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UNITED STATES DISTRICT COURT
CENTRAL DISTRICT OF CALIFORNIA

ELFA PEREZ,

Plaintiff,

v.

CAROLYN W. COLVIN, Acting
Commissioner of Social Security,

Defendant.

Case No. CV 15-807 JC

MEMORANDUM OPINION

I. SUMMARY

On February 4, 2015, Elfa Perez (“plaintiff”) filed a Complaint seeking review of the Commissioner of Social Security’s denial of plaintiff’s applications for benefits. The parties have consented to proceed before the undersigned United States Magistrate Judge.

This matter is before the Court on the parties’ cross motions for summary judgment, respectively (“Plaintiff’s Motion”) and (“Defendant’s Motion”). The Court has taken both motions under submission without oral argument. See Fed. R. Civ. P. 78; L.R. 7-15; February 5, 2015 Case Management Order ¶ 5.

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1 Based on the record as a whole and the applicable law, the decision of the
2 Commissioner is AFFIRMED. The findings of the Administrative Law Judge
3 (“ALJ”) are supported by substantial evidence and are free from material error.

4 **II. BACKGROUND AND SUMMARY OF ADMINISTRATIVE**
5 **DECISION**

6 On July 8, 2011, plaintiff filed applications for Supplemental Security
7 Income and Disability Insurance Benefits. (Administrative Record (“AR”) 157,
8 164). Plaintiff asserted that she became disabled on December 31, 2010, due to
9 mental impairment, depression, sadness, anger, and headaches. (AR 192). The
10 ALJ examined the medical record and heard testimony from plaintiff (who was
11 represented by counsel and assisted by a Spanish language interpreter) on January
12 10, 2013. (AR 24, 39-57).

13 On January 30, 2013, the ALJ determined that plaintiff was not disabled
14 through the date of the decision. (AR 24-34). Specifically, the ALJ found:
15 (1) plaintiff suffered from the following severe impairments: major depressive
16 disorder and generalized anxiety disorder (AR 27); (2) plaintiff’s impairments,
17 considered singly or in combination, did not meet or medically equal a listed
18 impairment (AR 27-28); (3) plaintiff retained the residual functional capacity to
19 perform a full range of work at all exertional levels, but was limited to work
20 activity requiring simple, repetitive tasks (AR 28); (4) plaintiff could perform her
21 past relevant work as a maid (AR 33); (5) in the alternative, under the framework
22 of Section 204.00 in the Medical-Vocational Guidelines, there are jobs that exist
23 in significant numbers in the national economy that plaintiff could perform (AR
24 33-34); and (6) plaintiff’s allegations regarding the intensity, persistence, and
25 limiting effects of her subjective symptoms were not entirely credible (AR 28-31).

26 The Appeals Council denied plaintiff’s application for review. (AR 1).

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1 **III. APPLICABLE LEGAL STANDARDS**

2 **A. Sequential Evaluation Process**

3 To qualify for disability benefits, a claimant must show that the claimant is
4 unable “to engage in any substantial gainful activity by reason of any medically
5 determinable physical or mental impairment which can be expected to result in
6 death or which has lasted or can be expected to last for a continuous period of not
7 less than 12 months.” Molina v. Astrue, 674 F.3d 1104, 1110 (9th Cir. 2012)
8 (quoting 42 U.S.C. § 423(d)(1)(A)) (internal quotation marks omitted). The
9 impairment must render the claimant incapable of performing the work the
10 claimant previously performed and incapable of performing any other substantial
11 gainful employment that exists in the national economy. Tackett v. Apfel, 180
12 F.3d 1094, 1098 (9th Cir. 1999) (citing 42 U.S.C. § 423(d)(2)(A)).

13 In assessing whether a claimant is disabled, an ALJ is to follow a five-step
14 sequential evaluation process:

- 15 (1) Is the claimant presently engaged in substantial gainful activity? If
16 so, the claimant is not disabled. If not, proceed to step two.
- 17 (2) Is the claimant’s alleged impairment sufficiently severe to limit
18 the claimant’s ability to work? If not, the claimant is not
19 disabled. If so, proceed to step three.
- 20 (3) Does the claimant’s impairment, or combination of
21 impairments, meet or equal an impairment listed in 20 C.F.R.
22 Part 404, Subpart P, Appendix 1? If so, the claimant is
23 disabled. If not, proceed to step four.
- 24 (4) Does the claimant possess the residual functional capacity to
25 perform claimant’s past relevant work? If so, the claimant is
26 not disabled. If not, proceed to step five.
- 27 (5) Does the claimant’s residual functional capacity, when
28 considered with the claimant’s age, education, and work

1 experience, allow the claimant to adjust to other work that
2 exists in significant numbers in the national economy? If so,
3 the claimant is not disabled. If not, the claimant is disabled.

