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IN THE UNITED STATES DISTRICT COURT

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FOR THE DISTRICT OF ARIZONA

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Melissa Railey,

No. CV-14-02321-TUC-BGM

10

Plaintiff,

ORDER

11

v.

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Nancy A. Berryhill,

Acting Commissioner of Social Security,

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Defendant.

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Currently pending before the Court is Plaintiff’s Opening Brief (Doc. 17). Defendant filed her Brief (“Response”) (Doc. 31), and Plaintiff filed her Reply Brief (“Reply”) (Doc. 32). Plaintiff brings this cause of action for review of the final decision of the Commissioner for Social Security pursuant to 42 U.S.C. § 405(g). Compl. (Doc. 1). The United States Magistrate Judge has received the written consent of both parties, and presides over this case pursuant to 28 U.S.C. § 636(c) and Rule 73, Federal Rules of Civil Procedure.

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I. BACKGROUND

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A. Procedural History

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On July 22, 2011, Plaintiff filed a Title II application for Social Security Disability Insurance Benefits (“DIB”) alleging disability as of November 2, 2010 due to chronic back pain, diabetes, cough, obesity, asthma, and status post left knee surgery. *See*

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1 Administrative Record (“AR”) at 13, 15, 21, 28, 52–53, 61, 65–67, 74, 137, 160, 162,
2 165, 183, 202. Plaintiff’s date last insured is March 31, 2013. *Id.* at 15, 28, 53, 66, 160.
3 The Social Security Administration (“SSA”) denied this application on December 8,
4 2011. *Id.* at 13, 52–64, 78–81. Plaintiff filed a request for reconsideration, and on May
5 17, 2012, SSA denied Plaintiff’s application upon reconsideration. *Id.* at 13, 65–77, 91–
6 94. On May 21, 2012, Plaintiff filed her request for hearing. *Id.* at 13, 95. On January 9,
7 2013, a hearing was held before Administrative Law Judge (“ALJ”) Lauren R. Mathon.
8 AR at 13, 26–51. On February 1, 2013, the ALJ issued an unfavorable decision. *Id.* at
9 10–21. On March 28, 2013, Plaintiff requested review of the ALJ’s decision by the
10 Appeals Council, and on July 10, 2014, review was denied. *Id.* at 1–3, 6–7.

11 On August 29, 2014, Plaintiff filed this cause of action. Compl. (Doc. 1).
12 Plaintiff filed her Opening Brief (Doc. 17) on April 11, 2015. Defendant filed a
13 Stipulated Motion to Remand (Doc. 21), which was granted by the Court. *See* Order
14 6/17/2015 (Doc. 24). Upon remand the Appeals Council again denied review, and the
15 Parties moved to reopen this cause of action. *See* Stipulated Mot. to Reopen (Doc. 26).
16 Defendant filed her response brief upon reopening of the case, and Plaintiff subsequently
17 replied.

18 ***B. Factual History***

19 Plaintiff was thirty-eight (38) years old at the time of the administrative hearing
20 and thirty-six (36) at the time of the alleged onset of her disability. AR at 19, 31, 52–53,
21 61, 65–66, 74, 137, 160, 183, 202. Plaintiff graduated from high school and completed
22 approximately one (1) year of college. *Id.* at 19, 34–35, 76, 165. Prior to her alleged
23 disability, Plaintiff worked as a medical assistant, phlebotomist, kids club advisor,
24 teacher, and cashier. *Id.* at 35, 62–63, 75, 166, 172–79.

25 **1. Plaintiff’s Testimony**

26 **a. Administrative Hearing**

27 At the administrative hearing, Plaintiff testified that she lives with her children,
28 ages fourteen (14), eleven (11), and two (2). AR at 31–32. Plaintiff further testified that

1 she feeds and clothes her two (2) year old, as well as spends time with him, including
2 watching television. *Id.* at 32. Plaintiff also testified, however, that she seldom does his
3 laundry, and rarely cleans the house or cleans his room. *Id.* at 32–33. Plaintiff testified
4 that she does grocery shop. *Id.* When asked why she began adoption of a newborn at the
5 time that she became disabled, Plaintiff explained that the child was her great-nephew,
6 and there were no other relatives with a clean background able to take him in. *Id.* at 37–
7 38. Plaintiff further explained that her extended family gave her more help and support
8 during that time. AR at 38.

9 Plaintiff testified that the older children walk to school, and that she is able to
10 attend meetings at school for them. *Id.* at 33. Plaintiff further testified that she rarely
11 takes the children anywhere on the weekend. *Id.* Plaintiff also testified that the older
12 children have medical conditions requiring her to monitor their daily medication. *Id.* at
13 33–34. Plaintiff noted that the older children help with the household chores. *Id.* at 34.

14 Plaintiff testified that she has a high school education, plus one (1) year of college.
15 AR at 34. Plaintiff further testified that she supported herself since her alleged onset date
16 by receiving cash assistance, food stamps, and child support. *Id.* at 35. Plaintiff stated
17 that she drives a maximum of approximately three (3) days per week. *Id.* Plaintiff also
18 testified that she has not traveled anywhere beyond Tucson since her alleged onset date.
19 *Id.*

20 Plaintiff testified that her last job was in October of 2008, working as a medical
21 assistant and phlebotomist. *Id.* Plaintiff further testified that she had to stop working
22 because of her chronic cough, which prohibited her from working with patients. AR at
23 35. Plaintiff said that she had applied for medical assistant positions since then, despite
24 her cough, because it was the only position for which she was trained. *Id.* at 35–36.
25 Plaintiff also stated that she had also worked as a certified nurse’s assistant, as a childcare
26 worker, and in retail. *Id.* at 36. Plaintiff testified that she can stand for approximately
27 five (5) to ten (10) minutes at a time, and can walk for approximately one half of a block
28 before needing to rest. *Id.* at 36. Plaintiff further testified that walking and standing

1 results in shortness of breath and severe lower back pain. *Id.* at 37. Plaintiff also testified
2 that she could sit in a chair for approximately three (3) to five (5) minutes before needing
3 to change positions, but noted that her chronic cough was an impediment to performing
4 any work on the telephone. AR at 37.

5 Plaintiff testified that she sees Drs. Sakali and LaHood, asthma and allergy
6 specialists, for treatment of her cough. *Id.* at 38–39. Plaintiff indicated that her treatment
7 originally involved allergy shots; however, she was allergic to the shots, so she was
8 prescribed two (2) different inhalers, a nebulizer, and a nasal spray. *Id.* at 39. Plaintiff
9 described Nurse Practitioner McLeod as her primary care physician, and confirmed that
10 she was still treating with Drs. Raysford and Gorman. *Id.*

11 **b. Administrative Forms**

12 Plaintiff completed a Function Report—Adult in this matter. AR at 165–71.
13 Plaintiff described her medical conditions as “[a]rthritis in lower back, asthma, allergies,
14 diabetes, etc.” *Id.* at 165. Plaintiff noted her last day of work as October 1, 2007, and
15 attributed her stopping work to her medical conditions. *Id.* Plaintiff reported her highest
16 grade of school completed as one (1) year of college. *Id.* Plaintiff listed her prior work
17 history as including medical assistant, child care, certified nursing assistant, cashier, and
18 beauty advisor. *Id.* at 166. Plaintiff reported her medications as Advair Diskus, altrovent
19 inhaler, Folic acid, Glimepiride, Hydroxyzine pamoate, Intal inhaler, LANTUS/Insulin,
20 Metformin, omeprazole, and pain medication. AR at 168.

21 Plaintiff also completed an Exertional Daily Activities Questionnaire. *Id.* at 180–
22 82. Plaintiff reported that she lived in a house with her children. *Id.* at 180. Plaintiff
23 described her average day as waking up, getting her children up for school, eating
24 breakfast, taking her medication, and waiting for a family member or friend to come over
25 and help her with the baby, laundry, and cleaning. *Id.* Plaintiff also stated that during a
26 typical day she would take a nap for approximately thirty (30) minutes. *Id.* Plaintiff
27 further reported that she would help her children with their homework, make dinner when
28 she could, watch television or read with the kids, try to walk outside, come home, take a

1 shower, and try to get some rest. AR at 180.

2 Plaintiff described her symptoms to include “[s]hortness of breath, when [she]
3 walk[s] a short distance[;] [b]ack pain when[] [she] walk[s], sit[s], lay[s] down, [a] lot of
4 time it takes [her] about 10–15 min[utes] to get out of the bed[;] [a]llergies stayed flarred
5 [sic] up witch [sic] is what causes [her] to have a crhonic [sic] cough, and make[s] it
6 harder on [her] asthma.” *Id.* Plaintiff stated that she “tr[ies] to walk [a]bout a block
7 before [she] [is] short of breath[,] [and] [it] takes [her] about 30 min[utes] to go around
8 the block[.]” *Id.* Plaintiff further stated that she “can lift thigs [sic] that hardly have any
9 weight to [them][,] [and] [] can carry the baby back pack[,] [but] lifting a gallon [of] milk
10 can most of [the] time make the pain in [her] back sharper[.]” *Id.* at 181. Plaintiff
11 indicated that she can “sometimes” perform household chores, including folding laundry
12 while seated, and cooking meals. *Id.* Plaintiff noted, however, that standing during
13 cooking “cause[s] excruciating pain in [her] back[.]” AR at 181. Plaintiff also reported
14 that she has difficulty finishing housework and chores, because she “can do very little
15 before [] get[ting] shortness of breath and [her] back [] hurting excruciating[ly].” *Id.*

16 Plaintiff stated that she does drive, but can only do so for approximately twenty
17 (20) minutes, “before [her] back starts hurting really ba[d].” *Id.* Plaintiff noted that she
18 tries to walk, but can only go about “a block before [she] [is] out of breath [and her] back
19 hurting[.]” *Id.* Plaintiff reported that her ability to do chores or activities has changed
20 since becoming disabled. *Id.* Further, Plaintiff indicated that she sleeps approximately
21 three (3) to four (4) hours per night, and requires periodic rests or naps during the day,
22 “[d]epending on the sharpness of pain and shortness of breath.” AR at 181. Plaintiff
23 listed her medication to include Rena-vite, B-12, omeprazole, Vitamin D₃, codeine
24 sulfate, Balclofen, and ADIPEX-P. *Id.* at 182.

