Santos A. Martinez et al v. Anning-Johnson, Inc. et al

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Doc. 85

 1 CESAR OROZCO aka JESUS 2 CHAVEZ, individually and on behalf of all other similarly situated non-exempt former and current employees, 4 Plaintiffs, 6 VS. 7 ANNING-JOHNSON COMPANY, a 8 Delaware corporation; and DOES 1-100, inclusive, 10 Defendants. 11
 CHAVEZ, individually and on behalf of all other similarly situated non-exempt former and current employees, Plaintiffs, vs. vs. ANNING-JOHNSON COMPANY, a Delaware corporation; and DOES 1-100, inclusive, Defendants.
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Santos Martinez, Ramon Flores-Valdez, Enrique Orozco, and Cesar Orozco,
 a/k/a Jesus Chavez (collectively "Plaintiffs," "Named Plaintiffs," or "Class
 Representatives") and Anning-Johnson Company ("Defendant" or "AJC") have
 reached a settlement of a putative class action/collective action.

On January 20, 2016, this Court: (1) preliminarily certified a class for 5 settlement purposes; (2) preliminarily certified a collective class under the Fair 6 Labor Standards Act ("FLSA"); (3) preliminarily approved the terms of the 7 proposed class action/collective action Settlement; and (4) authorized notice to the 8 Settlement Class and Settlement Collective Class of the terms of the proposed 9 Settlement. Having completed the process of providing notice to the Settlement 10 Class and the Settlement Collective Class, and no objectors having come forward, 11 Plaintiffs move for final approval of a class action and collective action settlement 12 of the claims asserted against Defendant in this action, memorialized in the Class 13 Action, Collective Action, And Representative Action Settlement Agreement 14 (Amended, And As Further Amended January 2016) ("Settlement Agreement" or 15 "Agreement"). Capitalized terms in this Order shall have the same meaning as in 16 the Settlement Agreement unless otherwise stated. 17

After reviewing the Settlement Agreement, Plaintiffs' Unopposed Motion for
Final Approval, Plaintiffs' Motion for Attorneys' Fees and Costs, Settlement
Administration Costs, and Enhancement Awards, and other related documents, and
having heard the argument of counsel for the respective Parties, IT IS HEREBY
ORDERED AS FOLLOWS:

1. The Court has jurisdiction over the Parties to this action, including all
 members of the Final Settlement Class and Settlement Collective Class as defined
 in the Settlement Agreement.

26 2. The Court finds, for purposes of settlement only, that the proposed Final
 27 Settlement Class satisfies the applicable standards for certification under Federal
 28 Rule of Civil Procedure 23. The requirements of Rule 23(a) are satisfied because

the Final Settlement Class is so numerous that joinder of all Final Settlement Class 1 members is impracticable, there are questions of law or fact common to the Final 2 Settlement Class, the claims of Plaintiffs are typical of the claims of the Final 3 Settlement Class, and Plaintiffs will fairly and adequately protect the interest of the 4 Final Settlement Class. The requirements of Rule 23(b)(3) are satisfied because 5 questions of law or fact common to Final Settlement Class Members predominate 6 over any questions affecting only individual Final Settlement Class Members, and 7 the class action device is superior to other available methods for fairly and 8 efficiently adjudicating this controversy. Accordingly, solely for purposes of 9 effectuating this Settlement, the Court hereby certifies the Final Settlement Class. 10

3. The Court finds that the proposed Settlement Collective Class satisfies
the applicable standards for certification of a collective action under the FLSA in
that members of the Settlement Collective Class are similarly situated.

14 Accordingly, solely for purposes of effectuating this Settlement, the Court hereby15 certifies the Settlement Collective Class.

4. The Court hereby grants final approval of the Settlement Agreement as
it meets the criteria for final settlement approval. The Settlement is fair, adequate,
and reasonable; appears to be the product of arm's-length and informed
negotiations; and treats all Final Settlement Class Members and Settlement
Collective Class Members fairly.

