work experience. Pursuant to Defendant’s pre-employment screening procedures, knowledge of the omission of information or the placement of misleading or false information on an employment application would have also presumptively disqualified Plaintiff from any position at Defendant.

B. Veterans Administration Disability Assessment

It is undisputed that prior to his employment at Defendant, Plaintiff was diagnosed with PTSD by Veterans Administration for rating purposes on September 8, 2004 and was assessed with a 30% disability rating. The 30% disability rating was assessed as a result of Plaintiff’s appeal from a previous rating of 10% disabled. In assessing Plaintiff’s disability at 30%, the Veterans Administrated noted that “[a]lthough the examiner commented you [Plaintiff] do not meet the criteria for the post traumatic stress disorder diagnosis on this current exam, since it is a well established diagnosis in the previous and ongoing treatment records, the diagnosis is continued for rating purposes at this time” PMSJ Exh. 1-B [Doc. #67]. As an example of the well-established diagnosis of PTSD, Plaintiff submits a December 2002 letter from an adjudication officer from the Department of Veterans Affairs that explains: “At this time [Plaintiff’s] sleep is disturbed by nightmare quality dreams on a weekly basis. He has been experiencing dissociative flashback type episodes on a weekly basis. This re-experiencing of war zone imagery has left him anxious and exhausted.” PMSJ Exh. 4 [Doc. # 67]. The Veterans Administration retroactively applied the 30% disability rating such that the rating was assigned on March 24, 2004. PMSJ Exh 1-B [Doc. #67]. Pursuant to the Veterans Administration’s diagnosis, an evaluation of 30 percent disability is granted whenever there is:

1 [O]ccupational and social impairment with occasional decrease in work
2 efficiency and intermittent periods of inability to perform occupational
3 tasks (although generally functioning satisfactorily, with routine behavior,
4 self care and conversation normal) due to system symptoms as: depressed
mood, anxiety, suspiciousness, panic attacks, chronic sleep impairment,
[and] mild memory loss

5 *Id.*

6 Plaintiff emphasizes that his PTSD results in chronic sleep impairment, but admits
7 that his sleep interruptions are controlled by the medication Trazodone. Although the
8 medication does not “cure” Plaintiff’s PTSD, or his sleep deprivation, Plaintiff asserts the
9 medication was prescribed to be taken on an “as needed” basis. PMSJ at 7:7-10; PMSJ
10 SOF ¶ 3 [Doc. #67]. In his affidavit, he asserts:

11 4. My symptoms recognized as part of the claim for PTSD include, but
12 were not limited to, the interruption of my major life activity of sleep. I
13 suffer from an insomnia condition related to the flashbacks of war, and
14 highlighted by current stressful conditions. This interruption of a major
15 life activity has resulted in occupational and social impairment with
occasional decrease in work efficiency and intermittent periods of my
inability to perform occupational tasks. The sleep interruption has been
controlled by the use of the prescribed medication Trazodone, HCL,
50MG, taken nightly.

16 PMSJ Aff. ¶ 4.

17 Plaintiff further emphasizes that his PTSD results in mood impairments that affect
18 his work, although Plaintiff also admits that his mood is stabilized by the medication
19 Flouxetine, which permits an individual to function with normal occupational and social
20 relationships. In his affidavit, he asserts:

21 5. Additional symptoms of PTSD that manifest in my case are depression,
22 anxiety, cynicism and distrust of authority, anger, psychiatric or emotional
23 numbing, reaction under stress with survival tactics, negative self-image,
24 memory impairment, emotional distance in intimate relationships, survivor
25 guilt, avoidance of activities that arouse memories of the war, and
flashbacks to combat experience. I have undergone individual and group
therapy, and I am currently taking the prescription medication Flouxetine
HCL 20MG, daily, to stabilize my mood, and allow normal occupational
and social relationships in spite of the above mentioned symptoms.

26 PMSJ Aff. ¶ 5.

27 In deposition, Plaintiff testified that the affect his PTSD has on his sleep is
28 interrelated to the affect his PTSD has on his work. Plaintiff noted that his PTSD

1 displays “as a sleep disorder, and I have medication to get to sleep. But without the
2 medication I have a serious sleep disorder which affects everything else in my life,
3 working, being able to be around crowds.” DMSJ Exh. D 192:16-19 [Doc. #69].
4 Plaintiff has successfully held jobs following employment at Defendant, specifically
5 Plaintiff has been employed as a tax assistant for two accounting firms. Plaintiff’s
6 employment with the accounting firms was temporary and terminated at the end of the tax
7 season.

8 *C. The Current Litigation*

9 On July 20, 2007, Plaintiff filed a complaint (“Initial Complaint”) in pro se
10 against Defendant, ten “Doe Corporations,” five named individual defendants and fifty
11 unnamed “John Doe” defendants (collectively “Individual Defendants”), in the United
12 States District Court for the Northern District of California. Pl.’s Initial Complaint [Doc.
13 #23]. Plaintiff alleged that Defendant and the Individual Defendants violated Plaintiff’s
14 rights under the Americans with Disabilities Act of 1990 (“ADA”). Specifically, Plaintiff
15 alleged that Defendant “[failed] to acknowledge the [Plaintiff’s] mental disability
16 following [his] written and verbal notification of such disability,” thereby engaging in:
17 (1) wrongful termination, (2) gross negligence, and (3) willful infliction of emotional
18 harm. Pl.’s Initial Complaint at 3:24-26. Against the Individual Defendants specifically,
19 Plaintiff alleged they engaged in “aiding and abetting in denial [sic] of [his] civil rights”
20 by assisting “one-another to facilitate denial of Plaintiff’s acknowledged rights.” Pl.’s
21 Initial Complaint at 5:15-20.

