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8	UNITED STATES DISTRICT COURT CENTRAL DISTRICT OF CALIFORNIA	
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10	KEVIN SMYTH, INDIVIDUALLY AND	No.: CV-13-03008 (RGK) (PJWx)
11	ON BEHALF OF ALL OTHERS SIMILARLY SITUATED,	[PROPOSED]
12 13		CONFIDENTIALITY ORDER
15 14	Plaintiffs,	
14	VS.	DISOVERY MATTER
16	YU CHANG, YAU-SING TANG, XIAO	
17	RONG TENG, CHINA GRITECH, INC., GENE MICHAEL BENNETT, MING	
18	FANG ZHU, ZHENG "ANNE" WANG,	
19	CHARLES LAW, LUN ZHANG DAI, AND HAI LIN ZHANG	
20		
21	Defendants.	
22	For good cause appearing for the entry of this Confidentiality Order under	
23	Federal Rule of Civil Procedure 26(c),	
24	It is hereby ORDERED that:	
25	1. This Confidentiality Order shall govern the handling, disclosure and	
26	use of all information that is furnished orally, in writing, by visual inspection or	
27	otherwise, by, through or on behalf of any party or any third party (the "Producing	
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	0 [PROPOSED] CONFIDENTIALITY ORDER	

Party") to any other party (the "Receiving Party") in connection with discovery to be conducted in the above-entitled action (the "Litigation").

- 2. "Confidential Information," as used herein, means information of any type, kind or character which is designated "Confidential" by any of the parties to the action or other person(s) producing the information (including nonparties), whether it be a document, information contained in a document, information revealed during a deposition, information revealed in an interrogatory answer or otherwise. In designating information as "Confidential," the Producing Party will make such designation only as to that information that it in good faith believes is confidential within the meaning of Rule 26(c) of the Federal Rules of Civil Procedure and as further defined below. Information or material which is available 12 to the public, including press releases, public documents filed with the Securities 13 and Exchange Commission, and the like shall not be classified as "Confidential." 14 Confidential Information includes or constitutes, but is not limited to, sensitive and nonpublic business personnel information, including financial or tax information, 15 16 addresses, phone numbers and Social Security numbers; trade secrets; sensitive proprietary or confidential research, development, commercial non-public financial 17 information, commercially sensitive information, or personal information. The 18 parties will make a good-faith effort to use the "Confidential" designation only 19 when needed. 20
- 21 3. "Highly Confidential-Attorney's Eyes Only Information" describes extremely sensitive Confidential Information disclosure of which to a party or non-22 party would create substantial risk of serious injury that could not be avoided by 23 less restrictive means. The parties will make a good-faith effort to use the "Highly 24 Confidential-Attorneys' Eyes Only" designation only when needed. 25
- 26 4. Confidential Information or Highly Confidential- Attorneys' Eyes 27 Only Information produced or exchanged in the course of this litigation shall be 28 used solely for the purpose of prosecuting, defending or attempting to settle this

1 Litigation and for no other purpose whatsoever, and shall not be disclosed to any 2 person except in accordance with the terms hereof. 3 5. Except by agreement between the parties or further order of this Court, 4 information designated as Confidential Information under this Confidentiality 5 Order shall not be disclosed to any person other than the following: 6 Counsel representing the named parties in the litigation, (a) 7 including in-house counsel and co-counsel, paralegals, clerks, secretaries, and other 8 persons employed or retained by the named parties' counsel to assist counsel in the 9 preparation of this litigation (collectively referred to as a party's "legal team"); 10 Counsel representing any insurer or indemnitor of any (b) 11 Defendant, including the insurer's or indemnitor's legal team; 12 (c) The named parties, including officers or employees of any party 13 to this action that are assisting counsel in the prosecution or defense of this 14 Litigation; 15 16 (d) Actual or potential independent experts or consultants; 17 (e) Any person who authored, or has previously received or is 18 reasonably believed to have been provided access to the material in the ordinary 19 course of his or her business: 20 (f) Any actual or potential deponent or witness, including former 21 employees, officers or agents of a party (and clerical employees associated with the 22 deponent or witness), provided that there is a reasonable basis to believe that such 23 person will possess relevant information or knowledge regarding such material or 24 will give relevant testimony regarding the material, and for the purposes of 25 refreshing recollection or impeachment; 26 (g) Any court reporter or other person involved in recording 27 deposition testimony in this litigation by any means and acting in that capacity; 28

