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8	UNITED STATES DISTRICT COURT											
9	CENTRAL DISTRICT OF CALIFORNIA											
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11	JULIA A. OAWSTER,) NO. CV 10-07001 SS											
12	Plaintiff,											
13	V.) MEMORANDUM DECISION AND ORDER											
14	MICHAEL J. ASTRUE,) Commissioner of the Social)											
15	Security Administration,											
16	Defendant.											
17	,````											
18	I.											
19	INTRODUCTION											
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21	Julia A. Oawster ("Plaintiff") brings this action seeking to											
22	overturn the decision of the Commissioner of the Social Security											
23	Administration (the "Commissioner") denying her application for											
24	disability insurance benefits and Supplemental Security Income ("SSI")											
25	benefits. The parties consented to the jurisdiction of the undersigned											
26	United States Magistrate Judge, pursuant to 28 U.S.C. § 636(c). For the											
27	reasons stated below, the decision of the Agency is AFFIRMED.											
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II.

PROCEDURAL HISTORY

Plaintiff filed an application for SSI on July 18, 2003, alleging a disability onset of March 30, 2001, due to diabetes, coronary artery disease, double bypass surgery, spondylolisthesis at L4-5, and a suspicion of breast cancer. (Administrative Record ("AR") 210-19). The agency denied Plaintiff's claim on November 18, 2003. (AR 85-89). January 12, 2004, Plaintiff requested a hearing before On an Administrative Law Judge, which was held on October 21, 2004. (AR 90, 130-65). On January 10, 2005, the ALJ issued an unfavorable decision. Plaintiff requested review of the ALJ's decision and the (AR 70-84). Appeals Council remanded the case for further proceedings on October 20, 2005. (AR 43, 179-80). A second hearing with Administrative Law Judge James Goodman (the "ALJ") was held on February 13, 2007. (AR 41-68). On April 7, 2008, the ALJ issued an unfavorable decision. (AR 20-35). Plaintiff again requested review of the ALJ's decision. (AR 18-19). The Appeals Council denied her request for review on July 15, 2010, and the ALJ's decision became the final decision of the Commissioner. (AR 8-10, 18). Plaintiff's Complaint, filed on September 27, 2010, seeks review of the ALJ's decision denying her disability benefits.

III.

FACTUAL BACKGROUND

Plaintiff was born on October 31, 1960. (AR 133, 187). Plaintiff is a high school graduate. (AR 133). She previously held certificates as a medical assistant and an emergency medical technician. (Id.). Her

application alleges disability beginning on March 30, 2001 due to 1 diabetes, coronary artery disease, double 2 bypass surgery, 3 spondylolisthesis at L4-5, and a suspicion of breast cancer. (AR 211). Plaintiff testified that she worked as a "dispatcher" of ambulances and 4 medical vans for a company now known as "Krippens" since 1984, but 5 stopped working in March of 2001 after she suffered a neck injury in a 6 7 non-work related car accident. (AR 134-36). Plaintiff stated that "[she] was still willing to come in and work [after the accident]. But 8 a couple days later is when [her employer] decided to lay [her] off." 9 (AR 136). According to Plaintiff, her employer was "downsizing" and, 10 11 when she told her employer she could not come back in, the employer "laid [Plaintiff] off." (AR 135). 12

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A. <u>Plaintiff's Medical History</u>

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1. ALJ Hearings

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Plaintiff testified that her heart condition began in October 2002. 18 19 (AR 136). Although Plaintiff's test results in 2001 were normal, she 20 required bypass surgery on October 24, 2002. (AR 136-37). Plaintiff 21 stated that after the surgery she had "[1]ess chest pain [and it was] 22 easier to breathe." (AR 137). As of the date of her first 23 administrative hearing on October 21, 2004, Plaintiff noted that she 24 continued to have chest pain "[o]nce a week" and it lasted about "a 25 half-an-hour." (Id.). Plaintiff stated that when she felt chest pain 26 and took nitroglycerine the pain "goes away within about 10 minutes." 27 (AR 138). After taking nitroglycerine Plaintiff testified that she 28 "get[s] tired afterward" and "rest[s] for about an hour." (Id.). Α

1 "treadmill test" completed just prior to the first hearing indicated 2 that Plaintiff had "no new blockages" and Plaintiff's doctor "wanted to 3 keep [her] on the nitroglycerine to see if that would keep the chest 4 pains away." (AR 148).