22 Following the filing of his Initial Complaint, Defendant’s counsel, Michael
23 Aparicio (“Aparicio”) sent a letter to Plaintiff, urging him to (1) transfer the case to the
24 proper venue - the District Court in Tucson, and (2) dismiss the claims against the
25 Individual Defendants pursuant to well-established Ninth Circuit precedent. Pl.’s Memo.
26 in Opp. to Def.’s Mot. to Strike (“POMTS”) Exh. 1 [Doc. #52]. Specifically, Aparicio
27 represented to Plaintiff that:
28

1 [I]t is well-established in the Ninth Circuit that only an employer can be
2 liable for violation of the Americans with Disabilities Act, not individual
3 employees. *See Miller v. Maxwell's Int'l, Inc.*, 991 F.2d 583, 587 (9th Cir.
1993); *Rohm v. Homer*, 367 F.Supp. 2d 1278, 1284 (N.D. Cal. 2005); *Coffin*
v. Safeway, Inc., 323 F. Supp. 2d 997, 1002 (D. Ariz. 2004).

4 *Id.*

5 Following receipt of Aparicio's letter, Plaintiff entered into a Stipulation
6 Agreement, agreeing to the terms set forth in Aparicio's letter. Stipulation and Transfer
7 [Doc. #22]. Plaintiff stipulated to the following:

8 Plaintiff and Defendant Intuit, Inc. . . . agree that there is no basis for
9 asserting claims under or based upon alleged violations of the Americans
10 with Disabilities Act, against the individual defendants named in this case,
pursuant to established Ninth Circuit precedent. *See Miller v. Maxwell's*
Int'l, Inc., 991 F.2d 583, 587 (9th Cir. 1993).

11 *Id.* at 2:16-19.

12 Pursuant to this stipulation, the Northern District of California entered the parties'
13 Proposed Order, which states:

14 Individuals who are not the employer cannot, as a matter of law, be liable to
15 Plaintiff for alleged violations of the Americans with Disabilities Act.
Accordingly, Plaintiff's claims against [the Individual Defendants] are
16 DISMISSED WITH PREJUDICE.

17 *Id.* at 2:28-3:03.

18 Consequently, on December 17, 2007, the case was transferred to this Court, and
19 the Individual Defendants were dismissed with prejudice.

20 On April 25, 2008, Plaintiff lodged his First Amended Complaint with the Court.
21 Pl.'s First Amended Complaint [Doc. #40]. The First Amended Complaint reinstates the
22 Individual Defendants and brings a new conspiracy claim pursuant to 42 U.S.C. § 1985(3)
23 against them. On May 12, 2008, Defendant filed its Opposition to Plaintiff's First
24 Amended Complaint, or in the Alternative, Motion to Strike Plaintiff's First Amended
25 Complaint, alleging that Plaintiff's amendments would be futile because the amended
26 complaint is (1) barred by the doctrine of law of the case, (2) fails to state a claim, (3) is
27 time-barred, and (4) is untimely pursuant to the Court's scheduling order. DMTS [Doc.
28 #45]. On May 29, 2008, Plaintiff filed his Response, alleging that Aparicio

1 misrepresented the law to Plaintiff, and Plaintiff relied on those misrepresentations when
2 he entered into the Stipulation Agreement. POMTS [Doc. #52]. In Defendant's Reply,
3 filed on June 6, 2008, Defendant contested Plaintiff's allegations, asserting that Aparicio
4 did not misrepresent the law, and reasserting futility in amendment. Def.'s Reply in
5 Support of Mot. to Strike ("DRMTS ") [Doc. #62].

6 **II. PLAINTIFF'S FIRST AMENDED COMPLAINT**

7 *A. Motion for Leave to Amend*

8 Pursuant to Rule 15 of the Federal Rules of Civil Procedure, a party may
9 amend its pleadings once as a matter of course in the following time frames:

10 A. Before being served with a responsive pleading, or

11 B. Within 20 days after serving the pleading if a responsive pleading is
12 not allowed and the action is not yet on the trial calendar.

13 Fed. R. Civ. P. 15(a)(1).

14 In all other cases, a party may amend its pleadings only with opposing party's
15 written consent or the court's leave. Fed. R. Civ. P. 15(a)(2). The Court is instructed to
16 freely give leave "when justice so requires." *Id.*

17 Plaintiff filed his Initial Complaint [Doc. # 23] on June 1, 2006. The case was
18 transferred to this Court on December 17, 2007. Defendant filed its Answer to Plaintiff's
19 Initial Complaint ("Answer") [Doc. # 26] on January 3, 2008. Plaintiff lodged his First
20 Amended Complaint [Doc. #40] on April 25, 2008, nearly four months after Defendant
21 filed its Answer. Plaintiff failed to file an accompanying supporting motion to amend his
22 Complaint. On May 12, 2008, Defendant filed its Motion to Strike Plaintiff's First
23 Amended Complaint ("DMTS") [Doc. #45].

24 Because Plaintiff's First Amended Complaint was lodged after Defendant's
25 responsive pleading, Plaintiff may amend his Complaint only with the Court's leave. For
26 the reason's set forth below, the Court finds that the interests of justice do not support
27 Plaintiff filing an amended complaint. The Court, therefore, denies leave and grants
28 Defendant's Motion to Strike Plaintiff's First Amended Complaint.

1 A. *Relief from Stipulation*

2 Whether Plaintiff may amend his complaint to assert a 1985(3) conspiracy claim
3 against the Individual Defendants turns, in part, on whether Plaintiff is entitled to relief
4 from his stipulation and the court order entered thereon by the district court in the
5 Northern District of California.

6 Rule 60(b) of the Federal Rules of Civil Procedure permits, on motion and just
7 terms, relief from a final judgment, order, or proceeding, upon a showing of, in relevant
8 part, “fraud (whether previously called extrinsic or intrinsic), misrepresentation, or
9 misconduct by an opposing party.” Fed. R. Civ. P. 60(b)(3). Plaintiff did not make a
10 Rule 60 motion to relieve himself from the order entered by the district court in the
11 Northern District of California dismissing the Individual Defendants with prejudice. In
12 Plaintiff’s Response, however, he alleges that “through [Aparicio’s] deceit and
13 misrepresentations, [Plaintiff] was cajoled into signing a stipulation that [again] was
14 misrepresented by Aparicio,” and urges the Court to recognize that “[i]n the interest of
15 justice, this court cannot allow the defendant’s misrepresentations to stand.” POMTS at
16 2:11-12. [Doc. #52]. Because courts construe pro se pleadings liberally, Plaintiff’s
17 allegations of misrepresentation are treated as a Rule 60(b) motion for relief from the
18 Northern District of California district court’s order entered pursuant to the parties’
19 stipulation agreement.