1 (h) The Court (and any appellate court) and any persons employed 2 by the Court whose duties require access to the Confidential Information, including 3 court personnel, jurors and alternate jurors; and 4 (i) The person(s) (including third parties) who produced the 5 particular Confidential Information in question, and any officer, employees or agent 6 of such producer. 7 6. Except by agreement between the parties or further order of this Court, 8 information designated as Highly Confidential – Attorneys' Eyes Only under this 9 Confidentiality Order shall not be disclosed to any person other than the following: 10 The Court and any Court staff and administrative personnel; (a) 11 Counsel for any party to this Litigation, including support staff (b) 12 of such counsel; 13 14 (c) Any court reporter employed in this Litigation and acting in that 15 capacity; 16 (d) Expert or consultants retained by counsel to assist in the 17 preparation of this Litigation or to testify at trial or at any other proceeding in this 18 Litigation; 19 (e) Any person identified as having authored or previously received 20 such information designated as Highly Confidential-Attorneys' Eyes only and 21 Actual or potential witness whose testimony is being taken (f) 22 before trial, at any hearing, or at trial or whose testimony is reasonably anticipated 23 to be taken before trial, at any hearing, or at trial where the Highly Confidential-24 Attorney's Eyes Only Information is reasonably anticipated to relate to the 25 witnesses' testimony. Such disclosure shall be limited to fact witnesses whose 26 identity has previously been disclosed before the date of testimony, whether by 27 notice of deposition, trial witness lists, or otherwise. Witnesses shown Highly 28

Confidential-Attorneys' Eyes Only Information shall not be allowed to retain copies.

7. Counsel desiring to reveal Confidential Information or Highly 4 Confidential-Attorneys' Eyes Only Information to any persons referred to in 5 Paragraphs 5 or 6 shall inform such person that the material is subject to a 6 confidentiality order and shall provide such person with a copy of this 7 Confidentiality Order before disclosure of any material designated as Confidential. 8 Any person who receives this Confidentiality Order and is provided with any 9 material designated as Confidential or Highly Confidential-Attorneys' Eyes Only 10 shall execute an Acknowledgement in the form attached as Exhibit A hereto and 11 agree to be bound by this Confidentiality Order and to be subject to the jurisdiction 12 of this Court for any proceedings related to the enforcement of this Confidentiality 13 Order. Counsel for the party who provided the Confidential Information or Highly 14 Confidential-Attorneys' Eyes Only Information to the persons listed in Paragraph 5 or 6 shall retain all original signed certificates obtained from any person pursuant to 15 16 this Paragraph. Executed Exhibits A shall not be discoverable except as required to enforce this Order or as otherwise allowed under the Federal Rules of Civil 17 Procedure and case law interpreting those rules. 18

19 8. Information designated Confidential or Highly Confidential-Attorneys' 20 Eyes Only under this Confidentiality Order also may be disclosed if: the person or 21 non-party making the designation consents to such disclosure; the Court, after 22 notice to all affected persons, allows such disclosure or the party to whom Confidential Information or Highly Confidential-Attorneys' Eyes Only Information 23 has been produced thereafter becomes obligated to disclose the information in 24 response to a lawful subpoena, provided that the subpoenaed party gives prompt 25 notice to counsel for the party which made the designation, and permits counsel for 26 that party sufficient time to intervene and seek judicial protection from the 27 enforcement of this subpoena, including without limitation entry of an appropriate

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protective order in the action in which the subpoena was issued.

2 9. (a) Documents produced in this action may be designated as 3 "Confidential" or "Highly Confidential-Attorneys' Eyes Only" by marking each 4 page of the document(s) so designated with a stamp stating "Confidential" or 5 "Highly Confidential-Attorneys' Eyes Only." The "Confidential" or "Highly 6 Confidential-Attorneys' Eyes Only" designation shall be stamped in a size and 7 location which makes the designation readily apparent and in a manner which does 8 not conceal or affect the legality or content of the document. The "Confidential" or 9 "Highly Confidential-Attorneys' Eyes Only" designation shall be affixed to any 10 document produced or generated in the course of this litigation which mentions, 11 discusses, or comments upon any Confidential Information or Highly Confidential-12 Attorneys' Eyes Only Information. In lieu of marking the original of a document, if 13 the original is not produced the Producing Party may mark copies that are produced 14 or exchanged. Originals shall be preserved for inspection.

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(b) Any Confidential Information or Highly Confidential-Attorneys'
Eyes Only Information that is not reduced to documentary, tangible, or physical
form, and that is otherwise not readily designated as "Confidential" or "Highly
Confidential-Attorneys' Eyes Only" pursuant to the preceding paragraph, may be
designated "Confidential" or "Highly Confidentially-Attorneys' Eyes Only" by
informing counsel for the parties in writing that it is "Confidential" or "Highly
Confidential-Attorneys' Eyes Only."