In 2004, Plaintiff stated that she has been diabetic for 14 years 6 7 and takes "two different insulin tablets." (AR 139). Plaintiff further noted that she suffers from hypothyroidism, but it is controlled with 8 9 medication. (AR 140). Plaintiff also suffers from elevated cholesterol, but is controlling her cholesterol with diet. 10 (Id.). 11 Further, Plaintiff noted in 2004 that she has neck, shoulder, and lower back pain and suffers from spondylolisthesis. (Id.). 12 Plaintiff testified that the pain "come[s] and go[es]" and that her shoulder pain 13 14 was monthly, while her neck and lower back pain were daily. (AR 141). 15 Plaintiff took non-prescription Tylenol which gave her some relief. 16 (Id.). Plaintiff stated that she had difficulty sitting and walking 17 when her back pain was severe. (Id.). She noted that she could only 18 walk for about fifteen minutes and also had discomfort when sitting. 19 (AR 141-42). When Plaintiff has back pain, she stated that she has 20 difficulty bending at the waist, so she usually squats down instead. 21 (AR 142). Plaintiff indicated that her shoulders hurt "just about [every] day depending on what . . . [she] tried to lift." (Id.). 22 23 Plaintiff also takes Tylenol for her shoulder pain. (AR 143).

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The medical expert ("ME"), Dr. Thomas Maxwell, present at Plaintiff's first hearing stated that he disagreed with Plaintiff's treating physician, who concluded that Plaintiff is in a permanent state of incapacity. (AR 153). The ME stated:

I just reach a different opinion simply because I don't 1 2 really have a lot of details as to how they [INAUDIBLE] 3 opinion. So my own opinion based on the record [INAUDIBLE] would be the ability to lift and /or carry both frequently 4 and occasionally 10 pounds, to sit for six hours with the 5 ability to stand at will to relieve discomfort, to stand 6 7 and/or walk for two hours, and then postural limitations as [INAUDIBLE] crouching, crawling, stooping to 8 far as 9 occasional but no climbing of ladders, ropes, or scaffolds, other climbing to be occasional. And then manipulative 10 11 limitation as far as reaching overhead in both upper 12 extremities to occasional. And then just environmental as 13 far as excessive exposure to temperature and other things 14 such as humidity and [INAUDIBLE].

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16 (AR 153-54).¹ Dr. Maxwell further noted that no pulmonary function 17 tests were completed and that while Plaintiff did have "moderate 18 cardiomegaly" after her surgery, the condition was not ongoing. (AR 19 154-55).

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At the February 13, 2007 hearing, Plaintiff testified that her "physical limitations, [her] heart, [her] back, [her] shoulders, [and] her hands" continued to prevent her from working. (AR 49). Plaintiff noted that if she "exert[s] herself too much by lifting, doing anything heavy, pushing a vacuum sweeper too far, too long, [her] chest starts

²⁷¹ The Court notes that several of the questions and answers between 28 the ALJ and the ME at the October 21, 2004 hearing were deemed "inaudible." (See AR 155-56).

hurting. It feels like something's sitting on [Plaintiff's] chest." 1 (AR 50). Plaintiff most recently visited the emergency room in August 2 3 2006 because her chest pain did not stop after taking nitroglycerin at (AR 51). Plaintiff noted that starting six months before the 4 home. second hearing, her chest pain occurred "monthly" and prior to that 5 period the frequency of her chest pain was "[a]bout every six months." 6 7 (AR 52). Plaintiff attributed the increased frequency to her performance of more household chores and "stress of the bills." 8 (AR 53). 9

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11 Plaintiff also noted that after the surgery she "was put on Lipitor to lower [her] cholesterol, and one of the side effects [was] muscle 12 pain and it go to where [Plaintiff] couldn't walk or sit up, and they 13 did an MRI, and they found out that [Plaintiff has] arthritis in [her] 14 15 lower back." (AR 55). She experiences a "sharp pain" that "radiates down to [her] legs" as a result of the arthritis. (Id.). Plaintiff 16 17 noted that the severe pain occurs "every three or four days" depending 18 on how much she walks and sits. (Id.). In addition to the severe pain, 19 Plaintiff testified that the symptoms pertaining to her back pain have 20 become worse, and she feels less severe, "like a soreness," pain daily. 21 (AR 56).

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Plaintiff also described a "sharp" pain in her left shoulder.
(Id.). The symptoms in her left shoulder first arose in 2000. (AR 57).
She noted that she has "a torn ligament" in that shoulder. (AR 56).
Plaintiff testified that she has limitations reaching with her left arm,
reaching out in front of her, to the side, and behind her, as well as
reaching overhead. (AR 57). Plaintiff also testified as to having pain

1 emanating from her hands. She "had carpal tunnel surgery in '94 and 2 '95." (AR 58). Plaintiff noted that she underwent two procedures on 3 her left hand, as well as one procedure to her right hand in 1993." 4 (<u>Id.</u>). Additionally, Plaintiff has also been taking insulin, Glucophage 5 and Glyburide, since 2000 for diabetes. (AR 58-59).

