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2 IN THE UNITED STATES DISTRICT COURT
3 FOR THE DISTRICT OF ARIZONA

4 Maria Olga Ward,

5
6 Plaintiff,

7 v.

8 Carolyn W. Colvin, Acting Commissioner
of Social Security¹,

9 Defendant.

No. CV-12-00497-TUC-FRZ (BPV)

ORDER

10 Plaintiff, Maria Olga Ward, filed this action for review of the final decision of the
11 Commissioner of Social Security pursuant to 42 U.S.C. §§ 405(g). After considering the
12 record before the Court and the parties' briefing of the issues, the Court affirms
13 Commissioner's decision.

14 **I. PROCEDURAL HISTORY**

15 Plaintiff protectively filed an application for Disability Insurance Benefits ("DIB")
16 on February 25, 2009, alleging an onset of disability beginning August 12, 2008 due to a
17 fracture in her left hand that limited her ability to lift heavy items, and prevented her from
18 holding or gripping anything with her left hand. (Transcript/Administrative Record
19 ("Tr.") 125-26, 141, 146. The application was denied initially and on reconsideration. Tr.
20 100-105, 108-110. A hearing before an Administrative Law Judge ("ALJ") was held on
21 February 22, 2011. Tr. 82-99. The ALJ issued a decision on April 5, 2011, finding
22 Plaintiff not disabled within the meaning of the Social Security Act. Tr. 13-30. This
23 decision became the Commissioner's final decision when the Appeals Council denied
24 review. Tr. 1-6.

25 Plaintiff then commenced this action for judicial review pursuant to 42 U.S.C. §
26

27 _____
28 ¹ Carolyn W. Colvin became the Acting Commissioner of Social Security on
February 14, 2013, and is hereby substituted for Michael J. Astrue as the defendant in this
action, pursuant to Rule 25(d) of the Federal Rules of Civil Procedure.

1 405(g). (Doc. 1) For reasons that follow, the Court finds the final decision of the
2 Commissioner is supported by substantial evidence and is free from legal error.

3 **II. THE RECORD ON APPEAL**

4 A. Plaintiff's Background and Statements in the Record

5 Plaintiff was 50 years old at the time of the ALJ's decision with a high school
6 education and two years of college in Mexico, but an inability to communicate fluently in
7 English. Tr. 26, 86, 141, 151. Plaintiff has past relevant work as an in-home caregiver,
8 which was heavy, unskilled work as the Plaintiff actually performed it. Tr. 25, 156.

9 Plaintiff completed a questionnaire in relation to her Social Security claims stating
10 that she needs help bathing, dressing and with her shoes, and she cannot perform essential
11 household duties. Tr. 171. Plaintiff testified at her hearing before the ALJ on February
12 22, 2011, through an interpreter, that she can't use her left hand to pick things up because
13 things fall out of her hand, because it is "[w]eak, and it's painful." Tr. 90. In a typical
14 day Plaintiff described watching television, taking her dog for a walk, making breakfast,
15 sharing laundry duties, sometimes cleaning the house, showering and dressing, cooking
16 evening meals, sometimes reading the papers, and using the computer to play games. Tr.
17 90-91. She had surgery on her hand, but stated it did not help. Tr. 91. She takes
18 medications but they cause her to be tired and drowsy. Tr. 93-94. Plaintiff is right-hand
19 dominant. Tr. 86.

20 Tracy Young, a vocational expert (VE), testified in response to a hypothetical
21 question, that an individual with Plaintiff's age, education and work background, right-
22 hand dominance, with gross and fine manipulation limitations occasionally in the non-
23 dominant left hand, and five pound lift and carry restrictions in the left hand, could not
24 perform Plaintiff's past relevant work as a caregiver or as a companion. Tr. 94-95.

25 Ms. Young testified that there were jobs classified by the Dictionary of
26 Occupational Titles as sedentary, unskilled jobs that required only occasional handling,
27 reaching and feeling that the hypothetical individual could perform, including document
28 preparer or document specialist, and election clerk. Tr. 96-97. Ms. Young testified that

1 nationally there were 23,123 document specialist positions, and 14,535 election clerk
2 positions, and in Arizona there were 456 document specialist positions and 287 election
3 clerk positions. Tr. 97-98.