4 Stout v. Commissioner, Social Security Administration, 454 F.3d 1050, 1052 (9th
5 Cir. 2006) (citing 20 C.F.R. §§ 404.1520, 416.920); see also Molina, 674 F.3d at
6 1110 (same).

7 The claimant has the burden of proof at steps one through four, and the
8 Commissioner has the burden of proof at step five. Bustamante v. Massanari, 262
9 F.3d 949, 953-54 (9th Cir. 2001) (citing Tackett, 180 F.3d at 1098); see also Burch
10 v. Barnhart, 400 F.3d 676, 679 (9th Cir. 2005) (claimant carries initial burden of
11 proving disability).

12 **B. Standard of Review**

13 Pursuant to 42 U.S.C. section 405(g), a court may set aside a denial of
14 benefits only if it is not supported by substantial evidence or if it is based on legal
15 error. Robbins v. Social Security Administration, 466 F.3d 880, 882 (9th Cir.
16 2006) (citing Flaten v. Secretary of Health & Human Services, 44 F.3d 1453, 1457
17 (9th Cir. 1995)). Courts review only the reasons provided in the ALJ's decision,
18 and the decision may not be affirmed on a ground upon which the ALJ did not
19 rely. See Orn v. Astrue, 495 F.3d 625, 630 (9th Cir. 2007) (citing Connett v.
20 Barnhart, 340 F.3d 871, 874 (9th Cir. 2003)).

21 Substantial evidence is “such relevant evidence as a reasonable mind might
22 accept as adequate to support a conclusion.” Richardson v. Perales, 402 U.S. 389,
23 401 (1971) (citations and quotations omitted). It is more than a mere scintilla but
24 less than a preponderance. Robbins, 466 F.3d at 882 (citing Young v. Sullivan,
25 911 F.2d 180, 183 (9th Cir. 1990)). To determine whether substantial evidence
26 supports a finding, a court must ““consider the record as a whole, weighing both
27 evidence that supports and evidence that detracts from the [Commissioner’s]
28 conclusion.”” Aukland v. Massanari, 257 F.3d 1033, 1035 (9th Cir. 2001)

1 (quoting Penny v. Sullivan, 2 F.3d 953, 956 (9th Cir. 1993)). A denial of benefits
2 must be upheld if the evidence could reasonably support either affirming or
3 reversing the ALJ’s decision. Robbins, 466 F.3d at 882 (a court may not
4 substitute its judgment for that of the ALJ) (citing Flaten, 44 F.3d at 1457); see
5 also Molina, 674 F.3d at 1111 (“Even when the evidence is susceptible to more
6 than one rational interpretation, we must uphold the ALJ’s findings if they are
7 supported by inferences reasonably drawn from the record.”) (citation omitted).

8 Even when an ALJ’s decision contains error, it must still be affirmed if the
9 error was harmless. Treichler v. Commissioner of Social Security Administration,
10 775 F.3d 1090, 1099 (9th Cir. 2014). An ALJ’s error is harmless if (1) it was
11 inconsequential to the ultimate nondisability determination; or (2) the ALJ’s path
12 may reasonably be discerned, even if the ALJ explains the ALJ’s decision with
13 less than ideal clarity. Id. (citation, quotation marks, and internal quotations
14 marks omitted).