7 Because of the Plaintiff's symptoms resulting from her heart and back, she testified that she requires periods of rest during the day. 8 (AR 59). Plaintiff noted that she lies down, "twice a day," (id.), for 9 "[a]bout an hour." (AR 60). Plaintiff also noted that over the course 10 11 of the past year, the need to lie down is "more frequent now than what it was." (Id.). Her overall energy levels are "lower than what it used 12 13 to be" and there are periods of time she feels fatigued. (Id.). Plaintiff testified that "[t]rying to do too much housework, or take 14 15 care of [her] daughter," cause the fatigue. (Id.). Finally, Plaintiff does not wear a back brace of any sort and attributes a weight loss from 16 17 250 pounds at the time of the August 2001 hearing to 180 pounds during the February 2007 hearing to a cut down "on eating, and trying to do a 18 19 lot more walking." (AR 65).

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2. Treatment Records

On her "Disability Report," Plaintiff stated that a car accident in March of 2001 had caused her to stop working. (AR 210-19). As part of her worker's compensation claim, Plaintiff visited Dr. Ralph Steiger in December 2001. (AR 423). At the request of Dr. Steiger, Plaintiff had a magnetic resonance imaging (MRI) scan of her cervical spine and

1 left scapula, which showed a two to three millimeter disc bulge at C5-6
2 and a normal left scapula. (AR 28, 423-29).

Later, in October 2002, after complaining of chest pains, Plaintiff was referred to Dr. Dandekar at Citrus Valley Medical Center for coronary bypass graft surgery. (AR 301-03, 455-57). By January of 2003, doctors noted that Plaintiff was "Feeling well. Active. Ambulatory. No chest pains." (AR 359). Further reports in April 2003 and July 2003 also confirmed that Plaintiff was feeling well. (AR 346, 355, 357).

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In October 2003, Tina Moy, a non-physician State Agency Disability 12 Analyst, reviewed Plaintiff's prior medical records and determined that 13 14 Plaintiff could lift or carry twenty pounds occasionally, and ten pounds 15 frequently. (AR 223-38). Ms. Moy also concluded that Plaintiff could stand or walk for a total of about six hours in an eight-hour workday. 16 17 (AR 229-35, 382). Later, in April 2004, Dr. Bhupinder Bains, Plaintiff's treating physician, found Plaintiff incapacitated from work 18 19 because of coronary artery disease status post coronary artery bypass 20 graft, diabetes mellitus, and hypothyroidism. (AR 420). He also 21 concluded that the date for this incapacity was October 2002, and that 22 this incapacity was permanent. (Id.).

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On August 17, 2004, Plaintiff returned to Dr. Gadgil, who had examined Plaintiff before her surgery. (AR 489-91). In contrast to Dr. Bains's findings, Dr. Gadgil determined that Plaintiff's overall cardiac status was "normal" and advised her to continue her current activities and medications. (AR 490). In a later visit to Dr. Gadgil in September

2004, he also noted that Plaintiff's echocardiogram was "unremarkable." 1 2 (Id.). After Plaintiff underwent a stress test in October 2004, there 3 was no evidence of hemodynamically significant coronary artery disease and no significant perfusion abnormality. (AR 430). It was also 4 discovered in that stress test that Plaintiff had normal left 5 ventricular size, global function, and ejection fraction at rest. 6 7 (Id.). Further, in January 2005, Dr. Roy Kaku, assessed her as "asymptomatic and hemodynamically stable." (AR 503). 8

10 Plaintiff also had an x-ray taken of her lumbar spine after 11 complaining of back pain in May 2003. (AR 552). The x-ray showed very slight anterolisthesis of L5 on S1 with a subtle irregularity suggestive 12 13 of a pars defect. (AR 28, 552-54). In September 2003, Plaintiff was 14 diagnosed with spondylolisthesis at L5 with first degree 15 spondylolisthesis of L5 on S1. (AR 28, 372).

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3. Consultative Sources

19 On September 3, 2003, Dr. Sahniah Lambert performed a comprehensive 20 "Internal Medical Evaluation" at the request of the Department of Social Services. (AR 365). Based on Plaintiff's physical examination with Dr. 21 Lambert and Plaintiff's medical history, Dr. Lambert recommended that 22 23 Plaintiff be "limited to pushing, pulling, lifting, and carrying 20 24 pounds occasionally and 10 pounds frequently." (AR 369). Dr. Lambert 25 also recommended that "[s]tanding, walking and sitting can be performed 26 without restriction," and "[p]ostural activities, including bending, 27 kneeling, stooping, crawling, and crouching," can too be performed 28 without restriction. (Id.). Additionally, Dr. Lambert concluded that

1 "[a]ctivities that require agility, such as climbing ladders, working
2 on uneven terrain, or working at heights, should be avoided because of
3 the known history of coronary disease." (Id.).

