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UNITED STATES DISTRICT COURT
CENTRAL DISTRICT OF CALIFORNIA

ROSA M. HIDALGO,
Plaintiff,
v.
CAROLYN W. COLVIN, Acting
Commissioner of Social Security,
Defendant.

Case No. CV 14-6421 JC
MEMORANDUM OPINION AND
ORDER OF REMAND

I. SUMMARY

On August 20, 2014, Rosa M. Hidalgo (“plaintiff”) filed a Complaint seeking review of the Commissioner of Social Security’s denial of plaintiff’s applications for benefits. The parties have consented to proceed before the undersigned United States Magistrate Judge.

This matter is before the Court on the parties’ cross motions for summary judgment, respectively (“Plaintiff’s Motion”) and (“Defendant’s Motion”). The Court has taken both motions under submission without oral argument. See Fed. R. Civ. P. 78; L.R. 7-15; August 20, 2014 Case Management Order ¶ 5.

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1 Based on the record as a whole and the applicable law, the decision of the
2 Commissioner is REVERSED AND REMANDED for further proceedings
3 consistent with this Memorandum Opinion and Order of Remand.

4 **II. BACKGROUND AND SUMMARY OF ADMINISTRATIVE**
5 **DECISION**

6 On February 17, 2011, plaintiff filed applications for Supplemental Security
7 Income and Disability Insurance Benefits. (Administrative Record (“AR”) 143,
8 145). Plaintiff asserted that she became disabled on November 30, 2010, due to
9 surgery to remove a large tumor from her left leg, diabetes, and high blood
10 pressure. (AR 163). The Administrative Law Judge (“ALJ”) examined the
11 medical record and heard testimony from plaintiff (who appeared with a non-
12 attorney representative and was assisted by a Spanish-language interpreter) and a
13 vocational expert on February 26, 2013. (AR 35-67).

14 On March 15, 2013, the ALJ determined that plaintiff was not disabled
15 through the date of the decision. (AR 22-30). Specifically, the ALJ found:
16 (1) plaintiff suffered from the following severe impairments: obesity, history of
17 left lower extremity necrotizing fasciitis (status post debridement), and lumbar
18 spine and cervical spine degenerative disc disease (AR 24); (2) plaintiff’s
19 impairments, considered singly or in combination, did not meet or medically equal
20 a listed impairment (AR 25); (3) plaintiff retained the residual functional capacity
21 to perform light work (20 C.F.R. §§ 404.1567(b), 416.967(b)) with additional
22 limitations¹ (AR 25); (4) plaintiff could perform some past relevant work (AR 29-
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24
25 ¹The ALJ determined that plaintiff: (i) could exert up to 20 pounds of force occasionally
26 and/or up to 10 pounds of force frequently and/or a negligible amount of force constantly to
27 move objects; (ii) could stand and walk up to 6 hours and sit up to 6 hours in an 8-hour workday
28 with normal breaks; (iii) had limited ability to communicate in English; (iv) could not climb
ladders, ropes or scaffolds, or walk on uneven ground, or crawl; (v) could only occasionally
climb ramps or stairs, balance, stoop, kneel, or crouch; (vi) could not be exposed to extreme cold
or unprotected heights; and (vii) could have no more than occasional or moderate exposure to
hazardous machinery, or other high risks, or hazardous or unsafe conditions. (AR 25).

1 30); and (5) plaintiff’s allegations regarding the intensity, persistence, and limiting
2 effects of her subjective symptoms were not credible “to the extent [plaintiff]
3 alleges that [her] symptoms justify additional functional limitations beyond [the
4 ALJ’s residual functional capacity assessment]” (AR 27).

5 The Appeals Council denied plaintiff’s application for review. (AR 1).

6 **III. APPLICABLE LEGAL STANDARDS**

7 **A. Sequential Evaluation Process**

8 To qualify for disability benefits, a claimant must show that the claimant is
9 unable “to engage in any substantial gainful activity by reason of any medically
10 determinable physical or mental impairment which can be expected to result in
11 death or which has lasted or can be expected to last for a continuous period of not
12 less than 12 months.” Molina v. Astrue, 674 F.3d 1104, 1110 (9th Cir. 2012)
13 (quoting 42 U.S.C. § 423(d)(1)(A)) (internal quotation marks omitted). The
14 impairment must render the claimant incapable of performing the work the
15 claimant previously performed and incapable of performing any other substantial
16 gainful employment that exists in the national economy. Tackett v. Apfel, 180
17 F.3d 1094, 1098 (9th Cir. 1999) (citing 42 U.S.C. § 423(d)(2)(A)).

