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UNITED STATES DISTRICT COURT  
CENTRAL DISTRICT OF CALIFORNIA

SAEEDAH HARWOOD,	)	Case No. CV 14-8119-JPR
	)	
Plaintiff,	)	
	)	<b>MEMORANDUM OPINION AND ORDER</b>
v.	)	<b>AFFIRMING COMMISSIONER</b>
	)	
CAROLYN W. COLVIN, Acting	)	
Commissioner of Social	)	
Security,	)	
	)	
Defendant.	)	
_____	)	

**I. PROCEEDINGS**

Plaintiff seeks review of the Commissioner’s final decision denying her application for Social Security disability insurance benefits (“DIB”). The matter is before the Court on the parties’ Joint Stipulation, filed August 7, 2015, which the Court has taken under submission without oral argument. For the reasons stated below, the Commissioner’s decision is affirmed.

**II. BACKGROUND**

Plaintiff was born in 1960. (Administrative Record (“AR”) 144.) She completed college and worked as a physical therapist. (AR 44, 180.)

1 On October 13, 2010, Plaintiff submitted an application for  
2 DIB, alleging that she had been unable to work since May 11,  
3 2008, because of "[n]eck injury," "[p]roblems with right arm,"  
4 depression, and "[b]lack problems." (AR 144, 179.) After her  
5 application was denied initially and on reconsideration, she  
6 requested a hearing before an Administrative Law Judge. (AR  
7 107.) A hearing was held on March 20, 2013, at which Plaintiff,  
8 who was represented by counsel, appeared, as did a vocational  
9 expert. (AR 52-86.) In a written decision issued May 3, 2013,  
10 the ALJ found Plaintiff not disabled. (AR 34-45.) On August 13,  
11 2014, the Appeals Council denied Plaintiff's request for review.  
12 (AR 7.) This action followed.

### 13 **III. STANDARD OF REVIEW**

14 Under 42 U.S.C. § 405(g), a district court may review the  
15 Commissioner's decision to deny benefits. The ALJ's findings and  
16 decision should be upheld if they are free of legal error and  
17 supported by substantial evidence based on the record as a whole.  
18 See id.; Richardson v. Perales, 402 U.S. 389, 401 (1971); Parra  
19 v. Astrue, 481 F.3d 742, 746 (9th Cir. 2007). Substantial  
20 evidence means such evidence as a reasonable person might accept  
21 as adequate to support a conclusion. Richardson, 402 U.S. at  
22 401; Lingenfelter v. Astrue, 504 F.3d 1028, 1035 (9th Cir. 2007).  
23 It is more than a scintilla but less than a preponderance.  
24 Lingenfelter, 504 F.3d at 1035 (citing Robbins v. Soc. Sec.  
25 Admin., 466 F.3d 880, 882 (9th Cir. 2006)). To determine whether  
26 substantial evidence supports a finding, the reviewing court  
27 "must review the administrative record as a whole, weighing both  
28 the evidence that supports and the evidence that detracts from

1 the Commissioner's conclusion." Reddick v. Chater, 157 F.3d 715,  
2 720 (9th Cir. 1996). "If the evidence can reasonably support  
3 either affirming or reversing," the reviewing court "may not  
4 substitute its judgment" for the Commissioner's. Id. at 720-21.

#### 5 **IV. THE EVALUATION OF DISABILITY**

6 People are "disabled" for purposes of receiving Social  
7 Security benefits if they are unable to engage in any substantial  
8 gainful activity owing to a physical or mental impairment that is  
9 expected to result in death or has lasted, or is expected to  
10 last, for a continuous period of at least 12 months. 42 U.S.C.  
11 § 423(d)(1)(A); Drouin v. Sullivan, 966 F.2d 1255, 1257 (9th Cir.  
12 1992).

##### 13 A. The Five-Step Evaluation Process

14 The ALJ follows a five-step sequential evaluation process to  
15 assess whether a claimant is disabled. 20 C.F.R.  
16 § 404.1520(a)(4); Lester v. Chater, 81 F.3d 821, 828 n.5 (9th  
17 Cir. 1995) (as amended Apr. 9, 1996). In the first step, the  
18 Commissioner must determine whether the claimant is currently  
19 engaged in substantial gainful activity; if so, the claimant is  
20 not disabled and the claim must be denied. § 404.1520(a)(4)(i).