The Class Notice approved by the Court was provided by First Class 5. 21 direct mail to the last-known address of each of the individuals identified as 22 Settlement Class Members (the Class Notice included an FLSA Opt-In Form for 23 those individuals who were also Potential Settlement Collective Class Members), 24 after first processing such addresses through the U.S. Postal Service change-of-25 address database. Follow-up efforts were made to send the Class Notice to those 26 individuals whose original Class Notices were returned as undeliverable. The Class 27 Notice adequately described all of the relevant and necessary parts of the proposed 28

Settlement Agreement, the right of Potential Settlement Collective Class Members
 to opt in to the FLSA portion of the Settlement and the procedures for doing so, the
 request for service payments to the Class Representatives and for reimbursement to
 the Settlement Administrator, and Settlement Class Counsel's request for an award
 of attorneys' fees and costs.

6 6. The Court has determined that the Notice given to the Settlement Class
7 and Settlement Collective Class fully and accurately informed the Settlement Class
8 and Settlement Collective Class of all material elements of the proposed Settlement,
9 constituted the best practicable notice, and fully meets the requirements of Federal
10 Rule of Civil Procedure 23, the FLSA, and all applicable constitutional
11 requirements.

7. There were no requests for exclusion from the Settlement. The names 12 of the 197 Final Settlement Class Members (including Named Plaintiffs) have been 13 filed with the Court in conjunction with Plaintiffs' Unopposed Motion for Final 14 Approval. The Court hereby orders that all Final Settlement Class Members have 15 released all claims or causes of action settled under the terms of Paragraph 81 of the 16 Settlement Agreement. All Final Settlement Class Members are hereby forever 17 barred and enjoined from commencing or prosecuting any of the claims, either 18 directly, representatively, or in any other capacity, that are released by Paragraph 81 19 of the Settlement Agreement. 20

8. Having receiving no objections, and the time for submitting such
 objections having passed, the Court finds that no valid objections have been
 submitted and no objections will be considered by the Court. Final Settlement
 Class Members and Settlement Collective Class Members who did not timely
 object to the Settlement set forth in the Settlement Agreement are barred from
 prosecuting or pursuing any appeal of this Order.

27 9. A total of 83 individuals validly and timely opted-in to the Settlement
28 Collective Class, including the Named Plaintiffs. A total of three individuals

submitted Opt-In Forms that were dated prior to or on the Bar Date, but postmarked 1 after the Bar Date of April 22, 2016. These three individuals are: Herman Hoyos; 2 Jonathan Andrew O'Brien; and Martin R. Enriquez Penaran. All three of these late-3 postmarked Opt-In Forms were post-marked within 14 days after the Bar Date. The 4 Parties do not object to the inclusion of these three individuals within the 5 Settlement Collective Class notwithstanding their late-postmarked Opt-In Forms. 6 No prejudice will result from the inclusion of these individuals in the Settlement 7 Collective Class and their inclusion in the Settlement Collective Class will not 8 delay the conclusion of this matter. Accordingly, the Court deems these three 9 individuals (i.e., Herman Hoyos; Jonathan Andrew O'Brien; and Martin R. 10 Enriquez Penaran) to be included in the Settlement Collective Class 11 notwithstanding their belated submission of the required Opt-In Form. This results 12 in a total of 86 individuals in the Settlement Collective Class (including Named 13 Plaintiffs). The names of these individuals and the written consent by such 14 individuals to join this action were filed with the Court in conjunction with 15 Plaintiffs' Unopposed Motion for Final Approval. 16

10. The Court hereby orders that all Settlement Collective Class Members 17 have released all claims or causes of action settled under the terms of Paragraph 82 18 of the Settlement Agreement (this release is in addition to the release under 19 Paragraph 81 of the Settlement Agreement to which such individuals are also 20 bound). All Settlement Collective Class Members are hereby forever barred and 21 enjoined from commencing or prosecuting any of the claims, either directly, 22 representatively, or in any other capacity, that are released by Paragraph 82 of the 23 Settlement Agreement. 24