Dr. Sam Mouazzen also conducted an evaluation of Plaintiff on 5 October 25, 2006. (AR 557-59). Based on that evaluation, Dr. Mouazzen 6 7 concluded that Plaintiff "showed basically no evidence of cardiac decompression," and that "[i]n spite of her symptoms, the patient 8 9 appears to be able to do her usual activities quite well without any 10 limitations." (AR 559). In sum, Dr. Mouazzen recommended that Plaintiff's symptoms are "not disabling." (Id.). 11

Dr. Mouazzen later conducted another examination of Plaintiff on 13 March 14, 2007 for the Department of Social Services. (AR 590-92). 14 15 Although Dr. Mouazzen did not make any specific recommendations as to 16 Plaintiff's symptoms, the ALJ examined Dr. Mouazzen's March 2007 report. 17 (AR 24). The ALJ believed Dr. Mouazzen found "no significant change from his October 2006 report," and determined that "the narrative 18 19 contained in Dr. Mouazzen's March 2007 report, as supported by his 20 October 2006 report, was internally consistent and correlated with the [Plaintiff's] medical history." (AR 24, 592). 21

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4. Vocational Expert Testimony

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Dr. Martin Broadwin, a vocational expert ("VE"), testified at Plaintiff's 2001 hearing. (AR 157-62). Dr. Broadwin testified that Plaintiff could not perform any of her past work but could perform other sedentary semi-skilled occupations. (AR 158). He testified that based

on the limitations pertaining to Plaintiff, she could perform a job 1 where "she could sit for six hours out of eight but would have to stand 2 up to relieve some discomfort." (AR 160). The VE cited jobs such as, 3 order clerk, routing clerk, and referral clerk. (AR 158-59). Further, 4 Dr. Broadwin testified there existed "1,150" order clerk jobs "in 5 southern California," "1,100" routing clerk jobs and "900" referral 6 clerk jobs in "the southern California region." (AR 159). He also 7 testified that based on limitations pertaining to Plaintiff, she could 8 9 also perform sedentary unskilled jobs, such as product marker or 10 labeler, unskilled inspection clerk or inspector, and product folder. 11 (AR 34, 160). Dr. Broadwin noted that there existed "2,300" product marker or labeler jobs "in this region of the country," ~~5,000*″* 12 13 unskilled inspection clerk or inspector jobs and "2,500" product folder jobs "in this region of the country." (AR 160). 14

IV.

THE FIVE-STEP SEQUENTIAL EVALUATION PROCESS

To qualify for disability benefits, a claimant must demonstrate a medically determinable physical or mental impairment that prevents him from engaging in substantial gainful activity² and that is expected to result in death or to last for a continuous period of at least twelve months. Reddick v. Chater, 157 F.3d 715, 721 (9th Cir. 1998) (citing 42 U.S.C. § 423(d)(1)(A)). The impairment must render the claimant incapable of performing the work he previously performed and incapable

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^{27 &}lt;sup>2</sup> Substantial gainful activity means work that involves doing 28 significant and productive physical or mental duties and is done for pay or profit. 20 C.F.R. §§ 404.1510, 416.910.

