

UNITED STATES DISTRICT COURT  
EASTERN DISTRICT OF ARKANSAS  
WESTERN DIVISION

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RONALD BERT DE CLUE,

Plaintiff,

v.

KELLOGG COMPANY,

Defendant.

Case No. 4:09-cv-782 BSM

Honorable Brian S. Miller

Magistrate Judge J. Thomas Ray

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Patrick A. Burrow (AR Bar No. 81023)  
Kristine G. Baker (AR Bar No. 96211)  
Cameron C. McCree (AR Bar No. 2007148)  
Quattlebaum, Grooms, Tull & Burrow PLLC  
Attorneys for Plaintiff  
111 Center Street, Suite 1900  
Little Rock, AR 72201-3325  
(501) 379-1700  
Fax: (501) 379-1701  
pburrow@qgtb.com  
kbaker@qgtb.com  
cmccree@qgtb.com

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Jennifer J. Stocker (Michigan Bar P-60625)  
Varnum  
Attorneys for Defendant  
Bridgewater Place, P.O. Box 352  
Grand Rapids, MI 49501-0352  
(616) 336-6921  
Fax: (616) 336-7000  
jjstocker@varnumlaw.com

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**STIPULATION FOR PROTECTIVE ORDER**

Upon stipulation of the parties for an order pursuant to Fed. R. Civ. P. 26(c) that confidential information be disclosed only in designated ways:

1. As used in the Protective Order, these terms have the following meanings:  
“Attorneys” means counsel of record;  
“Confidential” documents are documents designated pursuant to paragraph 2;  
“Documents” are all materials within the scope of Fed. R. Civ. P. 34;

“Outside Vendors” means messenger, copy, coding, and other clerical-services vendors not employed by a party or its Attorneys; and

“Written Assurance” means an executed document in the form attached as Exhibit A.

2. A party may designate a document “Confidential” to protect information within the scope of Fed. R. Civ. P. 26(c). Confidential documents will be so designated by placing or affixing the word “CONFIDENTIAL” on the document in a manner which will not interfere with the legibility of the document and which will permit complete removal of the confidential designation.

3. All Confidential documents, along with the information contained in the documents, shall be used solely for the purpose of this action, and no person receiving such documents shall, directly or indirectly, use, transfer, disclose, or communicate in any way the documents or their contents to any person other than those specified in paragraph 4. Any other use is prohibited.

4. Access to any Confidential document shall be limited to:

- (a) the Court and its staff;
- (b) Attorneys, their law firms, and their Outside Vendors;
- (c) persons shown on the face of the document to have authored or received it;
- (d) court reporters retained to transcribe testimony;
- (e) the parties;
- (f) outside independent persons (*i.e.*, persons not currently or formerly employed by, consulting with, or otherwise associated with any party)

who are retained by a party or its Attorneys to provide assistance as mock jurors or focus group members or the like, or to furnish technical or expert services, and/or to give testimony in this action.

5. Third parties producing documents in the course of this action may also designate documents as “Confidential,” subject to the same protections and constraints as the parties to the action. A copy of the Protective Order shall be served along with any subpoena served in connection with this action. All documents produced by such third parties shall be treated as “Confidential” for a period of 14 days from the date of their production, and during that period any party may designate such documents as “Confidential” pursuant to the terms of the Protective Order. Documents previously produced shall be treated as "Confidential" for a period of 14 days from entry of this Order, and during that period any party may designate such as "Confidential" pursuant to the terms of this Protective Order.

6. Each person appropriately designated pursuant to paragraph 4(f) to receive Confidential information shall execute a “Written Assurance” in the form attached as Exhibit A. Opposing counsel shall be notified at least 14 days prior to disclosure to any such person who is known to be an employee or agent of, or consultant to, any competitor of the party whose designated documents are sought to be disclosed. Such notice shall provide a reasonable description of the outside independent person to whom disclosure is sought sufficient to permit objection to be made. If a party objects in writing to such disclosure within 14 days after receipt of notice, no disclosure shall be made until the party seeking disclosure obtains the prior approval of the Court or the objecting party.

7. All depositions or portions of depositions taken in this action that contain confidential information may be designated “Confidential” and thereby obtain the protections

accorded other “Confidential” documents. Confidentiality designations for depositions shall be made either on the record or by written notice to the other party within 14 days of receipt of the transcript. Unless otherwise agreed, depositions shall be treated as “Confidential” during the 14-day period following receipt of the transcript. The deposition of any witness (or any portion of such deposition) that encompasses Confidential information shall be taken only in the presence of persons who are qualified to have access to such information.

