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UNITED STATES DISTRICT COURT
CENTRAL DISTRICT OF CALIFORNIA

MARTHA ARELLANO,

Plaintiff,

v.

CAROLYN W. COLVIN, Acting
Commissioner of Social Security,

Defendant.

Case No. CV 13-6750 JC

MEMORANDUM OPINION

I. SUMMARY

On September 19, 2013, plaintiff Martha Arellano (“plaintiff”) filed a Complaint seeking review of the Commissioner of Social Security’s denial of plaintiff’s application for benefits. The parties have consented to proceed before the undersigned United States Magistrate Judge.

This matter is before the Court on the parties’ cross motions for summary judgment, respectively (“Plaintiff’s Motion”) and (“Defendant’s Motion”).¹ The Court has taken both motions under submission without oral argument. See Fed. R. Civ. P. 78; L.R. 7-15; September 20, 2013 Case Management Order ¶ 5.

¹On February 11, 2014, plaintiff filed a reply to Defendant’s Motion (“Reply”).

1 Based on the record as a whole and the applicable law, the decision of the
2 Commissioner is AFFIRMED. The findings of the Administrative Law Judge
3 (“the ALJ”) are supported by substantial evidence and are free from material
4 error.²

5 **II. BACKGROUND AND SUMMARY OF ADMINISTRATIVE**
6 **DECISION**

7 On July 11, 2006, plaintiff filed an application for Disability Insurance
8 Benefits. (Administrative Record (“AR”) 129). Plaintiff asserted that she became
9 disabled on February 7, 2006, due to fibromyalgia, osteoarthritis, and anxiety.
10 (AR 156). A prior Administrative Law Judge (“Prior ALJ”) examined the medical
11 record and heard testimony from plaintiff (who was represented by counsel and
12 assisted by a Spanish language interpreter), a medical expert, and a vocational
13 expert on January 12, 2009. (AR 33-76, 684-727).

14 On February 12, 2009, the Prior ALJ determined that plaintiff was not
15 disabled through the date of the decision (“pre-remand decision”). (AR 12-22,
16 662-72). The Appeals Council denied plaintiff’s application for review of the pre-
17 remand decision. (AR 1, 673).

18 On August 9, 2010, this Court entered judgment reversing and remanding
19 the case for further proceedings because the Prior ALJ failed properly to consider
20 the opinions of plaintiff’s treating physician, Dr. Noobar Janoian, and an
21 examining physician, Dr. Srinivasan. (AR 680-83). The Appeals Council in turn
22 remanded the case for a new hearing. (AR 679). On remand the ALJ reviewed the
23 medical record and heard testimony from plaintiff (who appeared with counsel and

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26 ²The harmless error rule applies to the review of administrative decisions regarding
27 disability. See Molina v. Astrue, 674 F.3d 1104, 1115-22 (9th Cir. 2012) (discussing contours of
28 application of harmless error standard in social security cases) (citing, *inter alia*, Stout v.
Commissioner, Social Security Administration, 454 F.3d 1050, 1054-56 (9th Cir. 2006)).

1 was assisted by a Spanish language interpreter), medical expert Dr. David Brown,
2 and a vocational expert on April 10, 2012 and July 31, 2012. (AR 583-658).

3 On August 21, 2012, the ALJ determined that plaintiff was not disabled
4 through the date last insured (*i.e.*, September 30, 2011) (“post-remand decision”).
5 (AR 554-72). Specifically, the ALJ found that through the date last insured:
6 (1) plaintiff suffered from the following severe combination of physical
7 impairments: severe impairment of fibromyalgia, and non-severe impairments of
8 hypertension, macular degeneration of the left eye, benign left parietal
9 meningioma, obesity, small plantar calcaneal spurs of the feet bilaterally, diabetes
10 mellitus (under adequate control), and small cataracts bilaterally (AR 557-60);
11 (2) plaintiff’s impairments, considered singly or in combination, did not meet or
12 medically equal a listed impairment (AR 560-61); (3) plaintiff retained the residual
13 functional capacity to perform light work (20 C.F.R. § 404.1567(b)) with
14 additional limitations³ (AR 561); (4) plaintiff could perform her past relevant work
15 as accounting clerk, data entry clerk, personal attendant, and hybrid safety deposit
16 box rental clerk/currency counter (AR 571); and (5) plaintiff’s allegations
17 regarding her limitations were not credible to the extent they were inconsistent
18 with the ALJ’s residual functional capacity assessment (AR 562).

19 The Appeals Council denied plaintiff’s application for review of the post-
20 remand decision. (AR 517-20).

21 **III. APPLICABLE LEGAL STANDARDS**

22 **A. Sequential Evaluation Process**

23 To qualify for disability benefits, a claimant must show that the claimant is
24 unable “to engage in any substantial gainful activity by reason of any medically
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26 ³The ALJ determined that as of the date last insured plaintiff: (i) could lift and/or carry
27 20 pounds occasionally and 10 pounds frequently; (ii) could stand and/or walk up to six hours a
28 day; (iii) could sit up to six hours a day with scheduled breaks in a work setting; (iv) needed to
avoid working at dangerous heights, such as on scaffolds and ladders; (v) needed to wear foot
orthotics in enclosed shoes; and (vi) could not work in extreme cold or heat. (AR 561).

1 determinable physical or mental impairment which can be expected to result in
2 death or which has lasted or can be expected to last for a continuous period of not
3 less than 12 months.” Molina v. Astrue, 674 F.3d 1104, 1110 (9th Cir. 2012)
4 (quoting 42 U.S.C. § 423(d)(1)(A)) (internal quotation marks omitted). The
5 impairment must render the claimant incapable of performing the work the
6 claimant previously performed and incapable of performing any other substantial
7 gainful employment that exists in the national economy. Tackett v. Apfel, 180
8 F.3d 1094, 1098 (9th Cir. 1999) (citing 42 U.S.C. § 423(d)(2)(A)).

9 In assessing whether a claimant is disabled, an ALJ is to follow a five-step
10 sequential evaluation process:

- 11 (1) Is the claimant presently engaged in substantial gainful activity? If
12 so, the claimant is not disabled. If not, proceed to step two.
- 13 (2) Is the claimant’s alleged impairment sufficiently severe to limit
14 the claimant’s ability to work? If not, the claimant is not
15 disabled. If so, proceed to step three.
- 16 (3) Does the claimant’s impairment, or combination of
17 impairments, meet or equal an impairment listed in 20 C.F.R.
18 Part 404, Subpart P, Appendix 1? If so, the claimant is
19 disabled. If not, proceed to step four.
- 20 (4) Does the claimant possess the residual functional capacity to
21 perform claimant’s past relevant work? If so, the claimant is
22 not disabled. If not, proceed to step five.
- 23 (5) Does the claimant’s residual functional capacity, when
24 considered with the claimant’s age, education, and work
25 experience, allow the claimant to adjust to other work that
26 exists in significant numbers in the national economy? If so,
27 the claimant is not disabled. If not, the claimant is disabled.

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1 Stout v. Commissioner, Social Security Administration, 454 F.3d 1050, 1052 (9th
2 Cir. 2006) (citing 20 C.F.R. §§ 404.1520, 416.920); see also Molina, 674 F.3d at
3 1110 (same).

4 The claimant has the burden of proof at steps one through four, and the
5 Commissioner has the burden of proof at step five. Bustamante v. Massanari,
6 262 F.3d 949, 953-54 (9th Cir. 2001) (citing Tackett, 180 F.3d at 1098); see also
7 Burch v. Barnhart, 400 F.3d 676, 679 (9th Cir. 2005) (claimant carries initial
8 burden of proving disability).

9 **B. Standard of Review**

10 Pursuant to 42 U.S.C. section 405(g), a court may set aside a denial of
11 benefits only if it is not supported by substantial evidence or if it is based on legal
12 error. Robbins v. Social Security Administration, 466 F.3d 880, 882 (9th Cir.
13 2006) (citing Flaten v. Secretary of Health & Human Services, 44 F.3d 1453, 1457
14 (9th Cir. 1995)). Substantial evidence is “such relevant evidence as a reasonable
15 mind might accept as adequate to support a conclusion.” Richardson v. Perales,
16 402 U.S. 389, 401 (1971) (citations and quotations omitted). It is more than a
17 mere scintilla but less than a preponderance. Robbins, 466 F.3d at 882 (citing
18 Young v. Sullivan, 911 F.2d 180, 183 (9th Cir. 1990)).