20 Defendant asserts that Aparicio did not misrepresent the law, and that ADA
21 violations cannot serve as a predicate for a conspiracy claim under § 1985(3).

22 Section 1985(3) in relevant part provides:

23 (3) Depriving persons of rights or privileges

24 If two or more persons in any State or Territory conspire . . . for the
25 purpose of depriving, either directly or indirectly, any person or class of
26 persons of the equal protection of the laws, or of equal privileges and
27 immunities under the laws . . . the party so injured or deprived may have an
28 action for the recovery of damages occasioned by such injury or
deprivation, against any one or more of the conspirators.

42 U.S.C. § 1985(3).

1 It is well-established that section 1985(3) applies to non-racial bias claims only
2 when it can be shown the conspiracy was motivated by a “class-based, insidiously
3 discriminatory animus.” *Schultz v. Sundberg*, 759 F.2d 714, 718 (9th Cir. 1985)
4 (quotations omitted). The sort of “class” to which section 1985(3) speaks is one whose
5 members have been determined to be a “suspect or quasi-suspect classification requiring
6 more exacting scrutiny or [for whom] Congress has indicated through legislation that the
7 class required special protection.” *Id.*

8 Courts have explicitly held that disabled individuals do not constitute a “class”
9 within the meaning of section 1985(3). *See, e.g., D’Amato v. Wis. Gas Co.*, 760 F.2d
10 1474, 1486-87 (7th Cir. 1985) (“The legislative history of Section 1985(3) does not
11 suggest a concern for the handicapped.”); *Wilhelm v. Cont’l Title Co.*, 720 F.2d 1173,
12 1176-77 (10th Cir. 1983) (“[T]o hold that even if there could be here developed by further
13 pleading a class of handicapped persons with sufficient conditions or factors in common
14 derived from their *physical* condition to be ascertainable or identifiable, it could not come
15 within the province of 42 U.S.C. § 1985(3).”); *see also Story v. Green*, 978 F.2d 60, 64
16 (2d Cir. 1992) (“We note in passing that most authorities have not considered disability to
17 be a suspect or quasi-suspect classification.”); *Trautz v. Weisman*, 819 F.Supp. 282, 292
18 (S.D.N.Y. 1993) (recognizing that “[o]ther courts have explicitly held that disabled
19 individuals do not constitute a ‘class’ within the meaning of § 1985(3)” and citing
20 *D’Amato*, *Wilhelm* and *Green* for that proposition).

21 Although at least one court has held that a class of individuals with disabilities *may*
22 be protected under section 1985(3), it limited its reasoning to those claims arising outside
23 of the employment context. *Trautz*, 819 F.Supp. at 293. That court noted “[a] valid
24 distinction might exist for cases arising in the work setting where disability is sometimes
25 related to a person’s ability to perform a given task.” *Id.*

26 Conversely, the Ninth Circuit has reasoned that even if disabled persons constitute
27 a protected class under section 1985(3), section 1985(3) cannot serve to circumvent the
28 remedial structure of the ADA. *Sauter v. State of Nevada*, 1998 WL 196630 (9th Cir.

1 1998).³ In *Sauter v. State of Nevada*, the Ninth Circuit addressed whether ADA
2 violations can stand as a predicate to a conspiracy claim under 42 U.S.C. § 1985(3). *Id.*
3 There, the plaintiff alleged that the defendant conspired to deprive him of his rights under
4 the ADA. *See id.* at 1. The Ninth Circuit noted that the conspiracy claim implicated “an
5 animus toward a congressionally protected class,” but held that such a claim was not
6 cognizable because section 1985(3) “cannot serve as a vehicle to enforce statutory rights
7 when the statute in question has its own remedial structure.” *Id.*

8 This Court finds the Ninth Circuit’s reasoning in *Sauter* persuasive. The ADA
9 clearly does not permit claims against individual employees. *Walsh v. Nevada Dept. of*
10 *Human Res.*, 471 F.3d 1033, 1037-38 (9th Cir. 2006). To permit an aggrieved plaintiff to
11 assert a conspiracy claim against individual employees for alleged ADA violations would
12 effectively permit a plaintiff to circumvent the remedies of the ADA and the
13 congressional intent to limit liability for ADA violations to employers only.

14 Aparicio, therefore, did not misrepresent the relevant law to Plaintiff, and Plaintiff
15 is therefore bound by his stipulation to dismiss the Individual Defendants with prejudice.
16 Accordingly, Defendant’s Motion to Strike Plaintiff’s Lodged First Amended Complaint
17 [Doc. #45] is granted. Plaintiff’s Lodged First Amended Complaint [Doc. #40] is
18 stricken.

19 *B. Plaintiff’s Conspiracy Claim*

20 In addition to the procedural grounds discussed above, the Court finds that
21 Plaintiff’s conspiracy claim fails on the merits and thus amendment of his Complaint is
22 not proper. Conspiracy claims must be alleged with factual specificity. *See Karim-*
23 *Panahi v. Los Angeles Police Dept.*, 839 F.2d 621 (9th Cir. 1988). The Ninth Circuit
24 had stated that “[a] claim under [42 U.S.C. § 1985] must allege facts to support the
25 allegation that defendants conspired together. A mere allegation of conspiracy without
26

27 ³Because this case is unpublished, the Court analyzes *Sauter v. State of Nevada* only
28 for its persuasive analysis, and not for precedential value.

1 factual specificity is insufficient.” *Id.* at 626. A failure to plead with sufficient specificity
2 is grounds for dismissal for failure to state a claim upon which relief can be granted. Fed.
3 R. Civ. P. 12(b)(6).