25 With her appeal forms, Plaintiff completed a check box form indicating her
26 abilities. *Id.* at 200. Regarding caring for pets or other people, Plaintiff indicated that she
27 could not carry heavy bags of food; bend down to feed and water the pets; pick up her
28 children; or play with them of the floor. *Id.* Plaintiff further indicated that she could pick

1 up toys and care for other people “only sometimes.” *Id.* Regarding her personal care,
2 Plaintiff reported that she never slept well, and could “only sometimes” button and zip
3 her clothing; put on socks and shoes; stand in the shower; wash her back, feet, and hair;
4 and clean herself after using the toilet. AR at 200. Regarding cooking, Plaintiff indicated
5 that she could not stand for long periods of time in front of the stove or sink; reach up
6 high, or bend down low; or lift and carry heavy, hot items. *Id.* Plaintiff noted that she
7 could understand and follow recipes or other written instructions; use knives to prepare
8 food; and wash dishes without dropping and breaking them “only sometimes.” *Id.*
9 Regarding cleaning her living space, yard, and clothes, Plaintiff reported that she could
10 never use a broom, mop, and vacuum cleaner; clean more than one room at a time
11 without resting; move furniture; carry heavy laundry baskets; lift wet clothes out of the
12 washer; or bend to put clothes in the dryer. *Id.*

13 Plaintiff reported that regarding driving and getting around she could drive herself
14 to appointments or without limitations only sometimes, and could never sit for long
15 periods of time; take a trip without stopping frequently to get out of the car; or take a bus
16 by herself. *Id.* at 201. Regarding shopping for groceries, Plaintiff indicated that she
17 could never walk for long periods of time without resting; take heavy bags out of the car
18 and load them into the car; carry heavy bags into the house and put the things away;
19 handle lots of people around her; or stand in line for long periods of time. AR at 201.
20 Additionally, Plaintiff indicated that she could only sometimes get up and walk again
21 after resting just a few minutes. *Id.* In addressing cognitive and emotional problems,
22 Plaintiff reported that she could only sometimes remember when to pay the bills;
23 remember her appointments; follow spoken instructions; pay attention or concentrate;
24 always understand what is going on; finish things that she started; handle changes in
25 routine; and accepting criticism. *Id.* Plaintiff reported not being able to do her favorite
26 hobbies or use her hands to type for long periods of time, and only sometimes able to use
27 her hands to pick up and use small items. *Id.* Finally, Plaintiff noted that she could no
28 longer do the social activities that she used to enjoy or go places by herself, and only

1 sometimes gets along with people. *Id.*

2 Additionally, Plaintiff completed a Work History Report. AR at 172–79. Plaintiff
3 listed her jobs prior to the alleged onset of her disability to include medical
4 assistant/phlebotomist, beauty advisor, kids club advisor, teacher, cashier, crossing guard,
5 and bus monitor. *Id.* at 172. Plaintiff reported that as a medical assistant/phlebotomist
6 she would “greet patients[;] take vitals[;] take or assist [patient] to assigned room[;]
7 collect history notes[;] give [patient] shots as request[ed] by physician[;] assist [doctor] in
8 minor surgeries[;] [and] draw blood [for lab work][.]” *Id.* at 173. Plaintiff further
9 reported that this job required machines, tools, or equipment; technical knowledge or
10 skills; and that she wrote or completed reports. *Id.* Plaintiff also reported that she
11 walked, stood, or stooped, for approximately eight (8) hours per day. *Id.* Plaintiff
12 indicated that she also occasionally lifted patients onto the table, and that she also carried
13 boxes of supplies from the supply room to the patient rooms. AR at 173. Plaintiff noted
14 that the heaviest weight she lifted was fifty (50) pounds, and she frequently lifted less
15 than ten (10) pounds. *Id.*

16 Plaintiff reported that as a beauty advisor she performed customer service, ran a
17 cash register, and set up displays. *Id.* at 174. Plaintiff further reported that in this
18 position she used machines, tools, or equipment; technical knowledge or skills; and wrote
19 or completed reports. *Id.* Plaintiff also reported that in this position she walked; stood;
20 kneeled; crouched; crawled; handled big objects; and stooped for at least half her work
21 day. *Id.* Plaintiff indicated that in addition to working the cash register, she packaged
22 products, restocked shelves, and retrieved products for customers. Plaintiff reported that
23 the heaviest weight she lifted was fifty (50) pounds, and she frequently lifted less than ten
24 (10) pounds. AR at 174.

25 Plaintiff reported that as a kids club advisor she provided coordinated activities
26 and projects for children, as well as played with them and maintained a safe environment
27 for them while their parent worked out at the fitness club. *Id.* at 175. Plaintiff described
28 her job as requiring the use of machines, tools, or equipment; technical knowledge or

1 skills; and writing or completing reports. *Id.* Plaintiff further reported the job required
2 her to walk; stand; sit; stoop; kneel; crouch; crawl; handle both large and small objects;
3 and reach. *Id.* In this position, Plaintiff regularly lifted infants and toddlers. *Id.* Plaintiff
4 reported that in this position the heaviest weight she lifted was fifty (50) pounds, and she
5 frequently lifted twenty-five (25) to fifty (50) pounds. AR at 175.

6 Plaintiff described her position as a teacher as “car[ing] for and teach[ing] children
7 and toddlers, prep[aring] them for kindergarten[.]” *Id.* at 176. Plaintiff noted that she
8 worked with children between two (2) and five (5) years of age, and that this work also
9 required her to potty train some children. *Id.* Plaintiff reported that this job required the
10 use of machines, tools, or equipment; technical knowledge or skills; and writing or
11 completing reports. *Id.* Plaintiff indicated that the job required her to walk; stand; sit;
12 stoop; crouch; handle, grab or grasp both large and small objects; and reach. *Id.* Plaintiff
13 reported that she carried toddlers, as well as toys, books, tables, and other equipment on a
14 daily basis. AR at 176. Plaintiff stated that the heaviest weight she lifted was
15 approximately fifty (50) pounds, and that she frequently lifted between twenty-five (25)
16 and fifty (50) pounds. *Id.*

17 Plaintiff described her position as a cashier as taking customer orders; collecting
18 money for those orders; preparing meals; stocking items; and cleaning, including
19 sweeping and mopping the dining area, cleaning windows, and cleaning restrooms. *Id.* at
20 177. Plaintiff reported that this job required the use of machines, tools, or equipment;
21 technical knowledge or skills; and writing or completing reports. *Id.* Plaintiff further
22 reported that the job required her to walk; stand; stoop; kneel; crouch; reach; and handle
23 small objects frequently and large objects only occasionally. *Id.* Plaintiff noted that she
24 lifted meals, sometimes delivering them to the customer’s table; carried large tea
25 dispenser from the lobby to the back of the restaurant; and carried frozen food items from
26 the walk-in freezer to the cooking area. AR at 177. Plaintiff indicated that the heaviest
27 weight she lifted was approximately twenty (20) pounds, and that she frequently lifted ten
28 (10) pounds or less. *Id.*

1 Plaintiff described her position as a cashier, stocker, and beauty advisor as running
2 the cash register, stocking items, performing an inventory, revising shelves, and customer
3 service. *Id.* at 178. Plaintiff reported that this position required the use of machines,
4 tools, or equipment; technical knowledge or skills; and writing or completing reports. *Id.*
5 Plaintiff also reported that the job required her to walk, stand, and stoop frequently. *Id.*
6 Plaintiff noted that she was also required to kneel, crouch, crawl, reach, and handle large
7 and small objects, with varying frequency. AR at 178. Plaintiff indicated that she was
8 required to carry items to the register or move them to other areas of the store. *Id.*
9 Plaintiff reported the heaviest weight she lifted as fifty (50) pounds, and that she
10 frequently lifted twenty-five (25) pounds or less. *Id.*

11 **2. Vocational Expert Kathryn Atha's Testimony**

12 Ms. Kathryn A. Atha testified as a vocational expert at the administrative hearing.
13 AR at 13, 40–50. Ms. Atha described Plaintiff's past work in a retail store as a beauty
14 advisor as a salesperson of cosmetics and toiletries, Dictionary of Occupational Titles
15 ("DOT") number 262.357-018, as light work, semi-skilled, and a Specific Vocational
16 Preparation ("SVP") of 4. *Id.* at 40. Ms. Atha described Plaintiff's past work as a retail
17 sales clerk, DOT number 290.477-014, light work, and an SVP of 3. *Id.* Ms. Atha
18 described Plaintiff's past work of a nurse assistant, DOT number 355.674-014, as
19 medium work, SVP of 4, and semi-skilled. *Id.* at 41. Ms. Atha described Plaintiff's past
20 work of medical assistant as DOT number 079.362-010, light work, SVP of 6, and
21 skilled, and phlebotomist as DOT number 079.364-022, also light work, and an SVP of 3.
22 *Id.* Ms. Atha described Plaintiff's past work as a child daycare center worker as DOT
23 number 359.677-018, light work, semi-skilled, and an SVP of 4. AR at 41. Ms. Atha
24 also discussed Plaintiff's past work as a school crossing guard and school bus monitor;
25 however, neither of these were full-time employment. *Id.* at 41–43.

26 The ALJ asked Ms. Atha about a hypothetical individual with the same age,
27 education, and vocational background as Plaintiff. *Id.* at 43. The ALJ then asked Ms.
28 Atha to describe any past work or other work for such an individual, with the additional

1 limitations of being able to “lift and/or carry 50 pounds occasionally, 25 pounds
2 frequently; can stand and/or walk six hours in an eight hour day; postural limitations are
3 never climb ladders, ropes, scaffolds; can frequently climb ramps, stairs, balance, stoop,
4 kneel, crouch and crawl[,] [a]nd environmental limitations are avoid concentrated
5 exposure to cold, heat, vibration, fumes, odors, dust, gases, poor ventilation[,] and
6 hazards.” *Id.* at 43–44. Ms. Atha testified that such an individual would be able to do the
7 jobs represented by Plaintiff’s past relevant work. *Id.* at 44. The ALJ posed another
8 hypothetical encompassing the previous one, but with the additional limitation of light
9 work. AR at 44. Ms. Atha testified that all of Plaintiff’s past relevant work could be
10 performed, with the exception of nurse assistant. *Id.* The ALJ then modified the light
11 work limitation to sedentary work. *Id.* Ms. Atha testified that such an individual could
12 work as an appointment clerk, DOT number 237.367-010, semi-skilled, an SVP of 3, and
13 sedentary. *Id.* Ms. Atha also indicated that a receptionist, DOT number 237.367-0368,
14 an SVP of 4, and sedentary, would be available to such an individual. *Id.* at 44–45.