18 In assessing whether a claimant is disabled, an ALJ is to follow a five-step
19 sequential evaluation process:

- 20 (1) Is the claimant presently engaged in substantial gainful activity? If
21 so, the claimant is not disabled. If not, proceed to step two.
- 22 (2) Is the claimant’s alleged impairment sufficiently severe to limit
23 the claimant’s ability to work? If not, the claimant is not
24 disabled. If so, proceed to step three.
- 25 (3) Does the claimant’s impairment, or combination of
26 impairments, meet or equal an impairment listed in 20 C.F.R.
27 Part 404, Subpart P, Appendix 1? If so, the claimant is
28 disabled. If not, proceed to step four.

1 (4) Does the claimant possess the residual functional capacity to
2 perform claimant's past relevant work? If so, the claimant is
3 not disabled. If not, proceed to step five.

4 (5) Does the claimant's residual functional capacity, when
5 considered with the claimant's age, education, and work
6 experience, allow the claimant to adjust to other work that
7 exists in significant numbers in the national economy? If so,
8 the claimant is not disabled. If not, the claimant is disabled.

9 Stout v. Commissioner, Social Security Administration, 454 F.3d 1050, 1052 (9th
10 Cir. 2006) (citing 20 C.F.R. §§ 404.1520, 416.920); see also Molina, 674 F.3d at
11 1110 (same).

12 The claimant has the burden of proof at steps one through four, and the
13 Commissioner has the burden of proof at step five. Bustamante v. Massanari, 262
14 F.3d 949, 953-54 (9th Cir. 2001) (citing Tackett, 180 F.3d at 1098); see also Burch
15 v. Barnhart, 400 F.3d 676, 679 (9th Cir. 2005) (claimant carries initial burden of
16 proving disability).

17 **B. Standard of Review**

18 Pursuant to 42 U.S.C. section 405(g), a court may set aside a denial of
19 benefits only if it is not supported by substantial evidence or if it is based on legal
20 error. Robbins v. Social Security Administration, 466 F.3d 880, 882 (9th Cir.
21 2006) (citing Flaten v. Secretary of Health & Human Services, 44 F.3d 1453, 1457
22 (9th Cir. 1995)). Courts review only the reasons provided in the ALJ's decision,
23 and the decision may not be affirmed on a ground upon which the ALJ did not
24 rely. See Orn v. Astrue, 495 F.3d 625, 630 (9th Cir. 2007) (citing Connett v.
25 Barnhart, 340 F.3d 871, 874 (9th Cir. 2003)).

26 Substantial evidence is "such relevant evidence as a reasonable mind might
27 accept as adequate to support a conclusion." Richardson v. Perales, 402 U.S. 389,
28 401 (1971) (citations and quotations omitted). It is more than a mere scintilla but

1 less than a preponderance. Robbins, 466 F.3d at 882 (citing Young v. Sullivan,
2 911 F.2d 180, 183 (9th Cir. 1990)). To determine whether substantial evidence
3 supports a finding, a court must ““consider the record as a whole, weighing both
4 evidence that supports and evidence that detracts from the [Commissioner’s]
5 conclusion.”” Aukland v. Massanari, 257 F.3d 1033, 1035 (9th Cir. 2001)
6 (quoting Penny v. Sullivan, 2 F.3d 953, 956 (9th Cir. 1993)). A denial of benefits
7 must be upheld if the evidence could reasonably support either affirming or
8 reversing the ALJ’s decision. Robbins, 466 F.3d at 882 (a court may not
9 substitute its judgment for that of the ALJ) (citing Flaten, 44 F.3d at 1457); see
10 also Molina, 674 F.3d at 1111 (“Even when the evidence is susceptible to more
11 than one rational interpretation, we must uphold the ALJ’s findings if they are
12 supported by inferences reasonably drawn from the record.”) (citation omitted).