21 If the claimant is not engaged in substantial gainful  
22 activity, the second step requires the Commissioner to determine  
23 whether the claimant has a "severe" impairment or combination of  
24 impairments significantly limiting her ability to do basic work  
25 activities; if not, the claimant is not disabled and the claim  
26 must be denied. § 404.1520(a)(4)(ii).

27 If the claimant has a "severe" impairment or combination of  
28 impairments, the third step requires the Commissioner to

1 determine whether the impairment or combination of impairments  
2 meets or equals an impairment in the Listing of Impairments  
3 ("Listing") set forth at 20 C.F.R. part 404, subpart P, appendix  
4 1; if so, disability is conclusively presumed.  
5 § 404.1520(a)(4)(iii).

6 If the claimant's impairment or combination of impairments  
7 does not meet or equal an impairment in the Listing, the fourth  
8 step requires the Commissioner to determine whether the claimant  
9 has sufficient residual functional capacity ("RFC")<sup>1</sup> to perform  
10 her past work; if so, she is not disabled and the claim must be  
11 denied. § 404.1520(a)(4)(iv). The claimant has the burden of  
12 proving she is unable to perform past relevant work. Drouin, 966  
13 F.2d at 1257. If the claimant meets that burden, a prima facie  
14 case of disability is established. Id.

15 If that happens or if the claimant has no past relevant  
16 work, the Commissioner then bears the burden of establishing that  
17 the claimant is not disabled because she can perform other  
18 substantial gainful work available in the national economy.  
19 § 404.1520(a)(4)(v); Drouin, 966 F.2d at 1257. That  
20 determination comprises the fifth and final step in the  
21 sequential analysis. § 404.1520(a)(4)(v); Lester, 81 F.3d at 828  
22 n.5; Drouin, 966 F.2d at 1257.

23 B. The ALJ's Application of the Five-Step Process

24 At step one, the ALJ found that Plaintiff had not engaged in  
25 substantial gainful activity from May 11, 2008, the alleged onset  
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27 <sup>1</sup> RFC is what a claimant can do despite existing exertional  
28 and nonexertional limitations. § 404.1545; see Cooper v.  
Sullivan, 880 F.2d 1152, 1155 n.5 (9th Cir. 1989).

1 date, to September 30, 2012, Plaintiff's date last insured. (AR  
2 36.) At step two, he concluded that Plaintiff had the severe  
3 impairment of degenerative disc disease of the cervical spine.  
4 (Id.) He found that Plaintiff's depression, whether considered  
5 alone or in combination with her prior alcohol abuse, was not  
6 severe (AR 36-37), a finding Plaintiff does not challenge here.  
7 At step three, the ALJ determined that Plaintiff's impairments  
8 did not meet or equal a listing. (AR 37.) At step four, he  
9 found that Plaintiff had the RFC to perform light work except  
10 that she could do "no more than occasional bilateral reaching"  
11 and "no more than occasional handling and fingering with the left  
12 upper extremity." (AR 37-38.) Based on the VE's testimony, the  
13 ALJ concluded that Plaintiff could not perform her past relevant  
14 work as a physical therapist. (AR 44.) At step five, the ALJ  
15 found that Plaintiff could perform jobs existing in significant  
16 numbers in the national economy. (Id.) Accordingly, he found  
17 her not disabled. (AR 45.)

## 18 **V. DISCUSSION**

### 19 The ALJ Properly Assessed the Treating Physicians' Opinions

20 Plaintiff contends the ALJ erred in assessing the opinions  
21 of treating physician Daniel Capen and treating psychiatrist  
22 Kwang Park. (J. Stip. at 4.) For the reasons discussed below,  
23 remand is not warranted.