15 **IV. DISCUSSION**

16 **A. The ALJ Properly Evaluated Plaintiff’s Mental Impairments**

17 **1. Pertinent Law**

18 At step four of the sequential evaluation process, the Commissioner may
19 deny benefits if a claimant possesses the residual functional capacity to perform
20 her past relevant work. 20 C.F.R. §§ 404.1520(e), (f), 416.920(e), (f); see also
21 Pinto v. Massanari, 249 F.3d 840, 845 (2001) (“At step four, claimants have the
22 burden of showing that they can no longer perform their past relevant work.”)
23 (citations omitted). Residual functional capacity represents “the most [a claimant]
24 can still do despite [his or her] limitations.” 20 C.F.R. §§ 404.1545(a)(1),
25 416.945(a)(1). In determining a claimant’s residual functional capacity, an ALJ is
26 required to consider all relevant evidence in the record, including medical records,
27 lay evidence, and the effects of symptoms, including pain, that are reasonably
28 attributed to a medically determinable impairment. Robbins, 466 F.3d at 883

1 (citations omitted); see 20 C.F.R. §§ 404.1545(a)(1), 416.945(a)(1) (residual
2 functional capacity is assessed “based on all of the relevant evidence in [the]
3 record.”).

4 **2. Pertinent Facts**

5 On August 31, 2011, Dr. Laja Ibraheem, a state agency consultative
6 psychiatrist, performed a Complete Psychiatric Evaluation of plaintiff, which
7 included a mental status evaluation. (AR 286-89). Based on such evaluation, Dr.
8 Ibraheem opined, in pertinent part, as follows:

9 [Plaintiff] would be able to focus attention adequately and
10 follow one- and two-part instructions. She would have zero to
11 minimal difficulty being able to remember and complete simple tasks,
12 tolerate the stress inherent in the work environment, maintain regular
13 attendance, and work without supervision. [Plaintiff] would have
14 zero to minimal difficulty being able to interact with supervisors, co-
15 workers, and/or the general public.

16 (AR 289).

17 On October 7, 2011, Dr. Jay S. Flocks, a non-examining, state agency
18 psychiatrist reviewed plaintiff’s medical records and, as the ALJ noted, concluded
19 that plaintiff would be able to perform simple, repetitive tasks. (AR 32) (citing
20 Exs. 1A at 6 [AR 63], 2A at 6 [AR 73]). As the ALJ also noted, on January 12,
21 2012, Dr. Sidney Gold, a non-examining, state agency psychiatrist, affirmed the
22 finding that plaintiff would be able to perform simple, repetitive tasks. (AR 32)
23 (citing Exs. 5A at 5 [AR 84], 6A at 5 [AR 95]).

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1 **3. Analysis**

2 Although not entirely clear,¹ Plaintiff’s Motion appears to assert that the
3 ALJ’s residual functional capacity assessment failed properly to consider
4 limitations related to plaintiff’s mental impairments. (Plaintiff’s Motion at 3-5,
5 10-11). A reversal or remand on this basis is not warranted.

6 First, substantial evidence supports the ALJ’s assessment that plaintiff had
7 the mental residual functional capacity to perform simple, repetitive tasks. (AR
8 28). Dr. Ibraheem’s opinions were supported by the psychiatrist’s independent
9 examination of plaintiff (AR 288), and thus, even without more, constituted
10 substantial evidence supporting the ALJ’s residual functional capacity assessment.
11 See, e.g., Tonapetyan v. Halter, 242 F.3d 1144, 1149 (9th Cir. 2001) (consultative
12 examiner’s opinion on its own constituted substantial evidence, because it rested
13 on independent examination of claimant); Andrews v. Shalala, 53 F.3d 1035, 1041
14 (9th Cir. 1995). The opinions of the state agency reviewing psychiatrists also
15 constituted substantial evidence supporting the ALJ’s decision since they were
16 consistent with Dr. Ibraheem’s opinions and underlying independent mental status
17 examination. See Tonapetyan, 242 F.3d at 1149 (holding that opinions of
18 nontreating or nonexamining doctors may serve as substantial evidence when
19 consistent with independent clinical findings or other evidence in the record);
20 Andrews, 53 F.3d at 1041 (“reports of the nonexamining advisor need not be
21 discounted and may serve as substantial evidence when they are supported by
22 other evidence in the record and are consistent with it”).

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26 ¹For the first claim in Plaintiff’s Motion, the heading asserts that “The ALJ properly
27 considered the Claimant’s Mental Impairment,” and the text which follows generally reviews
28 legal principles related to evaluation of mental impairments, with little or no argument regarding
any error except a general assertion that “[i]t is improper for the ALJ to focus on the period
between the plaintiff’s various episodes.” (Plaintiff’s Motion at 3) (emphasis added).