4 In support of his conspiracy claim, Plaintiff alleges:

5 The individual named defendants knew or should have know that mental
6 disability constitutes a form of disability qualifying an employee to
7 protections under the ADA. 42 USC 12101-12117 Disparate Treatment. Each of them assisted one-another to facilitate denial of Plaintiff’s Civil
8 Rights. The individual defendants were dismissed by stipulation on all
9 causes of action, including Aiding and Abetting in the denial of Plaintiff’s
10 Civil Rights.

11 Pursuant to Title 42 USC, Chapter 21, Section 1985(3), this amended
12 complaint hereby states and clarifies that each individual is reinstated
specifically in this NEW cause of action, and shall be held accountable,
jointly and severally, to the full extent of the law. The reinstated individual
defendants, each of them, is subject to personal damages in favor of
Plaintiff under Title 42 USC, Chapter 21, Section 1986, as a Action For
Neglect To Prevent the Denial of Civil Rights sustained by the Plaintiff
during his employment.

13 Pl.’s First Amended Complaint at 6:01-13 [Doc. # 40].

14 Plaintiff’s factual allegations are insufficient and lack the required specificity to
15 state a claim. Assuming *arguendo* that Plaintiff is disabled within the meaning of the
16 ADA, Plaintiff does not allege facts to support that the Individual Defendants were
17 sufficiently aware of his disability. And even if the Individual Defendants were
18 sufficiently apprised of Plaintiff’s disability, Plaintiff does not allege facts to support
19 evidence of a conspiracy. Plaintiff does not allege any facts to support that the Individual
20 Defendants took specific actions in order to deny Plaintiff of his civil rights. Indeed,
21 Plaintiff fails to specify what actions, if any, the Individual Defendants took in that
22 regard. Instead, Plaintiff merely restates the conspiracy cause of action by alleging:
23 “[e]ach of [the Individual Defendants] assisted one-another to facilitate denial of
24 [Plaintiff’s] Civil Rights.” Pl.’s First Amended Complaint at 6:03-04 [Doc. #40].

25 Notably, this is not a case where the facts are undeveloped and additional
26 discovery could cure the defects in Plaintiff’s conspiracy count. Here, Plaintiff lodged his
27 First Amended Complaint after the completion of discovery. There are no additional
28

1 facts that reasonably could arise that would permit Plaintiff to plead his conspiracy claim
2 with sufficient specificity.

3 The conspiracy claim alleged in Plaintiff's First Amended Complaint would not
4 survive a motion to dismiss. Plaintiff's amended complaint is therefore futile. Even if
5 ADA violations may serve as a predicate for civil rights violations under 42 U.S.C. §
6 1985(3), and Plaintiff has alleged a legally cognizable claim, Plaintiff has yet to state a
7 claim upon which relief may be granted due to factual insufficiency. Defendant's Motion
8 to strike Plaintiff's Lodged First Amended Complaint [Doc. #45] is therefore granted, and
9 Plaintiff's Lodged First Complaint [Doc. #40] is stricken.

10 **III. DEFENDANT'S CROSS MOTION FOR SUMMARY JUDGMENT**

11 *A. Summary Judgment Standard*

12 A party moving for summary judgment has the initial burden to demonstrate, "with
13 or without supporting affidavits[,]" the absence of a genuine issue of material fact and
14 that judgment as a matter of law should be granted in the moving party's favor. *Celotex*
15 *Corp. v. Catrett*, 477 U.S. 317, 324 (1986) (quoting Fed. R. Civ. P. 56). A material fact is
16 genuine "if the evidence is such that a reasonable jury could return a verdict for the
17 nonmoving party." *Anderson v. Liberty Lobby, Inc.*, 477 U.S. 242, 248 (1986). The facts
18 material in a specific case are to be determined by the substantive law controlling a given
19 case or issue. *Id.*

20 Once the moving party has met the initial burden, the opposing party must "go
21 beyond the pleadings" and "set forth specific facts showing that there is a genuine
22 [material] issue for trial." *Id.* (internal quotes omitted). In opposing summary judgment,
23 Plaintiff is not entitled to rely on the allegations of his complaint, or upon conclusory
24 allegations in affidavits. Fed. R. Civ. P. 56(e); *Cusson-Cobb v. O'Lessker*, 953 F.2d
25 1079, 1081 (7th Cir. 1992). Further, "a party cannot manufacture a genuine issue of
26 material fact merely by making assertions in its legal memoranda." *S.A. Empresa de*
27 *Viacao Aerea Rio Grandense (Varig Airlines) v. Walter Kiddle & Co.*, 690 F.2d 1235,
28 1238 (9th Cir. 1982). Because Plaintiff will bear the burden of proof at trial as to some of

1 the elements essential to his case, Plaintiff can withstand Defendant's Cross-Motion for
2 Summary Judgment only by making a showing sufficient to establish a genuine issue of
3 fact regarding those elements and showing that the dispute properly may be resolved only
4 by the fact-finder because it could reasonably be resolved in favor of either party.
5 *Celotex*, 477 U.S. at 321. Plaintiff must present specific facts in support of his
6 contentions and must support these facts by proper evidentiary material, which show that
7 a fact-finder could reasonably find in Plaintiff's favor; Plaintiff cannot merely rest on his
8 pleadings. Fed. R. Civ. P. 56(e). *See also T.W. Elec. Serv., Inc. v. Pac. Elec. Contractors*
9 *Ass'n*, 809 F.2d 626, 631 (9th Cir. 1987) (citations omitted).

10 The Court is not to make credibility determinations with respect to the evidence
11 offered and is required to draw all inferences in a light most favorable to the non-moving
12 party. *T.W. Elec. Serv.*, 809 F.2d at 630-31 (9th Cir. 1987) (citing *Matsushita Elec.*
13 *Indus. Co. v. Zenith Radio Corp.*, 475 U.S. 574, 587 (1986)). Summary judgment is not
14 appropriate "where contradictory inferences may reasonably be drawn from undisputed
15 evidentiary facts[.]" *Hollingsworth Solderless Terminal Co. v. Turley*, 622 F.2d 1324
16 (9th Cir. 1980). Where a response to a motion for summary judgment is not filed, it
17 should nonetheless be denied "where the movant's papers are insufficient to support that
18 motion or on their face reveal a genuine issue of material fact." *See Henry v. Gill Indus.,*
19 *Inc.*, 983 F.2d 943 (9th Cir. 1993); *see also* LRCiv. 7.2(I).