19 To determine whether substantial evidence supports a finding, a court must
20 “consider the record as a whole, weighing both evidence that supports and
21 evidence that detracts from the [Commissioner’s] conclusion.” Aukland v.
22 Massanari, 257 F.3d 1033, 1035 (9th Cir. 2001) (quoting Penny v. Sullivan, 2 F.3d
23 953, 956 (9th Cir. 1993)). If the evidence can reasonably support either affirming
24 or reversing the ALJ’s conclusion, a court may not substitute its judgment for that
25 of the ALJ. Robbins, 466 F.3d at 882 (citing Flaten, 44 F.3d at 1457).

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1 **IV. DISCUSSION**

2 **A. The ALJ Properly Evaluated Plaintiff’s Credibility**

3 **1. Pertinent Law**

4 Questions of credibility and resolutions of conflicts in the testimony are
5 functions solely of the Commissioner. Greger v. Barnhart, 464 F.3d 968, 972 (9th
6 Cir. 2006). If the ALJ’s interpretation of the claimant’s testimony is reasonable
7 and is supported by substantial evidence, it is not the court’s role to “second-
8 guess” it. Rollins v. Massanari, 261 F.3d 853, 857 (9th Cir. 2001).

9 An ALJ is not required to believe every allegation of disabling pain or other
10 non-exertional impairment. Orn v. Astrue, 495 F.3d 625, 635 (9th Cir. 2007)
11 (citing Fair v. Bowen, 885 F.2d 597, 603 (9th Cir. 1989)). If the record establishes
12 the existence of a medically determinable impairment that could reasonably give
13 rise to symptoms assertedly suffered by a claimant, an ALJ must make a finding as
14 to the credibility of the claimant’s statements about the symptoms and their
15 functional effect. Robbins, 466 F.3d at 883 (citations omitted). Where the record
16 includes objective medical evidence that the claimant suffers from an impairment
17 that could reasonably produce the symptoms of which the claimant complains, an
18 adverse credibility finding must be based on clear and convincing reasons.

19 Carmickle v. Commissioner, Social Security Administration, 533 F.3d 1155, 1160
20 (9th Cir. 2008) (citations omitted). The only time this standard does not apply is
21 when there is affirmative evidence of malingering. Id. The ALJ’s credibility
22 findings “must be sufficiently specific to allow a reviewing court to conclude the
23 ALJ rejected the claimant’s testimony on permissible grounds and did not
24 arbitrarily discredit the claimant’s testimony.” Moisa v. Barnhart, 367 F.3d 882,
25 885 (9th Cir. 2004).

26 To find the claimant not credible, an ALJ must rely either on reasons
27 unrelated to the subjective testimony (*e.g.*, reputation for dishonesty), internal
28 contradictions in the testimony, or conflicts between the claimant’s testimony and

1 the claimant's conduct (*e.g.*, daily activities, work record, unexplained or
2 inadequately explained failure to seek treatment or to follow prescribed course of
3 treatment). Orn, 495 F.3d at 636; Robbins, 466 F.3d at 883; Burch, 400 F.3d at
4 680-81; Social Security Ruling (“SSR”) 96-7p. Although an ALJ may not
5 disregard such claimant's testimony solely because it is not substantiated
6 affirmatively by objective medical evidence, the lack of medical evidence is a
7 factor that the ALJ can consider in his credibility assessment. Burch, 400 F.3d
8 at 681.

9 **2. Analysis**

10 Plaintiff contends that the ALJ inadequately evaluated the credibility of her
11 subjective complaints. (Plaintiff's Motion at 3-15). The Court finds no material
12 error in the ALJ's assessment of plaintiff's credibility.

13 First, the ALJ properly discounted the credibility of plaintiff's subjective
14 complaints as inconsistent with plaintiff's daily activities and other conduct. See
15 Thomas v. Barnhart, 278 F.3d 947, 958-59 (9th Cir. 2002) (inconsistency between
16 the claimant's testimony and the claimant's conduct supported rejection of the
17 claimant's credibility); Verduzco v. Apfel, 188 F.3d 1087, 1090 (9th Cir. 1999)
18 (inconsistencies between claimant's testimony and actions cited as a clear and
19 convincing reason for rejecting the claimant's testimony). For example, as the
20 ALJ noted, contrary to plaintiff's allegations of disabling mental and physical
21 symptoms, plaintiff stated in her function report that she lived alone and, although
22 with some difficulty, was able to engage in a variety of activities independently
23 (*i.e.*, drive, cook simple meals, clean, do laundry, run errands, and grocery shop in
24 “small portions” over four to six hours each day; watch TV; talk on the phone with
25 family and friends every day; and sometimes go to her son's or a friend's house or
26 church). (AR 562) (citing Exhibit 3E [AR166-69, 171]). As the ALJ noted,
27 plaintiff also told doctors at various times that she was able to bathe and dress
28 herself every day, cook simple meals, do laundry, clean, read, drive, and run

1 errands. (AR 562) (citing Exhibits 3F at 5 [AR 286]; 11F at 2, 3 [AR 353, 354];
2 18F at 4, 5 [AR 454, 455]). Plaintiff drove herself to a consultative examination
3 and had no difficulty undressing and dressing herself for the examination
4 (including removing and putting on her shoes). (AR 562-63) (citing Exhibits 19F
5 at 3, 5 [AR 463, 465]; 21F at 1, 3 [AR 477, 479]). The ALJ also noted that while
6 testifying plaintiff “attempted to downplay her activities” but still admitted that
7 she continued to live alone in an apartment, would spend time listening to the
8 radio, visiting with friends and walking, and that she was able to drive and prepare
9 simple meals like sandwiches. (AR 563).

10 While plaintiff correctly notes that “one does not need to be ‘utterly
11 incapacitated’ in order to be disabled,” Vertigan v. Halter, 260 F.3d 1044, 1050
12 (9th Cir. 2001), this does not mean that an ALJ must find that a claimant’s daily
13 activities demonstrate an ability to engage in full-time work (*i.e.*, eight hours a
14 day, five days a week) in order to discount the credibility of conflicting subjective
15 symptom testimony. See Molina, 674 F.3d at 1113 (“[An] ALJ may discredit a
16 claimant’s testimony when the claimant reports participation in everyday activities
17 indicating capacities that are transferable to a work setting . . . [e]ven where those
18 activities suggest some difficulty functioning. . . .”) (citations omitted). Here, the
19 ALJ properly discounted plaintiff’s subjective symptom testimony to the extent
20 plaintiff’s daily activities were inconsistent with a “totally debilitating
21 impairment.” Id.; see, e.g., Curry v. Sullivan, 925 F.2d 1127, 1130 (9th Cir. 1990)
22 (finding that the claimant’s ability to “take care of her personal needs, prepare
23 easy meals, do light housework and shop for some groceries . . . may be seen as
24 inconsistent with the presence of a condition which would preclude all work
25 activity”) (citing Fair, 885 F.2d at 604). While plaintiff argues that the record
26 does not reflect that she spent a “substantial part of [her] day” engaged in
27 activities that “are transferable to a work setting” (Plaintiff’s Motion at 13), the
28 Court will not second-guess the ALJ’s reasonable determination to the contrary,

1 even if the evidence could give rise to inferences more favorable to plaintiff. See
2 Robbins, 466 F.3d at 882 (citation omitted).