#### 24 A. Applicable law

25 Three types of physicians may offer opinions in Social  
26 Security cases: (1) those who directly treated the plaintiff, (2)  
27 those who examined but did not treat the plaintiff, and (3) those  
28 who did neither. Lester, 81 F.3d at 830. A treating physician's

1 opinion is generally entitled to more weight than an examining  
2 physician's, and an examining physician's opinion is generally  
3 entitled to more weight than a nonexamining physician's. Id.

4 This is true because treating physicians are employed to  
5 cure and have a greater opportunity to know and observe the  
6 claimant. Smolen v. Chater, 80 F.3d 1273, 1285 (9th Cir. 1996).  
7 If a treating physician's opinion is well supported by medically  
8 acceptable clinical and laboratory diagnostic techniques and is  
9 not inconsistent with the other substantial evidence in the  
10 record, it should be given controlling weight. § 404.1527(c)(2).  
11 If a treating physician's opinion is not given controlling  
12 weight, its weight is determined by length of the treatment  
13 relationship, frequency of examination, nature and extent of the  
14 treatment relationship, amount of evidence supporting the  
15 opinion, consistency with the record as a whole, the doctor's  
16 area of specialization, and other factors. § 404.1527(c)(2)-(6).

17 When a treating or examining physician's opinion is not  
18 contradicted by other evidence in the record, it may be rejected  
19 only for "clear and convincing" reasons. See Carmickle v.  
20 Comm'r, Soc. Sec. Admin., 533 F.3d 1155, 1164 (9th Cir. 2008)  
21 (citing Lester, 81 F.3d at 830-31). When it is contradicted, the  
22 ALJ must provide only "specific and legitimate reasons" for  
23 discounting it. Id. (citing Lester, 81 F.3d at 830-31).  
24 Furthermore, "[t]he ALJ need not accept the opinion of any  
25 physician, including a treating physician, if that opinion is  
26 brief, conclusory, and inadequately supported by clinical  
27 findings." Thomas v. Barnhart, 278 F.3d 947, 957 (9th Cir.  
28 2002); accord Batson v. Comm'r of Soc. Sec. Admin., 359 F.3d

1 1190, 1195 (9th Cir. 2004).

2 B. Relevant background

3 Dr. Capen, an orthopedic surgeon, treated Plaintiff from  
4 June 2005 to March 2008. (See AR 425-99.) On April 21, 2011, he  
5 completed a Medical Source Statement form and Musculoskeletal  
6 form. (AR 339-43.) In the Medical Source Statement, Dr. Capen  
7 opined that Plaintiff could stand or walk at least two hours and  
8 sit six hours in an eight-hour workday. (AR 339.) He marked  
9 options indicating that Plaintiff could lift only less than 10  
10 pounds, whether frequently or occasionally, and in the  
11 Occasionally section, he wrote that the maximum number of pounds  
12 she could lift was "5 lbs." (Id.) He opined that Plaintiff  
13 could never climb, crouch, or crawl but could balance and  
14 occasionally stoop or kneel. (AR 340.) Plaintiff could  
15 frequently reach, handle, finger, and feel with both her right  
16 and left upper extremities. (Id.) Regarding Plaintiff's  
17 prognosis, Dr. Capen wrote, "No change expected." (Id.)  
18 Although the form provided space after each question for citing  
19 supporting medical findings, Dr. Capen noted only "disc injury  
20 cerv-s" and "spinal discopathy." (AR 339-40.) Another cited  
21 medical finding referred to Plaintiff's "back" but was otherwise  
22 illegible. (AR 339.)

23 In the Musculoskeletal form, Dr. Capen indicated a diagnosis  
24 of spinal discopathy. (AR 341.) He noted tenderness in  
25 Plaintiff's joints but did not specify which ones. (Id.) In a  
26 separate question regarding Plaintiff's paravertebral muscles,  
27 however, he noted that Plaintiff had tenderness and spasms.  
28 (Id.) He opined that Plaintiff did not have any "disorganization

1 of motor function." (AR 342.) In response to a question asking  
2 whether Plaintiff's upper-extremity limitations affected her  
3 ability to lift or carry with a "free hand," Dr. Capen wrote,  
4 "N/A." (Id.) He noted that Plaintiff had intact reflexes but  
5 decreased sensation, and she had positive straight-leg raises in  
6 both sitting and supine positions. (AR 341.) Although he noted  
7 that Plaintiff had "weakness in the lower extremities," he did  
8 not rate her strength on a scale of five as requested on the  
9 form. (Id.) In response to three questions asking him to  
10 describe Plaintiff's response to treatment, her prognosis, and  
11 the anticipated duration of her symptoms, Dr. Capen wrote, "[n]o  
12 change expected." (AR 342-43.)