10. (a) Any deposition transcript or videotaped (or otherwise recorded)
deposition containing Confidential Information or Highly Confidential-Attorneys'
Eyes Only Information be marked on the cover "Confidential" or "Highly
Confidential-Attorneys' Eyes Only" and shall indicate as appropriate within the
transcript and on the videotape or other recording that the Information has been so
designated. Information (including exhibits) disclosed at the deposition of a party or

28 one of its present or former officers, directors, employees, agents or independent

1 experts retained by counsel for the purpose of this litigation, or (b) the deposition of 2 a nonparty (which information pertains to a party) may be designated by any party 3 as Confidential Information or Highly Confidential-Attorneys' Eyes Only 4 Information by indicating on the record at the deposition that the relevant portions 5 of the testimony are Confidential Information or Highly Confidential-Attorneys' 6 Eyes Only Information and are subject to the provisions of this Confidentiality 7 Order. Counsel may make a blanket designation of a deposition in its entirety 8 during a deposition. Designating counsel thereafter shall designate specific pages of 9 the transcript or parts thereof as Confidential or Highly Confidential-Attorneys' Eyes Only no later than 30 days after receipt of the final deposition transcript, after 10 which time any blanket designation of a deposition in its entirety shall expire. 11

12 (b) At deposition, the disclosing party shall notify the reporter on 13 the record if any Confidential Information or Highly Confidential-Attorneys' Eyes 14 Only Information produced by a nonparty is disclosed. Any party or producing nonparty may also designate information disclosed at such deposition as 15 16 Confidential Information or Highly Confidential-Attorneys' Eyes Only Information by notifying the reporter, all counsel of record, and any other affected person in 17 writing within thirty (30) days of receipt of the transcript that the relevant portions 18 of the transcript and the corresponding portions of any recording of the deposition 19 should be treated as Confidential Information or Highly Confidential-Attorneys' 20 Eyes Only Information. If such "Confidential" or "Highly Confidential-Attorneys" 21 Eyes Only" designation is made, the court reporter shall be directed to affix the 22 appropriate legend on the cover page and on all Confidential or Highly 23 Confidential-Attorneys' Eyes Only pages of the transcript, and to each copy thereof 24 (including any recording). Until thirty (30) days have passed after the receipt of any 25 transcript, the entire transcript and any recording of the deposition shall be deemed 26 to contain Confidential Information or Highly Confidential-Attorneys' Eyes Only 27 Information. The parties may modify this procedure for any particular deposition

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through agreement on the record at such deposition, without further order of the Court. If filed with the Court, those portions of a deposition containing Confidential Information, either in testimony or exhibits, shall be filed with the Clerk of the Court under seal in the manner provided in paragraph 14.

(c) The Designating Party shall be responsible for all additional costs or fees charged by the court reporting agency or company in order to comply with the Designating Party's designations. For example, if the price of obtaining a transcript or videotape of a deposition is increased by virtue of the Designating Party's request to treat certain information Confidential or Highly Confidential-Attorneys' Eyes Only, the Designating Party shall pay to the party requesting the transcript or videotape the difference between the price with the designation and the price without the designation.

13 11. If a party receiving Confidential Information or Highly Confidential-14 Attorneys' Eyes Only Information learns that, by inadvertence or otherwise, it has 15 disclosed such Information to any person or in any circumstance not authorized by 16 this Confidentiality Order, that party must immediately (a) notify in writing the 17 party making the designation of the unauthorized disclosure, (b) use its best efforts 18 to retrieve all copies of the Confidential Information or Highly Confidential-19 Attorneys' Eyes Only Information, (c) inform the person or persons to whom 20 unauthorized disclosures were made of all the terms of this Confidentiality Order 21 and (d) request such person or persons to execute the Acknowledgment attached as Exhibit A. 22

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12. (a) Documents unintentionally produced without designation as 24 "Confidential" or "Highly Confidential-Attorneys' Eyes Only" may be retroactively 25 designated by notice in writing of each document by Bates number if designated as 26 such within thirty (30) days after the Producing Party discovers the Confidential 27 Information or Highly Confidential-Attorneys' Eyes Only Information is undesignated and shall be treated appropriately from the date written notice of the 28

designation is provided to the receiving person(s).