8. Any party who inadvertently fails to identify documents as “Confidential” shall, promptly upon discovery of its oversight, provide written notice of the error and substitute appropriately-designated documents. Any party receiving such improperly-designated documents shall retrieve such documents from persons not entitled to receive those documents and, upon receipt of the substitute documents, shall return or destroy the improperly-designated documents.

9. If a party files a document containing Confidential information with the Court, it shall do so in compliance with the Electronic Case Filing Procedures for the District of Arkansas. Prior to disclosure at trial or a hearing of materials or information designated “Confidential,” the parties may seek further protections against public disclosure from the Court.

10. Any party may request a change in the designation of any information designated “Confidential.” Any such document shall be treated as designated until the change is completed. If the requested change in designation is not agreed to, the party seeking the change may move the Court for appropriate relief, providing notice to any third party whose designation of produced documents as “Confidential” in the action may be affected. The party asserting that the material is Confidential shall have the burden of proving that the information in question is within the scope of protection afforded by Fed. R. Civ. P. 26(c).

11. Within 60 days of the termination of this action, including any appeals, each party shall either destroy or return to the opposing party all documents designated by the opposing party as "Confidential," and all copies of such documents, and shall destroy all extracts and/or data taken from such documents. Each party shall provide a certification as to such return or destruction within the 60-day period. However, Attorneys shall be entitled to retain attorney work product, including, but not limited to, a set of all documents filed with the Court, all correspondence generated in connection with the action, and an index which refers or relates to information designated confidential so long as that work product does not duplicate verbatim substantial portions of the text of confidential documents. This work product continues to be confidential under the terms of this Order. An Attorney may use his or her work product in a subsequent litigation provided that its use does not disclose the confidential documents.

12. Any party may apply to the Court for a modification of the Protective Order, and nothing in this Protective Order shall be construed to prevent a party from seeking such further provisions enhancing or limiting confidentiality as may be appropriate.

13. No action taken in accordance with the Protective Order shall be construed as a waiver of any claim or defense in the action or of any position as to discoverability or admissibility of evidence.

14. The obligations imposed by the Protective Order shall survive the termination of this action.

IT IS HEREBY ORDERED THIS 13<sup>th</sup> day of September, 2010.

A handwritten signature in black ink, appearing to read "B. S. Miller", written in a cursive style.

Honorable Brian S. Miller  
United States District Court Judge

Approved as to form:

Date: September 8, 2010

By: /s/ Cameron C. McCree (w/permission)

Cameron C. McCree (AR Bar No. 2007148)  
Quattlebaum, Grooms, Tull & Burrow PLLC  
Attorneys for Plaintiff  
111 Center Street, Suite 1900  
Little Rock, AR 72201-3325  
(501) 379-1700  
cmccree@qgtb.com

Date: September 8, 2010

By: /s/ Jennifer J. Stocker

Jennifer J. Stocker  
Varnum  
Attorneys for Defendant  
Bridgewater Place, P.O. Box 352  
Grand Rapids, MI 49501-0352  
(616) 336-6921

jjstocker@varnumlaw.com

**EXHIBIT A**

**WRITTEN ASSURANCE**

\_\_\_\_\_ declares that:

I reside at \_\_\_\_\_ in the City of \_\_\_\_\_,  
County of \_\_\_\_\_, State of \_\_\_\_\_. My telephone number is \_\_\_\_\_.

I am currently employed by \_\_\_\_\_, located at \_\_\_\_\_,  
\_\_\_\_\_ and my current job title is \_\_\_\_\_.

I have read and I understand the terms of the Protective Order dated \_\_\_\_\_,  
filed in Case No. 4:09-cv-782 BSM, pending in the United States District Court for the Eastern  
District of Arkansas. I agree to comply with and be bound by the provisions of the Protective  
Order. I understand that any violation of the Protective Order may subject me to sanctions by  
the Court.

I shall not divulge any documents, or copies of documents, designated "Confidential"  
obtained pursuant to such Protective Order, or the contents of such documents, to any person  
other than those specifically authorized by the Protective Order. I shall not copy or use such  
documents except for the purposes of this action and pursuant to the terms of the Protective  
Order.

As soon as practical, but no later than 30 days after final termination of this action, I shall  
return to the attorney from whom I have received them any documents in my possession  
designated "Confidential," and all copies, excerpts, summaries, notes, digests, abstracts, and  
indices relating to such documents.

I submit myself to the jurisdiction of the United States District Court for the Eastern District of Arkansas for the purpose of enforcing or otherwise providing relief relating to the Protective Order.

Executed on

(Date)

\_\_\_\_\_

(Signature)