4 B. Medical Evidence Before the ALJ

5 1) *Treating Providers*

6 Plaintiff was examined and treated by Thomas W. Futch, M.D. (Tr. 195-96, 198-
7 201); Brandon Massey, M.D. (192-93, 389, 392, 397, 403, 411, 415, 422-24, 440-41,
8 444, 446, 492-94) and Randall S. Prust, M.D. (Tr. 495-504).

9 Plaintiff sustained an injury to her left hand on August 11, 2008, when a towel
10 dispenser fell on her hand and wrist during the course of her employment. Tr. 195-96. Dr.
11 Futch, with Concentra Medical Centers (AZ), assessed Plaintiff with a hand and wrist
12 contusion. Tr. 196. Plaintiff was prescribed Tylenol and cyclobenzaprine, and was given
13 a brace to wear. Tr. 196.

14 Dr. Massey, with the Institute for Plastic Surgery and Peripheral Nerve Surgery,
15 performed a carpometacarpal (CMC) joint arthroplasty on January 15, 2009. Tr. 440-41.
16 Dr. Massey released Plaintiff to work part-time with limitations on February 27, 2008.
17 Tr. 432. In April, 2009, Plaintiff continued to report a fairly significant amount of pain,
18 which Dr. Massey attributed to the nonabsorbable sutures remaining in Plaintiff's hand
19 after the CMC joint arthroplasty. Tr. 424. A second surgery, capsulotomy of the left
20 thumb CMC joint and removal of prominent sutures was performed on May 7, 2009. Tr.
21 422-23. On June 19, 2009, Dr. Massey noted that the motion of the left thumb was
22 significantly improved, and Plaintiff was reporting mild improvement. Tr. 415. Dr.
23 Massey released Plaintiff to work with the following limitations: no repetitive use of the
24 left hand, and no pushing, pulling or lifting more than five pounds with the left hand. Tr.
25 413. Dr. Massey imposed the same restrictions on July 17, 2009, and again on September
26 11, 24, and 25, 2009. Tr. 391 392, 396, 411. A third surgical procedure was
27 recommended by Dr. Massey, Tr. 389, 492. Plaintiff never underwent this surgery.

28 Dr. Prust, with Rincon Pain Management, examined Plaintiff on July 6, 2010. Tr.

1 503-505. He indicated his examination was very similar to that of independent medical
2 examiner's, Dr. Hayden's, *see infra*, Section B-2. Tr. 504. Dr. Prust believed Plaintiff's
3 condition could be medically managed, and prescribed Plaintiff Neurontin (gabapentin),
4 and tramadol. Tr. 504. On July 30, 2010, Dr. Prust noted that Plaintiff's medications were
5 helping with the pain tremendously, indicating that she was about "50% better overall."
6 Tr. 501. Dr. Prust recommended that she not have surgery. Tr. 495.

7 *2) Examining Physicians*

8 On December 29, 2009, John Hayden, M.D., reviewed Plaintiff's records and
9 conducted an examination of Plaintiff in relation to her industrial injury claim. Tr. 461.
10 Dr. Hayden opined that he would not recommend further left hand surgery, but would
11 recommend pain management evaluation and treatment by a pain management specialist.
12 Tr. 488. Dr. Hayden stated that Plaintiff was not capable of returning to her pre-injury
13 work, but was capable of light duty work involving only the right hand. Tr. 489.

14 *3) Non-examining State Agency Physicians*

15 LA Woodard, D.O., reviewed Plaintiff's records and completed a Physical
16 Residual Functional Capacity Assessment in November, 2009. Tr. 447. Dr. Woodard
17 diagnosed status post left CMC joint arthroplasty and opined that Plaintiff could perform
18 a wide range of light work, with the following limitations: occasionally lift 20 pounds,
19 frequently lift 10 pounds; sit, stand, or walk 6 hours in an 8 hour day; no climbing of
20 ladders, ropes or scaffolds; limited handling and fingering in the left upper extremity; and
21 avoidance of concentrated exposure to hazards. Tr. 448-451.