1 To the extent plaintiff argues that the ALJ’s residual functional capacity
2 assessment erroneously failed to account for the mental restrictions the ALJ
3 identified at steps two/three (*i.e.*, mild restriction in activities of daily living, and
4 moderate difficulties in social functioning, concentration, persistence, and pace)
5 (Plaintiff’s Motion at 10-11) (citing AR 27), plaintiff’s argument lacks merit. As
6 the ALJ noted (AR 28), the criteria used at steps two and three to rate the severity
7 of mental impairments are not the same as those used to assess residual functional
8 capacity at steps four and five. See, e.g., Hoopai v. Astrue, 499 F.3d 1071, 1076
9 (9th Cir. 2007) (“The step two and step five determinations require different levels
10 of severity of limitations such that the satisfaction of the requirements at step two
11 does not automatically lead to the conclusion that the claimant has satisfied the
12 requirements at step five.”); Social Security Ruling (“SSR”) 96-8p,*4 (“The
13 adjudicator must remember that the limitations identified in the “paragraph B” and
14 “paragraph C” criteria are not an [residual functional capacity] assessment but are
15 used to rate the severity of mental impairment(s) at steps 2 and 3 of the sequential
16 evaluation process. The mental [residual functional capacity] assessment used at
17 steps 4 and 5 of the sequential evaluation process requires a more detailed
18 assessment by itemizing various functions contained in the broad categories found
19 in paragraphs B and C of the adult mental disorders listings in 12.00 of the Listing
20 of Impairments, and summarized on the [Psychiatric Review Technique Form].”).
21 To the extent plaintiff suggests that the medical evidence otherwise reflects more
22 severe mental limitations (Plaintiff’s Motion at 3-5), this Court will not second
23 guess the ALJ’s reasonable determination that it does not, even if such evidence
24 could give rise to inferences more favorable to plaintiff. See Robbins, 466 F.3d at
25 882 (citation omitted).

26 Accordingly, a remand or reversal on this basis is not warranted.

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1 **B. The ALJ Properly Evaluated Plaintiff’s Credibility**

2 **1. Pertinent Law**

3 When a claimant provides “objective medical evidence of an underlying
4 impairment which might reasonably produce the pain or other symptoms alleged,”
5 and there is no affirmative finding of malingering, the ALJ may discount the
6 credibility of the claimant’s subjective complaints only by “offering specific, clear
7 and convincing reasons for doing so.” Brown-Hunter v. Colvin, __ F.3d __, 2015
8 WL 6684997, *5 (9th Cir. Nov. 3, 2015) (citation and internal quotation marks
9 omitted). This requirement is very difficult to meet. See Garrison v. Colvin, 759
10 F.3d 995, 1015 (9th Cir. 2014) (citation omitted).

11 An ALJ’s credibility determination must be specific enough to permit a
12 reviewing court to conclude that the ALJ did not arbitrarily discredit the
13 claimant’s subjective complaints. Brown-Hunter, 2015 WL 6684997, at *5
14 (citation and quotation marks omitted). Consequently, an ALJ must specify the
15 testimony he or she finds not credible, provide “clear and convincing reasons”
16 why the particular testimony lacks credibility, and identify the specific evidence
17 which undermines the claimant’s subjective complaints. See, e.g., id. at *1, *5-*6
18 (legal error where ALJ failed to identify claimant’s testimony found not credible
19 and failed to “link that testimony to the particular parts of the record supporting
20 her non-credibility determination”) (citing Burrell v. Colvin, 775 F.3d 1133, 1139
21 (9th Cir. 2014)). “General findings are insufficient[.]” Id. at *5 (citations and
22 quotation marks omitted). Nonetheless, if the ALJ’s interpretation of the
23 claimant’s testimony is reasonable and is supported by substantial evidence, it is
24 not the court’s role to “second-guess” it. Rollins v. Massanari, 261 F.3d 853, 857
25 (9th Cir. 2001) (citation omitted); see also Greger v. Barnhart, 464 F.3d 968, 972
26 (9th Cir. 2006) (Evaluation of a claimant’s credibility and resolution of conflicts in
27 the testimony are solely functions of the Commissioner.) (citation omitted).