11. The Settlement embodied in the Settlement Agreement is not an
admission by Defendant nor is this Order a finding of the validity of any claims in
the Litigation or of any wrongdoing by Defendant. Neither this Order, the
Settlement Agreement, any document referred to herein, nor any action taken to

carry out the terms of the Settlement Agreement may be construed as, or may be
 used as, an admission by or against Defendant of any fault, wrongdoing, or liability
 whatsoever.

12. The Total Settlement Amount shall be dispersed in accordance with the
5 Settlement Agreement.

Plaintiffs Santos Martinez, Ramon Flores-Valdez, Enrique Orozco, and 13. 6 Cesar Orozco, a/k/a Jesus Chavez are appointed as Class Representatives for 7 purposes of settlement. The Court awards Class Representatives Santos Martinez, 8 Ramon Florez-Valdez, and Enrique Orozco Enhancement Awards of \$3,000 each 9 as fair and reasonable compensation for their services. The Court awards Class 10 Representative Cesar Orozco, a/k/a Jesus Chavez, an Enhancement Award of 11 \$2,000 as fair and reasonable compensation for his services. All of the 12 Enhancement Awards will be paid from the Total Settlement Amount as set forth in 13 the Settlement Agreement. 14

15 14. The Court hereby directs payment of \$12,500.00 to the Settlement
16 Administrator, CPT Group, Inc., from the Total Settlement Amount.

17 15. The Court approves the settlement of claims under the California
Private Attorneys' General Act ("PAGA"), Cal. Labor Code §2698 *et seq.*, as set
forth in the Settlement Agreement and approves payment to the California Labor
and Workforce Development Agency in the amount of \$18,750.00 from the Total
Settlement Amount pursuant to the Settlement Agreement.

16. Paul T. Cullen of The Cullen Law Firm, APC, James Hawkins and
Gregory Mauro of James Hawkins APLC, and Grant Joseph Savoy, Shoham
Solouki, and Lindsay Salk of Solouki Savoy, LLP are appointed as Settlement
Class Counsel for purposes of settlement. Plaintiffs' application for attorneys' fees
and reimbursement of litigation costs is granted as follows: Upon consideration of
the relevant factors, the Court grants an award of attorneys' fees in the amount of
\$425,000, representing 25% of the Total Settlement Amount. *See Vizcaino v.*

Microsoft Corp., 290 F.3d 1043, 1048-50 (9th Cir. 2002). The Court grants
 \$22,688.07 in litigation costs. The attorneys' fees and costs will be paid from the
 Total Settlement Amount as set forth in the Settlement Agreement.

4 17. Settlement Checks payable to Final Settlement Class Members for
5 whom valid addresses are not available will be paid by the Settlement
6 Administrator to the State of California Unclaimed Property Fund.

7 18. The Court finds that no just reason exists for delay in entering this
8 Judgment and Final Approval Order. Accordingly, the Clerk is hereby directed to
9 enter this Order as a Final Judgment. This Order shall constitute a final judgment
10 with respect to the claims of the Named Plaintiffs, the Final Settlement Class, and
11 the Final Settlement Collective Class for purposes of Rule 58 of the Federal Rules
12 of Civil Procedure.

13 19. The Court hereby orders that, without affecting the finality of the Final
14 Judgment, it reserves continuing jurisdiction over the matter and the Parties for the
15 purposes of implementing, enforcing, and/or administering the Settlement or
16 enforcing the terms of the Judgment.

17 20. Immediately upon entry of this Judgment and Order, the Consolidated
18 Fourth Amended Complaint in this action shall be dismissed in its entirety with
19 prejudice.

IT IS SO ORDERED.

21 DATED: 8/3/14 22 23

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Hon. George H. King United States District Judge

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