20 ...

21 ...

22 ...

23 ...

24 ...

25 ...

26 ...

27 ...

28 ...

1 B. *Plaintiff's Claim under the Americans with Disabilities Act ("ADA")*⁴

2 The ADA prohibits discrimination against a "qualified individual with a disability
3 because of the disability of such individual in regard to job application procedures, the
4 hiring, advancement, or discharge of employees, employee compensation, job training,
5 and other terms, conditions, and privileges of employment." 42 U.S.C. § 12112(a). A
6 disability, with respect to an individual, means:

7 (A) a physical or mental impairment that substantially limits one or more of the
8 major life activities of such individual;

9 (B) a record of such an impairment; or

10 (C) being regarded as having such an impairment.

11 42 U.S.C. § 12102(2).

12 To establish a *prima facie* case under the ADA, a plaintiff must prove (1) he is
13 disabled within the meaning of the ADA; (2) he is qualified to perform the essential
14 functions of his job either with or without reasonable accommodation; and (3) he was

15 ⁴ The ADA was recently amended by the ADA Amendments Act of 2008
16 ("ADAAA"), which took effect on January 1, 2009. Pub. L. 110-325. In passing the
17 ADAAA, Congress intended to "reinstate the broad scope of the protection to be available
18 under the ADA," and explicitly overruled those cases that had "narrowed the broad scope of
19 protection intended to be afforded by the ADA" and which had, as a result, "eliminat[ed]
20 protection for many individuals whom Congress intended to protect." *Id.* Specifically, the
21 ADAAA overrules cases cited in this Order, including *Sutton v. United Air Lines, Inc.*, 527
22 U.S. 471, 119 S.Ct. 2139, 144 L.Ed.2d 450 (1999), and *Toyota Motor Mfg, Ky. Inc. v.*
23 *Williams*, 534 U.S. 184, 122 S.Ct. 681, 151 L.Ed.2d 615 (2002).

24 On Feb. 13, 2009, the Ninth Circuit declined to determine whether the ADAAA
25 applies retroactively. *Rohr v. Salt River Project Agricultural Imp. and Power Dist.*, — F.3d
26 —, 2009 WL 349798 at 1 (9th Cir. Feb. 13, 2009). On January 15, 2009, however, the
27 District Court for the Western District of Kentucky held that the ADAAA was not
28 retroactively applicable. 2009 WL 111737 at 5-6 (D.Ky. 2009). In so holding, the district
court applied the United States Supreme Court's retroactivity analysis and reasoned that
Congress's failure to address retroactivity explicitly in the terms of the ADAAA and the
similar void in the congressional record evidenced "no clear congressional intent favoring
retroactive application." *Id.* In addition, the district court emphasized that because the
ADAAA "broadens the definition of 'disability' . . . the amended Act would potentially
increase [employers'] liability for past acts." *Id.* This Court finds that the ADAAA is not
retroactively applicable and does not govern this matter.

1 terminated or subjected to an adverse employment action because of his disability.
2 *Kennedy v. Applause, Inc.*, 90 F.3d 1477, 1481 (9th Cir. 1996). If a plaintiff has met this
3 initial burden, the burden then shifts to defendant to put forward a legitimate, non-
4 discriminatory reason for its actions. *Snead v. Metro. Prop. & Cas Ins. Co.*, 237 F.3d
5 1080, 1093 (9th Cir. 2001). If the defendant articulates a legitimate, non-discriminatory
6 reason for its actions, the burden shifts again to the plaintiff to show that the defendant's
7 stated reason is no more than a pretext for discrimination. *Id.*

8 1. Qualified Individual

9 The ADA defines a "qualified individual" as "an individual with a disability
10 who satisfies the requisite skill, experience, education, and other job-related requirements
11 of the employment position such person holds or desires," 29 C.F.R. § 1630(m), and
12 "who, with or without reasonable accommodation, can perform the essential functions of
13 the employment position that such individual holds or desires." 42 U.S.C. § 12111(8); see
14 *id.* Qualification standards include the " skill, experience, education, physical, medical,
15 safety and other requirements established by a covered entity as requirements which an
16 individual must meet in order to be eligible for the position held or desired." 29 C.F.R. §
17 1630(q).

18 In some instances, employers discover evidence of employee misconduct after the
19 alleged discriminatory discharge; misconduct that would have resulted in the employee's
20 termination had the employer known of it. See, e.g., *McKennon v. Nashville Banner*
21 *Publ'g Co.*, 513 U.S. 352 (1995). In *McKennon v. Nashville Banner Publishing Co.*, the
22 United States Supreme Court held that to use "after-acquired evidence" of wrongdoing as
23 an absolute bar to relief is inconsistent with the purpose of the ADEA. *Id.* at 361-63.
24 Because the ADEA is a part of Title VII's statutory scheme to protect employees in the
25 workplace nationwide, and the ADA is also a part of that scheme, the use of after-
26 acquired evidence of wrongdoing to bar relief for an employer's act of discrimination is
27 similarly inconsistent with the purpose of the ADA. See *id.* at 357; see also *Rooney v.*
28 *Koch Air, LLC*, 410 F.3d 376, 382 (7th Cir. 2005) ("We see no distinction for [the

1 purpose of the after-acquired evidence doctrine] between an age discrimination claim like
2 the one in *McKennon* and an ADA claim.”). Consequently, after-acquired evidence
3 cannot stand as an affirmative defense to employment discrimination under the ADA.
4 *Schmidt v. Safeway Inc.*, 864 F.Supp. 991, 994-95 (D.Or. 1994) (holding that evidence
5 acquired during the discovery process that employee had failed to disclose on his
6 employment application a conviction that occurred 40 years prior did not bar plaintiff
7 from all relief under the ADA, although it could limit damages).