13 Even when an ALJ’s decision contains error, it must still be affirmed if the
14 error was harmless. Treichler v. Commissioner of Social Security Administration,
15 775 F.3d 1090, 1099 (9th Cir. 2014). An ALJ’s error is harmless if (1) it was
16 inconsequential to the ultimate nondisability determination; or (2) the ALJ’s path
17 may reasonably be discerned, even if the ALJ explains the ALJ’s decision with
18 less than ideal clarity. Id. (citation, quotation marks, and internal quotations
19 marks omitted).

20 A reviewing court may not make independent findings based on the
21 evidence before the ALJ to conclude that the ALJ’s error was harmless.
22 Brown-Hunter v. Colvin, ___ F.3d ___, 2015 WL 6684997, *4 (9th Cir. Nov. 3,
23 2015) (citations omitted); see also Marsh v. Colvin, 792 F.3d 1170, 1172 (9th Cir.
24 2015) (district court may not use harmless error analysis to affirm decision on
25 ground not invoked by ALJ) (citation omitted). Where a reviewing court cannot
26 confidently conclude that an error was harmless, a remand for additional
27 investigation or explanation is generally appropriate. See Marsh, 792 F.3d at 1173
28 (remanding for additional explanation where ALJ ignored treating doctor’s

1 opinion and court not could not confidently conclude ALJ’s error was harmless);
2 Treichler, 775 F.3d at 1099-1102 (where agency errs in reaching decision to deny
3 benefits and error is not harmless, remand for additional investigation or
4 explanation ordinarily appropriate).

5 **IV. DISCUSSION**

6 Plaintiff contends that the ALJ improperly evaluated the opinions of Dr.
7 Thomas J. Grogan, an examining orthopaedic surgeon who apparently examined
8 plaintiff at the request of her representative. (Plaintiff’s Motion at 8-16). The
9 Court finds that a remand is warranted because the ALJ erred in evaluating the
10 medical opinion evidence, and the Court cannot find that the ALJ’s error was
11 harmless.

12 **A. Pertinent Law**

13 In Social Security cases, courts give varying degrees of deference to
14 medical opinions depending on the type of physician who provided them, namely
15 “treating physicians,” “examining physicians,” and “nonexamining physicians.”
16 Garrison v. Colvin, 759 F.3d 995, 1012 (9th Cir. 2014) (citation and quotation
17 marks omitted). A treating physician’s opinion is generally given the most weight,
18 and may be “controlling” if it is “well-supported by medically acceptable clinical
19 and laboratory diagnostic techniques and is not inconsistent with the other
20 substantial evidence in [the claimant’s] case record[.]” 20 C.F.R.

21 §§ 404.1527(c)(2), 416.927(c)(2); Orn, 495 F.3d at 631 (citations and quotation
22 marks omitted). As a general rule, opinions from treating physicians are given the
23 greatest weight “[b]ecause treating physicians are employed to cure and thus have
24 a greater opportunity to know and observe the patient as an individual. . . .”

25 Smolen v. Chater, 80 F.3d 1273, 1285 (9th Cir. 1996) (citations omitted). An
26 examining, but non-treating physician’s opinion is entitled to less weight than a
27 treating physician’s, but more weight than a nonexamining physician’s opinion.
28 Garrison, 759 F.3d at 1012 (citation omitted).

1 A treating or examining physician’s opinion, however, is not necessarily
2 conclusive as to either a physical condition or the ultimate issue of disability.
3 Magallanes v. Bowen, 881 F.2d 747, 751 (9th Cir. 1989) (citation omitted). An
4 ALJ may reject the uncontroverted opinion of a treating physician or an examining
5 physician by providing “clear and convincing reasons that are supported by
6 substantial evidence.” Bayliss v. Barnhart, 427 F.3d 1211, 1216 (9th Cir. 2005)
7 (citation omitted). Where a treating or examining physician’s opinion is
8 contradicted by another doctor’s opinion, an ALJ may reject the
9 treating/examining opinion only “by providing specific and legitimate reasons that
10 are supported by substantial evidence.” Garrison, 759 F.3d at 1012 (citation and
11 footnote omitted).