15 The ALJ also re-asked Ms. Atha each hypothetical, but included the additional
16 limitation on avoiding working with the public. AR at 45–47. For medium exertional
17 level jobs, Ms. Atha testified that Plaintiff could work as a kitchen helper, DOT number
18 318.687-010, with an SVP of 2. *Id.* at 45–46. Ms. Atha also testified that Plaintiff could
19 be a warehouse worker, DOT number 922.687-058, medium work, with an SVP of 2. *Id.*
20 at 46. Regarding possible jobs at the light exertional level, again avoiding the public, and
21 with all prior restrictions, Ms. Atha testified that Plaintiff could work as a hotel maid,
22 DOT number 323.687-014, unskilled, with an SVP of 2, or as a production assembler,
23 DOT number 706.687-010, with an SVP of 2. *Id.* For such a hypothetical individual, but
24 limited to sedentary work and avoiding the public, Ms. Atha testified that Plaintiff could
25 work as a toy stuffer, DOT number 761.685-014, with an SVP of 2, or as a nut sorter,
26 DOT number 521.687-086, also with an SVP of 2. *Id.* at 47.

27 The ALJ posed a final question regarding possible work for a hypothetical
28 individual with the same age, education, and vocational background as Plaintiff, and the

1 “[a]bility to stand during an eight hour period, two hours or less; ability to walk at one
2 time before needing to stop, less than one block; never carry 10 pounds; never carry 20
3 pounds[,] . . . [and sitting for] 15 minutes to 30 minutes or less[,] [but] . . . [without a]
4 capacity maximum during the day of sitting.” AR at 48. Ms. Atha testified that she
5 needed more information in order to form an opinion regarding such an individual. *Id.*

6 Plaintiff’s counsel asked Ms. Atha about the availability of jobs for a hypothetical
7 person as described in the ALJ’s final hypothetical, but with the limitation that such an
8 individual could “sit for the entire day, but she can sit at one time for less than 15 to 30
9 minutes and then she needs to take a five minute[] break and then go back to sitting, but
10 primarily she’ll be sitting.” *Id.* at 49. Ms. Atha testified that the sedentary, unskilled jobs
11 of toy stuffer and nut sorter would be available to such a person. *Id.* at 49–50. Ms. Atha
12 further testified that her testimony was consistent with her personal training and
13 experience, as well as her interpretation of the Dictionary of Occupational Titles. *Id.* at
14 50.

15 **3. Plaintiff’s Medical Records**

16 **a. Treatment records**

17 On October 28, 2008, Plaintiff was seen by Nabeeh N. LaHood, M.D. at Allergy,
18 Asthma Associates, P.C. upon referral by NP McLeod. AR at 259–60. Plaintiff was seen
19 regarding her allergies, and Dr. LaHood’s physical examination of her was unremarkable.
20 *Id.* at 259. Dr. LaHood noted his impression that Plaintiff had “allergic rhinitis, allergic
21 conjunctivitis, asthma, atopic dermatitis, and questionable food allergy.” *Id.* at 259–60.
22 Dr. LaHood performed a pulmonary function tests on Plaintiff before and after a
23 bronchodilator, both of which were within normal limits. *Id.* at 260. Dr. LaHood also
24 performed food and aeroallergy testing on Plaintiff. *Id.* Dr. LaHood reported that food
25 testing revealed Plaintiff to be positive to cherry, egg white, peanut, soybean, trout fish,
26 codfish, grapefruit, pecan, tuna fish, cabbage, cucumber, avocado, and hazelnut. AR at
27 260. Additionally, “[t]he aeroallergy testing revealed that [Plaintiff] was strongly allergic
28 to all of the grasses, trees[,] and many weeds and molds she was tested for in addition to

1 cat dander and cockroach.” *Id.* Dr. LaHood prescribed Flonase, Advair, prednisone, and
2 Atarax, as well as starting Plaintiff on allergy immunotherapy. *Id.*

3 On November 11, 2008, Plaintiff saw Dr. LaHood for her allergies. *Id.* at 258.
4 Dr. LaHood noted that Plaintiff “stated that she ha[d] been doing fairly well.” *Id.* Dr.
5 LaHood further reported that Plaintiff’s atopic dermatitis had been stable. AR at 258.
6 Additionally, he advised her to continue the allergy immunotherapy and the medications
7 he had prescribed. *Id.*

8 On January 29, 2009, Plaintiff saw Dr. LaHood regarding her allergies, and
9 reported that she “ha[d] been having a cough for a couple of months[,] . . . [and] was
10 started on Z-Pak [the previous day.]” *Id.* at 257. Dr. LaHood noted his impression that
11 Plaintiff “ha[d] asthma, allergic rhinitis, allergic conjunctivitis[,] and resolving
12 bronchitis.” *Id.* Plaintiff underwent pulmonary function tests before and after a
13 bronchodilator which were within normal limits. *Id.* Dr. LaHood “advised her to take
14 GE reflux measures[,] [and] . . . started her on Atrovent two puffs three times per day to
15 improve her cough secondary to GE reflux, [and] Singulair 10 mg every evening.” AR at
16 257. Dr. LaHood also informed Plaintiff to continue the allergy immunotherapy. *Id.*

17 On February 4, 2009, Plaintiff was seen by Sam E. Sato, M.D. for an
18 ophthalmological evaluation. *Id.* at 300–05. Plaintiff reported a history of her right eye
19 wandering out. *Id.* at 301. Plaintiff further reported having tried glasses and eye
20 exercises in the past without improvement. *Id.* Plaintiff stated that her condition causes
21 eyestrain when trying to read, and she sees double. AR at 301. Dr. Sato noted that
22 Plaintiff’s external exam was normal; however, corrective surgery was required. *Id.* Dr.
23 Sato also noted his concern that Plaintiff may develop glaucoma based on her
24 asymmetrical optic nerve cups. *Id.*

25 On April 24, 2009, Plaintiff underwent surgery for Exotropia at Camp Lowell
26 Surgery Center. *Id.* at 230, 316, 318. Sam Sato, M.D. performed a five (5) millimeter,
27 bilateral, lateral rectus recession. *Id.* at 230–48, 318–19. The surgery was unremarkable,
28 and Plaintiff was discharged home the same day. AR at 244.

1 On May 14, 2009, Plaintiff returned to see Dr. LaHood for a follow-up. *Id.* at 256.
2 Dr. LaHood noted that Plaintiff stated the she “feels better since she has been on
3 immunotherapy with decreased congestion and mild sneezing[,] [and] [s]he [has] also
4 noticed a significant decrease in her postnasal drip and no coughing.” *Id.* Dr. LaHood’s
5 physical examination of Plaintiff was unremarkable, and he noted his impressions of
6 asthma, allergic rhinitis, and allergic conjunctivitis. *Id.* Dr. LaHood outlined his
7 treatment plan for Plaintiff as continuing “immunotherapy per protocol and Advair
8 Diskus 250/50 once a day[,] . . . [as well as] Intal twice a day and albuterol as needed for
9 shortness of breath.” *Id.* Plaintiff also saw Dr. Sato for a follow-up the same day. AR at
10 298–99. Dr. Sato that Plaintiff’s eyes were better than prior to surgery; however, she still
11 had intermittent exotropia. *Id.* at 299.

12 On June 11, 2009, Plaintiff again followed-up with Dr. Sato. *Id.* at 296–97. Dr.
13 Sato noted that Plaintiff was a glaucoma suspect due to “an enlarged cup-to-disk ratio and
14 ocular hypertension.” *Id.* at 296. Plaintiff reported that her right eye was still drifting,
15 and she did not have glasses. *Id.* Dr. Sato contemplated a right medial rectus resection.
16 AR at 296.

17 On July 30, 2009, Plaintiff followed-up with Dr. Sato. *Id.* at 294–95. Plaintiff
18 reported that she still sees her eyes drifting and is still getting image jump and eyestrain.
19 *Id.* Dr. Sato noted that they were considering additional eye muscle surgery. *Id.*

20 On September 18, 2009, Plaintiff saw Bobbie Jo Smalley, O.D. *Id.* at 291, 293.
21 Plaintiff reported severe headaches with increased exotropia. *Id.* at 293. Dr. Smalley
22 recommended a consecutive eye muscle surgery, but wanted Plaintiff to follow-up with
23 Dr. Sato for his recommendation. AR at 291. On September 30, 2009, Plaintiff was seen
24 by Dr. Sato who discussed the medial rectus resection procedure in detail. *Id.* at 292.

25 On October 12, 2009, Plaintiff saw Dr. Smalley on an emergency basis. *Id.* at
26 290. Plaintiff reported that she had been seen in the emergency department, because her
27 eye had started to get swollen and very painful. *Id.* at 290. Plaintiff further reported that
28 she had been told that she had herpes in her eye, and was given a prescription which are

1 insurance did not cover. *Id.* On October 14, 2009, Plaintiff returned for her two day
2 follow-up regarding the right herpetic blepheral cellulitis. AR at 287, 289. Dr. Smalley
3 had prescribed Vigamox drops, bacitracin ointment, and a course of Acyclovir. *Id.* at
4 287. Dr. Smalley reported that Plaintiff's right eyelid was markedly improved. *Id.* On
5 October 20, 2009, Plaintiff again followed up regarding her right herpetic blepheral
6 cellulitis. *Id.* at 288. Dr. Smalley reported that she was doing much better, with only
7 mild symptoms. *Id.*

8 On November 12, 2009, Plaintiff returned to Dr. LaHood "with complaints of a
9 cough, postnasal drip, sinus pressure headaches, and occasional heartburn." AR at 255.
10 Dr. LaHood noted that Plaintiff "stopped her immunotherapy secondary to her current
11 reactions." *Id.* Dr. LaHood's physical examination of Plaintiff was unremarkable, and
12 he noted his impressions to include "allergic rhinitis, allergic conjunctivitis, GERD, and
13 asthma." *Id.* Dr. LaHood "recommended [Plaintiff] to use nasal steroids and
14 antihistamines." *Id.* Dr. LaHood also prescribed Clarinex instead of over-the-counter
15 antihistamines, and continued Plaintiff's use of Advair Diskus 250/50 and Singulair, with
16 the addition of omeprazole. *Id.* On November 20, 2009, Plaintiff returned to Camp
17 Lowell Surgery Center for a four (4) millimeter, bilateral, medial rectus recession. AR at
18 211–29, 284–86, 317. Dr. Sato again performed the surgery, and Plaintiff was discharged
19 home the same day. *Id.* at 211, 225, 285, 317.