3 Second, the ALJ properly discredited plaintiff’s subjective complaints due
4 to internal conflicts within plaintiff’s own statements and testimony. See
5 Light v. Social Security Administration, 119 F.3d 789, 792 (9th Cir.), as amended
6 (1997) (in weighing plaintiff’s credibility, ALJ may consider “inconsistencies
7 either in [plaintiff’s] testimony or between his testimony and his conduct”); see
8 also Fair, 885 F.2d at 604 n.5 (ALJ can reject pain testimony based on
9 contradictions in plaintiff’s testimony). As the ALJ noted, contrary to her
10 statement in a disability report that she had stopped working due to her disability
11 (AR 156), plaintiff told an examining psychiatrist that she had stopped working
12 “after she was fired” and that she had continued to look for work until “Kaiser
13 Permanente gave her Disability due to pain” (AR 286). The ALJ also found
14 plaintiff’s statement in her disability report that she could not “read or write”
15 English (AR 155) to be inconsistent with plaintiff’s testimony at the hearing that
16 she could “speak[,] read and write a little bit of English” (AR 633, 652, 688). The
17 Court will not second guess the ALJ’s reasonable conclusion that such
18 inconsistent statements, in part, diminished the credibility of plaintiff’s other
19 testimony. See Tonapetyan v. Halter, 242 F.3d 1144, 1148 (9th Cir. 2001) (“In
20 assessing the claimant’s credibility, the ALJ may use ‘ordinary techniques of
21 credibility evaluation,’ such as considering the claimant’s reputation for
22 truthfulness and any inconsistent statements in her testimony.”).

23 Third, the ALJ properly discredited plaintiff’s subjective complaints as
24 inconsistent with plaintiff’s conservative medical treatment. See, e.g., Meanel v.
25 Apfel, 172 F.3d 1111, 1114 (9th Cir. 1999) (ALJ properly considered, as part of
26 credibility evaluation, treating physician’s failure to prescribe, and claimant’s
27 failure to request, medical treatment commensurate with the “supposedly
28 excruciating” pain alleged, and the “minimal, conservative treatment”) (citing

1 Bunnell v. Sullivan, 947 F.2d 341, 346 (9th Cir. 1991) (en banc)); see Fair, 885
2 F.2d at 604 (ALJ permissibly considered discrepancies between the claimant’s
3 allegations of “persistent and increasingly severe pain” and the nature and extent
4 of treatment obtained). For example, as the ALJ noted, contrary to plaintiff’s
5 allegedly disabling impairments, plaintiff received relatively routine treatment for
6 her physical complaints including, among other things, regular check ups and
7 general medication management (AR 281, 339-41, 343-51, 371-74, 376-77, 380,
8 382-88, 391, 393-96, 398-401, 403-04, 406-12), plaintiff was provided “a sub-
9 therapeutic level of anti-depressant” medication and otherwise “relatively routine”
10 mental health treatment (AR 1142-63), and was only monitored for her macular
11 degeneration of the left eye and cataracts (AR 1118-40), and her benign left
12 parietal meningioma (AR 382, 385, 426-28, 443-45, 495-96, 514-16, 1102-09,
13 1139-40). In addition, despite plaintiff’s allegedly disabling fibromyalgia, the
14 ALJ found no evidence that plaintiff “was under active treatment with a
15 rheumatologist” (*i.e.*, a specialist in the appropriate field of medicine). (AR 563);
16 see Smolen v. Chater, 80 F.3d 1273, 1284 (9th Cir. 1996) (ALJ may consider
17 failure to “seek treatment or to follow a prescribed course of treatment” in
18 assessing credibility). While an ALJ may not reject symptom testimony where a
19 claimant provides “evidence of a good reason for not taking medication,” Smolen,
20 80 F.3d at 1284 (citations omitted), plaintiff has failed to present such a sufficient
21 reason. Although plaintiff suggests that the clinic where plaintiff was a patient did
22 not have resources to provide specialists to treat plaintiff’s particular issues
23 (Plaintiff’s Motion at 14), the Court will not second guess the ALJ’s contrary
24 finding that plaintiff, nonetheless, had not sought or been provided available
25 treatment commensurate with the severity of her alleged symptoms.

26 Finally, the ALJ properly discounted plaintiff’s credibility in part because
27 plaintiff’s pain and psychiatric symptoms were not fully corroborated by the
28 objective medical evidence. See Rollins, 261 F.3d at 857 (“While subjective pain

1 testimony cannot be rejected on the sole ground that it is not fully corroborated by
2 objective medical evidence, the medical evidence is still a relevant factor in
3 determining the severity of the claimant’s pain and its disabling effects.”) (citation
4 omitted). For example, the ALJ noted (and plaintiff points to no evidence to the
5 contrary) that there is no medical record of muscle wasting or atrophy that would
6 be expected if plaintiff had “extremely weak or zero grip strength” or needed to lie
7 down throughout most of the day. (AR 563, 566; see Meanel, 172 F.3d at 1114
8 (ALJ properly discredited plaintiff’s testimony where there was no evidence of
9 muscular atrophy or other physical sign usually seen in an “inactive, totally
10 incapacitated individual”). In addition, the ALJ noted that contrary to plaintiff’s
11 complaints of disabling pain with movement, her records do not reflect “chronic
12 and significant reduced range of motion due to pain.” (AR 563, 566-70; see AR
13 343, 346-48, 371-74, 376-77, 380, 382-88, 391, 393, 395-96, 398-401, 403-04,
14 406-12, 1041-42, 1049, 1053-54, 1058, 1060-62, 1066, 1070, 1072-75, 1078,
15 1081-82, 1086, 1090, 1094, 1098). As the ALJ also noted, and as discussed
16 below, despite plaintiff’s complaints about, among other things, disabling
17 fibromyalgia symptoms, the medical evidence only reflects that plaintiff received
18 “relatively routine treatment” from general practitioners. (AR 563-64). As the
19 ALJ also noted, mental status examinations of plaintiff did not document any
20 severe mental impairment, and there are no records of plaintiff receiving any
21 psychiatric hospitalization or treatment for acute psychological or psychiatric
22 illness. (AR 562, 563; see AR 1142-63).

23 To the extent the ALJ discounted plaintiff’s credibility due to plaintiff’s
24 unexplained failure to appear for a consultative rheumatological examination, as
25 plaintiff points out, such a finding appears to be incorrect. (Compare AR 250-55
26 [Cal. Dept. of Social Services letter date June 12, 2008 scheduling plaintiff for
27 rheumatic exam with “Carmel Medical Group” on July 12, 2008], AR 482
28 [Disability Determination Services Case Activity note dated July 9, 2008 stating

1 that “[plaintiff] did not keep rheumatologist appt.”] with AR 236 (Cal. Dept. of
2 Social Services letter dated June 4, 2008 scheduling plaintiff for rheumatic exam
3 with “Monterey Park Medical Center” on June 18, 2008] and AR 463-66
4 [consultative examination of plaintiff by Dr. Srinivasan, a rheumatologist at the
5 Monterey Park Medical Center.]). In addition, the ALJ erroneously discounted
6 plaintiff’s credibility because a Social Security claims representative noted that
7 during a face-to-face interview “[plaintiff] demonstrated no observable difficulties
8 whatsoever during the interview.” (AR 562) (citing Exhibit 1E at 2 [AR 153]);
9 see, e.g., Verduzco, 188 F.3d at 1090 (ALJ’s reliance on observations of claimant
10 proper where ALJ pointed to plaintiff’s affirmative exhibition of symptoms which
11 were inconsistent with both medical evidence and plaintiff’s other behavior but
12 did not point to the absence of the manifestation of external symptoms to discredit
13 plaintiff, referring to the latter as disapproved “sit and squirm” jurisprudence).
14 Any such errors, however, were harmless since there were several other reasons
15 identified by the ALJ for discounting the credibility of plaintiff’s subjective
16 symptom testimony that were supported by substantial evidence, and such errors
17 would not negate the validity of the ALJ’s ultimate credibility conclusion in this
18 case. See Molina, 674 F.3d at 1115 (Where one or more reasons supporting an
19 ALJ’s credibility analysis are found invalid, the error is harmless if (1) the
20 remaining “valid” reasons provide substantial evidence to support the ALJ’s
21 credibility conclusions, and (2) “the error does not negate the validity of the ALJ’s
22 ultimate [credibility] conclusion.”) (citations and internal quotation marks
23 omitted).