2 (b) The Producing Party shall be obligated to provide replacement 3 copies of any redesignated documents or materials with appropriate labeling to the 4 receiving person(s) within ten days of the date of the written notice of the 5 redesignation, unless otherwise agreed. Upon receipt of a copy of the redesignated 6 documents or materials, the receiving person(s) shall promptly destroy, cause to be 7 destroyed, or return all copies of the documents or materials in their possession or 8 reasonably retrievable which do not bear the new designation, unless otherwise 9 agreed. Upon specific written requests to the receiving person(s), within thirty days 10 after receipt of the redesignated documents or materials, the receiving party shall 11 certify in writing that all copies lacking the designation have been destroyed or 12 returned. The Receiving Party shall make a good faith effort to notify each non-13 party to whom the Receiving Party provided redesignated documents or materials 14 of the redesignation and provide the non-party with replacement copies of any redesignated documents or materials. The Receiving Party shall also provide the 15 16 Producing Party with the names and contact information of each non-party to whom the Receiving Party provided redesignated documents or materials. The parties to 17 this litigation shall have no responsibility, duty, or liability, if a non-party receiving 18 person fails to destroy any documents that have been later re-designated or provides 19 a certification pursuant to this paragraph. 20

(c) In the event that two copies of a document or information are
produced under different designations, the more restrictive designation shall apply,
provided that the redesignation is set forth in writing by the Producing Party.
Should the receiving party notice any such discrepancy, it shall promptly bring it to
the Producing Party's attention.

26 13. Other than as specifically provided for herein, this Confidentiality
27 Order does not affect any legal right or privilege related to the documents and
28 information subject to this Order, and nothing in this Order shall be construed as a

1 waiver by any party of the right to object to the subject matter of any request for 2 discovery in this action or to the admissibility of any evidence. Nothing in this 3 Order is intended to constitute an agreement regarding the scope of discovery. The 4 entry of this Order shall not be construed as ordering any party to produce any 5 documents or information, and shall not constitute a ruling that any documents or 6 information which may exist are relevant in any way to the issues raised in this 7 action; nor shall the entry of this Order, standing alone, be construed as a waiver by 8 any party of any privilege or immunity with respect to any document or 9 information. Nothing contained in this Order or any designation of confidentiality hereunder or any failure to make such designation shall be used or characterized by 10 any party as an "admission" by a party or a party opponent. 11

12 14. A party shall not be obligated to challenge the propriety of a (a) 13 designation as "Confidential" or "Highly Confidential-Attorneys Eyes Only" at the 14 time made, and a failure to do so shall not preclude a subsequent challenge thereto. Failure to object to a designation of confidentiality shall not constitute or be 15 16 construed as an admission nor raise an inference that the documents designated as "Confidential: or "Highly Confidential-Attorneys' Eyes Only" constitute or contain 17 confidential, proprietary information or any trade secret. A failure to challenge the 18 propriety of any designation by any party or nonparty does not constitute a waiver 19 or in any way preclude a subsequent challenge in this or any other action to the 20 propriety of such designation. 21

(b) In the event a party objects to the "Confidential" or "Highly
Confidential-Attorneys' Eyes Only" designation under this Confidentiality Order
by a producing person of any document (or part thereof), the objecting party shall
consult with the Producing Party to attempt to resolve their differences, and the
objecting party shall provide the Producing Party with written notice of its
disagreement and specifically identify the information or restriction on access that
is disputed. The objecting party shall simultaneously provide a copy of this signed

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1 writing to each party in this litigation. If, despite good-faith effort, the parties are 2 unable to reach resolution through the meet and confer process within five court 3 days thereafter, the Producing Party shall have ten days to move the Court for 4 protection pursuant to Rule 26(c) of the Federal Rules of Civil Procedure; otherwise 5 the document or information shall lose it Confidential or High Confidential-6 Attorneys' Eyes Only designation. In any such motion, the Producing Party has the 7 burden of establishing that the "Confidential" or "Highly Confidential-Attorneys' 8 Eyes Only" designation is proper. If a motion is made, any documents or other 9 materials that have been designated "Confidential" or "Highly Confidential-Attorneys' Eyes Only" shall be treated as Confidential Information or Highly 10 Confidential-Attorneys' Eyes Only Information until such times as the Court rules 11 that such material should not be treated as Confidential Information or Highly 12 Confidential-Attorneys' Eyes Only Information. 13

(c) The parties may, by stipulation, provide for exceptions to this
Order, and any party may seek an order of this Court modifying this Confidentiality
Order. Unless and until otherwise ordered by the Court, or agreed to in writing by
the parties (and when appropriate, nonparties), all Confidential Information or
Highly Confidential-Attorneys' Eyes Only Information shall be treated as such and
shall not be disclosed except under the terms of this Order.