20 On December 2, 2009, Plaintiff returned to Dr. Sato for a postoperative follow-up.
21 *Id.* at 282–83. Plaintiff reported or double vision improved. *Id.* at 282. On December
22 16, 2009, Plaintiff again saw Dr. Sato. *Id.* at 280–81. Dr. Sato noted that Plaintiff was
23 doing much better overall, and was pleased with the results. *Id.* at 280. Dr. Sato further
24 reported that Plaintiff's I looked good, she no longer had double vision, but did feel some
25 pulling medially. AR at 280. On the same date, Plaintiff was seen by Kathleen McLeod,
26 F.N.P., for an annual exam. *Id.* at 331–33. Plaintiff reported that her eye surgeries had
27 been successful, but complained of being constantly tired, and suffering low back pain.
28 *Id.* at 331. Upon examination, NP McLeod noted Plaintiff was positive for fatigue, ear

1 pain, sore throat with swollen right tonsil, postnasal drip, and cough with dark
2 yellow/green phlegm. *Id.* at 331–32. NP McLeod ordered lab work, and instructed
3 Plaintiff to exercise and follow a low fat diet. *Id.* at 332–33. On December 18, 2009,
4 Plaintiff returned to NP McLeod complaining of earache and sore throat. AR at 334–35.
5 NP McLeod assessed an upper respiratory infection and pharyngitis. *Id.* at 334. NP
6 McLeod prescribed azithromycin and pseudoephedrine, and recommended zinc, rest, and
7 fluids. *Id.* at 334–35. On December 22, 2009, Plaintiff was seen by Dr. Sato, who
8 removed medially exposed sutures from her eye. *Id.* at 314–15.

9 On January 4, 2010, Plaintiff followed-up with Dr. Sato regarding the exposed
10 suture removal. *Id.* at 312–13. Dr. Sato’s notes were otherwise unremarkable. AR at
11 312–13. On January 12, 2010, Plaintiff returned to Dr. Sato for a follow-up post suture
12 removal. *Id.* at 310–11. Plaintiff reported some redness, no change, no pain, no irritation
13 currently, but had experienced “a little” scratchiness, small migraines and a feeling of
14 “pressure.” *Id.* at 310. Dr. Sato ordered baseline testing. *Id.* at 311. On January 15,
15 2010, Plaintiff was seen by NP McLeod to review her laboratory results. *Id.* at 336–39.
16 NP McLeod assessed diabetes and cough, referring Plaintiff to diabetic education and to
17 an ENT consult. AR at 338–39. NP McLeod prescribed Metformin and Albuterol. *Id.* at
18 339. On January 23, 2010, Plaintiff again followed-up with Dr. Sato. *Id.* at 308–09.
19 Plaintiff reported feeling an irritation, possibly a suture, without pain. *Id.* at 308. Dr.
20 Sato noted a sub-conjunctival cyst, which he removed and cauterized in the office. *Id.* at
21 309. On January 28, 2010, Plaintiff returned to Dr. Sato post cyst removal. AR at 278–
22 79. Some continued redness, but also improvement were noted; however, Plaintiff had to
23 leave after initial intake. *Id.* at 276, 278. On January 29, 2010, Plaintiff followed up with
24 NP McLeod regarding her diabetes. *Id.* at 340–41. Plaintiff had no questions regarding
25 diabetes; however, complained of her face feeling hot, feeling like she has a cold without
26 symptoms, no energy, difficulty stopping bleeding after stepping on a tack, and
27 intermittent paresthesias in both legs and feet. *Id.* NP McLeod’s examination of Plaintiff
28 was unremarkable. *Id.* at 341.

1 On February 1, 2010, Plaintiff returned to Dr. Sato to complete her follow-up post
2 cyst removal. AR at 276–77. Dr. Sato noted that Plaintiff was “finally doing well[,]”
3 with no significant issues at the time of the appointment. *Id.* at 277. On February 5,
4 2010, Plaintiff was seen by A. J. Emami, M.D., FACS at Valley ENT, upon referral by
5 NP McLeod. *Id.* at 251. Dr. Emami reported that Plaintiff “had a significant degree of
6 problems with chronic cough for the last two years.” *Id.* Dr. Emami further noted that
7 she had “tried all types of allergy medications as well as omeprazole and cough syrup
8 without any significant improvement.” *Id.* Dr. Emami also noted that Plaintiff had some
9 shortness of breath, had been on multiple different inhalers, as well as allergy shots. AR
10 at 251. Dr. Emami’s examination of Plaintiff was unremarkable, and he noted that there
11 was no “need for any significant ENT intervention[.]” *Id.* On February 23, 2010,
12 Plaintiff again followed-up with Dr. Sato. *Id.* at 272–75, 320–27. Plaintiff reported her
13 right eye was drifting more when tired, and that she was experiencing an increased
14 pressure on the eye. *Id.* at 272, 274. Dr. Sato also performed glaucoma screening tests in
15 light of Plaintiff’s risk. *Id.* at 272, 320–27. Dr. Sato noted that Plaintiff did not have
16 glaucoma, and here screening tests were stable. AR at 272.

17 On March 3, 2010, Plaintiff followed-up with Dr. LaHood. *Id.* at 254. Plaintiff
18 reported “occasional exacerbations with coughing and mild postnasal drip and
19 congestion.” *Id.* Dr. LaHood’s physical examination of Plaintiff was unremarkable, and
20 he noted his impressions of “allergic rhinitis, allergic conjunctivitis, and asthma.” *Id.*
21 Dr. LaHood further noted that Plaintiff “had not improvement on the current
22 medications[,] . . . [and that she] did not tolerate immunotherapy secondary to recurrent
23 reactions. *Id.* Dr. LaHood changed Plaintiff’s prescriptions, and sent “lab work for a
24 valley fever serology work-up.” AR at 254

25 On April 21, 2010, Plaintiff returned to Dr. Sato for a follow-up. *Id.* at 270–71.
26 Plaintiff complained of continued eye pressure, as well as feeling a little drifting. *Id.* at
27 270. Examination of Plaintiff was otherwise unremarkable, and she was given another
28 prescription. *Id.* at 270–71.

1 On May 20, 2010, Plaintiff followed up with NP McLeod regarding her diabetes
2 and to discuss weight loss issues. *Id.* at 342–46. NP McLeod noted that Plaintiff had
3 recently gained custody of her niece and her niece’s three (3) month old son, but her
4 niece had run away leaving Plaintiff with the baby. *Id.* at 342. NP McLeod noted that
5 Plaintiff was positive for chills and fatigue, post nasal drip, and an intermittent cough.
6 AR at 343. NP McLeod’s physical examination of Plaintiff was otherwise unremarkable.
7 *Id.* at 344.

8 On June 12, 2010, Plaintiff was seen by Dr. Sato on an emergency basis. *Id.* at
9 267–69. Plaintiff reported straining of the right eye, continuous headaches, pressure
10 behind both eyes, and trouble with distance visual acuity. *Id.* at 267–68. After
11 examination, Dr. Sato recommended convergence exercises to improve Plaintiff’s
12 intermittent exotropia. *Id.* at 267, 269.

13 On September 2, 2010, Plaintiff was seen by Pierre Sakali, M.D. regarding her
14 “shortness of breath and mild coughing.” AR at 354–56. Plaintiff reported that she “had
15 a lot of stress over the last few months and [was] wondering if anxiety could be triggering
16 her symptoms.” *Id.* at 354. Dr. Sakali’s review of Plaintiff’s systems was unremarkable,
17 and physical examination showed “mild nasal turbinate enlargement, postnasal drip, and
18 end-expiratory wheezing with no rhonchi.” *Id.* Dr. Sakali’s impression included
19 “asthma, a chronic cough, allergic rhinitis, allergic conjunctivitis, and possible anxiety.”
20 *Id.* Dr. Sakali performed a pulmonary function test, which was in the normal range. *Id.*
21 Dr. Sakali increased Plaintiff’s Advair HFA prescription, and advised her to speak with
22 NP McLeod regarding “the possibility of evaluation and treatment of anxiety and
23 depression.” AR at 354. On the same date, Plaintiff followed up with NP McLeod
24 regarding her diabetes mellitus. *Id.* at 347–52. Plaintiff complained of feeling short of
25 breath, and reported that her allergist told her that she may be having an anxiety attack.
26 *Id.* at 347. Plaintiff further reported that her shortness of breath began on the day of her
27 brother’s funeral. *Id.* Plaintiff also complained of intermittent lightheadedness with
28 coughing and sore throat. *Id.* Plaintiff indicated that she was “going to the gym up to 4x

1 weekly for 2 hours[,] [but] [n]o weight loss, and she is still very tired.” AR at 347. NP
2 McLeod’s physical examination of Plaintiff was generally unremarkable, but noted small
3 amounts of exudate on both tonsils, plus post nasal drip. *Id.* at 349. NP McLeod ordered
4 additional labs, and noted that Plaintiff declined referral to behavioral health or grief
5 counseling. *Id.* at 351.

6 On February 25, 2011, Plaintiff had a chest x-ray due to “[a]sthma, unspecified
7 respiratory abnormality, [and] acute bronchospasm.” *Id.* at 391. Gary Podolny, M.D.
8 read the films and found no active cardiopulmonary disease. *Id.* Additionally, Dr.
9 Podolny compared the films to a March 12, 2009 film, and found no change. AR at 391.