24 Accordingly, plaintiff is not entitled to a reversal or remand on this basis.

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1 **B. The ALJ Properly Evaluated the Opinions of Plaintiff’s Treating**
2 **Physician**

3 **1. Pertinent Law**

4 In Social Security cases, courts employ a hierarchy of deference to medical
5 opinions depending on the nature of the services provided. Courts distinguish
6 among the opinions of three types of physicians: those who treat the claimant
7 (“treating physicians”) and two categories of “nontreating physicians,” namely
8 those who examine but do not treat the claimant (“examining physicians”) and
9 those who neither examine nor treat the claimant (“nonexamining physicians”).
10 Lester v. Chater, 81 F.3d 821, 830 (9th Cir. 1996) (footnote reference omitted). A
11 treating physician’s opinion is entitled to more weight than an examining
12 physician’s opinion, and an examining physician’s opinion is entitled to more
13 weight than a nonexamining physician’s opinion.⁴ See id. In general, the opinion
14 of a treating physician is entitled to greater weight than that of a non-treating
15 physician because the treating physician “is employed to cure and has a greater
16 opportunity to know and observe the patient as an individual.” Morgan v.
17 Commissioner of Social Security Administration, 169 F.3d 595, 600 (9th Cir.
18 1999) (citing Sprague v. Bowen, 812 F.2d 1226, 1230 (9th Cir. 1987)).

19 The treating physician’s opinion is not, however, necessarily conclusive as
20 to either a physical condition or the ultimate issue of disability. Magallanes v.
21 Bowen, 881 F.2d 747, 751 (9th Cir. 1989) (citing Rodriguez v. Bowen, 876 F.2d
22 759, 761-62 & n.7 (9th Cir. 1989)). Where a treating physician’s opinion is not
23 contradicted by another doctor, it may be rejected only for clear and convincing
24 reasons. Orn, 495 F.3d at 632 (citation and internal quotations omitted). The ALJ
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26 ⁴Cf. Le v. Astrue, 529 F.3d 1200, 1201-02 (9th Cir. 2008) (not necessary or practical to
27 draw bright line distinguishing treating physicians from non-treating physicians; relationship is
28 better viewed as series of points on a continuum reflecting the duration of the treatment
relationship and frequency and nature of the contact) (citation omitted).

1 can reject the opinion of a treating physician in favor of another conflicting
2 medical opinion, if the ALJ makes findings setting forth specific, legitimate
3 reasons for doing so that are based on substantial evidence in the record. Id.
4 (citation and internal quotations omitted); Thomas, 278 F.3d at 957 (ALJ can meet
5 burden by setting out detailed and thorough summary of facts and conflicting
6 clinical evidence, stating his interpretation thereof, and making findings) (citations
7 and quotations omitted); Magallanes, 881 F.2d at 751, 755 (same; ALJ need not
8 recite “magic words” to reject a treating physician opinion – court may draw
9 specific and legitimate inferences from ALJ’s opinion). “The ALJ must do more
10 than offer his conclusions.” Embrey v. Bowen, 849 F.2d 418, 421-22 (9th Cir.
11 1988). “He must set forth his own interpretations and explain why they, rather
12 than the [physician’s], are correct.” Id. “Broad and vague” reasons for rejecting
13 the treating physician’s opinion do not suffice. McAllister v. Sullivan, 888 F.2d
14 599, 602 (9th Cir. 1989).

15 2. Analysis

16 Plaintiff contends that the ALJ improperly rejected the opinions expressed
17 by Dr. Janoian in Fibromyalgia Disease Residual Functional Capacity
18 Questionnaires dated May 9, 2008 (“2008 Opinions”)⁵ and February 21, 2012

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20 ⁵In the 2008 Opinions, Dr. Janoian diagnosed plaintiff with fibromyalgia and several
21 other impairments (*i.e.*, hypertension, osteoporosis, carpal tunnel syndrome, acute bronchitis,
22 depression and panic attacks, brain cyst, macular degeneration, restless leg syndrome, sleep
23 apnea, “general weakness, internal fearfulness [and] loss of joy”), and opined, among other
24 things, that plaintiff (i) could sit continuously for only 30 minutes at a time, and could sit for a
25 total of four hours in an eight hour work day with normal breaks; (ii) could stand for only 30
26 minutes at one time, and stand and/or walk for a total of about two hours in an eight hour work
27 day with normal breaks; (iii) needed to walk around for five minutes every 20 to 30 minutes
28 during an eight hour work day; (iv) needed to be able to shift positions at will from sitting to
standing and/or walking; (v) needed to take unscheduled breaks of 30 to 40 minutes about four to
five times during an eight hour work day; (vi) needed to have her legs elevated above heart level
with prolonged sitting; (vii) could occasionally lift less than 10 pounds, but could not lift any
weight on a regular/repetitive basis; (viii) could never repetitively grasp, turn, or twist objects

(continued...)

1 (“2012 Opinions”),⁶ specifically that plaintiff was essentially unable to perform
2 even sedentary work (collectively “Dr. Janoian’s Opinions”). (Plaintiff’s Motion
3 at 15-22) (citing AR 363-68, 1111-16). A remand or reversal is not warranted on
4 this basis, however, because the ALJ properly rejected Dr. Janoian’s Opinions for
5 clear and convincing, specific and legitimate reasons supported by substantial
6 evidence.

7 First, the ALJ properly discounted Dr. Janoian’s Opinions to the extent they
8 were based on plaintiff’s subjective complaints (AR 566, 569) which, as noted
9 above, the ALJ properly discredited. See, e.g., Bayliss v. Barnhart, 427 F.3d 1211,
10 1217 (9th Cir. 2005) (ALJ properly rejected opinion of treating physician which
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13 ⁵(...continued)

14 with her hands or do fine manipulation with her fingers; (ix) could repetitively reach with the
15 arms (including overhead) only 10% of the time; (x) could bend or twist at the waist only 10% of
16 the time; (xi) would likely experience “good” and “bad” days as a result of her impairments; and
17 (xii) would likely be absent from work more than three times each month as a result of her
18 impairments or related treatment. (AR 363-68).

19 ⁶In the 2012 Opinions, Dr. Janoian diagnosed plaintiff with fibromyalgia and several
20 other impairments (*i.e.*, bursitis, lumbosacral spondylosis, benign neocerebral meninges,
21 hypertension, cellulitis, myalgia and myositis, atrophic vaginitis, hyperlipidemia,
22 extrapyramidalis, osteoarthritis, carpal tunnel syndrome, depression and anxiety, urinary
23 incontinence, macular degeneration, sleep apnea, Diabetes Mellitus II (uncontrolled), headaches,
24 lumbosacral degenerative disc, sciatica) and opined, among other things, that plaintiff (i) could
25 sit continuously for only 20-30 minutes at a time, and could sit for a total of four hours in an
26 eight hour work day with normal breaks; (ii) could stand continuously for only 15 minutes at one
27 time, and stand and/or walk for a total of about two hours in an eight hour work day with normal
28 breaks; (iii) needed to walk around for five minutes every 20 to 30 minutes during an eight hour
work day; (iv) needed to be able to shift positions at will from sitting to standing and/or walking;
(v) needed to take unscheduled breaks once very hour for 30 minutes during an eight hour work
day; (vi) needed to have her legs elevated above heart level with prolonged sitting; (vii) could
occasionally lift up to 10 pounds; (viii) could never repetitively grasp, turn, or twist objects with
her hands or do fine manipulation with her fingers; (ix) could repetitively reach with the arms
(including overhead) only 10% of the time; (x) could bend or twist at the waist only five percent
of the time; (xi) would likely experience “good” and “bad” days as a result of her impairments;
and (xii) would likely be absent from work more than three times each month as a result of her
impairments or related treatment. (AR 1111-16).

1 was based solely on subjective complaints of claimant and information submitted
2 by claimant’s family and friends). To the extent plaintiff argues that the ALJ
3 failed properly to address plaintiff’s “fibromyalgia complaints” (Plaintiff’s Motion
4 at 19), plaintiff’s argument lacks merit. As plaintiff correctly notes, there is no
5 medically acceptable objective test for diagnosing fibromyalgia.⁷ Contrary to
6 plaintiff’s suggestion, however, the ALJ found plaintiff’s fibromyalgia to be a
7 severe impairment at step two without requiring objective evidence to confirm the
8 diagnosis, and the ALJ accounted for plaintiff’s related subjective symptoms in the
9 residual functional capacity assessment to the extent plaintiff’s statements
10 regarding the intensity and limiting effect of such symptoms were credible (AR
11 557, 561-62, 570).