22 *4) The ALJ's Findings*

23 The ALJ found that Plaintiff had not engaged in substantial gainful activity since
24 the alleged onset date of August 12, 2008. Tr. 21, ¶ 2. The ALJ found that Plaintiff has
25 the severe impairment of "status post [] left hand injury with residual pain and
26 weakness." Tr. 21, ¶ 3. While these impairments do not meet or equal a listed impairment
27 (Tr. 23, ¶ 4), they do preclude Plaintiff from performing her past relevant work as an in-
28 home caregiver (Tr. 25, ¶ 6). At step five, the ALJ considered Plaintiff's RFC to perform

1 light work except that she cannot lift or carry more than 5 pounds with the left hand and
2 she is limited to occasional gross and fine manipulation with the left hand, her age,
3 education and her work experience in conjunction with the Medical-Vocational
4 Guidelines, and testimony that there are jobs that exist in significant numbers in the
5 national economy that Plaintiff can perform and concluded that Plaintiff is not disabled.
6 Tr. 23-27, ¶¶ 5, 9-11.

7 **III. DISCUSSION**

8 **A. Argument**

9 Plaintiff contends that the ALJ erred by not directing a finding of disabled for a
10 person closely approaching advanced age who cannot perform their past relevant work
11 and has only sedentary unskilled positions available to them, contrary to 20 C.F.R. pt.
12 404, Subpt. P, App. 2, (hereinafter the “Medical-Vocational Guidelines” or the “grids”).
13 (Doc. 19). The Commissioner contends that the ALJ: (1) properly found that Plaintiff
14 could perform representative occupations, and that these positions existed in significant
15 numbers in the national economy; and (2) reasonably set forth a Step Five analysis based
16 upon Medical-Vocational Rule 202.14 (pertaining to light exertion) as a framework for
17 the making of his decision. (Doc. 22). The Court finds that substantial evidence in the
18 record supports the ALJ’s decision that Plaintiff was capable of light work with
19 limitations; rejects Plaintiff’s argument that the Medical-Vocational Guidelines directs a
20 finding of disability; and finds substantial evidence in the record in the form of the VE’s
21 testimony establishes that Plaintiff could perform work that exists in significant numbers
22 in the national economy to support a finding of disability.

23 **B. Standard of Review**

24 The Court has the “power to enter, upon the pleadings and transcript of the record,
25 a judgment affirming, modifying, or reversing the decision of the Commissioner of Social
26 Security, with or without remanding the cause for a rehearing.” 42 U.S.C. § 405(g). The
27 Commissioner’s decision to deny benefits “should be upheld unless it is based on legal
28 error or is not supported by substantial evidence.” *Ryan v. Comm’r of Soc. Sec.*, 528 F.3d

1 1194, 1198 (9th Cir. 2008). In determining whether the decision is supported by
2 substantial evidence, the Court “must consider the entire record as a whole and may not
3 affirm simply by isolating a ‘specific quantum of supporting evidence.’” *Id.*

4 Whether a claimant is disabled is determined using a five-step evaluation process.
5 To establish disability, the claimant must show (1) she has not worked since the alleged
6 disability onset date, (2) she has a severe impairment, and (3) her impairment meets or
7 equals a listed impairment or (4) her residual functional capacity (RFC) precludes her
8 from performing her past work. At step five, the Commissioner must show that the
9 claimant is able to perform other work. *See* 20 C.F.R. §§ 416.920(a)-(g).

10 C. Analysis

11 The burden rests on the Commissioner at the fifth step of the analysis to
12 demonstrate that the claimant is not disabled and can engage in work that exists in
13 significant numbers in the national economy. 20 C.F.R. § 404.1520(a)(4)(v); *Lockwood v.*
14 *Comm'r of Soc. Sec. Admin.*, 616 F.3d 1068, 1071 (9th Cir. 2010). “An individual shall be
15 determined to be under a disability only if his physical or mental impairments are of such
16 severity that he is not only unable to do his previous work but cannot, considering his
17 age, education, and work experience, engage in any other kind of substantial gainful work
18 which exists in the national economy” 42 U.S.C. § 423(d)(2)(A). “[W]ork which
19 exists in the national economy” means work which exists in significant numbers either in
20 the region where such individual lives or in several regions of the country.” *Id.* In
21 determining whether the claimant retains the ability to perform other work, the ALJ may
22 refer to the Medical-Vocational Guidelines promulgated by the SSA. *See* 20 C.F.R. Pt.
23 404, Subpt. P, App.2, §200.00; *Desrosiers v. Secretary of Health and Human Services*,
24 846 F.2d 573, 576-577 (9th Cir. 1988). The grids categorize jobs according to their
25 exertional requirements such as sedentary work, light work, or medium work. 20 C.F.R.
26 pt. 404, Subpt. P, App. 2, Rule 200.00. The grids calculate whether or not the claimant is
27 disabled based on the claimant’s exertional ability, age, education, and work experience.
28 *Id.* The grids are a valid basis for denying claims where they completely and accurately