13 The ALJ gave "no weight" to Dr. Capen's opinion in part  
14 because it was "vague and unsupported." (AR 40.) In particular,  
15 he noted Dr. Capen's "denials of symptoms" and "the lack of  
16 specificity with alleged findings." (Id.) He observed that Dr.  
17 Capen's "supporting medical findings" consisted largely of "the  
18 subjective claim of back pain." (Id.) He also noted that Dr.  
19 Capen's statement on the Musculoskeletal form that manipulative  
20 limitations were "not available" was a "direct contradiction" to  
21 his statement on the Medical Source Statement form that Plaintiff  
22 could frequently, but not constantly, do manipulative activity.  
23 (Id.)

24 Plaintiff received mental-health treatment from the Los  
25 Angeles County Department of Mental Health at various clinics  
26 from December 2011 to May 2013. (See generally AR 390-424, 501-  
27 38, 541-68.) Dr. Park was Plaintiff's treating psychiatrist at  
28 Hollywood Mental Health Center from June 2012 to May 2013. (AR



1 401; see generally AR 390-424, 559-68.)

2 On October 24, 2012, Dr. Park completed a Psychiatric Review  
3 Technique form. (AR 375-88.) He opined that Plaintiff's  
4 depression with marked functional limitations met Listing 12.04.<sup>2</sup>  
5 (AR 375.) In support of his opinion, Dr. Park checked boxes  
6 indicating that Plaintiff had a depressive syndrome characterized  
7 by "[a]nhedonia or pervasive loss of interest in almost all  
8 activities," "[a]ppetite disturbance with change in weight,"  
9 "[s]leep disturbances," "[p]sychomotor agitation or retardation,"  
10 "[d]ecreased energy," "[f]eelings of guilt or worthlessness," and  
11 "[d]ifficulty concentrating or thinking." (AR 378.) As to the  
12 Listing's Paragraph B criteria, Dr. Park opined that Plaintiff  
13 was markedly limited in performing activities of daily living,  
14 maintaining social functioning, and maintaining concentration,  
15 persistence, or pace. (AR 385); see 20 C.F.R. pt. 404, subpt. P,  
16 app. 1 § 12.00 ("The criteria in paragraphs B and C describe  
17 impairment-related functional limitations that are incompatible  
18 with the ability to do any gainful activity."). He also  
19 indicated that Plaintiff had suffered two episodes of  
20 decompensation, each of extended duration. (AR 385 (checking box  
21 indicating "One or Two" episodes and circling "Two").) As to the  
22

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23 <sup>2</sup> For a claimant's depression to meet Listing 12.04, she  
24 must establish both "[m]edically documented persistence, either  
25 continuous or intermittent," of "[d]epressive syndrome,"  
26 characterized by at least four of a specified list of symptoms  
27 and resulting limitations that meet at least two of the criteria  
28 in paragraph B. 20 C.F.R. pt. 404, subpt. P, app. 1 § 12.04.  
Alternatively, the claimant can meet Listing 12.04 under  
paragraph C by establishing a "[m]edically documented history of  
a chronic affective disorder of at least 2 years' duration,"  
characterized by one of a specified list of symptoms. Id.

1 Listing's Paragraph C criteria, Dr. Park checked a box indicating  
2 that Plaintiff had a "[m]edically documented history" of a mental  
3 disorder "of at least 2 years' duration that has caused more than  
4 a minimal limitation of ability to do any basic work activity,"  
5 along with "[r]epeated episodes of decompensation, each of  
6 extended duration." (AR 386.) Dr. Park did not write any  
7 comments in the Consultant's Notes section of the form. (AR  
8 387.)