1	of performing any other substantial gainful employment that exists in									
2	the national economy. Tackett v. Apfel, 180 F.3d 1094, 1098 (9th Cir.									
3	1999) (citing 42 U.S.C. § 423(d)(2)(A)).									
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5	To decide if a claimant is entitled to benefits, an ALJ conducts									
6	a five-step inquiry. 20 C.F.R. § 416.920. The steps are:									
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8	(1)	(1) Is the claimant presently engaged in substantial gainful								
9		activity? If so, the claimant is found not disabled.								
10		If not, proceed to step two.								
11	(2)	Is the claimant's impairment severe? If not, the								
12		claimant is found not disabled. If so, proceed to step								
13		three.								
14	(3)	Does the claimant's impairment meet or equal one of a								
15		list of specific impairments described in 20 C.F.R. Part								
16	16 404, Subpart P, Appendix 1? If so, the claimant									
17		found disabled. If not, proceed to step four.								
18	(4)	Is the claimant capable of performing his past work? If								
19		so, the claimant is found not disabled. If not, proceed								
20		to step five.								
21	(5)	Is the claimant able to do any other work? If not, the								
22		claimant is found disabled. If so, the claimant is								
23		found not disabled.								
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25	<u>Tackett</u> , 2	180 F.3d at 1098-99; see also <u>Bustamante v. Massanari</u> , 262 F.3d								
26	949, 953-	54 (9th Cir. 2001) (citations omitted); 20 C.F.R. § 416.920(b)-								
27	(g)(1).	(g)(1).								
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The claimant has the burden of proof at steps one through four, and 1 the Commissioner has the burden of proof at step five. Bustamante, 262 2 F.3d at 953-54. Additionally, the ALJ has an affirmative duty to assist 3 the claimant in developing the record at every step of the inquiry. Id. 4 5 at 954. If, at step four, the claimant meets his burden of establishing an inability to perform past work, the Commissioner must show that the 6 claimant can perform some other work that exists in "significant 7 numbers" in the national economy, taking into account the claimant's 8 residual functional capacity ("RFC"),³ age, education, and work 9 10 experience. Tackett, 180 F.3d at 1098, 1100; Reddick, 157 F.3d at 721; 20 C.F.R. §§ 404.1520(f)(1), 416.920(f)(1). The Commissioner may do so 11 by the testimony of a vocational expert or by reference to the Medical-12 13 Vocational Guidelines appearing in 20 C.F.R. Part 404, Subpart P, Appendix 2 (commonly known as "the Grids"). Osenbrock v. Apfel, 240 14 15 F.3d 1157, 1162 (9th Cir. 2001) (citing Tackett). When a claimant has both exertional (strength-related) and nonexertional limitations, the 16 17 Grids are inapplicable and the ALJ must take the testimony of a vocational expert. Moore v. Apfel, 216 F.3d 864, 869 (9th Cir. 2000) 18 19 (citing Burkhart v. Bowen, 856 F.2d 1335, 1340 (9th Cir. 1988)).

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THE ALJ'S DECISION

The ALJ employed the five-step sequential evaluation process and concluded that Plaintiff was not disabled under the Social Security Act.

^{27 &}lt;sup>3</sup> Residual functional capacity is "what [one] can still do 28 despite [his] limitations" and represents an "assessment based upon all of the relevant evidence." 20 C.F.R. §§ 404.1545(a), 416.945(a).

1 (AR 23-35). At the first step, the ALJ found that Plaintiff had not 2 engaged in substantial gainful activity since March 30, 2001. (AR 26). 3 At step two, the ALJ found that Plaintiff had a severe combination of 4 impairments including:

[N]on-insulin-dependent diabetes mellitus; hypertension (controlled); coronary artery disease, status post coronary artery bypass graft done in October 2002; obesity (improved); hypothyroidism (controlled); osteoarthritis of the lumbosacral spine; osteoarthritis of the right shoulder; hyperlipidemia; and benign breast masses.

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13 (AR 27). At the third step, the ALJ found that the severe impairments 14 at step two did not meet or medically equal a listed impairment. (Id.) 15 At step four, the ALJ considered Plaintiff's medical history to determine Plaintiff's RFC. Dr. Lambert, a consultative examiner, found 16 Plaintiff could stand, walk and sit "without restriction." 17 (AR 30, 18 369). However, based on the testimony of medical expert Dr. Maxwell, 19 the ALJ decided to place greater restrictions on Plaintiff's RFC. (AR 20 27-33). As such, the ALJ found that Plaintiff had the following RFC:

22 [T]o lift or carry ten pounds occasionally and frequently. 23 She can stand or walk for a total of two hours in an eight-24 hour workday and sit for a total of six hours in an eight-25 hour workday, with the opportunity to stand at will. She 26 must never climb ladders, ropes, or scaffolds, but she may 27 occasionally climb ramps and stairs. She can occasionally 28 stoop, kneel, crouch, and crawl. balance, She can

occasionally reach overhead. She must not have excessive exposure to temperature extremes, humidity, and irritants. She should also avoid more than average levels of stress.

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5 (AR 28). At step five, the ALJ found that based on Plaintiff's relatively young age, 47, high school education, past work experience, 6 7 and RFC, she could perform jobs existing in significant numbers in the national economy. (AR 34). Semi-skilled jobs Plaintiff could perform 8 9 include, "1) Order Clerk; 2) Routing Clerk; and 3) Referral Clerk." 10 (Id.). Sedentary, unskilled jobs Plaintiff could perform include, "1) 11 Product Marker; 2) Inspector; and 3) Product Folder." (Id.). The ALJ relied on the testimony of the vocational expert, Dr. Broadwin, to make 12 13 this determination. (Id.). Because the ALJ found that Plaintiff can perform other occupations with jobs existing in significant numbers in 14 15 the national economy, he concluded that Plaintiff is not disabled. (AR 34-35). 16

VI.