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1 An ALJ may consider “a range of factors” when assessing credibility,
2 including “(1) ordinary techniques of credibility evaluation, such as the claimant’s
3 reputation for lying, prior inconsistent statements concerning the symptoms, and
4 other testimony by the claimant that appears less than candid; (2) unexplained or
5 inadequately explained failure to seek treatment or to follow a prescribed course of
6 treatment; and (3) the claimant’s daily activities.” Ghanim v. Colvin, 763 F.3d
7 1154, 1163 (9th Cir. 2014) (citations and quotation marks omitted); SSR 96-7p.

8 **2. Analysis**

9 Plaintiff contends that a remand or reversal is warranted because the ALJ
10 improperly evaluated her credibility. (Plaintiff’s Motion at 5-10). The Court
11 disagrees.

12 First, the ALJ properly discounted the credibility of plaintiff’s statements
13 because the alleged severity of plaintiff’s subjective complaints was inconsistent
14 with plaintiff’s daily activities. See Burrell, 775 F.3d at 1137 (“Inconsistencies
15 between a claimant’s testimony and the claimant’s reported activities provide a
16 valid reason for an adverse credibility determination.”) (citation omitted). For
17 example, as the ALJ noted (AR 28), contrary to her allegations of disabling
18 symptoms, plaintiff reported that she was able extensively to care for her children
19 (*i.e.*, wake her children in the morning and help them get ready for school, take her
20 children to and from school, care for her youngest daughter during the day, feed
21 her children after school and at dinner time, help her children with their
22 homework, and walk with her children or do other exercise) (AR 234-36); she
23 would cook for herself and her children every day for two hours (using only
24 “fresh” ingredients) (AR 236); she would do laundry for three hours, and clean her
25 apartment for three hours (AR 235); she would go out every day, and was able to
26 walk, drive a car, and use public transportation (AR 237); she would shop for
27 groceries every week (AR 237); she was able to pay bills, handle a savings
28 account, and use a checkbook and/or money orders (AR 237); and she would

1 socialize with her family and others every week (although plaintiff said she “does
2 not like to go anywhere”) (AR 238-39).

3 While plaintiff correctly suggests (Plaintiff’s Motion at 7) that a claimant
4 “does not need to be ‘utterly incapacitated’ in order to be disabled,” Vertigan v.
5 Halter, 260 F.3d 1044, 1050 (9th Cir. 2001) (citation omitted), this does not mean
6 that an ALJ must find that a claimant’s daily activities demonstrate an ability to
7 engage in full-time work (*i.e.*, eight hours a day, five days a week) in order to
8 discount the credibility of conflicting subjective symptom testimony. See Molina,
9 674 F.3d at 1113 (“[An] ALJ may discredit a claimant’s testimony when the
10 claimant reports participation in everyday activities indicating capacities that are
11 transferable to a work setting . . . [e]ven where those activities suggest some
12 difficulty functioning. . . .”) (citations omitted); Reddick v. Chater, 157 F.3d 715,
13 722 (9th Cir. 1998) (ALJ may consider daily activities to extent plaintiff’s “level
14 of activity [is] inconsistent with [the] . . . claimed limitations”). Here, even though
15 plaintiff stated that she had difficulty functioning, the ALJ properly discounted the
16 credibility of plaintiff’s subjective symptom testimony to the extent plaintiff’s
17 daily activities were inconsistent with a “totally debilitating impairment.” Molina,
18 674 F.3d at 1113; see, e.g., Curry v. Sullivan, 925 F.2d 1127, 1130 (9th Cir. 1990)
19 (finding that the claimant’s ability to “take care of her personal needs, prepare
20 easy meals, do light housework and shop for some groceries . . . may be seen as
21 inconsistent with the presence of a condition which would preclude all work
22 activity”) (citing Fair v. Bowen, 885 F.2d 597, 604 (9th Cir. 1989)). While
23 plaintiff asserts “[t]he mere fact” that she was able to “carr[y] on certain daily
24 activities [] does not in any way detract from her credibility as to his [sic] overall
25 disability” (Plaintiff’s Motion at 7-8), the Court will not second-guess the ALJ’s
26 reasonable determination to the contrary, even if the evidence could give rise to
27 inferences more favorable to plaintiff. See Rollins, 261 F.3d at 857 (citation
28 omitted).