12 **B. Analysis**

13 In the report of a December 18, 2012 physical examination of plaintiff, Dr.
14 Grogan, among other things, diagnosed plaintiff with chronic morbid obesity,
15 peripheral neuropathy secondary to diabetes mellitus, degenerative disc disease
16 (cervical and lumbar spine), history of atherosclerotic cardiovascular disease with
17 hypertension and hypercholesterolemia, and history of excision of mass (left
18 groin). (AR 311-12). In a Physical Capacities Evaluation form of the same date,
19 Dr. Grogan essentially opined that plaintiff’s impairments and related limitations
20 would prevent plaintiff from engaging in work at even the sedentary level of
21 exertion (“Dr. Grogan’s Opinions”). (AR 316). The ALJ gave “little weight” to
22 Dr. Grogan’s Opinions. (AR 27). Plaintiff contends that the ALJ’s reasons for
23 rejecting Dr. Grogan’s Opinions were inadequate. (Plaintiff’s Motion at 8-11).
24 The Court agrees.

25 First, the ALJ stated that “Dr. Grogan examined [plaintiff] only once and he
26 is not a treating physician.” (AR 27) (citing 20 C.F.R. §§ 404.1527(c),
27 416.927(c)). Since the ALJ’s decision appears to rely primarily on the opinions of
28 other state-agency examining and reviewing physicians, the mere fact that Dr.

1 Grogan also did not treat plaintiff is not a specific or legitimate reason for giving
2 less weight to Dr. Grogan’s opinions. Cf. Lester v. Chater, 81 F.3d 821, 832 (9th
3 Cir.), as amended (1996) (“An examining doctor’s findings are entitled to no less
4 weight when the examination is procured by the claimant than when it is obtained
5 by the Commissioner.”) (quoting Ratto v. Secretary, 839 F. Supp. 1415, 1426 (D.
6 Or. 1993)) (internal quotation marks omitted).

7 Second, the ALJ did not improperly reject Dr. Grogan’s conclusory opinion
8 that plaintiff was “partially totally disabled.” (AR 27) (citing Exhibit 13F at 7
9 [AR 317]). Non-medical opinions that a claimant is disabled or unable to work are
10 not binding on the Commissioner. See 20 C.F.R. §§ 404.1527(d)(1),
11 416.927(d)(1); Ukolov v. Barnhart, 420 F.3d 1002, 1004 (9th Cir. 2005)
12 (physician’s opinion “is not binding on an ALJ with respect to the existence of an
13 impairment or the ultimate determination of disability.”) (citation omitted);
14 Boardman v. Astrue, 286 Fed. Appx. 397, 399 (9th Cir. 2008) (“[The]
15 determination of a claimant’s ultimate disability is reserved to the Commissioner .
16 . . a physician’s opinion on the matter is not entitled to special significance.”).
17 Nonetheless, rejection of this individual finding is not a sufficient basis for
18 discrediting Dr. Grogan’s Opinions as a whole.

19 Third, the ALJ stated that Dr. Grogan’s Opinions are “contradicted both by
20 the internal medicine consultative examination [from Dr. Soheila Benrazavi, a
21 state-agency examining physician] and the State Agency medical consultant [Dr.
22 Stephen A. Whaley, a reviewing physician]” (AR 27) (see AR 275-81 [Dr.
23 Benrazavi’s August 16, 2011 report of Complete Internal Medicine Evaluation of
24 plaintiff]; AR 296-303 [Dr. Whaley’s September 16, 2011 Physical Residual
25 Functional Capacity Assessment of plaintiff]). Nonetheless, the fact that Dr.
26 Grogan’s Opinions were contradicted by other physicians, without more, is not a
27 specific or legitimate reason for rejecting such opinions. See Garrison, 759 F.3d at
28 1012-13 (ALJ may not reject medical opinion or assign it little weight by simply

1 “asserting without explanation that another medical opinion is more persuasive
2”); see also Ryan v. Commissioner of Social Security, 528 F.3d 1194, 1202
3 (9th Cir. 2008) (“The opinion of a nonexamining physician cannot by itself
4 constitute substantial evidence that justifies the rejection of the opinion of [] an
5 examining physician”) (citation omitted).