STANDARD OF REVIEW

Under 42 U.S.C. § 405(g), a district court may review the Commissioner's decision to deny benefits. The court may set aside the Commissioner's decision when the ALJ's findings are based on legal error or are not supported by substantial evidence in the record as a whole. <u>Aukland v. Massanari</u>, 257 F.3d 1033, 1035 (9th Cir. 2001) (citing <u>Tackett</u>, 180 F.3d at 1097); <u>Smolen v. Chater</u>, 80 F.3d 1273, 1279 (9th Cir. 1996) (citing <u>Fair v. Bowen</u>, 885 F.2d 597, 601 (9th Cir. 1989)).

"Substantial evidence is more than a scintilla, but less than a 1 2 preponderance." Reddick, 157 F.3d at 720 (citing Jamerson v. Chater, 3 112 F.3d 1064, 1066 (9th Cir. 1997)). It is "relevant evidence which a reasonable person might accept as adequate to support a conclusion." 4 Id. (citing Jamerson, 112 F.3d at 1066; Smolen, 80 F.3d at 1279). To 5 determine whether substantial evidence supports a finding, the court 6 7 must "'consider the record as a whole, weighing both evidence that supports and evidence that detracts from the [Commissioner's] 8 conclusion."" Aukland, 257 F.3d at 1035 (quoting Penny v. Sullivan, 2 9 F.3d 953, 956 (9th Cir. 1993)). If the evidence can reasonably support 10 11 either affirming or reversing that conclusion, the court may not substitute its judgment for that of the Commissioner. Reddick, 157 F.3d 12 at 720-21 (citing Flaten v. Sec'y, 44 F.3d 1453, 1457 (9th Cir. 1995)). 13 14 15 VII. 16 DISCUSSION 17

Plaintiff contends the ALJ erred because the ALJ improperly relied 18 19 on the Vocational Expert testimony to conclude that Plaintiff could 20 perform work other than her past relevant work. (Memorandum in Support of Plaintiff's Complaint ("Complaint Memo.") at 7). 21 Specifically, 22 Plaintiff contends that the Vocational Expert "impermissibly assumed a capacity that neither ALJ decision affirmed found to exist." (Id.). 23 24 For the reasons discussed below, the Court disagrees with Plaintiff's 25 contentions and concludes that the ALJ's decision should be AFFIRMED.

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1 A. The ALJ Properly Relied On The Vocational Expert's Testimony 2 Because the Vocational Expert Did Not Assume A Capacity In Excess 3 Of The RFC

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5 "The hypothetical an ALJ poses to a vocational expert, which 6 derives from the RFC, must set out all limitations and restrictions of 7 a particular claimant." <u>Valentine v. Comm'r of Soc. Sec. Admin.</u>, 574 8 F.3d 685, 690 (9th Cir. 2009) (internal quotation marks omitted). 9 However, "[a]n ALJ is free to accept or reject restrictions in a 10 hypothetical question that are not supported by substantial evidence." 11 Osenbrock v. Apfel, 240 F.3d 1157, 1664-65 (9th Cir. 2001).

Here, the ALJ posed the following hypothetical question to the VE:

Now, the vocational profile that we have here is an individual who is 42 years old [INAUDIBLE], high-school graduate, plus additional training as she described earlier and is no longer employed, work [INAUDIBLE] described. Now, assume first that she has [INAUDIBLE].⁴

(AR 157). Based on this hypothetical, the VE testified that such a Plaintiff would not be able to perform the occupation of motor vehicle dispatcher, which was Plaintiff's previous position. However, the VE

²⁶ ⁴ It is unfortunate that certain words in the transcript are labeled "inaudible." However, after reviewing the record in its entirety, the Court finds that the defects in the record are not material to the Court's review of Plaintiff's claim. Notably, Plaintiff did not seek a remand based upon defects in the record. 1 noted that Plaintiff would have transferable skills in clerical areas, 2 such as:

[C]ommunication and organizational skills and abilities, knowledge of the [INAUDIBLE] vehicles, ability to do the paper work and clerical activities involved in the vehicle's [INAUDIBLE] and personnel. I believe - I'm sorry -[INAUDIBLE] direct activities of drivers and communicate a schedule, maintaining an orderly work flow and certainly in this case a skill would be reacting quickly and appropriately to emergency situations.

(AR 158). Based on the above transferable skills, the VE testified such 13 14 a Plaintiff would be able to perform "very basic semi-skilled jobs" and 15 "sedentary unskilled occupations." (AR 159-60). The ALJ specifically 16 clarified that the jobs stated by the VE did not "require any 17 significant overhead or over shoulder work." (AR 159). The VE stated 18 that they did not. (Id.). The ALJ further clarified that the VE had 19 to consider the limitation that Plaintiff could not "sit for six hours 20 out of eight but would have to stand up to relieve discomfort 21 [INAUDIBLE]." (AR 160). The VE confirmed that he had taken into 22 account the limitation and also noted that "[a]s long as the individual 23 could sit for about 30 minutes and then perhaps stand to relieve plain 24 or discomfort and continue working and then sit again," the Plaintiff 25 could perform occupations within the local and national economies. 26 (Id.).