8 If after-acquired evidence were sufficient, standing alone, to warrant the grant of
9 partial summary judgment to a defendant on the issue of whether the plaintiff is a
10 “qualified individual” under the ADA, the after-acquired evidence would effectively
11 operate as an absolute bar to relief in the same way it would if it were a permissible
12 affirmative defense. The grant of partial summary judgment solely on the basis of after-
13 acquired evidence would, therefore, be in violation of the principles articulated in
14 *McKennon*. It stands to reason then that after-acquired evidence is not a sufficient
15 condition to warrant the grant of summary judgment for Defendant. Thus, Defendant has
16 not shown an absence of a genuine issue of material fact with regard to whether Plaintiff
17 is a “qualified individual” within the meaning of the ADA. Defendant asserts only that
18 Plaintiff was presumptively disqualified for the Sales Agent position Plaintiff held and the
19 Talent Acquisition Coordinator position he sought as a result of his felony convictions for
20 fraud and misrepresentations on his resume. Both of these facts, however, were
21 discovered after Defendant terminated Plaintiff’s employment and are thus “after-
22 acquired evidence” that cannot stand as a basis for summary judgment in favor of
23 Defendant.

24 2. Disability

25 The term ‘disability’ under the ADA means “a physical or mental impairment that
26 substantially limits one or more of the major life activities of [the] individual” or “[a]
27 record of such an impairment.” 42 U.S.C. § 12102(2); 29 C.F.R. § 1630.2(g). The term
28 “substantially limited” suggests “considerable” or “to a large degree.” *Toyota Motor Mfg.*,

1 *Ky., Inc. v. Williams*, 534 U.S. 184, 196-97, 122 S.Ct. 681, 691, 151 L.Ed.2d 615 (2002).
2 Thus, a plaintiff is “substantially limited” in a major life activity only if the plaintiff is
3 “significantly restricted as to the condition, manner, or duration under which [he] can
4 perform a major life activity” in comparison to an “average person in the general
5 population.” *Fraser v. Goodale*, 342 F.3d 1032, 1040 (9th Cir. 2003) (quoting 29 C.F.R.
6 § 1630.2(j)(1)(ii)).

7 The terms of the ADA are to be strictly construed to create a demanding standard
8 for qualifying as disabled. *Toyota*, 534 U.S. at 197, 122 S.Ct. at 691. Determining
9 whether an individual has a disability within the meaning of the ADA is, therefore, “an
10 individualized inquiry.” *Sutton v. United Air Lines, Inc.*, 527 U.S. 471, 483, 119 S.Ct.
11 2139, 2147, 144 L.Ed.2d 450 (1999). This requires the Court to consider the “nature,
12 severity, duration, and impact” of the impairment. *Fraser*, 342 F.3d at 1039.

13 Sleep constitutes a major life activity. *Head v. Glacier N.W. Inc.*, 413 F.3d 1053,
14 1060 (9th Cir. 2005); *McAlindin v. County of San Diego*, 192 F.3d 1226, 1234 (9th Cir.
15 1999). To establish a substantial limitation on the major life activity of sleeping for the
16 purpose of defeating summary judgment, all that is required is testimony alleging great
17 difficulty in sleeping. *Head*, 413 F.3d at 1060. Statements regarding sleep deprivation,
18 however, may not be “merely self-serving and must contain sufficient detail to convey the
19 existence of an impairment.” *Id.* at 1059 (citing *Fraser*, 342 F.3d at 1043-44). Moreover,
20 any impairment must be substantially limiting in light of any corrective or mitigating
21 measures. *Sutton*, 527 U.S. at 982-83, 119 S.Ct. at 2146-47 (“A person whose physical or
22 mental impairment is corrected by medical or other measures does not have an
23 impairment that presently ‘substantially limits’ a major life activity.”).

24 Here, Plaintiff has not provided sufficient detail to convey the existence of an
25 impairment that causes Plaintiff to be substantially limited in the major life activity of
26 sleep. Plaintiff states only that he suffers from an “insomnia condition” that has resulted
27 in “occupational and social impairment with occasional decrease in work efficiency and
28 intermittent periods of my inability to perform occupational tasks.” PMSJ Aff. ¶ 4 [Doc.

1 #67]. In addition, Plaintiff acknowledges that his sleep interruptions are “controlled by
2 the use of the prescribed medication Trazodone, HCL, 50MG, taken nightly.” *Id.*
3 Notably, Plaintiff does not state with specificity how many hours each night he sleeps,
4 nor how the deficiency in hours of sleep affects him. With regard to how his insomnia
5 condition affects him, Plaintiff merely restates his diagnosis for PTSD, as defined by the
6 Veterans Administration. And by Plaintiff’s own admissions, his insomnia condition
7 does not result in *substantial* limitation; rather, he states only that his PTSD results in
8 *occasional* decrease in work efficiency and *intermittent* periods of inability to perform
9 occupational tasks. Moreover, to the extent Plaintiff has demonstrated impairment by
10 PTSD, the fact that his insomnia condition is “controlled” by medication renders his
11 impairment not substantially limiting. Although Plaintiff emphasizes that “[t]he use of
12 the medication Trazodone does not cure [his] sleep interruptions, it only allows [him] to
13 compensate for the otherwise interrupted sleep,” Plaintiff has still failed to allege any
14 facts that would demonstrate that his insomnia condition is substantially limiting in light
15 of the corrective measure of medication. PMSJ at 7:6-9 [Doc. #67].