6 Fourth, the ALJ also stated “Dr. Grogan’s medical source statement is not
7 supported by the treatment records (including Dr. Grogan’s examination findings).
8 . . .” (AR 27). The ALJ summarized some (but not all) of Dr. Grogan’s findings
9 from the physician’s examination of plaintiff, and concluded that such findings
10 “[did] not support [Dr. Grogan’s] restrictive less-than-sedentary residual
11 functional capacity, including the limitation to less than six hours of standing and
12 walking.” (AR 29; see AR 316 [Dr. Grogan’s opinion that plaintiff could walk
13 only one hour and stand only two hours total in an eight-hour day]). The ALJ’s
14 conclusion that the same objective examination findings supported less restrictive
15 limitations than those expressed by Dr. Grogan himself is not a basis for rejecting
16 Dr. Grogan’s conflicting opinions. See Day v. Weinberger, 522 F.2d 1154, 1156
17 (9th Cir. 1975) (ALJ forbidden from making own medical assessment beyond that
18 demonstrated by the record); see also Gonzalez Perez v. Secretary of Health &
19 Human Services, 812 F.2d 747, 749 (1st Cir. 1987) (ALJ may not reject treating
20 physician’s opinions by substituting own lay interpretation of physician’s
21 underlying objective findings); Banks v. Barnhart, 434 F. Supp. 2d 800, 805 (C.D.
22 Cal. 2006) (“ALJ cannot arbitrarily substitute his own judgment for competent
23 medical opinion. . . .”) (citations and quotation marks omitted); Winters v.
24 Barnhart, 2003 WL 22384784, *6 (N.D. Cal. Oct. 15, 2003) (“The ALJ is not
25 allowed to use his own medical judgment in lieu of that of a medical expert.”)
26 (citations omitted). Dr. Grogan’s Opinions were supported by the physician’s own
27 examination of plaintiff (AR 311-12), and thus, without more, could serve as
28 substantial evidence of plaintiff’s impairments and related limitations. See, e.g.,

1 Tonapetyan v. Halter, 242 F.3d 1144, 1149 (9th Cir. 2001) (examining physician’s
2 opinion on its own constituted substantial evidence supporting ALJ’s findings
3 regarding claimant’s physical impairment and exertional limitations because the
4 opinion rested on examining physician’s independent examination of claimant)
5 (citations omitted).

6 Even assuming, for the sake of argument, that the ALJ provided specific and
7 legitimate reasons for rejecting Dr. Grogan’s Opinions to the extent they limited
8 plaintiff to “less than six hours of standing and walking,” the ALJ’s reasons are
9 not supported by substantial evidence. In assessing residual functional capacity,
10 the ALJ adopted the opinion of Dr. Whaley, the state-agency *reviewing* physician,
11 that plaintiff could “stand and/or walk (with normal breaks) for a total of [¶] about
12 6 hours in an 8-hour workday.” (AR 28, 297) (emphasis added). Dr. Whaley’s
13 opinion, however, relied almost exclusively on the clinical findings of the
14 consultative *examining* physician, Dr. Benrazavi, who opined that plaintiff could
15 only “stand and walk up to four hours” (compare AR 275-80 with AR 303)
16 (emphasis added) – an opinion the ALJ expressly rejected (AR 29). Cf., e.g., Orn,
17 495 F.3d at 632 (“When [a non-treating] physician relies on the same clinical
18 findings as a treating physician, but differs only in his or her conclusions, the
19 conclusions of the [non-treating] physician are not substantial evidence.”) (internal
20 quotation marks omitted); de Lopez v. Astrue, 643 F. Supp. 2d 1178, 1183-84
21 (C.D. Cal. 2009) (opinions of nonexamining physicians, on their own, do not
22 constitute substantial evidence to support ALJ’s determination at Step Four that
23 plaintiff retains the residual functional capacity to perform past relevant work)
24 (citations omitted).