10 On May 10, 2011, Plaintiff had a chest x-ray due to “[p]ersistent cough.” *Id.* at
11 390. Shaun P. McManimon, M.D. read the films, which were unremarkable. *Id.* at 390.
12 Dr. McManimon also compared the films with the February 25, 2011 films, and found
13 Plaintiff’s “[h]eart and mediastinum [were] within normal limits[,] [n]o focal infiltrate or
14 mass[,] [and] [n]o obvious bronchial wall thickening.” *Id.* On May 24, 2011, Plaintiff
15 was seen by NP McLeod regarding her diabetes mellitus. *Id.* at 377–89. Plaintiff
16 reported having trouble with eating, complained of fatigue, and stated that she was
17 walking a mile to a mile and a half per day. AR at 377. Plaintiff also complained of
18 postnasal drip, back pain when sitting, and persistent headaches. *Id.* at 378. NP
19 McLeod’s physical examination was unremarkable, and Plaintiff did not exhibit any pain
20 upon palpation of her back or with leg extensions. *Id.* at 379. NP McLeod noted her leg
21 strength as 5/5 bilaterally. *Id.* NP McLeod ordered lab work to be done. *See id.* at 379–
22 89.

23 On August 4, 2011, Plaintiff followed up with NP McLeod regarding her diabetes
24 mellitus. AR at 372–76. Plaintiff reported her walking had decreased to approximately a
25 quarter mile per day due to back pain. *Id.* at 372. Plaintiff further reported that she was
26 stretching her back on a ball. *Id.* Plaintiff also stated that she is “[u]nable to vacuum,
27 mop[,] and other home duties due to the pain.” *Id.* at 372. NP McLeod noted that a
28 January magnetic resonance imaging (“MRI”) showed “arthritic changes,” but was

1 otherwise unremarkable. *Id.* Plaintiff reported that she is fine until she bends, but then
2 cannot straighten. AR at 372. Plaintiff also reported physical therapy, ibuprofen, and
3 muscle relaxers were ineffective. *Id.* NP McLeod noted that Plaintiff was positive for
4 fatigue, but no other issues were noted. *Id.* at 374–75. On August 29, 2011, Plaintiff was
5 seen by Caryl S. Brailsford-Gorman, M.D. at Tucson Orthopaedic Institute. *Id.* at 395–
6 97. Plaintiff complained of back pain in her low back and buttocks, without a specific
7 inciting event. *Id.* at 395. Plaintiff reported aggravated symptoms when sitting; rising
8 from sitting; leaning forward; walking; lying on her side, stomach, and back; driving;
9 coughing; sneezing; bending forward; and sleeping. AR at 395. Plaintiff also reported
10 her pain between eight (8) and ten (10) out of ten (10). *Id.* Plaintiff stated that physical
11 therapy did not help, but made it worse. *Id.* Physical examination was generally
12 unremarkable, but “[l]umbar range of motion shows 10° of flexion, 5° extension, limited
13 torsion with pain[,] . . . pain with all ranges[,] . . . [and] with palpation over her L5
14 segment and posterior superior iliac spine.” *Id.* at 396. MRI was noted to show “some
15 mild disc dehydration and annular tearing at L5-S1[,] [and] . . . a slight amount of facet
16 hypertrophy[,] [but] [o]therwise its normal.” *Id.* at 396, 400. Dr. Brailsford-Gorman
17 diagnosed low back pain and L5-S1 degenerative disease with a failure of conservative
18 therapy. AR at 396. Accordingly, Dr. Brailsford-Gorman recommended weight loss and
19 core strengthening, as well as epidural steroids. *Id.*

20 On September 15, 2011, Plaintiff received an L5-S1 interlaminar epidural steroid
21 injections. *Id.* at 398–99. Scott Goorman, M.D. performed the procedure and reported
22 that Plaintiff tolerated the procedure well, and there were no immediate complications.
23 *Id.* at 398. On September 20, 2011, Plaintiff was seen by Albert Willison, ARNP for a
24 follow-up visit regarding her diabetes mellitus and to review laboratory testing. *Id.* at
25 423–32. NP Willison reported that although Plaintiff was taking medications as
26 prescribed, she was not monitoring her blood pressure or home glucose, watching her
27 diet, or exercising. AR at 423. NP Willison reduced Plaintiff’s B12 supplement, and
28 noted that her Hgb A1c was high, but much improved, so recommended that she continue

1 with her diet. *Id.* at 423, 426. NP Willison also prescribed codeine and baclofen due to
2 Plaintiff's complaint of increased back pain. *Id.* NP Willison's physical examination
3 was otherwise unremarkable. *Id.* at 425.

4 On October 5, 2011, Plaintiff followed up with NP Willison regarding her lumbar
5 back pain, diabetes mellitus, obesity, and asthma. *Id.* at 419–22. Plaintiff described her
6 lower back pain as five (5) out of ten (10), with ten (10) being the worst possible pain.
7 AR at 421. NP Willison's physical examination of Plaintiff was other unremarkable. *Id.*
8 Plaintiff was advised to increase intake of fluids, and prescribed phentermine for weight
9 loss. *Id.* at 422. On October 18, 2011, Plaintiff returned to Dr. Brailsford-Gorman for a
10 recheck post lumbar epidural steroid. *Id.* at 433–34. Plaintiff reported her symptoms
11 were generally improved, without new symptoms; however, she further reported
12 suffering from significant pain at the time of the appointment. *Id.* at 433. Dr. Brailsford-
13 Gorman's physically examination indicated sensory decrease distally; tenderness in the
14 posterior iliac spine, left greater than right; range of motion decrease with side bending
15 and extension, which increased Plaintiff's pain, especially on the left. AR at 433.
16 Plaintiff's pain was worse at L5-S1 paraspinal. *Id.* Dr. Brailsford-Gorman recommended
17 a trial of L5-S1 bilateral facet blocks. *Id.* at 434.

18 On November 5, 2011, Plaintiff returned to see NP Willison for a follow-up visit
19 regarding her lumbar back pain, diabetes mellitus, obesity, and asthma. *Id.* at 415–18.
20 Plaintiff reported having an anaphylactic reaction to the phentermine, and as such
21 discontinued and withdrew from the clinic. *Id.* at 415. NP Willison's physical
22 examination of Plaintiff was unremarkable, except for her report of lumbar pain
23 continuing at five (5) out of ten (10). AR at 417.

24 On May 16, 2012, Plaintiff returned to Dr. Brailsford-Gorman regarding her
25 bilateral lumbar spine pain. *Id.* at 435–37. Plaintiff scored her pain as seven (7) out of
26 ten (10). *Id.* at 435. Plaintiff further reported that she was trying to exercise more,
27 working out at a gym. *Id.* at 435. Dr. Brailsford-Gorman's physical examination of
28 Plaintiff was unremarkable, except tenderness at L4/L5 and L5/S1; restricted and painful

1 extension; and rotation to the left and right were also restricted and painful. *Id.* at 436–
2 37. Dr. Brailsford-Gorman further reported a negative straight leg raise test. AR at 437.
3 Dr. Brailsford-Gorman recommended epidural steroid injections and facets L4/L5 and
4 L5/S1. *Id.*

5 On June 14, 2012, NP McLeod completed a Physical Residual Functional
6 Capacity Assessment (“RFC”) for Plaintiff. *Id.* at 440. NP McLeod opined that Plaintiff
7 could stand during an eight (8) hour day for two (2) hours or less; that she could sit for
8 fifteen (15) to thirty (30) minutes or less at one time without needing to change position;
9 and could walk less than one (1) block or less before needing to stop. *Id.* NP McLeod
10 also opined that she could never lift and carry anything above ten (10) pounds. *Id.* NP
11 McLeod limited Plaintiff to occasional reaching, meaning two (2) hours or less; and
12 frequent, meaning between two (2) and six (6) hours per day, feeling, fingering, handling,
13 and grasping. AR at 440. NP McLeod also stated that Plaintiff’s limitations included a
14 requirement to lie down during the day, as well as alternate sitting and standing every
15 hour. *Id.* NP McLeod also opined that Plaintiff would miss more than five (5) days per
16 month. *Id.* On June 19, 2012, Dr. Goorman performed bilateral facet blocks at L4/L5
17 and L5/S1 under fluoroscopic guidance. *Id.* at 438–39. Dr. Goorman’s diagnoses
18 included “[d]ebilitating low back pain, [l]umbosacral spondylosis, [l]umbar
19 radiculopathy, [and] [f]acet [s]yndrome[.]” *Id.* at 438. Dr. Goorman reported that
20 Plaintiff tolerated the procedure well without immediate complications. AR at 438.

21 On July 17, 2012, Plaintiff followed up with Dr. Brailsford-Gorman. *Id.* at 441–
22 43. Plaintiff described her back pain as burning, as well as radiating to and throbbing in
23 her left thigh. *Id.* at 441. Plaintiff stated that facet blocks were not effective, and
24 epidural steroid injections helped for approximately one (1) month. *Id.* at 441. Dr.
25 Brailsford-Gorman noted spasms in Plaintiff’s upper back, and that she was
26 uncomfortable with an antalgic gait. *Id.* at 442. Dr. Brailsford-Gorman noted restricted
27 and painful flexion, extension, and rotation of the lumbar/sacral spine. AR at 443. Dr.
28 Brailsford-Gorman further reported a positive Stork test, positive Trendelenburg’s test,

1 and right sacroiliac less mobile, but negative straight leg raise on the right and left. *Id.*
2 Dr. Brailsford-Gorman assessed a sacroiliac region sprain, lumbar disc degeneration, and
3 lumbar spondylosis. *Id.* On July 26, 2012, Plaintiff had an MRI of her sacrum. *Id.* at
4 450. Taylor P. Chen, M.D. reported no abnormality was evident. *Id.* On July 31, 2012,
5 Plaintiff returned to Dr. Brailsford-Gorman for a discussion regarding the results of
6 diagnostic testing. AR at 444–46. Plaintiff reported a current pain level of nine (9) out
7 of ten (10). *Id.* at 444. Dr. Brailsford-Gorman reported that she was unable to palpate
8 Plaintiff’s lumbar/sacral spine due to pain behaviors; flexion, extension, and rotation to
9 both the right and left, were restricted and painful; equivocal Stork test; equivocal
10 Trendelenburg’s test; and negative straight leg raise on the right and left. *Id.* at 446. Dr.
11 Brailsford-Gorman opined that the spine clinic did not have anything further to offer
12 Plaintiff, because she did not benefit from injection, and her diagnostic studies do not
13 suggest that there is a surgical treatment. *Id.* at 446.