12 Second, the ALJ properly discredited Dr. Janoian’s Opinions to the extent
13 such opinions conflicted with the physician’s own treatment records for plaintiff.
14 See Bayliss, 427 F.3d at 1216 (A discrepancy between a physician’s notes and
15 recorded observations and opinions and the physician’s assessment of limitations
16 is a clear and convincing reason for rejecting the opinion.). For example, as the
17 ALJ noted, contrary to Dr. Janoian’s statement in the 2012 Opinions that plaintiff
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19
20 ⁷While there are no laboratory tests for the presence or severity of fibromyalgia, courts
21 have held that a physical examination which, *inter alia*, assesses the location and severity of
22 patient’s pain and tests whether a patient has tenderness at a certain number of fixed locations on
23 her body is a medically acceptable technique for diagnosing the condition. See, e.g., Rollins, 261
24 F.3d at 855 (recognizing that “[t]he principal symptoms [of fibromyalgia] are ‘pain all over,’
25 fatigue, disturbed sleep, stiffness, and ‘the only symptom that discriminates between it and other
26 diseases of a rheumatic character’ multiple tender spots, more precisely 18 fixed locations on the
27 body . . . that when pressed firmly cause the patient to flinch”) (citation and internal quotation
28 marks omitted); Perl v. Barnhart, 2005 WL 579879, *3 (E.D. Pa. Mar. 10, 2005) (“[R]eports
from treating physicians that document symptoms [are important] in determining residual
functional capacity of claimant suffering from fibromyalgia because such observations may be
the only type of ‘medically acceptable clinical technique’ available.”) (citation omitted); see also
SSR 99-2p, n.3 (Social Security Administration follows criteria established by the American
College of Rheumatology to determine whether a claimant has the medically determinable
impairment of fibromyalgia.).

1 had “moderately reduced [and] painful [range of motion]” (AR 1111), the treating
2 physician’s relevant progress notes do not reflect any limitation in plaintiff’s range
3 of motion due to pain. (AR 569-70) (citing Exhibit 25F at 147-48 [AR 1041-42];
4 Exhibit 26F at 4, 8-9, 13, 15-17, 21, 25, 27-30, 33, 36-37, 41, 45, 49, 53 [AR
5 1049, 1053-54, 1058, 1060-62, 1066, 1070, 1072-75, 1078, 1081-82, 1086, 1090,
6 1094, 1098]). Similarly, Dr. Janoian’s 2008 Opinions that plaintiff’s range of
7 motion was “severely limited in all aspects of active motion” (AR 363) is
8 inconsistent with the physician’s related treatment notes which document only a
9 few occasions when plaintiff’s range of motion was mildly to moderately limited
10 due to pain – none of which involved “all aspects of active motion.” (AR 343
11 [3/30/07 treatment note reflecting positive finding of limited range of motion due
12 to finger and wrist pain only]; AR 346 [1/2/07 treatment note reflecting
13 “moderately reduced [range of motion]” due to “tenderness” in lumbar spine,
14 “mildly reduced [range of motion]” in right hand and “moderately reduced [range
15 of motion]” in left hand due to “Bochard’s nodes”]; AR 347 [11/30/06 treatment
16 note reflecting “mildly” reduced range of motion due to left elbow tenderness
17 only]; AR 348 [10/30/06 treatment note reflecting “mildly reduced [range of
18 motion]” due to lumbar spine tenderness only]). As the ALJ also noted, progress
19 notes from other physicians from Dr. Janoian’s clinic who treated plaintiff do not
20 mention limitation in range of motion at all. (AR 566-67) (citing Exhibits 13F at
21 3-6, 8-9, 12, 14-20, 23, 25, 27-28, 30-33, 35-36, 38-44 [AR 371-74, 376-77, 380,
22 382-88, 391, 393, 395-96, 398-401, 403-04, 406-12]). The ALJ also noted that
23 Dr. Janoian’s diagnosis in the 2012 Opinions that plaintiff had “uncontrolled”
24 diabetes is inconsistent with plaintiff’s medical records which reflect that
25 plaintiff’s diabetes was adequately controlled by medication. (AR 570; see
26 AR 1111).

27 In addition, as the ALJ noted, contrary to Dr. Janoian’s opinions that
28 plaintiff had disabling functional limitations, treatment notes reflect multiple times

1 from 2009 through 2012 when Dr. Janoian recommended that plaintiff engage in
2 “aerobic exercises” and/or a “walking program.” (AR 570) (citing Exhibit 25F at
3 92, 95, 102, 109, 115, 119, 122, 125, 129, 133, 137, 141, 146, 149 [AR 986, 989,
4 996, 1003, 1007, 1013, 1016, 1019, 1023, 1027, 1031, 1035, 1040, 1043]; Exhibit
5 26F at 5, 9, 13-14, 21-22, 26, 34, 42, 45-46, 50, 54 [AR 1050, 1054, 1058-59,
6 1066-67, 1071, 1079, 1087, 1090-91, 1095, 1099]). Similarly, a December 11,
7 2007 progress record notes that another treating physician also recommended
8 “aerobic exercises,” “shoulder girdle exercises, and a “walking program” for
9 plaintiff. (AR 566-67) (citing Exhibit 13F at 19 [AR 387]).

10 Third, the ALJ also properly rejected Dr. Janoian’s Opinions to the extent
11 they were unsupported by the physician’s own notes or the record as a whole. See
12 Bayliss, 427 F.3d at 1217 (“The ALJ need not accept the opinion of any physician,
13 including a treating physician, if that opinion is brief, conclusory, and
14 inadequately supported by clinical findings.”) (citation and internal quotation
15 marks omitted); Connett v. Barnhart, 340 F.3d 871, 875 (9th Cir. 2003) (treating
16 physician’s opinion properly rejected where treating physician’s treatment notes
17 “provide no basis for the functional restrictions he opined should be imposed on
18 [the claimant]”). For example, Dr. Janoian’s treatment records do not support the
19 significant limitations stated in the 2008 Opinions. As the ALJ noted, it appears
20 that Dr. Janoian had not personally treated plaintiff since May 30, 2007 (*i.e.*,
21 almost a year before Dr. Janoian prepared the 2008 Opinions). (AR 567) (citing
22 Exhibit 10F at 3-4 [AR 339-40]; Exhibit 25F at 18-19 [AR 912-13]). Even so,
23 plaintiff’s “chief complaints [or] concerns” at the May 30, 2007 appointment (*i.e.*,
24 “labile [blood pressure] . . . associated with headaches and pain in the back of her
25 neck”) had little to do with plaintiff’s alleged disabling symptoms, and a physical
26 exam of plaintiff at that time revealed only “tenderness” and “moderate pain [with]
27 motion” in plaintiff hands, and “[g]eneralized muscle tenderness.” (AR 339). In
28 addition, as the ALJ noted, contrary to Dr. Janoian’s opinion that plaintiff had a

1 “poor” response to treatment (AR 363), on the date the 2008 Opinions were
2 prepared it does not appear that Dr. Janoian even examined plaintiff or that
3 plaintiff received any “treatment” apart from a routine gynecological exam by a
4 different doctor. (AR 566) (citing Exhibit 13F at 3-4 [AR 371-72]).

5 Similarly, Dr. Janoian’s earlier relevant treatment records generally reflect
6 routine treatment mostly for mild to moderate pain with some limited reduction in
7 range of motion. (AR 341 [4/30/07 exam note: complaints of “generalized
8 weakness and muscle pain” with “[g]eneralized tenderness of muscles” on
9 physical examination]; AR 343 [3/30/07 exam note: complaints of “[c]hronic
10 fibromyalgia” with moderate finger/wrist pain on examination]; AR 344 [3/2/07
11 exam note: complaints of back pain and sciatica but with “[n]ormal musculature”
12 and “no skeletal tenderness or joint deformity” on examination]; AR 345 [1/30/07
13 exam note: complaints of “muscular pain all over [plaintiff’s] body, aching,
14 disabling her from normal daily activities” noted in connection with “routine visit
15 [for] medication refill”]; AR 346 [1/2/07 exam note: tenderness in spine with
16 “moderately reduced” range of motion, “Bouchard’s nodes” in right and left hands
17 with mild-moderate reduction of range of motion, left knee tenderness]; AR 347
18 [11/30/06 exam note: tenderness in lumbar spine, left elbow, left hip, and left
19 knee, “moderate pain w/ motion,” with “mildly reduced” range of motion in left
20 elbow noted during “routine visit”]; AR 348 [10/30/06 exam note: physical exam
21 reflects tenderness in lumbar spine with paravertebral muscle spasm and “mildly
22 reduced” range of motion]; AR 350 [9/29/06 exam note: “no acute complaints”
23 noted in connection with visit for only “medication refill”]; AR 351 [8/30/06 exam
24 note: complaint of “skin tag” on breast, generalized mild to moderate pain with
25 motion, tenderness in knees with “mildly reduced” range of motion]; AR 281
26 [5/3/06 exam note: complaints of “pain all over the body . . . in the joint and
27 muscles”]).