9 The ALJ gave "no weight" to Dr. Park's "unsupported opinion  
10 of extreme limitations." (AR 43.) He noted that Dr. Park's  
11 opinion conflicted "with his own progress notes of [Plaintiff's]  
12 stability and [her] statements about her high functioning level."  
13 (Id.) In particular, Dr. Park's statement that Plaintiff had  
14 experienced one or two episodes of decompensation was "not found  
15 in the record" and "actually contradict[ed] [Plaintiff's] own  
16 denial of ever being hospitalized for psychiatric reasons."  
17 (Id.) Moreover, the ALJ found, Dr. Park "neglected to provide  
18 any clinical findings other than to checkmark symptoms on the  
19 preprinted form." (Id.)

20 C. Analysis

21 1. *Dr. Capen*

22 The ALJ gave "no weight" to Dr. Capen's opinion that  
23 Plaintiff could lift a maximum of five pounds and lift or carry  
24 less than 10 pounds occasionally or frequently. (AR 40.) This  
25 opinion was contradicted by Dr. H. Harlan Bleecker, the  
26 consultative orthopedic physician, who assessed that Plaintiff  
27 could lift 10 pounds frequently and 20 pounds occasionally. (AR  
28 358.) Thus, the ALJ was required to give only specific and

1 legitimate reasons supported by substantial evidence for  
2 discounting Dr. Capen's opinion, see Carmickle, 533 F.3d at 1164,  
3 which he did.<sup>3</sup>

4 The ALJ rejected Dr. Capen's opinion in part because it was  
5 "vague." (AR 40.) Specifically, he noted Dr. Capen's "denials  
6 of symptoms" and "the lack of specificity with alleged  
7 findings."<sup>4</sup> (Id.) For example, Dr. Capen noted tenderness in  
8 Plaintiff's joints but did not specify which joints had  
9 tenderness. (AR 341.) And although he stated that Plaintiff had  
10 weakness in her lower extremities, he did not rate her muscle  
11 strength on a scale of five as requested on the form.<sup>5</sup> (Id.) He

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12  
13 <sup>3</sup> Plaintiff claims that the opinions of Drs. Bleecker and  
14 Richard Masserman, to which the ALJ gave "great weight" (AR 44),  
15 do not constitute substantial evidence because they were not  
16 based on "independent clinical findings." (J. Stip. at 13-14.)  
17 But they were. (See, e.g., AR 265-66 (Dr. Masserman recounting  
18 his clinical findings), 356-57 (Dr. Bleecker recounting his  
19 clinical findings).)

20 <sup>4</sup> Plaintiff claims, citing Regennitter v. Commissioner of  
21 the Social Security Administration, 166 F.3d 1294, 1299 (9th Cir.  
22 1999), that lack of specificity is "not a valid reason as a  
23 matter of law." (J. Stip. at 7.) But Regennitter does not  
24 actually say that, and in any event it concerned whether two  
25 doctors' opinions conflicted and concluded that they did not  
26 because one was simply more detailed than the other. 166 F.3d at  
27 1299. Here, Dr. Capen's opinion finding, for instance, that  
28 Plaintiff was capable of lifting a maximum of five pounds clearly  
conflicted with Dr. Bleecker's, who assessed that Plaintiff could  
lift up to 20 pounds.

29 <sup>5</sup> Plaintiff contends that the ALJ "failed to apply the  
30 correct legal standard" in "criticizing" Dr. Capen for not rating  
31 Plaintiff's muscle weaknesses. (J. Stip. at 5-6.) But the ALJ  
32 noted Dr. Capen's failure to complete the form, in part by not  
33 rating Plaintiff's muscle weaknesses, as part of his observation  
34 that the doctor's opinion was vague and lacked specificity. He  
35 did not err in doing so, as certainly muscle strength of, say, 0  
36 (continued...)