14 On November 28, 2012, Plaintiff was seen by Dr. Brailsford-Gorman complaining
15 of lower back pain bilaterally, radiating into her buttocks, and cramping in her calves. *Id.*
16 at 447–49. Plaintiff also complained of arm pain radiating into her shoulderblades. AR
17 at 447, 449. Plaintiff stated that her primary care physician sent her back for injections.
18 *Id.* at 447. Dr. Brailsford-Gorman reported that Plaintiff appeared uncomfortable, and an
19 antalgic gait was observed. *Id.* at 449. Dr. Brailsford-Gorman further reported that
20 although Plaintiff’s straight leg raise was negative bilaterally, her Trendelenburg test and
21 SI compression test were positive bilaterally, and tenderness was documented at the
22 greater trochanter and bursa bilaterally, the proximal iliotibial band bilaterally, and
23 sacroiliac joint bilaterally. *Id.* Dr. Brailsford-Gorman opined that some of Plaintiff’s
24 symptoms were neuropathic, as well as musculoskeletal. *Id.*

25 On December 12, 2012, Plaintiff underwent nerve conduction velocity (“NCV”)
26 and electromyography (“EMG”) tests. AR at 451. Eugene Y. Mar, M.D. reported that all
27 nerve conduction studies were within normal limits, and all examined muscles showed no
28 evidence of denervation. *Id.*

1 indicated that Plaintiff's lungs were clear, although trying to take a deep breath caused
2 Plaintiff to begin coughing. *Id.* Dr. Hassman reported patches of eczema on Plaintiff's
3 left wrist and neck. *Id.* Examination of Plaintiff's upper extremities was also normal. *Id.*
4 Dr. Hassman further reported that examination of Plaintiff's thoracic and lumbar spine
5 did not indicate muscle spasm or hypertonicity of the paraspinal muscles, and Plaintiff
6 had a full range of motion of the lumbar spine without pain, and straight leg raising test
7 was negative bilaterally. *Id.* Examination of Plaintiff's lower extremities was also
8 normal. AR at 360. Dr. Hassman's diagnoses included asthma and allergies resulting in
9 persistent and frequent coughing which was unresponsive to treatment with inhalers,
10 allergy shots, and other cough medications; morbid obesity; Type 2 diabetes mellitus; and
11 previous history of medial rectus weakness in both eyes, with rare episodes of double
12 vision, but continued pain in her right eye. *Id.* Dr. Hassman also completed a Medical
13 Source Statement of Ability to Do Work-Related Activities (Physical) regarding Plaintiff.
14 *Id.* at 360–64. Dr. Hassman opined that Plaintiff did not have any restrictions in lifting or
15 carrying. *Id.* at 361. Dr. Hassman further opined that Plaintiff was limited in standing
16 and/or walking to between six (6) and eight (8) hours in an eight (8) hour day. *Id.* Dr.
17 Hassman also found Plaintiff did not have any limitations on sitting. *Id.* at 362. Dr.
18 Hassman opined that Plaintiff was unlimited in seeing, hearing, and speaking, as well as
19 in reaching, handling, fingering, and feeling. *Id.* Dr. Hassman indicated that Plaintiff
20 could occasionally climb ramps, stairs, ladder, rope, and scaffolds; stoop; kneel; crouch;
21 and crawl. *Id.* Dr. Hassman also noted that Plaintiff was restricted in working around
22 heights; extremes in temperature; chemicals; and dust, fumes, or gases. *Id.* at 362–63.

23 On October 28, 2010, Charles S. Gannon, M.D. examined Plaintiff at the request
24 of AZDES. *Id.* at 365–68. Dr. Gannon noted normal pupillary reactions and internal
25 examination. AR at 365. Dr. Gannon attributed Plaintiff's vision impairment to a small
26 refractive error and intermittent exotropia. *Id.* Dr. Gannon opined that no
27 recommendations were necessary at the time. *Id.* at 366. Dr. Gannon noted that Plaintiff
28 did not have any noticeable problem ambulating due to her vision. *Id.* Dr. Gannon

1 reported that his findings were consistent with previous findings, and that Plaintiff's color
2 vision was normal, and peripheral fields were within normal limits. *Id.*

3 **c. Nonexamining physicians**

4 State agency physicians reviewed Plaintiff's medical records at both the initial
5 level and on reconsideration. Marilyn Orenstein, M.D. reviewed Plaintiff's medical
6 records at the initial level and gave great weight to the examining physicians. *See* AR at
7 52–64. Dr. Orenstein found Plaintiff to be partially credible, pointing to her alleged non-
8 compliance. *Id.* at 59. Dr. Orenstein opined that Plaintiff had the following exertional
9 limitations: lift or carry fifty (50) pounds occasionally; lift or carry twenty-five (25)
10 frequently; stand and/or walk for approximately six (6) hours in an eight (8) hour
11 workday; sit with normal breaks for approximately six (6) hours in an eight (8) hour
12 workday; and otherwise unlimited in pushing or pulling. *Id.* at 60. Dr. Orenstein further
13 opined that Plaintiff's postural limitations included the ability to frequently climb ramps
14 and stairs; balance; stoop; kneel; crouch or crawl; and never climb ladders, ropes, and
15 scaffolds. *Id.* Dr. Orenstein noted that Plaintiff did not have and manipulative, visual or
16 communicative limitations, but had environmental limitations of requiring avoiding
17 concentrated exposure to extreme cold and heat, vibration, fumes, odors, dusts, gases, and
18 poor ventilation. *Id.* Dr. Orenstein further noted that Plaintiff should avoid even
19 moderate exposure to hazards, but was unlimited regarding wetness, humidity, and noise.
20 AR at 60. Dr. Orenstein also noted that Plaintiff had never been hospitalized for
21 exacerbations of asthma. *Id.* at 62.

22 Upon reconsideration, Robert Hirsch, M.D. reviewed Plaintiff's medical records.
23 *Id.* at 65–77. Dr. Hirsch's RFC was identical to that of Dr. Orenstein. *See id.* at 72–74.

24
25 **II. STANDARD OF REVIEW**

26 The factual findings of the Commissioner shall be conclusive so long as they are
27 based upon substantial evidence and there is no legal error. 42 U.S.C. §§ 405(g),
28 1383(c)(3); *Tommasetti v. Astrue*, 533 F.3d 1035, 1038 (9th Cir. 2008). This Court may

1 “set aside the Commissioner’s denial of disability insurance benefits when the ALJ’s
2 findings are based on legal error or are not supported by substantial evidence in the
3 record as a whole.” *Tackett v. Apfel*, 180 F.3d 1094, 1097 (9th Cir. 1999) (citations
4 omitted); *see also Treichler v. Comm’r of Soc. Sec. Admin.*, 775 F.3d 1090, 1098 (9th
5 Cir. 2014).

6 Substantial evidence is “more than a mere scintilla[,] but not necessarily a
7 preponderance.” *Tommasetti*, 533 F.3d at 1038 (quoting *Connett v. Barnhart*, 340 F.3d
8 871, 873 (9th Cir. 2003)); *see also Garrison v. Colvin*, 759 F.3d 995, 1009 (9th Cir.
9 2014). Further, substantial evidence is “such relevant evidence as a reasonable mind
10 might accept as adequate to support a conclusion.” *Parra v. Astrue*, 481 F.3d 742, 746
11 (9th Cir. 2007). Where “the evidence can support either outcome, the court may not
12 substitute its judgment for that of the ALJ.” *Tackett*, 180 F.3d at 1098 (citing *Matney v.*
13 *Sullivan*, 981 F.2d 1016, 1019 (9th Cir. 1992)); *see also Massachi v. Astrue*, 486 F.3d
14 1149, 1152 (9th Cir. 2007). Moreover, the court may not focus on an isolated piece of
15 supporting evidence, rather it must consider the entirety of the record weighing both
16 evidence that supports as well as that which detracts from the Secretary’s conclusion.
17 *Tackett*, 180 F.3d at 1098 (citations omitted).

18 19 **III. ANALYSIS**

20 **A. *The Five-Step Evaluation***

21 The Commissioner follows a five-step sequential evaluation process to assess
22 whether a claimant is disabled. 20 C.F.R. § 404.1520(a)(4). This process is defined as
23 follows: Step one asks is the claimant “doing substantial gainful activity[?]” If yes, the
24 claimant is not disabled; step two considers if the claimant has a “severe medically
25 determinable physical or mental impairment[.]” If not, the claimant is not disabled; step
26 three determines whether the claimant’s impairments or combination thereof meet or
27 equal an impairment listed in 20 C.F.R. Pt. 404, Subpt. P, App.1. If not, the claimant is
28 not disabled; step four considers the claimant’s residual functional capacity and past

1 relevant work. If claimant can still do past relevant work, then he or she is not disabled;
2 step five assesses the claimant's residual functional capacity, age, education, and work
3 experience. If it is determined that the claimant can make an adjustment to other work,
4 then he or she is not disabled. 20 C.F.R. § 404.1520(a)(4)(i)-(v).

5 In the instant case, the ALJ found that Plaintiff met the insured status requirements
6 of the Social Security Act through March 31, 2013, and was not engaged in substantial
7 gainful activity since her alleged onset date of November 2, 2010. AR at 15. At step two
8 of the sequential evaluation, the ALJ found that “[t]he claimant has the following severe
9 impairments: chronic back pain, diabetes, cough, obesity, asthma[,] and status post left
10 knee surgery (20 CFR 404.1520(c)).” *Id.* At step three, the ALJ found that “[t]he
11 claimant does not have an impairment or combination of impairments that meets or
12 medically equals the severity of one of the listed impairments in 20 CFR Part 404,
13 Subpart P, Appendix 1 (20 CFR 404.1520(d), 404.1525, and 404.1526).” *Id.* at 16. Prior
14 to step four and “[a]fter careful consideration of the entire record,” the ALJ determined
15 that “the claimant has the residual functional capacity to perform medium work as
16 defined in 20 CFR 404.1567(c) specifically as follows: the claimant can lift and carry
17 fifty pounds occasionally and twenty-five pounds frequently; the claimant can stand
18 and/or walk six hours in an eight-hour workday and sit for six hours in an eight-hour
19 workday; the claimant cannot climb ladders, ropes or scaffolds; the claimant can
20 frequently climb ramps and stairs and balance, stoop, kneel, crouch and crawl; the
21 claimant must avoid concentrated exposure to extreme heat, cold, vibration, fumes, odors,
22 dust, gases, poor ventilation and hazards, and the claimant must avoid working with the
23 public.” *Id.* At step four, the ALJ found that “[t]he claimant is unable to perform any
24 past relevant work (20 CFR 404.1565).” *Id.* at 19. At step five, the ALJ considered “the
25 claimant's age, education, work experience, and residual functional capacity, [and found]
26 there are jobs that exist in significant numbers in the national economy that the claimant
27 can perform (20 CFR 404.1569 and 404.1569(a)).” AR at 20. Accordingly, the ALJ
28 determined that Plaintiff was not disabled. *Id.* at 21.

