LEWIS BRISBOIS BISGAARD & SMITH LLP 1 JOSEPH C. OWENS, SB# 116075 E-Mail: Joseph.Owens@lewisbrisbois.com 221 North Figueroa Street, Suite 1200 Los Angeles, California 90012 Telephone: 213.250.1800 Facsimile: 213.250.7900 Attorneys for Defendant and Third-Party Plaintiff **U-LINE CORPORATION** 6 7 UNITED STATES DISTRICT COURT 8 9 FOR THE CENTRAL DISTRICT OF CALIFORNIA 10 ASPEN SPECIALTY INSURANCE CASE NO. 14-CV-00429-PA-PJWx 11 COMPANY, a corporation, 12 The Hon. Percy Anderson Plaintiff, Courtroom 15 13 VS. 14 U-LINE CORPORATION, a corporation; and DOES 1 through 50, 15 inclusive. STIPULATION AND PROTECTIVE 16 Defendants. ORDER 17 U-LINE CORPORATION, 18 State Action Filed: September 16, 2013 Federal Court Removal: January 17, 2014 19 Third-Party Plaintiff, This protective order does not authorize the parties to file documents under seal. Under 20 VS. 21 NIDEC MOTOR CORPORATION, Seal filings are governed by L.R. 22 Third-Party Defendant. 23 IT IS HEREBY STIPULATED by and between the Parties to this action, by 24 and through their respective counsel of record, that in order to facilitate the 26 exchange of information and documents which may be subject to confidentiality 27 limitations on disclosure due to federal laws, state laws, and privacy rights, the Parties stipulate as follows: 4834-7386-2170.1 STIPULATION AND PROTECTIVE ORDER

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- 1. In this Stipulation and Protective Order, the words set forth below shall have the following meanings:
- a. "Proceeding" shall mean the above-entitled proceeding (SC121346) and any action deemed related and assigned to this court (the "Related Actions").
- b. The "Court" shall mean The Hon. Percy Anderson, or any other judge to which this Proceeding may be assigned, including Court staff participating in such proceedings.
- c. "Confidential" shall mean any information which belongs to a Designating Party who believes in good faith that such information is entitled to protection as a trade secret (as defined in California Civil Code § 3426.2(d)) or private, proprietary, or competitively sensitive information, or otherwise non-publicly available information, the disclosure of which without restriction would be a violation of privacy rights or detrimental to that party in the conduct of its business, that is not, or has not become public knowledge, as shown by publicly available writings, other than through violation of the terms of this Protective Order.
- d. "Highly Confidential" shall mean any information which belongs to a Designating Party who believes in good faith that the Disclosure of such information to another Party or non-Party would create a substantial risk of serious financial or other injury that cannot be avoided by less restrictive means.
- e. "Confidential Materials" shall mean any Documents, Testimony or Information as defined above designated as "Confidential" or "Highly Confidential" pursuant to the provisions of this Stipulation and Protective Order.
- f. "Designating Party" shall mean the Party that designates Materials as "Confidential" or "Highly Confidential."
- g. "Disclose" or "Disclosed" or "Disclosure" shall mean to reveal, divulge, give, or make available Materials, or any part thereof, or any information contained therein.

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"Duplicate" as those terms are defined by California Evidence Code Sections 250, 255, and 260, which have been produced in discovery in this Proceeding by any person, and (ii) any copies, reproductions, or summaries of all or any part of the "Expert" means a person with specialized knowledge or

"Documents" means (i) any "Writing," "Original," and

experience in a matter pertinent to the litigation who has been or may be retained by a party or its counsel to serve as an expert witness (as that term is used in Federal Rules of Evidence, Rule 702), including testifying and non-testifying experts, or as a consultant in this action. But, (1) if the proposed Expert is during the pendency of this action an employee, officer, director, agent, contractor, subcontractor or technical consultant (excluding those individuals who only receive grants/funding and do not provide any consultation services) of a competitor of Defendants; or (2) if the proposed Expert intends to assume such an engagement in the next five (5) years, notice shall be given to counsel for Defendants thirty (30) days prior to disclosure of any Protected Material and, even with notice, that Expert shall not be granted access to Highly Confidential information. Notice shall include the name of the competitor and the proposed subject matter on which the proposed Expert is being consulted. Defendants shall have the right to object to such disclosure within twenty (20) days of being provided notice, but even without further objection, that Expert shall not be granted access to Highly Confidential information. No disclosure of any kind shall be made until the objection is resolved by agreement or court order.