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As noted above, the VE testified that Plaintiff could perform basic 1 semi-skilled and sedentary unskilled positions. (AR 2 159-60). 3 Specifically, the VE mentioned that Plaintiff has the transferable skills to perform the occupations of an order clerk with 1,150 jobs in 4 5 southern California, a routing clerk with 1,100 jobs, and a referral clerk with approximately 900 jobs existing in the southern California 6 7 region. (AR 159). Further, the VE testified that Plaintiff could perform the sedentary unskilled occupations of product marker or labeler 8 9 with 2,300 jobs in the region, an unskilled inspection clerk or inspector with 5,000 jobs, and a product folder with 2,500 jobs existing 10 in southern California. (AR 160). 11

Plaintiff does not challenge the ALJ's RFC finding. However, Plaintiff does contend that the VE assumed a capacity "not contained in the hypothetical question." (Complaint Memo. at 7). Specifically, Plaintiff argues that the VE assumed Plaintiff "would always have the ability to persist in a seated position for about 30 minutes or that the variable and idiosyncratic nature of a pain pattern would not otherwise disrupt the sedentary nature of the work identified." (Id.).

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21 Plaintiff argues that the ALJ never affirmatively found that 22 capacity to exist. (Id.). Instead, Plaintiff argues the ALJ 23 "specifically found that [Plaintiff] would required [sic] the 24 'opportunity to stand at will.'" (Id.). According to Plaintiff, 25 "[s]tanding at will, when physical pain or discomfort demands a change 26 of position, is far different than a capacity to sit for at least 30 27 minutes, continuing to work while standing, and then sitting again, the 28 process of which taking an unspecified period of time." (Id.).

1 However, the Court disagrees with Plaintiff's assertion that the VE 2 assumed a capacity not contained in the ALJ's hypothetical.

Both the ALJ's assessment of Plaintiff's RFC and the VE's testimony 4 regarding Plaintiff's ability to perform occupations in the local and 5 national economies are consistent with each other. 6 See Bayliss v. 7 Barnhart, 427 F.3d 1211, 1217-18 (9th Cir. 2005) (holding proper the 8 ALJ's reliance on testimony the VE gave in response to a hypothetical 9 when the hypothetical posed by the ALJ contained all of the limitations that the ALJ found credible and supported by substantial evidence in the 10 11 record). During cross-examination by Plaintiff's counsel, the VE was asked to define "at will." (AR 162). The VE testified that at will can 12 be described as "at any point in time in which the individual feels the 13 need to stand and to sit back down again." (Id.). It is clear from the 14 15 VE's testimony that based on the limitations incorporated in the ALJ's hypothetical, the VE accounted for standing at will when concluding 16 17 Plaintiff could perform alternative occupations. Thus, the ALJ 18 reasonably found the VE's interpretation of the RFC consistent with the 19 ALJ's assessment.

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21 B. Even If The Vocational Expert Assumed A Capacity In Excess Of The 22 RFC, Any Error Was Harmless Because Plaintiff Could Still Perform 23 The Semi-Skilled Jobs Of Order Clerk, Routing Clerk, And Referral 24 Clerk

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The Ninth Circuit has held that an ALJ's error is harmless and no remand is required if the outcome would have been the same, absent the error. <u>See Carmickle v. Comm'r</u>, 533 F.3d 1155, 1162 (9th Cir. 2008) (if 1 ALJ's error was inconsequential to the ultimate nondisability 2 determination, no remand required); <u>Burch v. Barnhart</u>, 400 F.3d 676, 679 3 (9th Cir. 2005) ("A decision of the ALJ will not be reversed for errors 4 that are harmless."). Here, any error was harmless because Plaintiff 5 could still perform the semi-skilled jobs of order clerk, routing clerk, 6 and referral clerk. Thus, no remand is required.