16 As with sleep, work constitutes a major life activity. 29 C.F.R. 1630.2(j)(3)
17 (addressing the “major life activity of working”); *see also Toyota* 534 U.S. at 197-98, 122
18 S.Ct. at 691 (assuming that the ability to perform manual tasks is major life activity);
19 *EEOC v. United Parcel Serv., Inc.*, 306 F.3d 794, 802 (9th Cir. 2002) (stating with regard
20 to the major life activity of working “the Supreme Court has assumed, but has not
21 decided, [working] is a major life activity”). The critical inquiry in determining whether
22 an individual is substantially limited in the majority life activity of working is whether the
23 claimant is unable to perform a variety of tasks that are of “central importance to most
24 people’s daily lives,” not whether the claimant is unable to perform the tasks associated
25 with his or her specific job. *See Toyota*, 534 U.S. at 197-98, 122 S.Ct. at 691. Thus, with
26 respect to the major life activity of working, “[t]he term substantially limits means
27 significantly restricted in the ability to perform either a class of jobs or a broad range of
28 jobs in various classes as compared to the average person having comparable training,

1 skills and ability.” 29 C.F.R. § 1630.2(j)(3)(I). Notably, “the inability to perform a
2 single, particular job does not constitute a substantial limitation in the major life activity
3 of working.” *Id.* To establish a substantial limitation in the major life activity of
4 working, it is insufficient for plaintiffs to merely submit evidence of a medical diagnosis
5 in order to prove disability. *Toyota*, 534 U.S. at 197-98, 122 S.Ct. at 691. Rather, the
6 ADA requires claimants “to prove a disability by offering evidence that the extent of the
7 limitation [caused by their impairment] in terms of their own experience . . . is
8 substantial.” *Id.* at 197-98, 122 S.Ct. at 691-92 (citing *Albertson’s, Inc. v. Kirkingburg*,
9 527 U.S. 555, 567 (1999)).

10 Here, Plaintiff had failed to put forth any facts to suggest that he is substantially
11 limited in the major life activity of working. At deposition, Plaintiff testified that without
12 medication his insomnia condition would affect his ability to work; for his PTSD displays
13 “as a sleep disorder, and I have medication to get to sleep. But without the medication I
14 have a serious sleep disorder which affects everything else in my life, working, being able
15 to be around crowds.” Plaintiff’s assertions, however, are devoid of facts to indicate the
16 extent of his limitation, if any, in terms of his own experience. In addition, because
17 Plaintiff’s insomnia condition is controlled by medication, Plaintiff is not substantially
18 limited in the major life activity of sleep or work.

19 Interrelated to working as a major life activity, interacting with others also
20 constitutes a major life activity. *Head*, 413 F.3d at 1060. To show substantial limitation
21 in interacting with others a claimant must establish that “relations with others [are]
22 characterized on a regular basis by severe problems, for example, consistently high levels
23 of hostility, social withdrawal, or failure to communicate when necessary.” *Id.*

24 Here, Plaintiff asserts generally that his PTSD manifests as “depression, anxiety,
25 cynicism and distrust of authority, anger, psychiatric or emotional numbing, reaction
26 under stress with survival tactics, negative self-image, memory impairment, emotional
27 distance in intimate relationships, survivor guilt, avoidance of activities that arouse
28 memories of the war, and flashbacks to combat experience.” PMSJ Aff. ¶ 5 [Doc. # 67].

1 As with his insomnia condition, however, Plaintiff asserts that he is “currently taking the
2 prescription medication Fluoxetine HCL 20MG, daily, to stabilize my mood, and allow
3 normal occupational and social relationships in spite of the above mentioned symptoms.”
4 *Id.* Plaintiff fails to provide specific assertions regarding his personal experience with
5 PTSD that would demonstrate that Plaintiff is substantially limited in the major life
6 activity of interacting with others. And by Plaintiff’s own admissions, his social
7 impairments are mitigated by medication, thereby rendering his impairment not
8 substantially limiting.

9 To the extent Plaintiff relies on his 30% disability rating by the Veterans
10 Administration as evidence that he is disabled under the ADA, that reliance is misplaced.
11 *See Thorn v. BAE Sys. Haw. Shipyards, Inc.*, 586 F.Supp.2d 1213, 1221 (D.Hawaii 2008).
12 Veterans Administration percent ratings attempt to quantify a decrease in a veteran’s
13 earning capacity. *See id.* The ratings “represent as far as can practicably be determined
14 the average impairment in earning capacity resulting from such diseases and injuries and
15 their residual conditions in civil occupations.” *Id.* (citing 38 C.F.R. § 4.1). The Veterans
16 Administration percent ratings, therefore, are assessed pursuant to a standard entirely
17 different from that imposed by the ADA, and are thus insufficient to create a genuine
18 issue of fact that a plaintiff is disabled under the ADA. *Id.*

19 The ADA requires Plaintiff to establish that he suffers from an impairment that
20 substantially limits a major life activity. Although Plaintiff may have demonstrated that
21 he has a history of suffering from PTSD, an impairment, Plaintiff has not demonstrated
22 that the impairment substantially limits any major life activity. Thus, Plaintiff has failed
23 to demonstrate a genuine issue of material fact regarding whether he is “disabled” under
24 the ADA, and summary judgment in Defendant’s favor on this issue is warranted.

25 ...

26 ...

27 ...

1
2 3. Discrimination

3 Under the ADA, no qualified individual with a disability “shall, by reason of such
4 disability, be excluded from participation in or be denied the benefits of such services,
5 programs or activities of a public entity, or be subjected to discrimination by any such
6 entity.” 42 U.S.C. § 12132. An employer’s obligations under the ADA are triggered
7 when “an employee or an employee’s representative [gives] notice of the employee’s
8 disability *and the desire for accommodation.*” *Downey v. Crowley Marine Serv., Inc.*,
9 236 F.3d 1019, 1023 n.6 (9th Cir. 2001) (*citing Barnett v. U.S. Air, Inc.*, 228 F.3d 1105,
10 1114 (en banc), *vacated in part on other grounds, U.S. Airways, Inc. v. Barnett*, 535 U.S.
11 391 (2002)) (emphasis added). Although Plaintiff emphasizes in his pleadings that an
12 employer has a “common sense” duty to inquire as to the full extent of an employee’s
13 impairment, if the employee has admitted such an impairment, Plaintiff’s reasoning is
14 misguided. *See* Pl.’s Reply at 8:19-20. Under the ADA, an employer must engage in an
15 interactive process with a disabled employee to determine an appropriate reasonable
16 accommodation when “an employee requests an accommodation or an employer
17 recognizes the employee needs an accommodation but the employee cannot request it
18 because of a disability.” *Zivkovic v. S. Cal. Edison Co.*, 302 F.3d 1080, 1089 (9th Cir.
19 2002) (*citing Barnett v. U.S. Air., Inc.*, 228 F.3d 1105, 1112 (9th Cir. 2000) (en banc),
20 *vacated in part on other grounds, U.S. Airways, Inc. v. Barnett*, 525 U.S. 391 (2002)).
21 Contrary to the implications of Plaintiff’s assertion, the ADA does not require an
22 employer to inquire into the extent of an employee’s disability, and Plaintiff admits as
23 much. Pl.’s Reply at 8 [Doc. # 72]. Not only is such an inquiry not required, but under
24 some circumstances it may very well be impermissible. 42 U.S.C. § 12112(d)(4) (“A
25 covered entity shall not [subject to certain exceptions] make inquiries of an employee as
26 to whether the employee is an individual with a disability or as to the nature of or severity
27 of the disability . . .”). Rather, an employer is only required to engage in an interactive
28