- j. "Information" means the content of Documents or Testimony.
- "Testimony" means all depositions, declarations or other k. testimony taken or used in this Proceeding.

- 2. The Designating Party shall have the right to designate as "Highly Confidential" only the non-public Documents, Testimony or Information that the Designating Party in good faith believes would create a substantial risk of serious financial or other injury, if disclosed to another Party or non-Party, and that such risk cannot be avoided by less restrictive means.
- 3. The entry of this Stipulation and Protective Order does not alter, waive, modify, or abridge any right, privilege or protection otherwise available to any Party with respect to the discovery of matters, including but not limited to any Party's right to assert the attorney-client privilege, the attorney work product doctrine, or other privileges, or any Party's right to contest any such assertion.
- 4. Any Documents, Testimony or Information to be designated as "Confidential" or "Highly Confidential" must be clearly so designated before the Document, Testimony or Information is Disclosed or produced. The parties may agree that the case name and number are to be part of the "Confidential" or "Highly Confidential" designation. The "Confidential" or "Highly Confidential" designation should not obscure or interfere with the legibility of the designated Information.
- a. For Documents (apart from transcripts of depositions or other pretrial or trial proceedings), the Designating Party must affix the legend "Highly Confidential" on each page of any Document containing such designated Confidential Material.
- b. For Testimony given in depositions the Designating Party may either:
- i. identify on the record, before the close of the deposition, all "Confidential" or "Highly Confidential" Testimony, by specifying all portions of the Testimony that qualify as "Confidential" or "Highly Confidential;" or,
- ii. designate the entirety of the Testimony at the deposition as "Confidential" or "Highly Confidential" (before the deposition is concluded) with the right to identify more specific portions of the Testimony as to which protection

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is sought within 30 days following receipt of the deposition transcript. In circumstances where portions of the deposition Testimony are designated for protection, the transcript pages containing "Confidential" or "Highly Confidential" Information may be separately bound by the court reporter, who must affix to the top of each page the legend "Confidential" or "Highly Confidential," as instructed by the Designating Party.

- c. For Information produced in some form other than Documents, and for any other tangible items, including, without limitation, compact discs or DVDs, the Designating Party must affix in a prominent place on the exterior of the container or containers in which the Information or item is stored the legend "Confidential" or "Highly Confidential." If only portions of the Information or item warrant protection, the Designating Party, to the extent practicable, shall identify the "Confidential or "Highly Confidential" portions.
- 5. The inadvertent production by any of the undersigned Parties or non-Parties to the Proceedings of any Document, Testimony or Information during discovery in this Proceeding without a "Confidential or "Highly Confidential" designation, shall be without prejudice to any claim that such item is "Confidential" or "Highly Confidential" and such Party shall not be held to have waived any rights by such inadvertent production. In the event that any Document, Testimony or Information that is subject to a "Confidential" or "Highly Confidential" designation is inadvertently produced without such designation, the Party that inadvertently produced the document shall give written notice of such inadvertent production within twenty (20) days of discovery of the inadvertent production, together with a further copy of the subject Document, Testimony or Information designated as "Confidential" or "Highly Confidential" (the "Inadvertent Production Notice"). Upon receipt of such Inadvertent Production Notice, the Party that received the inadvertently produced Document, Testimony or Information shall promptly destroy the inadvertently produced Document, Testimony or Information and all copies

thereof, or, at the expense of the producing Party, return such together with all copies of such Document, Testimony or Information to counsel for the producing Party and shall retain only the "Confidential" or "Highly Confidential" designated Materials. Should the receiving Party choose to destroy such inadvertently produced Document, Testimony or Information, the receiving Party shall notify the producing Party in writing of such destruction within ten (10) days of receipt of written notice of the inadvertent production. This provision is not intended to apply to any inadvertent production of any Information protected by attorney-client or work product privileges. In the event that this provision conflicts with any applicable law regarding waiver of confidentiality through the inadvertent production of Documents, Testimony or Information, such law shall govern.