25 Consequently, since the ALJ effectively rejected any competent opinion
26 from a treating or examining physician about the degree of limitation in plaintiff’s
27 walking and standing abilities, it appears the ALJ’s conclusion that plaintiff
28 retained the residual functional capacity to “stand and walk up to 6 hours” was

1 erroneously based solely on the ALJ’s own lay reassessment of the objective
2 medical evidence. Accordingly, the ALJ’s findings, at least regarding plaintiff’s
3 standing and walking abilities, lack substantial evidence. See Penny, 2 F.3d at 958
4 (“Without a personal medical evaluation it is almost impossible to assess the
5 residual functional capacity of any individual.”); Tagger v. Astrue, 536 F. Supp.
6 2d 1170, 1181 (C.D. Cal. 2008) (“ALJ’s determination or finding must be
7 supported by medical evidence, particularly the opinion of a treating or an
8 examining physician.”) (citations and internal quotation marks omitted); see also
9 Winters, 2003 WL 22384784, at *6 (“The ALJ is not allowed to use his own
10 medical judgment in lieu of that of a medical expert.”) (citations omitted); Banks,
11 434 F. Supp. 2d at 805 (“[ALJ] must not succumb to the temptation to play doctor
12 and make . . . independent medical findings.”) (quoting Rohan v. Chater, 98 F.3d
13 966, 970 (7th Cir. 1996)) (quotation marks omitted).

14 Fifth, the ALJ also stated that “Dr. Grogan concluded that [plaintiff’s]
15 disability would only last until June 1, 2013, so even if Dr. Grogan’s functional
16 assessment were credited, it is not expected to last the requisite 12 continuous
17 months in duration.” (AR 27) (citing Social Security Ruling 82-52; Exhibit 13F at
18 7 [AR 317]). However, in the document the ALJ appears to be referencing, Dr.
19 Grogan actually opined that plaintiff generally had a “disability” which was
20 expected to last “at least through [June 1, 2013].” (AR 317) (emphasis added).
21 Such a statement does not, as the ALJ suggests, necessarily establish that Dr.
22 Grogan expected plaintiff’s disability to last “only” until June 1, 2013. Although
23 apparently inadvertent, the mischaracterization of this evidence calls into question
24 the validity of the ALJ’s evaluation of Dr. Grogan’s Opinions as a whole.² See,

26 ²The ALJ’s decision appears to contain multiple other incorrect characterizations of the
27 record which might be addressed on remand. For one example, there appear to be some
28 inconsistencies between the limitations noted in the ALJ’s residual functional capacity
assessment and those included in the hypothetical question posed to the vocational expert (“VE”)

(continued...)

1 e.g., Regennitter v. Commissioner, 166 F.3d 1294, 1297 (9th Cir. 1999) (A
2 “specific finding” that consists of an “inaccurate characterization of the evidence”
3 cannot support an adverse credibility determination); Lesko v. Shalala, 1995 WL
4 263995 *7 (E.D.N.Y. Jan. 5, 1995) (“inaccurate characterizations of the Plaintiff’s
5 medical record” found to constitute reversible error); cf. Valenzuela v. Astrue, 247
6 Fed. Appx. 927, 929 (9th Cir. 2007) (finding ALJ’s credibility determination
7 unsupported by substantial evidence where it was based in part on “inaccurate
8 characterization” of claimant’s testimony).³ To the extent the ALJ found Dr.
9 Grogan’s opinion regarding the possible duration of plaintiff’s disability unclear,
10 the ALJ should have re-contacted Dr. Grogan to resolve any ambiguity. See
11 20 C.F.R. §§ 404.1512(e), 416.912(e); Bayliss, 427 F.3d at 1217 (although
12 claimant bears burden of proving disability, ALJ must recontact a doctor “if the
13 doctor’s report is ambiguous or insufficient for the ALJ to make a disability
14 determination”) (citations omitted).

15 Sixth, the ALJ also stated “consideration is given to the medicolegal context
16 of the examination (i.e., where a representative refers a person to a clinician for an
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18 ²(...continued)

19 at the hearing. (Compare AR 25 [residual functional capacity assessment in ALJ’s decision that,
20 among other things, plaintiff could “stand and walk up to 6 hours” and could not be “expos[ed]
21 to extreme cold”] with AR 62 [ALJ’s question posed to VE at hearing that hypothetical claimant,
22 in part, could only “stand or walk up to four hours in an eight-hour workday” and was precluded
23 from “exposure to extreme heat”]) (emphasis added).