1 describe the claimant's abilities and limitations. *Tackett v. Apfel*, 180 F.3d 1094, 1101-02
2 (9th Cir. 1999). If the claimant has only exertional limitations, the claim may be resolved
3 based only on the grids. *Lounsbury v. Barnhart*, 468 F.3d 1111, 1115 (9th Cir. 2006).

4 If the claimant has significant nonexertional limitations, the grids do not apply.
5 *Penny v. Sullivan*, 2 F.3d 953, 958-959 (9th Cir. 1993). If significant nonexertional
6 limitations prevent the claimant from performing the full range of work in any exertional
7 category, the ALJ must take the testimony of a VE to deny the claim. *Burkhart v. Bowen*,
8 856 F.2d 1335, 1341 (9th Cir. 1988). If the claimant has both exertional and nonexertional
9 limitations, the ALJ must consult the grids first before considering the testimony of a VE
10 at step five. *Lounsbury*, 468 F.3d at 1115. If the grids direct a finding of disability, that
11 finding must be adopted by the Commissioner. *Lounsbury*, 468 F.3d at 1116.

12 If not, the ALJ must use the grids as a framework for consideration of how much
13 the nonexertional limitations limit the range of work permitted by the exertional
14 limitations. *Tackett*, 180 F.3d at 1102. A nonexertional impairment, if significant, may
15 limit the claimant's functional capacity in ways not contemplated by the Guidelines. *Id.* In
16 such instances, the ALJ may not rely on the grids alone for the availability of jobs, but
17 must obtain the testimony of a VE. *Id.*; *Thomas v. Barnhart*, 278 F.3d 947, 960 (9th Cir.
18 2002); *Moore v. Apfel*, 216 F.3d 864, 869-71 (9th Cir. 2000).

19 The ALJ may meet his burden at step five by asking a VE a hypothetical question
20 based on medical assumptions supported by substantial evidence in the record and
21 reflecting all the claimant's limitations. *See Valentine v. Comm'r of Soc. Sec. Admin.*, 574
22 F.3d 685, 690 (9th Cir.2009); *Thomas*, 278 F.3d at 956; *Desrosiers*, 846 F.2d at 578
23 (Pregerson, J., concurring) ("The ALJ's depiction of the claimant's disability must be
24 accurate, detailed, and supported by the medical record."). "If a vocational expert's
25 hypothetical does not reflect all the claimant's limitations, then the expert's testimony has
26 no evidentiary value to support a finding that the claimant can perform jobs in the
27 national economy." *Matthews v. Shalala*, 10 F.3d 678, 681 (9th Cir. 1993) (internal
28 quotation marks and citation omitted).

1 Plaintiff argues that the ALJ erred because the Medical-Vocational Guidelines
2 direct a finding for a claimant, like Plaintiff, who is closely approaching advanced age,
3 who cannot perform their past relevant work, and has only sedentary unskilled positions
4 available to them. (Doc. 19, at 5). Plaintiff further argues that the ALJ’s own residual
5 functional capacity “does not allow for past work or anything but unskilled, sedentary
6 work” (*Id.*). Plaintiff also asserts that there “must be light jobs available for the ALJ
7 to deny the claim of [Plaintiff].” (*Id.*). The Court finds that substantial evidence in the
8 record supports the ALJ’s decision that Plaintiff was capable of light work with
9 limitations; rejects Plaintiff’s argument that the Medical-Vocational Guidelines directs a
10 finding of disability; and finds that substantial evidence in the record in the form of the
11 VE’s testimony establishes that Plaintiff could perform work that exists in significant
12 numbers in the national economy to support a finding of disability.