1 also wrote the same "[n]o change expected" answer three times to  
2 questions regarding Plaintiff's response to treatment, her  
3 prognosis, and the anticipated duration of her symptoms. (AR  
4 342-43.) Such vague findings were inadequate to support Dr.  
5 Capen's assessed limitations. See Molina v. Astrue, 674 F.3d  
6 1104, 1111 (9th Cir. 2012) (ALJ may "permissibly reject check-off  
7 reports that do not contain any explanation of the bases of their  
8 conclusions" (alterations and citation omitted)).

9 The ALJ also found that Dr. Capen's response on the  
10 Musculoskeletal form of "N/A" regarding manipulative limitations  
11 (AR 342) contradicted his statement on the Medical Source  
12 Statement form that Plaintiff could frequently do manipulative  
13 activity (AR 340). This internal inconsistency was a specific  
14 and legitimate reason for rejecting Dr. Capen's opinion. See  
15 Bayliss v. Barnhart, 427 F.3d 1211, 1216 (9th Cir. 2005) (finding  
16 that ALJ may cite internal inconsistencies in evaluating  
17 physician's opinion); Houghton v. Comm'r Soc. Sec. Admin., 493 F.  
18 App'x 843, 845 (9th Cir. 2012) (ALJ's finding that physicians'  
19 opinions were "internally inconsistent" constituted specific and  
20 legitimate basis for discounting them). Plaintiff claims that  
21 "the two opinions are not that different at all." (J. Stip. at  
22 7.) But an ALJ's selection of where on the activity scale a  
23 claimant can perform is often the difference between affirmance  
24 and remand in these sorts of cases, and there exists a clearly  
25 defined distinction between "frequently" and "constantly."

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26  
27 <sup>5</sup> (...continued)  
28 would be more significant than 4 or 5.

1           The ALJ also rejected Dr. Capen's opinion because it was  
2 "unsupported." (AR 40.) Indeed, although the Medical Source  
3 Statement form provided space after each functional assessment  
4 for citing supporting medical findings, Dr. Capen left most of  
5 them blank. In the few he did complete, as the ALJ noted (id.),  
6 he simply cited diagnoses of spinal discopathy and disc injury.  
7 (AR 339-40.) Moreover, all of Dr. Capen's progress notes are  
8 from before the alleged onset date. (See AR 425-99 (documenting  
9 treatment from June 2005 to Mar. 2008).) Although Dr. Capen  
10 indicated in the Medical Source Statement that he had last seen  
11 Plaintiff on March 8, 2011 (AR 340), the record does not contain  
12 any treatment notes from that date or even that year. Thus, his  
13 opinion was unsupported by objective medical findings, and the  
14 ALJ properly rejected it on that basis. See § 404.1527(c)(3)  
15 (more weight given "[t]he more a medical source presents relevant  
16 evidence" and "[t]he better an explanation" he provides to  
17 support opinion); Connett v. Barnhart, 340 F.3d 871, 875 (9th  
18 Cir. 2003) (treating physician's opinion properly rejected when  
19 treatment notes "provide[d] no basis for the functional  
20 restrictions he opined should be imposed on [claimant]"); Batson,  
21 359 F.3d at 1195 ("an ALJ may discredit treating physicians'  
22 opinions that are conclusory, brief, and unsupported by . . .  
23 objective medical findings").