Plaintiff contends that the ALJ's finding that Plaintiff "needs to 8 stand at will," (AR 79), precludes Plaintiff from performing the 9 unskilled sedentary jobs of product marker, inspection clerk, and 10 11 product folder, as opined by the VE. (Reply in Support of Complaint "Reply") at 3-5); see also Social Security Ruling (SSR) 83-12, 1983 WL 12 13 31253, at *4 (S.S.A. 1983) ("Unskilled types of jobs are particularly 14 structured so that a person cannot ordinarily sit or stand at will."). However, based on the VE's testimony, Plaintiff does have transferable 15 specific vocational preparation ("SVP") level five skills obtained 16 17 during Plaintiff's past relevant work. (AR 33). Plaintiff acquired 18 communication and organizational skills, a knowledge of vehicles, the 19 ability to do paperwork and clerical activities, the ability to direct 20 the activities of drivers and communicate a schedule, and the ability 21 to maintain an orderly work flow. (Id.). Those skills can be applied 22 to meet the requirements of other semi-skilled jobs which allow the 23 Plaintiff the opportunity to stand at will. See Social Security Ruling 24 (<u>SSR</u>) 82-41, 1982 WL 31389, at *2 (S.S.A. 1982).

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The VE testified that Plaintiff has the skill set necessary to perform very basic semi-skilled jobs requiring SVP's of three or four, such as order clerk, routing clerk, and referral clerk. (AR 159). 1 According to the <u>Dictionary of Occupational Titles</u> ("<u>DOT</u>"), jobs such 2 as order clerk, routing clerk, and referral clerk, can all be performed 3 by Plaintiff requiring the opportunity to stand at will. An order 4 clerk's main duties include:

Process[ing] orders for material or merchandise received by mail, telephone, or personally from customer or company employee, manually or using computer or calculating machine: Edit[ing] orders received for price and nomenclature. Inform[ing] customer of unit prices, shipping date, anticipated delays, and any additional information needed by customer, using mail or telephone. Writ[ing] or types order form, or enters data into computer, to determine total cost for customer. Record[ing] or files copy of orders received according to expected delivery date.

17 <u>Dictionary of Occupational Titles</u>, 249.362-026. A routing clerk's main 18 duties include:

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20 Determin[ing] truck routes involved and issu[ing] route slips 21 to drivers to pick up donated clothing, furniture, and 22 merchandise for vocational rehabilitation general 23 organization: Review[ing] pre-sorted route slips and 24 review[ing] street maps to determine appropriate route, based 25 on type and quantity of merchandise pledged and location of 26 donor. Issu[ing] route slips to drivers. Answer[ing] 27 telephone and mail inquiries and complaints from donors 28 concerning pickups; and advis[ing] drivers of problems or

reschedules pickup. Occasionally tak[ing] pickup orders. Prepar[ing] daily truck-collection report based on information from drivers, and keep[ing] attendance, safety, and maintenance records.

6 <u>Dictionary of Occupational Titles</u>, 249.367-070. A referral clerk's main 7 duties include:

9 Compil[ing] and record[ing] information about temporary job openings and refer[ring] qualified applicants from register 10 11 of temporary help agency: Answer[ing] call from hospital, 12 business, or other type of organization requesting temporary 13 workers and obtain[ing] and record[ing] job requirements. 14 Review[ing] records to locate registered workers who match 15 job requirements and are available for scheduled shift. 16 Notif[ying] selected workers of job availability and 17 record[ing] referral information on agency records. 18 Sort[ing] mail, fil[ing] records, and perform[ing] other 19 clerical duties.

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<u>Dictionary of Occupational Titles</u>, 205.367-062. The duties for each position noted above, as defined by the <u>DOT</u>, do not prevent Plaintiff from performing the occupation, even if she requires the ability to stand at will to relieve discomfort. Because Plaintiff has acquired work skills from past relevant work that are transferable to other occupations with jobs existing in significant numbers in the local and national economies, Plaintiff is not disabled.

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In sum, the Court concludes that the VE assumed a capacity that is 1 2 consistent with the ALJ's assessment of Plaintiff's RFC and the ALJ 3 therefore properly relied on the VE's testimony. Moreover, even if the VE assumed a capacity in excess of Plaintiff's RFC, any error was 4 harmless because Plaintiff could still perform the semi-skilled jobs of 5 order clerk, routing clerk, and referral clerk. Thus, no remand is 6 7 required. 8 9 VIII. 10 CONCLUSION 11 12 Consistent with the foregoing, and pursuant to sentence four of 42 U.S.C. § 405(g),⁵ IT IS ORDERED that judgment be entered AFFIRMING the 13 14 decision of the Commissioner. IT IS FURTHER ORDERED that the Clerk of 15 the Court serve copies of this Order and the Judgment on counsel for 16 both parties. 17 18 DATED: September 12, 2011. 19 /S/ 20 SUZANNE H. SEGAL UNITED STATES MAGISTRATE JUDGE 21 22 23 24 25 26 This sentence provides: "The [district] court shall have power 27 to enter, upon the pleadings and transcript of the record, a judgment affirming, modifying, or reversing the decision of the Commissioner of 28 Social Security, with or without remanding the cause for a rehearing."

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