13 1) Residual Functional Capacity Determination

14 The ALJ found Plaintiff had the residual functional capacity to perform light
15 work² with the exception of not lifting more than five pounds with the left hand, and
16 limitations of occasional gross and fine manipulation with the left hand. Plaintiff does not
17 assert that the ALJ erred in the RFC assessment through any error in weighing of medical
18 source statements or credibility determinations. The Court has reviewed the medical
19 evidence of record and finds that the ALJ’s RFC assessment is supported by substantial

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21 ² Light work is defined in the regulations as:

22 [L]ifting no more than 20 pounds at a time with frequent lifting or carrying
23 of objects weighing up to 10 pounds. Even though the weight lifted may be
24 very little, a job is in this category when it requires a good deal of walking
25 or standing, or when it involves sitting most of the time with some pushing
26 and pulling of arm or leg controls. To be considered capable of performing
a full or wide range of light work, you must have the ability to do
substantially all of these activities.

27 20 C.F.R. § 404.1567(b).
28

1 evidence and free of legal error.

2 2) Medical-Vocational Guidelines

3 The Medical-Vocational Guidelines are a matrix system for handling claims that
4 involve substantially uniform levels of impairment. *See* 20 C.F.R. Part 404, Subpt. P,
5 App. 2. These guidelines are commonly known as the “grids” that give a finding of
6 disabled or not disabled for various combinations of age, education, and work experience.
7 The grids provide a uniform conclusion about the availability of jobs for all persons
8 whose medical condition is categorized in the same way. *See id.*

9 The grids categorize jobs by their physical-exertional requirements and consist of
10 three separate tables, one table for each category (sedentary work, light work, and
11 medium work). *See* 20 C.F.R. Part 404, Subpt. P, App. 2, § 200.00. Each grid presents
12 various combinations of factors relevant to a claimant’s ability to find work. The factors
13 in the grids are the claimant’s age, education, and work experience. For each combination
14 of these factors, *e.g.*, fifty years old, limited education, and unskilled work experience,
15 the grids direct a finding of either disabled or not disabled based on the number of jobs in
16 the national economy in that category of physical-exertional requirements. *See* 20 C.F.R.
17 Part 404, Subpt. P, App. 2, § 200.00.

18 The grids, however, may be used only where they “completely and accurately
19 represent a claimant’s limitations.” *Tackett*, 180 F.3d at 1101; *see Lounsbury*, 468 F.3d
20 at 1115 (quoting *Tackett*, 180 F.3d at 1103); *Jones v. Heckler*, 760 F.2d 993, 998 (9th Cir.
21 1985). Accordingly, if a claimant suffers from nonexertional limitations, the ALJ may not
22 apply the grids because they are based on strength factors only. *See* 20 C.F.R. Part 404,
23 Subpt. P, App. 2, § 200.00(e); *see also* 20 C.F.R. § 404.1569a; (defining nonexertional
24 limitations as limitations that do not directly affect a claimant’s [muscular] strength).
25 “Application of the grids is not discretionary” where the claimant suffers only exertional
26 limitations. *Lounsbury*, 468 F.3d at 1115. If the person “is ‘disabled’ under the grids,
27 there is no need to examine the effect of the nonexertional limitations. But if the same
28 person is not disabled under the grids, the nonexertional limitations must be examined

1 separately.” *Id.* at 1116. *See also Desrosiers*, 846 F.2d at 576-77 (noting that a
2 sufficiently severe, nonexertional impairment may limit a claimant’s functional capacity
3 in ways not contemplated by the guidelines, rendering the guidelines inapplicable and
4 noting that pain or environmental limitations are examples of nonexertional limitations).