- 6. In the event that any Document or thing containing or constituting privileged attorney-client communications or attorney work product is inadvertently produced, the producing Party shall promptly notify the receiving Party in writing after it is discovered that the privileged material was inadvertently provided for inspection or review and, upon receipt of such notification, the receiving Party shall promptly return to counsel for the producing Party any and all copies of such Document or thing and thereafter refrain from any use whatsoever, in this case or otherwise, of such Document or thing.
- a. In the event that a receiving Party receives a Document or thing that obviously appears to contain privileged attorney-client communications or attorney work product, and it is reasonably apparent to the receiving Party that the Document or thing was made available inadvertently, the receiving Party shall promptly notify the producing Party in writing. If the producing Party confirms the inadvertent production, the receiving Party shall promptly return to counsel for the producing Party any and all copies of such Document or thing and thereafter refrain from any use whatsoever, in this case or otherwise, of such Document or thing.

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privilege or the work-product doctrine as to any other document or thing in the possession of the producing Party, or as to any communication or information within the knowledge of the producing Party. 7. In the event that counsel for a Party receiving Documents, Testimony or Information in discovery designated as "Confidential" or "Highly Confidential" objects to such designation with respect to any or all of such items, said counsel shall advise counsel for the Designating Party, in writing, of such objections, the specific Documents, Testimony or Information to which each objection pertains, and the specific reasons and support for such objections (the "Designation Objections"). 12 Counsel for the Designating Party shall have thirty (30) days from receipt of the written Designation Objections to either (a) agree in writing to de-designate Documents, Testimony or Information pursuant to any or all of the Designation Objections and/or (b) file a motion with the Court seeking to uphold any or all designations on Documents, Testimony or Information addressed by the Designation Objections (the "Designation Motion"). Pending a resolution of the Designation Motion by the Court, any and all existing designations on the Documents, Testimony or Information at issue in such Motion shall remain in place. The Designating Party shall have the burden on any Designation Motion of establishing the applicability of its "Confidential" or "Highly Confidential" designation. In the event that the Designation Objections are neither timely agreed to nor timely addressed in the Designation Motion, then such Documents, Testimony or Information shall be de-designated in accordance with the Designation Objection applicable to such material. ///

The inadvertent production of any Document or thing for which

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8. Access to and/or Disclosure of Confidential Materials designated as "Highly Confidential" shall be permitted only to the following persons:

a. Trial Counsel for the Parties, their partners and associates, and staff and supporting personnel of such attorneys, such as paralegal assistants, secretarial, stenographic and clerical employees and contractors, and outside copying services, who are working on this Proceeding (or any further proceedings herein) under the direction of such attorneys and to whom it is necessary that the Confidential Materials be Disclosed for purposes of this Proceeding. Such employees, assistants, contractors and agents to whom such access is permitted and/or Disclosure is made shall, prior to such access or Disclosure, be advised of, and become subject to, the provisions of this Protective Order. "Trial Counsel," for purposes of this subparagraph, shall mean outside retained counsel and shall not include in-house counsel to the undersigned Parties and the paralegal, clerical and secretarial staff employed by such in-house counsel;

b. The receiving Party's House Counsel, as well as employees of said House Counsel to whom it is reasonably necessary to disclose the information for the prosecution or defense of this action;

c. Experts or expert consultants (as defined and limited herein), consulted by the undersigned Parties or their counsel in connection with the Proceeding, whether or not retained to testify at any oral hearing; provided, however, that prior to the Disclosure of Confidential Materials to any such expert or expert consultant, counsel for the Party making the Disclosure shall deliver a copy of this Stipulation and Protective Order to such person, shall explain its terms to such person, and shall secure the signature of such person on a statement in the form attached hereto as Exhibit A prior to the Disclosure of Confidential Materials. It shall be the obligation of counsel, upon learning of any breach or threatened breach of this Stipulation and Protective Order by any such expert or expert consultant, to promptly notify counsel for the Designating Party of such breach or threatened

breach;