24 ³Moreover, the document upon which the ALJ appears to rely, looks like a memorandum
25 intended to inform an “[e]mployer” that plaintiff would be “partially totally disabled” for a
26 minimum period of time. (AR 317). To the extent the memorandum pertains to a claim under
27 California state workers’ compensation law (as it appears to), and not a claim governed by
28 federal Social Security law, the ALJ erred by not recognizing and accounting for the differences
between the two statutory schemes when evaluating the medical opinion evidence. See, e.g.,
Desrosiers v. Secretary of Health & Human Services, 846 F.2d 573, 576 (9th Cir. 1988) (finding
ALJ’s interpretation of treating physician’s opinion erroneous where record clear that ALJ
affirmatively failed to consider distinction between categories of work under social security
disability scheme versus workers’ compensation scheme).

1 examination).” (AR 27). The mere fact that Dr. Grogan examined plaintiff at the
2 request of a Social Security representative, however, is not a legitimate basis for
3 rejecting Dr. Grogan’s Opinions. See Lester, 81 F.3d at 832 (“The purpose for
4 which medical reports are obtained does not provide a legitimate basis for
5 rejecting them.”); see also Batson v. Commissioner of Social Security
6 Administration, 359 F.3d 1190, 1196 n.5 (9th Cir. 2004) (same) (citing id.);
7 Lester, 81 F.3d at 832 (“The Secretary may not assume that doctors routinely lie in
8 order to help their patients collect disability benefits.”) (citation and quotation
9 marks omitted).

10 Finally, the Court cannot find that the ALJ’s errors were harmless. As noted
11 above, Dr. Grogan essentially opined that plaintiff would be unable to perform
12 even sedentary work. (AR 316). Since the vocational expert opined that all of
13 plaintiff’s past relevant work was, at least, at the sedentary exertion level (AR 60-
14 62), the Court cannot confidently conclude that the ALJ’s residual functional
15 capacity assessment for plaintiff, and in turn, his determination at Step Four that
16 plaintiff was capable of performing any past relevant work, would have been the
17 same absent such error.

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1 **V. CONCLUSION⁴**

2 For the foregoing reasons, the decision of the Commissioner of Social
3 Security is reversed in part, and this matter is remanded for further administrative
4 action consistent with this Opinion.⁵

5 LET JUDGMENT BE ENTERED ACCORDINGLY.

6 DATED: November 20, 2015

7 /s/

8 Honorable Jacqueline Chooljian
9 UNITED STATES MAGISTRATE JUDGE

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18 ⁴The Court need not, and has not adjudicated plaintiff's other challenges to the ALJ's
19 decision, except insofar as to determine that a reversal and remand for immediate payment of
20 benefits would not be appropriate. Nonetheless, on remand the ALJ may wish, among other
21 things, to (i) consider the other issues raised in Plaintiff's Motion, to the extent they have merit;
22 and (ii) seek additional expert testimony to the extent the ALJ now determines that the
23 hypothetical question posed to the vocational expert at the hearing did not accurately describe all
24 of plaintiff's limitations and restrictions that are supported by the record. See, e.g., Robbins, 466
25 F.3d at 886 (finding material error where ALJ posed hypothetical question to vocational expert
26 which ignored improperly-disregarded testimony which suggested greater limitations).

27 ⁵When a court reverses an administrative determination, "the proper course, except in rare
28 circumstances, is to remand to the agency for additional investigation or explanation."
29 Immigration & Naturalization Service v. Ventura, 537 U.S. 12, 16 (2002) (citations and
30 quotations omitted). Remand is proper where, as here, "additional proceedings can remedy
31 defects in the original administrative proceeding. . . ." Garrison, 759 F.3d at 1019 (citation and
32 internal quotation marks omitted); see also Connett v. Barnhart, 340 F.3d 871, 876 (9th Cir.
33 2003) (remand is an option where the ALJ stated invalid reasons for rejecting a claimant's excess
34 pain testimony).