5 The ALJ did not err in applying the Medical-Vocational Guidelines as a
6 framework for the making of his decision. The ALJ made specific reference to the
7 Guidelines and made the appropriate findings regarding RFC, age, education, and work
8 experience. Tr. 26 (“In determining whether a successful adjustment to other work can be
9 made, the undersigned must consider the claimant’s residual functional capacity, age,
10 education, and work experience in conjunction with the Medical-Vocational Guideline ...
11 .”). He also observed that, when a claimant has nonexertional limitations, “the medical-
12 vocational rules are used as a framework for decision making,” referring to SSRs 83-12
13 and 83-14. *Id.* Then, because of Claimant's nonexertional limitations, he relied on the
14 VE's testimony to determine how much those limitations eroded the light occupational
15 base for Claimant. Tr. 26. The ALJ was guided by the VE's testimony on whether a
16 significant number of jobs exist for Claimant in the national economy. The ALJ's
17 decision-making framework is entirely in accord with Ninth Circuit case law, as set forth
18 in *Moore* and *Thomas*, *supra*.

19 3) Vocational Expert Testimony

20 When a claimant’s ability to perform a full range of a particular category of work
21 is limited, the ALJ may use the services of a VE. 20 C.F.R. § 404.1566(3). The ALJ may
22 meet his burden under step five by propounding to a VE a hypothetical that is based on
23 medical assumptions supported by substantial evidence in the record and that reflects all
24 the claimant’s limitations. *See Roberts v. Shalala*, 66 F.3d 179, 184 (9th Cir. 1995);
25 *Magallanes v. Bowen*, 881 F.2d 747, 756 (9th Cir. 1989); *see Valentine*, 574 F.3d at 690
26 (a hypothetical that fails to take into account a claimant’s limitations is defective); *Bray*
27 *v. Comm’r of Soc. Sec. Admin.*, 554 F.3d 1219, 1228-29 (9th Cir. 2009); *Widmark v.*
28 *Barnhart*, 454 F.3d 1063, 1069-70 (9th Cir. 2006). The ALJ’s depiction of the claimant’s

1 impairments must be “accurate, detailed, and supported by the medical record.” *Tackett*,
2 180 F.3d at 1101. An ALJ posing a hypothetical question to a VE “must include ‘all of
3 the claimant’s functional limitations, both physical and mental’ supported by the record.”
4 *Thomas*, 278 F.3d at 956 (quoting *Flores v. Shalala*, 49 F.3d 562, 570-71 (9th Cir. 1995));
5 *see Valentine*, 574 F.3d at 690; *Magallanes*, 881 F.2d at 756. It is, however, proper for an
6 ALJ to limit a hypothetical to only those restrictions that are supported by substantial
7 evidence in the record. *See Magallanes*, 881 F.2d at 756-57.

8 By responding to hypothetical questions, the VE testifies as to: (1) what jobs the
9 claimant would be able to perform; and (2) the availability of such jobs in the national
10 economy. *See Tackett*, 180 F.3d at 1101. If there are significant numbers of jobs either in
11 the region where the claimant lives or in several other regions of the country, then the
12 claimant is not disabled. *See* 20 C.F.R. §§ 404.1566; *see also Burkhart*, 856 F.2d at 1340
13 (holding that the VE must identify specific jobs within the claimant’s capabilities).

14 Plaintiff argues that the ALJ erred by not directing a finding of disabled for a
15 person closely approaching advanced age who cannot perform their past relevant work
16 and only has sedentary unskilled positions available to them, contrary to 20 C.F.R. pt.
17 404, Subpt P, app. II. (Doc. 19). Plaintiff’s argument seems to be that, because the lift
18 and carry, and manipulation limitations in Plaintiff’s non-dominant hand in the RFC
19 reduced the light category occupations to roughly the same level as are available for
20 sedentary work, she should have been disabled under the grids. The Court disagrees.

21 First, the Court finds that there is no evidence to support Plaintiff’s assumption
22 that, because the VE found representative occupations that Plaintiff could perform that
23 were categorized as sedentary work, that Plaintiff only had the RFC to perform sedentary
24 work. The grids direct that if someone can do light work, they can also do sedentary
25 work, unless there are additional limiting factors such as loss of fine dexterity or inability
26 to sit for long periods of time. *See*, 20 C.F.R. pt. 404, Subpart P, appendix II, 202.00.