15. In the event that counsel for any party decides to file with or submit to
the Court any Confidential Information or Highly Confidential-Attorneys' Eyes
Only Information, the Parties shall follow the rules and procedures of the pilot
program. *See* Dkt. # 67.

16. Neither the provisions of this Confidentiality Order nor the filing of
any material under seal shall prevent use in the Litigation of any Confidential
Information, either in open court, at any hearing, or at trial, provided that the party
desiring to use the material gives the relevant producer reasonable advance notice
that the disclosing party is substantially likely to use the Confidential Information

in open court. There is no obligation to disclose the exact Confidential documents likely to be used. Inclusion of any Confidential documents or other information in any filing with the Court is a prima facie indication that it is substantially likely that Confidential Information will be used in open court. As to these documents, no other notice is required.

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Nothing herein shall impose any restriction on the use or disclosure by 17. a party of its own Information. Such disclosures shall not affect any Confidential or Highly Confidential-Attorneys' Eyes Only designation made under the terms of this Confidentiality Order so long as the disclosure is made in a manner that is reasonably calculated to maintain the confidentiality of the information. This Confidentiality Order shall not prevent or otherwise restrict counsel from rendering advice to their clients, and in the course thereof, relying on examination of stamped Confidential Information. Nor shall this Confidentiality Order be construed to prevent any party, its counsel, or its legal team from making use as they see fit of information that was available to the public. Moreover, no party shall designate as "Confidential" or "Highly Confidential-Attorneys' Eyes Only" any document or information which has previously been made publicly available. In the event, however, that a non-party provides Information that was generated by a party to this Confidentiality Order and that party believes that the Information is proprietary and 19 Confidential or Highly Confidential-Attorneys' Eyes Only, the party may request 20 that all copies of such information be stamped with whatever designation the party 21 believes is appropriate within the provisions of this Order. 22

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18. This Confidentiality Order shall apply to all parties and to all nonparties producing or receiving Confidential Information or Highly Confidential-24 Attorney's Eyes Only Information. 25

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19. Nothing in this Confidentiality Order shall be deemed to relieve a 27 party from producing documents or from making timely responses or objections to discovery requests. Nothing in this Confidentiality Order shall be deemed a waiver 28

of any party's rights to oppose production of any information, whether or not confidential, upon proper grounds.

20. After the final resolution of the Litigation, including any appeals, a Producing Party may request, in writing, the destruction or return of documents subject to this Confidentiality Order that were not filed with the Court. The recipient of such request shall have sixty days in which to return to documents, destroy the documents or file a motion seeking an order upon which good cause shown that the documents should not be destroyed or returned. Costs of destruction of documents subject to this Confidentiality Order shall be borne by the party requesting the destruction or return of documents.

21. The Court shall retain jurisdiction subsequent to settlement or entry of judgment to enforce the terms of this Confidentiality Order and to make such amendments, modifications and additions to this Confidentiality Order as it may from time to time deem appropriate upon good cause shown.

SIGNED AND ENTERED this <sup>19th</sup> day of <u>December</u>, 2013.

Patrick J. Walsh

Honorable Patrick J. Walsh United States Magistrate Judge

1 Exhibit A 2 ACKNOWLEDGMENT OF CONFIDENTIALITY ORDER 3 I hereby acknowledge that I will be receiving Confidential Information or 4 Highly Confidential-Attorneys' Eyes Only Information pursuant to the terms of a 5 Confidentiality Order entered in the action entitled 13-03008 (RGK) (PJWx) on -6 \_\_\_\_. I have been given a copy of, and have read and understand the 7 Confidentiality Order and I agree to be bound by the terms and conditions of that 8 Order. I understand that: (i) I am to make no copies of any such Confidential Information or Highly Confidential-Attorneys' Eyes Only Information except as is 9 necessary for use in the above-referenced action; and (ii) such Confidential 10 Information or Highly Confidential-Attorneys' Eyes Only Information and any 11 copies thereof are Confidential Information or Highly Confidential-Attorneys' Eyes 12 Only Information to remain in my personal custody until I have completed my 13 assigned duties, whereupon they are to be returned to counsel who provided me 14 with such Confidential Information or Highly Confidential-Attorneys' Eyes Only 15 Information. I agree not to disseminate any information derived from such 16 Confidential Information or Highly Confidential-Attorneys' Eyes Only Information 17 to anyone, or make disclosure of any such information, except for the purposes of 18 the above-referenced proceedings or as permitted by the Confidentiality Order or by 19 further Order of the Court. 20 21 Signature Date 22 23 Print Name \_\_\_\_\_ 24 25 26 27 28 13 [PROPOSED] CONFIDENTIALITY ORDER