24           The ALJ found Dr. Capen's opinion unsupported also because  
25 it was based in part on Plaintiff's "subjective claim of back  
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1 pain." (AR 40.)<sup>6</sup> Given Dr. Capen's vague, partly illegible  
2 responses on the form regarding supporting medical findings as  
3 well as the lack of any treatment notes after the alleged onset  
4 date, as discussed above, it appears that his functional  
5 assessments were indeed premised on Plaintiff's self-reports of  
6 symptoms. Even Dr. Capen's most recent notes, from early 2008,  
7 describe mostly Plaintiff's self-reported symptoms and medical  
8 history, with few clinical findings. (AR 494 (in Mar. 2008,  
9 noting only tenderness to palpation, spasm, and painful,  
10 restricted range of motion), 497-98 (on Jan. 22, 2008, noting  
11 only spasm, tightness, tenderness, and "cervical disc  
12 deterioration" in x-ray).) The ALJ found Plaintiff not credible  
13 (AR 42-43), and Plaintiff does not challenge that determination  
14 on appeal; indeed, the record supports it. That Dr. Capen's  
15 opinion was based on Plaintiff's discredited complaints was a  
16 specific and legitimate reason for rejecting it. See Tommasetti  
17 v. Astrue, 533 F.3d 1035, 1041 (9th Cir. 2008) (ALJ may reject  
18 treating physician's opinion if it is based "on a claimant's  
19 self-reports that have been properly discounted as incredible");  
20 Tonapetyan v. Halter, 242 F.3d 1144, 1149 (9th Cir. 2001)  
21 (because record supported ALJ's discounting of claimant's  
22 credibility, ALJ "was free to disregard [examining physician's]  
23 opinion, which was premised on [claimant's] subjective  
24 complaints").

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27 <sup>6</sup> Plaintiff is simply wrong in claiming that the ALJ did not  
28 give this as a reason for rejecting Dr. Capen's opinion. (See J.  
Stip. at 17.)

1           2.    *Dr. Park*

2           The ALJ gave "no weight" to Dr. Park's opinion that  
3 Plaintiff's depression with marked functional limitations  
4 satisfied Listing 12.04 and that Plaintiff had experienced two  
5 episodes of decompensation. (AR 43.) The opinion was  
6 contradicted by the nonexamining state-agency psychiatrist, who  
7 opined that Plaintiff's alleged depression was not severe, she  
8 had mild to no limitations on mental functioning, and there was  
9 insufficient evidence to assess whether she had experienced  
10 episodes of decompensation.<sup>7</sup> (AR 328, 336.) Thus, the ALJ was  
11 required to give only specific and legitimate reasons supported  
12 by substantial evidence for discounting Dr. Park's opinion, see  
13 Carmickle, 533 F.3d at 1164, which he did.

14           The ALJ rejected Dr. Park's opinion in part because its  
15 "extreme limitations" were "unsupported." (AR 43.) Indeed, as  
16 the ALJ noted, Dr. Park simply checked boxes on the preprinted  
17 form (see AR 375-86), and he did not write any notes or comments  
18 in the space provided for that purpose (AR 387). His treatment  
19 notes recorded very few clinical findings; instead, they mostly  
20 summarized Plaintiff's subjective complaints or response to  
21 medication. (See AR 567 (at initial assessment in June 2012,  
22 writing nothing under Mental Status and Assessment sections), 565  
23 (in June 2012, noting only medications prescribed), 564 (in July  
24 2012, noting Plaintiff's complaints regarding sleep, mood, and

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26           <sup>7</sup> The electronic signature of the state-agency physician  
27 includes a medical-specialty code of 37, indicating psychiatry.  
28 (AR 328); see Program Operations Manual System (POMS) DI  
24501.004, U.S. Soc. Sec. Admin. (May 5, 2015), [http://  
policy.ssa.gov/poms.nsf/lnx/0424501004](http://policy.ssa.gov/poms.nsf/lnx/0424501004).

1 anxiety), 563 (in Sept. 2012, same), 562 (in Jan. 2013, noting  
2 only refill of medication over telephone), 561 (in Mar. 2013,  
3 noting Plaintiff's subjective complaints under Mental Status  
4 section).) Moreover, they showed that with medication Plaintiff  
5 was less depressed and anxious. (See AR 561, 563-64.) Thus, the  
6 ALJ was entitled to reject Dr. Park's opinion as unsupported by  
7 his own treatment notes. See § 404.1527(c)(3); Connett, 340 F.3d  
8 at 875; Thomas, 278 F.3d at 957 ("The ALJ need not accept the  
9 opinion of any physician, including a treating physician, if that  
10 opinion is . . . inadequately supported by clinical findings.").