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1 In addition, the decision includes a lengthy and detailed discussion of
2 progress records from other physicians in Dr. Janoian's clinic who treated plaintiff
3 during the relevant period leading up to the 2008 Opinions which reflect routine
4 treatment for complaints of only mild to moderate pain and unrelated illnesses.
5 (AR 566-67) (citing Exhibit 13F at 3-6, 8-9, 12, 14-20, 23, 25, 27-28, 30-33, 35-
6 36, 38-44 [AR 371-74, 376-77, 380, 382-88, 391, 393, 395-96, 398-401, 403-04,
7 406-12]). Also, as noted above, medical records reflect that physicians only
8 monitored plaintiff's cataracts and benign left parietal meningioma. (AR 382,
9 385, 426-28, 443-45, 495-96, 514-16, 1102-09, 1117-40). The ALJ reasonably
10 concluded that such a "relatively routine treatment history" does not support Dr.
11 Janoian's 2008 Opinions. (AR 566, 568).

12 Moreover, as the ALJ also noted, Dr. Janoian's later treatment records do
13 not support the "chronic and significant abnormal findings" noted in the 2012
14 Opinions. (AR 569). For example, while Dr. Janoian's progress notes from 2011
15 to 2012 generally reflect that plaintiff had mild to moderate pain with motion,
16 some also reflect that plaintiff's extremities at times appeared "normal" and that
17 medication helped to lessen plaintiff's symptoms, and others suggest that in some
18 instances Dr. Janoian did not find plaintiff's condition serious enough to warrant
19 doing any physical examination. (AR 569-70) (citing Exhibit 25F at 147-48 [AR
20 1041-42]; Exhibit 26F at 4, 8-9, 13, 15-17, 21, 25, 27-30, 33, 36-37, 41, 45, 49, 53
21 [AR 1049, 1053-54, 1058, 1060-62, 1066, 1070, 1072-75, 1078, 1081-82, 1086,
22 1090, 1094, 1098]). In addition, although Dr. Janoian reported that plaintiff
23 experienced side effects from her pain medication, the ALJ noted that medical
24 records from Dr. Janoian and others in the same clinic do not substantiate any
25 chronic or significant medication side effects that could not adequately be
26 addressed (*i.e.*, by changing medication, dosage, time taken). (AR 568, 570).
27 Plaintiff's treating psychiatrist also did not report any chronic or significant

28 ///

1 medication side effects that could not adequately be addressed. (AR 570) (citing
2 Exhibits 30F-31F [AR 1141-63]).

3 While plaintiff suggests that the medical evidence reflects more significant
4 functional limitations (Plaintiff’s Motion at 19-22), this Court will not second-
5 guess the ALJ’s reasonable determination (supported by substantial evidence) that
6 it does not, even if such evidence could give rise to inferences more favorable to
7 plaintiff. See Robbins, 466 F.3d at 882 (citation omitted).

8 Fourth, the ALJ properly rejected Dr. Janoian’s Opinions to the extent the
9 treating physician’s records lacked evidence of supporting objective medical
10 testing. See Crane v. Shalala, 76 F.3d 251, 253 (9th Cir. 1996) (“ALJ []
11 permissibly rejected [opinions] . . . that did not contain any explanation of the
12 bases of their conclusions.”); see, e.g., De Guzman v. Astrue, 343 Fed. Appx. 201,
13 209 (9th Cir. 2009) (ALJ “is free to reject ‘check-off reports that d[o] not contain
14 any explanation of the bases of their conclusions.’”) (citing id.). For example,
15 although Dr. Janoian found that plaintiff essentially had very little use of her
16 hands and fingers (AR 367, 115-16, 1111), none of such physician’s medical
17 records reflect objective testing of plaintiff’s sensation or grip strength. (AR 570).
18 Similarly, Dr. Janoian’s records discussed above also lack evidence of objective
19 medical testing which supports Dr. Janoian’s diagnosis of numerous other
20 impairments (*i.e.*, lumbosacral spondylosis, cellulitis, urinary incontinence, carpal
21 tunnel syndrome, sleep apnea, restless leg syndrome) or that, despite treatment,
22 such impairments caused the noted significant functional limitations for any
23 period of 12 consecutive months.⁸ (AR 567-68, 570).

24
25
26 ⁸Contrary to plaintiff’s suggestion, treatment records which reflect that other physicians
27 tested and diagnosed plaintiff with sleep apnea, restless leg syndrome and carpal tunnel
28 syndrome in 2003 and 2004 (*i.e.*, years before plaintiff’s alleged onset date and before Dr.
Janoian even began treating plaintiff) do not support Dr. Janoian’s conclusory diagnoses of such
disorders.

1 Fifth, the ALJ properly rejected Dr. Janoian's Opinions to the extent they
2 were inconsistent with plaintiff's demonstrated abilities and/or own statements
3 regarding her functional abilities. See Morgan, 169 F.3d at 601-02 (ALJ may
4 reject medical opinion that is inconsistent with other evidence of record including
5 claimant's statements regarding daily activities). For example, Dr. Janoian found
6 that plaintiff had "zero" ability to repetitively grasp, turn or twist objects or do fine
7 manipulation with the fingers of either hand. (AR 363, 367, 115-16). The medical
8 expert testified, however, that if plaintiff had such severe limitation in her hands,
9 "she would not be able to even grip or drive a car." (AR 598-99). Thus, the ALJ
10 reasonably concluded that Dr. Janoian's opinion that plaintiff's hands were
11 "essentially useless" was inconsistent with plaintiff's demonstrated abilities.
12 (AR 566).

13 Sixth, with respect to plaintiff's alleged mental impairments, although the
14 medical record reflects that plaintiff repeatedly complained about depression and
15 anxiety and was prescribed antidepressants (AR 374, 376, 390-91, 401, 495, 501-
16 02, 981, 1009, 1022-23, 1042, 1096, 1098), as the ALJ noted, Dr. Janoian's
17 treatment notes do not contain any objective medical testing which substantiates
18 any severe mental impairment based on such complaints. Again, the ALJ was
19 permitted to reject Dr. Janoian's Opinions to the extent they were based solely on
20 plaintiff's subjective complaints. See Bayliss, 427 F.3d at 1217. Moreover, as
21 discussed below, no other medical evidence in the record supported a finding that
22 plaintiff had a severe mental impairment.

23 Finally, the ALJ properly rejected Dr. Janoian's Opinions in favor of the
24 conflicting opinions of the state-agency examining orthopaedic surgeon, Dr.
25 H. Harlan Bleecker (who essentially determined that plaintiff could "sit, stand and
26 walk 6 out of 8 hours," could "lift 25 pounds occasionally [and] 10 pounds
27 frequently," and had "no restrictions to the upper or lower extremities") (AR 297),
28 and the testifying medical expert, Dr. Brown (whose residual functional capacity

1 assessment the ALJ mostly adopted) (see AR 561, 596-97). The opinion of Dr.
2 Bleecker was supported by his independent examination of plaintiff (AR 294-95),
3 and thus, even without more, constituted substantial evidence upon which the ALJ
4 could properly rely to reject the treating physician’s opinions. See, e.g.,
5 Tonapetyan, 242 F.3d at 1149 (consultative examiner’s opinion on its own
6 constituted substantial evidence, because it rested on independent examination of
7 claimant); Andrews v. Shalala, 53 F.3d 1035, 1041 (9th Cir. 1995). Dr. Brown’s
8 testimony also constituted substantial evidence supporting the ALJ’s decision
9 since it was supported by and consistent with the other medical evidence in the
10 record including Dr. Bleecker’s opinion and underlying independent examination.⁹
11 See Morgan, 169 F.3d at 600 (testifying medical expert opinions may serve as
12 substantial evidence when “they are supported by other evidence in the record and
13 are consistent with it”).