1 process with an employee *regarding an accommodation*, if the employer is on notice that
2 the employee suffers from a disability that substantially limits a major life activity and is
3 in need of an accommodation.

4 Here, Plaintiff failed to put Defendant on notice that Plaintiff suffered from an
5 impairment that substantially limited a major life activity and necessitated
6 accommodation. Notably, Plaintiff failed to inform Defendant that he suffered from
7 PTSD, and only vaguely mentioned to co-workers and supervising trainers that he was
8 dealing with a “mental disability” or a “stress related disability.” Plaintiffs assertions to
9 co-workers and supervising trainers were insufficient to trigger Defendant’s obligations
10 under the ADA to begin an interactive process with Plaintiff to find a reasonable
11 accommodation. Although it is disputed whether Plaintiff put Defendant on notice that he
12 was a “Disabled Veteran,” even assuming that Plaintiff did put Defendant on notice to
13 this fact, this alone is insufficient to trigger Defendant’s duties under the ADA. Although
14 Plaintiff may have checked the box “Disabled Veteran” on his POE, he also expressly
15 stated that he did not require any form of accommodation.

16 Notably, this is not a case where Plaintiff’s disability, if any, rendered Plaintiff
17 unable to notify Defendant of his disability and the need for an accommodation. Plaintiff
18 was informed at the New Hire Orientation how to access Defendant’s intranet site, and
19 acknowledged in a signed form that it was his responsibility to access that site to find out
20 more about Defendant’s policies and procedures, including Defendant’s ADA policy.
21 Plaintiff failed to access Defendant’s intranet, which would have provided Defendant
22 with information on how to inform Defendant of his disability, if any, and the need for an
23 accommodation.

24 Finally, even if Plaintiff’s POE were sufficient to notify Defendant of its
25 obligations under the ADA, Plaintiff acknowledges that he was not in need of an
26 accommodation until the final 20 days of his employment, at which time he had already
27 been put on Defendant’s Performance Improvement Plan. Consequently, by Plaintiff’s
28

own admissions, Plaintiff's performance, and not his disability, caused Plaintiff to be placed on Defendant's Performance Improvement Plan. Defendant, therefore, has demonstrated the lack of genuine issue of material fact with regard to whether Plaintiff was discriminated against on the basis of his disability, if any, and Plaintiff has failed to rebut this showing. Thus, summary judgment in Defendant's favor on this issue is warranted.

C. Plaintiff's Claims for Gross Negligence, Concurrent Negligence and Willful Infliction of Emotional Harm

Plaintiff's gross negligence, concurrent negligence, and willful infliction of emotion harm causes of action are predicated upon his ADA claim. With regard to the gross and concurrent negligence counts, Plaintiff asserts in his Initial Complaint that "Defendant . . . knew or should have know that [its] failure to comply with state and federal employment regulations would result in disparate treatment by the unacknowledged class of employees under their direct control, of which Plaintiff was a member" Pl.'s Initial Complaint at 4:12-16 [Doc. # 23]. Plaintiff further asserts in his pleadings that Defendant handled his POE negligently by failing to enter that Plaintiff identified himself as a "Disabled Veteran." Pl.'s Reply [Doc. #72]. With regard to the willful infliction of emotional harm cause of action, Plaintiff asserts in his Initial Complaint "[t]he defendant knowingly increased the job stress level on [Plaintiff] by decreasing the telephone-call volume to ensure his failure to comply with sales goals preset by the defendants" Pl.'s Initial Complaint at 5:06-08 [Doc. #23].

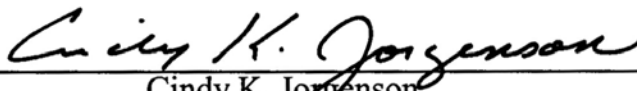
Because Plaintiff's gross negligence, concurrent negligence, and willful infliction of emotion harm causes of action are predicated upon his ADA claim, and summary judgment in Defendant's favor is warranted on the issues of "disability" and "discrimination" under the ADA, Plaintiff's gross negligence, concurrent negligence, and willful infliction of emotion harm causes of action are also without merit. Thus, summary judgment in Defendant's favor on these causes of action is also justified.

1 Accordingly, IT IS HEREBY ORDERED as follows:

- 2
- 3 1. Defendant's Motion to Strike Plaintiff's First Amended Complaint [Doc. #
- 4 45] is GRANTED.
- 5 2. Plaintiff's Motion for Partial Summary Judgment on the Issue of ADA
- 6 Qualification [Doc. # 67] is DENIED.
- 7 3. Defendant's Cross-Motion for Summary Judgment [Doc. # 69] is
- 8 GRANTED.
- 9 4. All other outstanding motions are DENIED AS MOOT.
- 10 5. The Clerk of the Court shall enter judgment accordingly and shall then
- 11 close its file in this matter.

12 DATED this 26th day of February, 2009.

13

14 
Cindy K. Jorgenson
United States District Judge

15

16

17

18

19

20

21

22

23

24

25

26

27

28