- d. Any person who authored, received, saw or was otherwise familiar with a document or thing marked "Highly Confidential," including any person otherwise familiar with the Confidential Information contained therein, but only to the extent of that person's prior familiarity with the Confidential Information:
- e. Court reporters in this Proceeding (whether at depositions, hearings, or any other proceeding); and
 - f. The Court;
- g. Insurers to whom disclosure is reasonably necessary for the defense of this action.
- h. A mediator, including his or her support staff, provided that each recipient has signed the Certification of Confidential Discovery Materials (attached to this Stipulation and Protective Order).
- 9. Access to and/or Disclosure of Confidential Materials designated as "Confidential" shall be permissible only to:
- a. Those persons authorized to access "Highly Confidential" materials as specified above.
- b. Any person who authored, received, saw or was otherwise familiar with a document or thing marked "Confidential," including any person otherwise familiar with the Confidential Information contained therein, but only to the extent of that person's familiarity with the Confidential Information;
- c. The receiving Party, including officers, directors, employees, or insurers of the receiving Party to whom Disclosure is reasonably necessary for the prosecution or defense of this litigation.
- 10. Confidential Materials shall be used by the persons receiving them only for the purposes of preparing for, conducting, participating in the conduct of, and/or prosecuting and/or defending the Proceeding, and not for any business or other

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purpose whatsoever.

- 11. Any Party to the Proceeding (or other person subject to the terms of this Stipulation and Protective Order) may ask the Court, after appropriate notice to the other Parties to the Proceeding, to modify or grant relief from any provision of this Stipulation and Protective Order.
- 12. Entering into, agreeing to, and/or complying with the terms of this Stipulation and Protective Order shall not:
- a. operate as an admission by any person that any particular Document, Testimony or Information marked "Confidential" or "Highly Confidential" contains or reflects trade secrets, proprietary, confidential or competitively sensitive business, commercial, financial or personal information; or
- b. prejudice in any way the right of any Party (or any other person subject to the terms of this Stipulation and Protective Order):
- c. to seek a determination by the Court of whether any particular Confidential Material should be subject to protection as "Confidential" or "Highly Confidential" under the terms of this Stipulation and Protective Order; or
- d. to seek relief from the Court on appropriate notice to all other Parties to the Proceeding from any provision(s) of this Stipulation and Protective Order, either generally or as to any particular Document, Material or Information.
- 13. Any Party to the Proceeding who has not executed this Stipulation and Protective Order as of the time it is presented to the Court for signature may thereafter become a Party to this Stipulation and Protective Order by its counsel's signing and dating a copy thereof and filing the same with the Court, and serving copies of such signed and dated copy upon the other Parties this Stipulation and Protective Order.
- 14. Any Information that may be produced by a non-Party witness in discovery in the Proceeding pursuant to subpoena or otherwise may be designated by such non-Party as "Confidential" or "Highly Confidential" under the terms of the

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this Stipulation and Protective Order, and any such designation by a non-Party shall have the same force and effect, and create the same duties and obligations, as if made by one of the undersigned Parties hereto. Any such designation shall also function as a consent by such producing Party to the authority of the Court in the Proceeding to resolve and conclusively determine any motion or other application made by any person or Party with respect to such designation, or any other matter otherwise arising under this Stipulation and Protective Order. If any person subject to this Stipulation and Protective Order who has custody of any Confidential Materials receives a subpoena or other process ("Subpoena") from any government or other person or entity demanding production of Confidential Materials, the recipient of the Subpoena shall promptly give notice of the same by electronic mail transmission, followed by either express mail or overnight delivery to counsel of record for the Designating Party, and shall furnish such counsel with a copy of the Subpoena. Upon receipt of this notice, the Designating Party may in its sole discretion and its own cost, move to quash or limit the Subpoena, otherwise oppose production of the Confidential Materials, and/or seek to obtain confidential treatment of such Confidential Materials from the subpoenaing person or entity to the fullest extent available under the law. The recipient of the Subpoena may not produce any Documents, Testimony or Information pursuant to the Subpoena prior to the date specified for production on the Subpoena.

- 15. Nothing in this Stipulation and Protective Order shall be construed to preclude either Party from asserting in good faith that certain Confidential Materials require additional protection. The Parties shall meet and confer to agree upon the terms of such additional protection.
- 16. If, after execution of this Stipulation and Protective Order, any Confidential Materials submitted by a Designating Party under the terms of this Stipulation and Protective Order is Disclosed by a non-Designating Party to any person other than in the manner authorized by this Stipulation and Protective Order,

facts relating to the Disclosure of such Confidential Materials to the immediate attention of the Designating Party.