14 Dr. Brown did not, as plaintiff contends (Plaintiff’s Motion at 21), rely
15 solely on the same clinical findings used by Dr. Janoian (*i.e.*, treatment records
16 from Dr. Janoian and other physicians in the same medical group). See Orn, 495
17 F.3d at 632 (“When an examining physician relies on the same clinical findings as

19 ⁹The ALJ’s decision was also supported by the opinions of the state-agency examining
20 rheumatologist, Dr. R. Srinivasan (AR 463-72, 473-81) and the state-agency examining
21 psychiatrist, Dr. William Goldsmith (AR 352-60, 453-60), neither of whom opined that plaintiff
22 could not work for any continuous twelve-month period. See Matthews v. Shalala, 10 F.3d 678,
23 680 (9th Cir. 1993) (in upholding the Commissioner’s decision, the Court emphasized: “None of
24 the doctors who examined [claimant] expressed the opinion that he was totally disabled”); accord
25 Curry, 925 F.2d at 1130 n.1 (upholding Commissioner and noting that after surgery, no doctor
26 suggested claimant was disabled). The record belies plaintiff’s assertion that the ALJ “rejected
27 the reports” of Dr. Goldsmith and Dr. Srinivasan.” (Plaintiff’s Motion at 20) (citing AR 565). In
28 the decision, the ALJ essentially adopted Dr. Goldsmith’s opinion (*i.e.*, that plaintiff had “[no]
significant [psychiatric] impairment”). (AR 559) (citing Exhibits 11F, 18F [AR 352-60, 453-
60]). Moreover, the ALJ did not entirely reject, but instead merely discounted the weight given
to Dr. Srinivasan’s opinions. (AR 565) (“I give *less* weight . . . to [Dr. Srinivasan’s] opinion”) (emphasis added). It was the sole province of the ALJ to resolve any conflict in this properly
supported medical opinion evidence. Andrews, 53 F.3d at 1041.

1 a treating physician, but differs only in his or her conclusions, the conclusions of
2 the examining physician are not “substantial evidence.”). Instead, as noted
3 above, Dr. Brown also relied, in part, on the opinion of Dr. Bleecker which itself
4 was based on the examining physician’s independent clinical findings (*i.e.*,
5 “findings based on objective medical tests that the treating physician has not []
6 considered”). *Id.* (“[W]hen an examining physician provides ‘independent clinical
7 findings that differ from the findings of the treating physician,’ such findings are
8 ‘substantial evidence.’”) (citations omitted).

9 Accordingly, plaintiff is not entitled to a remand or reversal on this basis.

10 **C. The ALJ Properly Evaluated the Severity of Plaintiff’s Mental**
11 **Impairments**

12 **1. Pertinent Law**

13 At step two of the sequential evaluation process, plaintiff has the burden to
14 present evidence of medical signs, symptoms and laboratory findings¹⁰ that
15 establish a medically determinable physical or mental impairment that is severe,
16 and that can be expected to result in death or which has lasted or can be expected
17 to last for a continuous period of at least twelve months. Ukolov v. Barnhart,
18 420 F.3d 1002, 1004-1005 (9th Cir. 2005) (citing 42 U.S.C. §§ 423(d)(3),
19 1382c(a)(3)(D)); see 20 C.F.R. § 404.1520. Substantial evidence supports an
20 ALJ’s determination that a claimant is not disabled at step two where “there are no

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22 ¹⁰A medical “sign” is “an anatomical, physiological, or psychological abnormality that
23 can be shown by medically acceptable clinical and laboratory diagnostic techniques[.]” Ukolov
24 v. Barnhart, 420 F.3d 1002, 1005 (9th Cir. 2005) (quoting SSR 96-4p at *1 n.2). A “symptom”
25 is “an individual’s own perception or description of the impact of his or her physical or mental
26 impairment(s)[.]” *Id.* (quoting SSR 96-4p at *1 n.2); see also 20 C.F.R. § 404.1528(a)-(b).
27 “[U]nder no circumstances may the existence of an impairment be established on the basis of
28 symptoms alone.” Ukolov, 420 F.3d at 1005 (citation omitted); SSR 96-4p at *1-2
29 (“[R]egardless of how many symptoms an individual alleges, or how genuine the individual’s
30 complaints may appear to be, the existence of a medically determinable physical or mental
31 impairment cannot be established in the absence of objective medical abnormalities; *i.e.*, medical
32 signs and laboratory findings.”).

1 medical signs or laboratory findings to substantiate the existence of a medically
2 determinable physical or mental impairment.” Id. (quoting SSR 96-4p at *1-*2).

3 Step two is “a de minimis screening device [used] to dispose of groundless
4 claims.” Smolen, 80 F.3d at 1290. Applying the normal standard of review to the
5 requirements of step two, a court must determine whether an ALJ had substantial
6 evidence to find that the medical evidence clearly established that the claimant did
7 not have a medically severe impairment or combination of impairments. Webb v.
8 Barnhart, 433 F.3d 683, 687 (9th Cir. 2005) (citation omitted); see also Yuckert v.
9 Bowen, 841 F.2d 303, 306 (9th Cir. 1988) (“Despite the deference usually
10 accorded to the Secretary’s application of regulations, numerous appellate courts
11 have imposed a narrow construction upon the severity regulation applied here.”).
12 An impairment or combination of impairments can be found “not severe” only if
13 the evidence establishes a slight abnormality that has “no more than a minimal
14 effect on an individual’s ability to work.” Webb, 433 F.3d at 686 (citation
15 omitted).

16 2. Additional Pertinent Facts

17 To the extent plaintiff claimed she was disabled due to a medically
18 determinable *mental* impairment, the Prior ALJ reached his step-two determination
19 based on an evaluation of the four broad functional areas known as “paragraph B”
20 criteria. Specifically, the Prior ALJ determined – essentially based on the opinions
21 of the state-agency examining psychiatrists (*i.e.*, Dr. Suzanne Ashman¹¹ and Dr.

22
23
24 ¹¹In the report of an October 9, 2006 comprehensive psychiatric evaluation, Dr. Ashman
25 diagnosed plaintiff with major depressive disorder, panic disorder without agoraphobia, and pain
26 syndrome, and opined that (i) although “[plaintiff’s] difficulty sleeping and her panic attacks”
27 would cause “interruption to a normal work day or work week,” any “[limitation] in her ability to
28 complete a normal work day or work week without interruption[]” would be “minimal[]”; and
plaintiff was still able to (ii) “perform detailed and complex tasks”; (iii) “maintain regular
attendance”; (iv) “perform work activities on a consistent basis” without “special supervision”;
(v) “accept instructions from supervisors” and “interact with coworkers and the public”; and (vi)
“deal with the usual stressors encountered in competitive work.” (AR 287-88).

1 William Goldsmith¹²) and the state-agency reviewing psychiatrist – that plaintiff
2 had mild limitation in activities of daily living, social functioning, and
3 concentration, persistence, or pace, and no episodes of decompensation of
4 extended duration. (AR 15-17) (citing Exhibits 3F [AR 284-89], 5F [AR 300-10],
5 11F [AR 352-57]).

6 In December 2010 (*i.e.*, after the Prior ALJ issued the “pre-remand
7 decision”), plaintiff began treatment with the Northeast Mental Health Center
8 (“Northeast”). (AR 1141-63). In the post-remand decision, the ALJ adopted the
9 Prior ALJ’s step-two determination that plaintiff did not have a severe mental
10 impairment, and also concluded that the records of plaintiff’s mental health
11 treatment at Northeast did not alter that determination. (AR 559-60) (citing
12 Exhibit 3A at 7 [AR 559; see AR 15]).