27 Second, Plaintiff does not argue that it was error to rely on the VE’s expert
28 testimony in the first instance. The ALJ could not have made a determination before

1 relying upon the VE's testimony that Plaintiff's nonexertional limitations reduced the
2 light category occupations to the same level as are available for sedentary work; at the
3 same time, it was the VE's testimony that established that Plaintiff could perform work
4 that exists in significant numbers in the national economy to support a finding of
5 disability.

6 The Ninth Circuit addressed a similar situation in *Moore*, 216 F.3d at 870. The
7 Court considered the situation when the exact place a claimant falls on the grids is
8 difficult to determine because a claimant also suffers from nonexertional limitations,
9 placing a claimant between two places on the grid, and referring to the Commissioner's
10 policy, as well as SSR 83-12³, found the consultation of a VE appropriate. *Id.* at 870.;
11 *See e.g., Watts v. Astrue*, 2010 WL 1854075, *7 (C.D. Cal 2010)(ALJ not required to
12 return to grids or apply the grids where the vocational testimony indicates that jobs exist
13 in the national economy despite a limitation that reduces the light occupation category to

14
15 ³ SSR 83-12 states, in pertinent part:

16 2. If the exertional level falls between two rules which direct opposite
17 conclusions, i.e. "Not disabled" at the higher exertional level and
18 "Disabled" at the lower exertional level, consider as follows:

19 a. An exertional capacity that is only slightly reduced in terms
20 of the regulatory criteria could indicate a sufficient remaining
21 occupational base to satisfy the minimal requirements for a
22 finding of "Not disabled."

23 b. On the other hand, if the exertional capability is
24 significantly reduced in terms of the regulatory definition, it
25 could indicate little more than the occupational base for the
26 lower rule and could justify a finding of "Disabled."

27 c. In situations where the rules would direct different
28 conclusions, and the individual's exertional limitations are
somewhere "in the middle" in terms of the regulatory criteria
for exertional ranges of work, more difficult judgments are
involved as to the sufficiency of the remaining vocational
base to support a conclusion as to disability. Accordingly,
[VE] assistance is advisable for these types of cases.

1 roughly the same level as are available for sedentary work).

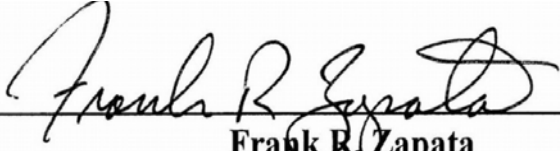
2 Thus, the ALJ in this case did exactly what the caselaw and SSR 83-12 direct him
3 to do—he consulted a VE. The VE testified that a person with Plaintiff's profile could
4 perform substantial gainful work in the economy⁴, and accordingly the ALJ found that
5 Plaintiff was not disabled. 42 U.S.C. § 423(d)(2)(A). Moreover, even assuming that the
6 evidence rationally supports Plaintiff's argument, it also clearly supports the ALJ's
7 decision to use a VE, and his subsequent ruling. "Where evidence is susceptible of more
8 than one rational interpretation, it is the ALJ's conclusion which must be upheld." *Gallant*
9 *v. Heckler*, 753 F.2d 1450, 1453 (9th Cir. 1984). The ALJ's finding that Plaintiff was not
10 disabled because substantial gainful work exists in the national economy was supported
11 by substantial evidence.

12 The court finds the final decision of the Commissioner is supported by substantial
13 evidence and is free from legal error. Accordingly,

14 IT IS ORDERED:

- 15 1. The Commissioner's decision denying benefits is affirmed.
16 2. The Clerk of the Court is instructed to enter judgment accordingly and close this
17 case.

18 Dated this 15th day of August, 2013.

19
20
21 
22 **Frank R. Zapata**
23 **Senior United States District Judge**

24
25 ⁴ The VE testified that Plaintiff could perform representative jobs totaling 37,658
26 positions nationally, or 743 positions locally. The Court finds this is a significant number
27 of positions to support a finding of disability. *See Moncada v. Chater*, 60 F.3d 521, 524
28 (9th Cir. 1995) (2,300 local and 64,000 national jobs substantial evidence supporting
denial of benefits); *Barker v. Secretary of Health and Human Serv.*, 882 F.2d 1474,
1478-79 (9th Cir.1989) (1,266 jobs significant gainful work).