17. This Stipulation and Protective Order is entered into without prejudice

the non-Designating Party responsible for the Disclosure shall bring all pertinent

- 17. This Stipulation and Protective Order is entered into without prejudice to the right of any Party to knowingly waive the applicability of this Stipulation and Protective Order to any Confidential Materials designated by that Party. If the Designating Party uses Confidential Materials in a non-Confidential manner, then the Designating Party shall advise that the designation no longer applies.
- 18. Where any Confidential Materials, or Information derived from Confidential Materials, is included in any motion or other proceeding governed by Federal Rules of Civil Procedure, Rule 5.2, the Party shall follow those rules. With respect to discovery motions or other proceedings not governed by Federal Rules of Civil Procedure, Rule 5.2, the following shall apply: If Confidential Materials or Information derived from Confidential Materials are submitted to or otherwise—disclosed to the Court in connection with discovery motions and proceedings, the same shall be separately filed under seal with the clerk of the Court in an envelope—marked: "CONFIDENTIAL FILED UNDER SEAL PURSUANT TO PROTECTIVE ORDER AND WITHOUT ANY FURTHER SEALING ORDER REQUIRED."

 7 The Parties shall meet and confer regarding the procedures for use of
- 19. The Parties shall meet and confer regarding the procedures for use of Confidential Materials at trial and shall move the Court for entry of an appropriate order.
- 20. Nothing in this Stipulation and Protective Order shall affect the admissibility into evidence of Confidential Materials, or abridge the rights of any person to seek judicial review or to pursue other appropriate judicial action with respect to any ruling made by the Court concerning the issue of the status of Confidential Materials.

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21. This Stipulation and Protective Order shall continue to be binding after the conclusion of this Proceeding and all subsequent proceedings arising from this Proceeding, except that a Party may seek the written permission of the Designating Party or may move the Court for relief from the provisions of this Stipulation and Protective Order. To the extent permitted by law, the Court shall retain jurisdiction to enforce, modify, or reconsider this Stipulation and Protective Order, even after the Proceeding is terminated.

- 22. Upon written request made within thirty (30) days after the settlement or other termination of the Proceeding, the undersigned Parties shall have thirty (30) days to either (a) promptly return to counsel for each Designating Party all Confidential Materials and all copies thereof (except that counsel for each Party may maintain in its files, in continuing compliance with the terms of this Stipulation and Protective Order, all work product, and one copy of each pleading filed with the Court and one copy of each deposition together with the exhibits marked at the deposition), (b) agree with counsel for the Designating Party upon appropriate methods and certification of destruction or other disposition of such Confidential Materials, or (c) as to any Documents, Testimony or other Information not addressed by sub-paragraphs (a) and (b), file a motion seeking a Court order regarding proper preservation of such Materials. To the extent permitted by law the Court shall retain continuing jurisdiction to review and rule upon the motion referred to in sub-paragraph (c) herein.
- 23. After this Stipulation and Protective Order has been signed by counsel for all Parties, it shall be presented to the Court for entry. Counsel agree to be bound by the terms set forth herein with regard to any Confidential Materials that have been produced before the Court signs this Stipulation and Protective Order.
- 24. The Parties and all signatories to the Certification attached hereto as Exhibit A agree to be bound by this Stipulation and Protective Order pending its approval and entry by the Court. In the event that the Court modifies this