13 3. Analysis

14 Plaintiff contends that a reversal or remand is warranted because the ALJ
15 failed to find that plaintiff’s depression and anxiety were severe mental
16 impairments. (Plaintiff’s Motion at 22-26). The Court disagrees.

17 In determining whether or not a plaintiff’s mental impairment is severe,
18 ALJs are required to evaluate the degree of mental limitation in the following four
19 areas: (1) activities of daily living; (2) social functioning; (3) concentration,
20 persistence, or pace; and (4) episodes of decompensation. If the degree of

21
22 ¹²In the report of a June 23, 2008 complete psychiatric evaluation, Dr. William Goldsmith
23 diagnosed plaintiff with “physical condition affecting psychological function,” and opined that
24 (i) plaintiff could understand, remember and carry out simple one or two step instructions;
25 (ii) plaintiff’s ability to do detailed and complex instructions was intact; (iii) plaintiff’s ability to
26 relate and interact with supervisors, coworkers and the public was intact; (iv) plaintiff’s ability to
27 maintain concentration and attention, persistence and pace was “slightly impaired”; (v) plaintiff’s
28 ability to associate with day-to-day work activity, including attendance and safety, was dormant
but could be revived; (vi) plaintiff’s ability to adapt to stresses common to a normal work
environment was dormant but could be revived; and (vii) plaintiff’s ability to maintain regular
attendance in the workplace and perform work activities on a consistent basis, and without
special or additional supervision was intact. (AR 352-57).

1 limitation in these four areas is determined to be “mild,” a plaintiff’s mental
2 impairment is generally not severe, unless there is evidence indicating a more than
3 minimal limitation in her ability to perform basic work activities.¹³ See 20 C.F.R.
4 § 404.1520a(c)-(d).

5 The Prior ALJ found only mild limitations in plaintiff’s activities of daily
6 living, social functioning, and concentration, persistence, and pace, with no
7 episodes of decompensation. (AR 17, 559) (citing Exhibit 5F [AR 300-10]).
8 Therefore, the Prior ALJ properly concluded that plaintiff did not have a severe
9 mental impairment. See 20 C.F.R. § 404.1520a(d)(1). Substantial medical
10 evidence supported the Prior ALJ’s conclusion. As the Prior ALJ noted, his
11 findings virtually mirrored the state-agency reviewing psychiatrist’s assessment of
12 the “paragraph B” criteria – which assessment is consistent with the findings of
13 the state-agency examining psychiatrists and the record medical evidence. (AR
14 15-17) (citing, *inter alia*, Exhibit 5F [AR 300-310]). Such medical opinions
15 constitute substantial evidence which supported the Prior ALJ’s findings. See
16 Tonapetyan, 242 F.3d at 1149 (opinions of nontreating or nonexamining doctors
17 may serve as substantial evidence when consistent with independent clinical
18 findings or other evidence in the record) (citations omitted); Andrews, 53 F.3d at
19 1041 (“reports of the nonexamining advisor need not be discounted and may serve
20 as substantial evidence when they are supported by other evidence in the record
21 and are consistent with it”). Consequently, substantial evidence supports the
22 ALJ’s adoption of the Prior ALJ’s step two determination.

23 Plaintiff’s treatment records from Northeast do not undercut the pre-remand
24 step two determination. The Northeast records reflect that plaintiff’s treating
25 physician did not refer her for mental health treatment until November 22, 2010

26
27 ¹³Basic work activities include: (1) understanding, carrying out, and remembering simple
28 instructions; (2) responding appropriately to supervision, co-workers and usual work situations;
and (3) dealing with changes in a routine work setting. See 20 C.F.R. § 404.1521.

1 (*i.e.*, less than three months before plaintiff’s date last insured), and plaintiff was
2 not evaluated at Northeast until December 6 of that year. (AR 559) (citing
3 Exhibits 25F at 141 [AR 1035], 30F at 20 [AR 1160]). In addition, the ALJ
4 reasonably found, the Northeast treatment records reflect that (i) on initial
5 assessment, other than her subjective complaints, plaintiff’s mental status
6 evaluation was unremarkable; (ii) the Northeast psychiatrist diagnosed plaintiff
7 with depression based on plaintiff’s subjective complaints rather than any
8 objective clinical findings; and (iii) plaintiff’s mental impairments were promptly
9 addressed by prescribed medication. (AR 559-60) (citing Exhibit 26F at 2 [AR
10 1047]; Exhibit 30F at 2, 10, 19 [AR 1142, 1150, 1159]); see, e.g., Warre v.
11 Commissioner of Social Security Administration, 439 F.3d 1001, 1006 (9th Cir.
12 2006) (“Impairments that can be controlled effectively with medication are not
13 disabling for the purpose of determining eligibility for SSI benefits.”) (citations
14 omitted). While plaintiff suggests that such medical records reflect mental
15 impairments that are “severe” (Plaintiff’s Motion at 25-26), this Court will not
16 second-guess the ALJ’s reasonable interpretation otherwise, even if such evidence
17 could give rise to inferences more favorable to plaintiff.

18 Plaintiff complains that the ALJ improperly evaluated the Northeast records
19 without the aid of a medical professional. (Plaintiff’s Motion at 25-26); see
20 Winters v. Barnhart, 2003 WL 22384784, at *6 (N.D. Cal. Oct.15, 2003) (“The
21 ALJ is not allowed to use his own medical judgment in lieu of that of a medical
22 expert.”). To the extent the ALJ erred in considering such records, the Court
23 concludes that any error was harmless because it was inconsequential to the ALJ’s
24 ultimate nondisability determination. See Sawyer v. Astrue, 303 Fed. Appx. 453,
25 455 (9th Cir. 2008) (error in ALJ’s failure properly to consider medical opinion
26 evidence considered harmless “where the mistake was nonprejudicial to the
27 claimant or irrelevant to the ALJ’s ultimate disability conclusion. . . .”) (citing
28 Stout, 454 F.3d at 1055). First, plaintiff points to no finding in the Northeast

1 records which suggests that plaintiff’s mental impairments had more than a
2 minimal effect on her ability to work.¹⁴ Second, no medical provider at Northeast
3 opined that plaintiff’s mental impairments would prevent her from doing work
4 other than “simple tasks” for any continuous period of at least twelve months
5 (which, according to plaintiff, would require a finding of disability under the
6 “Grids”).

7 Accordingly, plaintiff is not entitled to a remand or reversal on this basis.

8 **V. CONCLUSION**

9 For the foregoing reasons, the decision of the Commissioner of Social
10 Security is affirmed.

11 LET JUDGMENT BE ENTERED ACCORDINGLY.

12 DATED: March 18, 2014

13 _____
14 /s/
15 Honorable Jacqueline Chooljian
16 UNITED STATES MAGISTRATE JUDGE
17

18
19 ¹⁴As plaintiff correctly notes, Dr. Ashman stated in her October 9, 2006 psychiatric
20 evaluation that “[d]ue to [plaintiff’s] difficulty sleeping and her panic attacks, there would be
21 interruption [in plaintiff’s] normal work day or work week.” (AR 288). To the extent plaintiff
22 argues that Dr. Ashman’s statement reflects a medical opinion that plaintiff’s mental
23 impairments were “severe” (*i.e.*, caused more than “minimal” limitation in plaintiff’s ability to
24 perform basic work activities), plaintiff’s argument is soundly refuted by Dr. Ashman’s
25 discussion which emphasized that the “interruption” from plaintiff’s symptoms (*i.e.*, difficulty
26 sleeping and panic attacks) would cause only a “*minimal* limitation” in plaintiff’s “ability to
27 complete a normal work day or work week without interruptions resulting from her psychiatric
28 condition.” (AR 288) (emphasis added). Plaintiff also notes that Dr. Brown testified that he
thought it “important . . . to note the presence of [plaintiff’s psychiatric] conditions.” (Plaintiff’s
Motion at 26) (citing AR 590). When considered in the context of Dr. Brown’s entire testimony,
however, this isolated and conclusory statement does not reasonably support plaintiff’s
suggestion that the medical expert opined at the hearing that plaintiff’s mental impairments were
severe. Moreover, this Court will not second guess the ALJ’s implicit determination that the
foregoing evidence did not reflect any mental impairments that were severe.