1 Plaintiff asserts that the ALJ erred in her consideration of substantial evidence in
2 the record regarding medical treatment and compliance, negatively impacting her
3 assessment of Plaintiff’s credibility; giving improper weight and consideration to reports
4 of Plaintiff’s activities of daily living; improperly giving significant weight to the
5 examining consultant’s opinion prior to the alleged onset date; and improperly weighing
6 the testimony of Nurse Practitioner Kathleen McLeod. Pl.’s Opening Br. (Doc. 17) at
7 11–17.

8 **B. Plaintiff’s Symptoms**

9 **1. Legal standard**

10 “To determine whether a claimant’s testimony regarding subjective pain or
11 symptoms is credible, an ALJ must engage in a two-step analysis.” *Lingenfelter v.*
12 *Astrue*, 504 F.3d 1028, 1035–36 (9th Cir. 2007). First, “a claimant who alleges disability
13 based on subjective symptoms ‘must produce objective medical evidence of an
14 underlying impairment which could reasonably be expected to produce the pain or other
15 symptoms alleged[.]’” *Smolen v. Chater*, 80 F.3d 1273, 1281–82 (9th Cir. 1996)
16 (quoting *Bunnell v. Sullivan*, 947 F.2d 341, 344 (9th Cir. 1991) (*en banc*) (internal
17 quotations omitted)); *see also Ghanim v. Colvin*, 763 F.3d 1154, 1163 (9th Cir. 2014).
18 Further, “the claimant need not show that her impairment could reasonably be expected
19 to cause the severity of the symptom she has alleged; she need only show that it could
20 reasonably have caused some degree of the symptom.” *Smolen*, 80 F.3d at 1282
21 (citations omitted); *see also Trevizo v. Berryhill*, 871 F.3d 664, 678 (9th Cir. 2017). “Nor
22 must a claimant produce ‘objective medical evidence of the pain or fatigue itself, or the
23 severity thereof.’” *Garrison v. Colvin*, 759 F.3d 995, 1014 (9th Cir. 2014) (quoting
24 *Smolen*, 80 F.3d at 1282). “[I]f the claimant meets this first test, and there is no evidence
25 of malingering, ‘the ALJ can reject the claimant’s testimony about the severity of her
26 symptoms only by offering specific, clear and convincing reasons for doing so.’”
27 *Lingenfelter*, 504 F.3d at 1036 (quoting *Smolen*, 80 F.3d at 1281); *see also Burrell v.*
28 *Colvin*, 775 F.3d 1133, 1137 (9th Cir. 2014) (rejecting the contention that the “clear and

1 convincing” requirement had been excised by prior Ninth Circuit case law). “This is not
2 an easy requirement to meet: ‘The clear and convincing standard is the most demanding
3 required in Social Security cases.’” *Garrison*, 759 F.3d at 1015 (quoting *Moore v.*
4 *Comm’r of Soc. Sec. Admin.*, 278 F.3d 920, 924 (9th Cir. 2002)).

5 “Factors that an ALJ may consider in weighing a claimant’s credibility include
6 reputation for truthfulness, inconsistencies in testimony or between testimony and
7 conduct, daily activities, and ‘unexplained, or inadequately explained, failure to seek
8 treatment or follow a prescribed course of treatment.’” *Orn v. Astrue*, 495 F.3d 625, 636
9 (9th Cir. 2007) (quoting *Fair v. Bowen*, 885 F.2d 597, 603 (9th Cir. 1989)); *see also*
10 *Ghanim*, 763 F.3d at 1163. The Ninth Circuit Court of Appeals has “repeatedly warned[,
11 however,] that ALJs must be especially cautious in concluding that daily activities are
12 inconsistent with testimony about pain, because impairments that would unquestionably
13 preclude work and all the pressures of a workplace environment will often be consistent
14 with doing more than merely resting in bed all day.” *Garrison*, 759 F.3d at 1016
15 (citations omitted). Furthermore, “[t]he Social Security Act does not require that
16 claimants be utterly incapacitated to be eligible for benefits, and many home activities
17 may not be easily transferable to a work environment where it might be impossible to rest
18 periodically or take medication.” *Smolen*, 80 F.3d at 1287 n. 7 (citations omitted). The
19 Ninth Circuit Court of Appeals has noted:

20 The critical differences between activities of daily living and activities in a
21 full-time job are that a person has more flexibility in scheduling the former
22 than the latter, can get help from other persons . . . , and is not held to a
23 minimum standard of performance, as she would be by an employer. The
failure to recognize these differences is a recurrent, and deplorable, feature
of opinions by administrative law judges in social security disability cases.

24 *Garrison*, 759 F.3d at 1016 (quoting *Bjornson v. Astrue*, 671 F.3d 640, 647 (7th Cir.
25 2012)) (alterations in original). “While ALJs obviously must rely on examples to show
26 why they do not believe that a claimant is credible, the data points they choose must *in*
27 *fact* constitute examples of a broader development to satisfy the applicable ‘clear and
28 convincing’ standard.” *Id.* at 1018 (emphasis in original) (discussing mental health

1 records specifically). “Inconsistencies between a claimant’s testimony and the claimant’s
2 reported activities provide a valid reason for an adverse credibility determination.
3 *Burrell*, 775 F.3d at 1137 (citing *Light v. Soc. Sec. Admin.*, 119 F.3d 789, 792 (9th Cir.
4 1997)).

5 2. ALJ findings

6 Here, the ALJ acknowledged the two-step process for assessing Plaintiff’s
7 symptom testimony. AR at 16. The ALJ then found “[a]fter careful consideration of the
8 evidence, the undersigned finds that the claimant’s medically determinable impairments
9 could reasonably be expected to cause some of the alleged symptoms; however, the
10 claimant’s statements concerning the intensity, persistence and limiting effects of these
11 symptoms are not credible to the extent those statements are inconsistent with the
12 residual functional capacity assessment herein.” *Id.* at 17. The ALJ went on to review
13 the medical record concluding “[t]he claimant’s subjective complaints are less than fully
14 credible and the objective medical evidence does not support the alleged severity of the
15 symptoms.” *Id.* at 19.

16 As the Ninth Circuit Court of Appeals has recently observed:

17 The ALJ took a backward approach to determining [Plaintiff’s] credibility.
18 [She] found that [Plaintiff’s] testimony was not credible “to the extent [it
19 was] inconsistent with the . . . [RFC].” However, and ALJ must take into
20 account a claimant’s symptom testimony when determining the RFC.
21 *Laborin v. Berryhill*, 867 F.3d 1151, 1154 (9th Cir. 2017); *Trevizo v.*
22 *Berryhill*, 862 F.3d 987, 1000 n.6 (9th Cir. 2017). To determine the RFC
23 *first* and *then* the claimant’s testimony is to “put[] the cart before the
24 horse.” *Laborin*, 867 F.3d at 1154. The ALJ’s approach is “inconsistent
25 with the Social Security Act and should not be used in disability decisions.”
26 *Id.* at 1153; *see also Trevizo*, 862 F.3d at 1000 n.6. Though this may not
27 itself be reversible error, when taken together with the ALJ’s failure to
28 provide “clear and convincing” reasons for rejecting [Plaintiff’s] testimony,
we cannot conclude anything other than that the ALJ’s failure to credit
[Plaintiff’s] testimony was error.

Revels v. Berryhill, 874 F.3d 648, 666 (9th Cir. 2017) (emphasis in original) (alterations
4–6 in original). Moreover, “the claimant need not show that her impairment could
reasonably be expected to cause the severity of the symptom she has alleged; she need

1 only show that it could reasonably have caused some degree of the symptom.” *Smolen*,
2 80 F.3d at 1282 (citations omitted); *see also Trevizo v. Berryhill*, 871 F.3d 664, 678 (9th
3 Cir. 2017). “Nor must a claimant produce ‘objective medical evidence of the pain or
4 fatigue itself, or the severity thereof.’” *Garrison v. Colvin*, 759 F.3d 995, 1014 (9th Cir.
5 2014) (quoting *Smolen*, 80 F.3d at 1282). “[A]n ALJ may not disregard [a claimant’s
6 testimony] solely because it is not substantiated by objective medical evidence[.]”
7 *Trevizo*, 871 F.3d at 679 (citations omitted). The ALJ’s finding that objective medical
8 evidence did not support the alleged severity of the symptoms is inconsistent with
9 Plaintiff’s burden.

10 **a. Activities of daily living**

11 The ALJ is reminded that “[t]he Social Security Act does not require that
12 claimants be utterly incapacitated to be eligible for benefits, and many home activities
13 may not be easily transferable to a work environment where it might be impossible to rest
14 periodically or take medication.” *Smolen*, 80 F.3d at 1287 n.7 (citations omitted).
15 Although Plaintiff may be able to manage living on her own and keep her children fed,
16 clothed, and medicated, this does not necessarily equate with the ability to work. *Trevizo*,
17 871 F.3d at 682 (“[T]he mere fact that she cares for small children does not constitute an
18 adequately specific conflict with her reported limitations.”); *Garrison*, 759 F.3d at 1016
19 (impairments that would preclude work are often consistent with doing more than
20 spending each day in bed). Furthermore, Plaintiff consistently testified that her family
21 assists her with her children and housework. AR at 38, 180, 200. The ALJ also found
22 Plaintiff’s adoption of a newborn inconsistent with her disability. *Id.* at 17. The ALJ
23 ignored the fact that Plaintiff told her primary care provider that she had gained custody
24 of her seventeen year old niece and her niece’s three month old son, but her niece had run
25 away leaving Plaintiff with the baby. *Id.* at 342. Additionally, Plaintiff testified that her
26 driving is limited, as is her ability to perform tasks such as grocery shopping. *Id.* at 35,
27 181, 201. The evidence does not support the ALJ’s finding that “the claimant has
28 engaged in a somewhat normal level of daily activity and interaction[,] . . . [and] [her]

1 ability to participate in such activities undermined the credibility of the claimant's
2 allegations of disabling functional limitations." *Id.* at 17.