1	Stipulation and Protective Order, or in the event that the Court enters a different
2	Protective Order, the Parties agree to be bound by this Stipulation and Protective
3	Order until such time as the Court may enter such a different Order. It is the Parties'
4	intent to be bound by the terms of this Stipulation and Protective Order pending its
5	entry so as to allow for immediate production of Confidential Materials under the
6	terms herein.
7	25. This Stipulation and Protective Order may be executed in counterparts.
8	IT IS SO STIPULATED.
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10	DATED: June 26, 2014 LEWIS BRISBOIS BISGAARD & SMITH LLP
11	
12	Ву:
13	JOSEPH C. OWENS Attorneys for Defendant/Cross-Defendant
14	U-LINE CORPORATION
15	DATED: June 26, 2014 COZEN & OCONNOR
16	
17	By: Sales
18	MARK S. ROTH
19	Attorneys for Plaintiff ASPEN SPECIALTY INSURANCE
20	COMPANY
21	DATED: June, 2014 ARENT FOX LLP
22	
23	Ву:
24	GARY A. WOLENSKY Attorneys for Cross-Defendant
25	NIDEC MOTOR CORPORATION
26	
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	4834-7386-2170.1 14 STIPULATION AND PROTECTIVE ORDER
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	Stipulation and Protective Order, or in the event that the Court enters a different
	Protective Order, the Parties agree to be bound by this Stipulation and Protective
•	Order until such time as the Court may enter such a different Order. It is the Parties
	intent to be bound by the terms of this Stipulation and Protective Order pending its
;	entry so as to allow for immediate production of Confidential Materials under the
(terms herein.
,	25. This Stipulation and Protective Order may be executed in counterparts.
8	
•	
10	DATED: June, 2014 LEWIS BRISBOIS BISGAARD & SMITH LLP
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12	By:
13	JOSEPH C. OWENS
14	Attorneys for Defendant/Cross-Defendant U-LINE CORPORATION
15	DATED. Ives 2014 COTEM & COONTYON
16	DATED: June, 2014 COZEN & O'CONNOR
17	
18	By:MARK S. ROTH
19	Attorneys for Plaintiff
20	ASPEN SPECIALTY INSURANCE COMPANY
21	DATED: June 24, 2014 ARENT FOX LLP
22	
23	By: 1944 for humanity
24	GARY A. WOLENSKY
25	ANY PARTY INTENDING TO SUBMIT TO Attorneys for Cross-Defendant THE COURT ANY DOCUMENTS COVERED IDEC MOTOR CORPORATION
26	BY THIS PROTECTIVE ORDER SHALL FILE A
27	MOTION UNDER LOCAL RULE 79-5 REQUESTING PERMISSION TO FILE
28	THEM UNDER SEAL.
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STIPULATION AND PROTECTIVE ORDER

ORDER

WITH GOOD CAUSE APPEARING, the Court hereby approves this Stipulation and Protective Order.

IT IS SO ORDERED.

PATRICK J. WALSH

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PROOF OF SERVICE
Aspen Specialty Insurance Co. v. U-Line Corporation, et al.
Los Angeles Superior Court Case No.: SC 121346
LBBS File No. 200-9438 2 3 STATE OF CALIFORNIA 4 SS. COUNTY OF LOS ANGELES 5 At the time of service, I was over 18 years of age and not a party to the action. My business address is 221 North Figueroa Street, Suite 1200, Los Angeles, CA 6 90012. 7 On June 26, 2014, I served the following document(s): 8 STIPULATION AND PROTECTIVE ORDER 9 I served true and correct COPIES of the above-referenced document(s) on the following persons at the following addresses (including fax numbers and e-mail 10 addresses, if applicable): 11 Mark S. Roth, Esq. COZEN & O'CONNOR Gary A. Wolensky, Esq. 12 ARENT FOX LLP 555 West 5th Street, 48th Floor Los Angeles, CA 90013-1065 Tel: (213) 629-7400 Fax: (213) 629-7401 601 South Figueroa Street, Suite 3700 Los Angeles, CA 90017 Tel: (213) 892-7900; Fax: (213) 892-7999 13 14 Attorneys for Plaintiff Attorneys for Cross-Defendant NIDEC MOTOR CORPORATION 15 16 The documents were served by the following means: 17 X (BY COURT'S CM/ECF SYSTEM) Pursuant to Local Rule, I electronically filed the documents with the Clerk of the Court using the CM/ECF system, 18 which sent notification of that filing to the persons listed on the above 19 referenced service list. 20 I declare under penalty of perjury under the laws of the United States of America that the foregoing is true and correct, and that I am employed in the office of a member of the bar of this Court at whose direction this service was made. 21 Executed on this 26th day of June, 2014, at Los Angeles, California. 22 23 24 25 KIRK D. GILE-CREQUE 26 27 28

LEWIS BRISBOIS BISGAARD & SMITH LLP ATTORNESS AT LAW

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STIPULATION AND PROTECTIVE ORDER