11 The ALJ also rejected Dr. Park's opinion because it was  
12 inconsistent with the record. (See AR 43.) As the ALJ noted,  
13 contrary to Dr. Park's statement that Plaintiff had experienced  
14 two episodes of decompensation, the record contained no evidence  
15 of such episodes. Dr. Park noted at the initial evaluation in  
16 June 2012 that Plaintiff had had "no hospitalization[s]" (AR  
17 422), and Plaintiff denied being hospitalized for psychiatric  
18 issues (AR 396, 402, 536). Although decompensation can manifest  
19 itself in ways other than hospitalization, as Plaintiff notes (J.  
20 Stip. at 19), she points to no such manifestations in the record.  
21 Moreover, Plaintiff has not challenged the ALJ's step-two finding  
22 that her depression was not severe. Indeed, Dr. Park's opinion  
23 that Plaintiff was markedly limited in mental functioning was  
24 inconsistent with Plaintiff's statements in her function report.  
25 For example, she stated that she could take care of herself (AR  
26 206), go grocery shopping once a week (AR 208), socialize with  
27 friends (AR 209), and follow written and spoken instructions  
28 "well" (AR 210). She also stated that her impairments did not



1 affect her memory, concentration, or ability to complete tasks  
2 and get along with others. (Id.) Dr. Park's functional  
3 assessments were also inconsistent with Plaintiff's statements to  
4 other mental-health practitioners. (See AR 557 (in July 2012,  
5 Plaintiff exhibiting euthymic mood and telling social worker she  
6 would "like to return to school and earn her MBA"), 556 (on Aug.  
7 15, 2012, Plaintiff reporting that "current meds help [with]  
8 depression"), 555 (on Aug. 23, 2012, Plaintiff exhibiting  
9 euthymic mood and telling social worker she would "like to return  
10 to school and get marketing degree if SSI goes through").)  
11 Moreover, the other practitioners, like Dr. Park, documented  
12 sparse medical findings and mostly summarized Plaintiff's  
13 complaints and feelings. (See AR 551-58.) Accordingly, the  
14 ALJ's determination that Dr. Park's opinion was inconsistent with  
15 the record was specific, legitimate, and supported by substantial  
16 evidence. See § 404.1527(c)(4) (more weight given "the more  
17 consistent an opinion is with the record as a whole"); Batson,  
18 359 F.3d at 1195 (ALJ may discredit treating physicians' opinions  
19 that are "unsupported by the record as a whole").

20 Plaintiff contends that the ALJ misrepresented evidence  
21 regarding "[her] statements about her high functioning level."  
22 (J. Stip. at 20 (citing AR 407).) In the progress note that  
23 Plaintiff claims was misrepresented, she reported difficulty  
24 getting along with others at the sober-living home "due to past  
25 level of high functioning job (physical therapist)"; she was  
26 struggling with "ego and sense of entitlement." (AR 407.) But  
27 the ALJ just as likely intended to refer to evidence in the same  
28 progress note of Plaintiff's own admission that she was having

1 difficulty obtaining disability benefits "due to her current  
2 functioning." (See AR 43 (citing AR 407).) The ALJ did not err  
3 in noting that inconsistency. Moreover, as discussed above,  
4 evidence contradicting Dr. Park's opinion could be found not only  
5 in Plaintiff's statements to mental-health practitioners but also  
6 her statements in her function report.

7 Plaintiff is not entitled to remand on this ground.

8 **VI. CONCLUSION**

9 Consistent with the foregoing, and under sentence four of 42  
10 U.S.C. § 405(g),<sup>8</sup> IT IS ORDERED that judgment be entered  
11 AFFIRMING the decision of the Commissioner, DENYING Plaintiff's  
12 request for remand, and DISMISSING this action with prejudice.  
13 IT IS FURTHER ORDERED that the Clerk serve copies of this Order  
14 and the Judgment on counsel for both parties.

15  
16 DATED: January 5, 2016

  
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JEAN ROSENBLUTH  
U.S. Magistrate Judge

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27 <sup>8</sup> That sentence provides: "The [district] court shall have  
28 power to enter, upon the pleadings and transcript of the record,  
a judgment affirming, modifying, or reversing the decision of the  
Commissioner of Social Security, with or without remanding the  
cause for a rehearing."