3 **b. Treatment compliance**

4 "Failure to follow prescribed treatment may cast doubt on the sincerity of the
5 claimant's pain testimony." *Trevizo*, 871 F.3d at 680 (quotations and citations omitted).
6 The ALJ stated that "[i]t was noted that the claimant did not take her medications as
7 prescribes [sic] or monitor her blood sugar levels[,] [and] [t]he claimant was also not
8 compliant with her diet and exercise program." AR at 17. The ALJ misstated the record.
9 NP Willison plainly stated that "[t]he patient **is taking medications as prescribed.**" *Id.*
10 at 423 (emphasis added). He further noted that "[h]er Hgb A1c was high but much
11 improved **so we will continue with same diet.**" *Id.* (emphasis added). Moreover, when
12 NP Willison prescribed Plaintiff phentermine for weight loss, she suffered an
13 anaphylactic reaction to the drug. *Id.* at 415, 417, 422. As such, the ALJ's findings
14 regarding non-compliance are not supported by the record.

15 **c. Conservative treatment**

16 The ALJ noted that "[t]he medical evidence indicates the claimant received
17 routine conservative treatment for complaints of asthma, allergies and back pain[,] [and]
18 [t]he lack of more aggressive treatment or surgical intervention suggests the claimant's
19 symptoms and limitations were not as severe as she alleged." AR at 17. Evidence of
20 conservative treatment is a proper reason to discount a claimant's pain testimony.
21 *Tommasetti v. Astrue*, 533 F.3d 1035, 1039 (9th Cir. 2008) (citations omitted). Plaintiff
22 received allergy shots, which she had an adverse reaction to, for treatment of her
23 allergies; used multiple inhalers for treatment of her asthma; received epidural steroid
24 injections and facet blocks for her back pain when physical therapy and medication
25 failed; and was deemed not to be a candidate for surgical intervention. The ALJ failed to
26 explain how these treatments are "conservative" for Plaintiff's diagnoses. The Ninth
27 Circuit Court of Appeals has "previously 'doubt[ed] that epidural steroid shots to the
28 neck and lower back qualify as 'conservative' medical treatment.'" *Revels*, 874 F.3d at

1 667 (citing *Garrison*, 759 F.3d at 1015 n.20). As such, the Court finds that Plaintiff’s
2 treatment choices “do[] not constitute substantial evidence supporting a finding that
3 [Plaintiff’s] symptoms were not as severe as [she] testified, particularly in light of the
4 extensive medical record objectively verifying [her] claims.” *Trevizo*, 871 F.3d at 682.

5 **d. ENT physician**

6 The ALJ stated that a “doctor indicated that the claimant did not need ant [sic]
7 significant intervention from a specialist[,]” and relied on this finding to discredit
8 Plaintiff’s testimony. AR at 17. Dr. Emami, an ENT, noted that there was no “need for
9 any significant ENT intervention[.]” *Id.* at 251. It does not follow that because there was
10 no structural reason for Plaintiff’s cough, she is not credible. Plaintiff has an extensive
11 list of allergies which contributed to her symptoms. Substantial evidence does not
12 support the ALJ’s finding.

13 **e. Consultative Examiner**

14 The ALJ noted that consultative examiner Dr. Hassman reported that Plaintiff’s
15 physical examination was unremarkable beyond her cough and patches of eczema. AR at
16 18. The ALJ further noted Dr. Hassman’s findings that “[a] neurological examination
17 showed normal motor strength, sensation, and reflexes.” *Id.* As an initial matter, Dr.
18 Hassman examined Plaintiff prior to her alleged onset date, and before her back pain had
19 significantly progressed. Additionally, Dr. Hassman noted that Plaintiff could be heard
20 coughing in the waiting room, and coughed five (5) or ten (10) times during her
21 examination. *Id.* at 359. Dr. Hassman also appears to have reviewed only a subset of
22 Plaintiff’s medical records. *Id.* at 357–58. As such, Dr. Hassman’s notes from a single
23 meeting are insufficient to disregard Plaintiff’s testimony. *See Trevizo*, 871 F.3d at 680
24 (“clear and convincing” reasons required for crediting the opinion of an examining doctor
25 over primary treating physician and claimant’s testimony).

26 **f. Conclusion**

27 Based upon the foregoing, the Court finds that the ALJ failed to provide specific,
28 clear and convincing reasons for discounting Plaintiff’s testimony which are supported by

1 substantial evidence in the record. *See Lingenfelter*, 504 F.3d at 1036; *Tommasetti v.*
2 *Astrue*, 533 F.3d 1035, 1040 (9th Cir. 2008).

3 **C. Nurse Practitioner McLeod**

4 Plaintiff asserts that the ALJ improperly weighed NP McLeod’s opinion. Pl.’s
5 Opening Br. (Doc. 17) at 16–17. The ALJ stated that she considered the opinion of NP
6 McLeod, but “[b]ecause this opinion is not from an acceptable medical source, the
7 undersigned gives it less weight than other qualifying medical source opinions (20 CFR
8 404.1513(a)(e) and 416.913(a)(e)).” AR at 19. Without elaboration, the ALJ also found
9 NP McLeod’s opinion “inconsistent with the medical records and the claimant’s activities
10 of daily living.” *Id.* 19.

11 The Ninth Circuit Court of Appeals has opined that “[t]he Social Security
12 regulations provide an out-dated view that consider a nurse practitioner as an ‘other
13 source.’” *Popa v. Berryhill*, 872 F.3d 901, 907 (9th Cir. 2017). NP McLeod treated
14 Plaintiff at least eight (8) times over two (2) years.¹ Furthermore, NP McLeod “was in a
15 unique position as a primary care provider, as she received reports from specialists and
16 had an overview of [Plaintiff’s] conditions.” *Revels*, 874 F.3d at 665 (citing 20 C.F.R. §
17 404.1527(c)(2)(ii)). Ninth Circuit “precedents require that the ALJ provide ‘germane
18 reasons’ to reject [NP McLeod’s] opinions. *Popa*, 872 F.3d at 907 (citing *Molina v.*
19 *Astrue*, 674 F.3d 1104, 1111 (9th Cir. 2012)). “The ALJ failed to provide ‘germane
20 reasons.’” *Id.* Because the Court will direct the ALJ to reassess her credibility findings
21 with regard to Plaintiff, it finds that it is appropriate to direct her to reconsider NP
22 McLeod’s statements in light of the new determination.

23 **D. Remand for Further Proceedings**

24 “[T]he decision whether to remand the case for additional evidence or simply to
25 award benefits is within the discretion of the court.” *Rodriguez v. Bowen*, 876 F.2d 759,
26 763 (9th Cir. 1989) (quoting *Stone v. Heckler*, 761 F.2d 530, 533 (9th Cir. 1985)).

27
28 ¹ NP McLeod’s completion of the RFC paperwork represents a ninth visit in two and half
years.

1 “Remand for further administrative proceedings is appropriate if enhancement of the
2 record would be useful.” *Benecke v. Barnhart*, 379 F.3d 587, 593 (9th Cir. 2004) (citing
3 *Harman v. Apfel*, 211 F.3d 1172, 1178 (9th Cir. 2000)). Conversely, remand for an award
4 of benefits is appropriate where:

5 (1) the ALJ failed to provide legally sufficient reasons for rejecting the
6 evidence; (2) there are no outstanding issues that must be resolved before a
7 determination of disability can be made; and (3) it is clear from the record
8 that the ALJ would be required to find the claimant disabled were such
9 evidence credited.

10 *Benecke*, 379 F.3d at 593 (citations omitted). Where the test is met, “we will not remand
11 solely to allow the ALJ to make specific findings. . . . Rather, we take the relevant
12 testimony to be established as true and remand for an award of benefits.” *Id.* (citations
13 omitted); *see also Lester v. Chater*, 81 F.3d 821, 834 (9th Cir. 1995). “Even if those
14 requirements are met, though, we retain ‘flexibility’ in determining the appropriate
15 remedy.” *Burrell v. Colvin*, 775 F.3d 1133, 1141 (9th Cir. 2014).

16 Here, the ALJ committed legal error in rejecting Plaintiff’s symptom testimony,
17 and discounting Plaintiff’s treating provider’s opinion. The Court finds that remand on
18 an open record is appropriate in this case. The ALJ is instructed to reassess Plaintiff’s
19 symptom testimony, as well as reassess Plaintiff’s activities of daily living and the
20 limitations that they impose based on her revised analysis of Plaintiff’s symptoms. The
21 ALJ is also instructed to reassess NP McLeod’s opinions consistent with this Order. The
22 ALJ shall also consider any additional medical records that may be available. Finally,
23 reassessment of Plaintiff’s testimony may impact the VE testimony and require additional
24 inquiry. *See Matthews v. Shalala*, 10 F.3d 678, 681 (9th Cir. 1993) (“[i]f a vocational
25 expert’s hypothetical does not reflect all the claimant’s limitations, then the expert’s
26 testimony has no evidentiary value to support a finding that the claimant can perform jobs
27 in the national economy.” (internal quotation marks and citation omitted)).

28 **V. CONCLUSION**

In light of the foregoing, the Court REVERSES the ALJ’s decision and the case is

1 REMANDED for further proceedings consistent with this decision.

2 Accordingly, IT IS HEREBY ORDERED that:

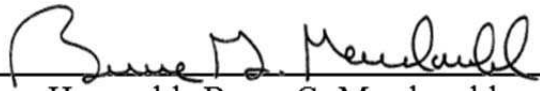
3 1) Plaintiff's Opening Brief (Doc. 17) is GRANTED;

4 2) The Commissioner's decision is REVERSED and REMANDED;

5 3) Upon remand, the Appeals Council will remand the case back to the ALJ
6 on an open record; and

7 4) The Clerk of the Court shall enter judgment, and close its file in this matter.

8 Dated this 26th day of March, 2018.

9
10 
11 Honorable Bruce G. Macdonald
12 United States Magistrate Judge
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