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JS-6 1 2 3 4 5 6 7 United States District Court 8 Central District of California 9 10 SECURITIES AND EXCHANGE Case No. 2:14-cv-01290-ODW (AJWx) 11 COMMISSION, 12 Plaintiff, **JUDGMENT** 13 v. 14 SAMUEL BRASLAU; RAND J. CHORTKOFF; and STUART E. 15 RAWITT. 16 Defendants. 17 18 Plaintiff Securities and Exchange Commission ("SEC") moved for summary 19 judgment against the Estate of Rand J. Chortkoff, the one remaining defendant in this 20 case, and Chortkoff's estate did not oppose. (See ECF Nos. 85; 90.) On March 3, 21 22

2017, this Court granted the SEC's motion.

In accordance with that Order, it is hereby ORDERED, ADJUDGED, and **DECREED** as follows:

- Rand J. Chortkoff is liable for the SEC's claims of fraud and acting as an 1. unregistered broker-dealer;
- 2. The Estate of Rand J. Chortkoff shall disgorge the amount of One Hundred Fifty-Three Thousand, Four Hundred Dollars (\$153,400) to Plaintiff SEC,

representing Chortkoff's ill-gotten gains; and

3. The Estate of Rand J. Chortkoff shall pay Plaintiff SEC prejudgment interest in the amount of Twelve Thousand, Six Hundred and Fifteen Dollars (\$12,615).

IT IS SO ORDERED.

March 6, 2017

OTIS D. WRIGHT, II UNITED STATES DISTRICT JUDGE