1 Second, the ALJ properly discounted plaintiff’s credibility due to
2 inconsistencies in plaintiff’s own statements and testimony. See Light v. Social
3 Security Administration, 119 F.3d 789, 792 (9th Cir.), as amended (1997) (in
4 weighing plaintiff’s credibility, ALJ may consider “inconsistencies either in
5 [plaintiff’s] testimony or between his testimony and his conduct”); see also Fair,
6 885 F.2d at 604 n.5 (ALJ can reject pain testimony based on contradictions in
7 plaintiff’s testimony). For example, as the ALJ noted (AR 31), although plaintiff
8 testified at the hearing that she could not work, in part, because she would “hear
9 voices” (AR 44), when examined by doctors plaintiff repeatedly denied having
10 auditory hallucinations (AR 286, 353, 357). In addition, contrary to plaintiff’s
11 testimony that she was unable to work because she was afraid, heard voices, and
12 was anxious (AR 44), plaintiff told her treating psychiatrist that she had previously
13 not worked due to “difficulty affording child care” (AR 338). As the ALJ also
14 noted (AR 31), plaintiff testified that she had been unable to complete classes in
15 English because her other work at the time “was too stressful” (AR 53-54), but
16 during an earlier psychological assessment plaintiff suggested that her inability to
17 “pursue her English skills” was actually due to difficulty with child care issues
18 (*i.e.*, plaintiff said she might pursue classes once her three-year-old child was in
19 preschool) (AR 352).

20 Third, the ALJ properly discounted plaintiff’s credibility based on
21 plaintiff’s failure to seek a level of treatment that was consistent with the alleged
22 severity of plaintiff’s subjective symptoms. See Molina, 674 F.3d at 1113 (when
23 assessing credibility ALJ may properly rely on claimant’s “unexplained or
24 inadequately explained failure to seek treatment or to follow a prescribed course of
25 treatment.”) (citations and internal quotation marks omitted). Here, as the ALJ
26 noted, despite plaintiff’s complaints of disabling psychological symptoms, there is
27 very little evidence of any noteworthy psychiatric treatment between
28 approximately May 2011 and January 2012. (AR 31, 337-39 [May 12, 2011 initial

1 psychiatric evaluation of plaintiff by Dr. Michelle Pietryga], 327, 346 [February
2 16, 2012 letter from Dr. Pietryga noting that plaintiff “began receiving psychiatric
3 services on 5/12/11,” was “currently” being treated with medication, and had a
4 “medication follow up visit on 1/12/12”], 381 [conclusory reference in September
5 24, 2011 treatment note to “appt. with psychiatrist this month”]).

6 Finally, the ALJ properly discredited plaintiff’s subjective complaints due,
7 in part, to the absence of supporting objective medical evidence. Burch, 400 F.3d
8 at 681 (“Although lack of medical evidence cannot form the sole basis for
9 discounting pain testimony, it is a factor that the ALJ can consider in his
10 credibility analysis.”). For example, as the ALJ noted, the record suggests that
11 plaintiff’s psychological symptoms had been sufficiently managed with medication.
12 (AR 31; see AR 381 [September 24, 2011 treatment note that plaintiff’s
13 “depression [was] well-controlled. . . .”]); see, e.g., Parra v. Astrue, 481 F.3d 742,
14 751 (9th Cir. 2007) (“[E]vidence of ‘conservative treatment’ is sufficient to
15 discount a claimant’s testimony regarding severity of an impairment.”), cert.
16 denied, 552 U.S. 1141 (2008) (citation omitted); Warre v. Commissioner of Social
17 Security Administration, 439 F.3d 1001, 1006 (9th Cir. 2006) (“Impairments that
18 can be controlled effectively with medication are not disabling for the purpose of
19 determining eligibility for SSI benefits.”) (citations omitted). As the ALJ also
20 noted, unremarkable objective findings from psychological evaluations of plaintiff
21 do not support more significant mental limitations than the ALJ assessed for
22 plaintiff. (AR 31; see AR 302-03, 334, 338, 353, 357, 365-66, 369, 374-75, 380-
23 81, 386). Although plaintiff appears to argue that the medical evidence actually
24 supports her subjective complaints (Plaintiff’s Motion at 8-10), the Court will not
25 second guess the ALJ’s reasonable interpretation to the contrary. See Rollins, 261
26 F.3d at 857 (citation omitted).

27 Accordingly, a remand or reversal on this basis is not warranted.

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1 **C. The ALJ Did Not Materially Err in Determining That Plaintiff**
2 **Could Perform Her Past Relevant Work**

3 **1. Pertinent Law**

4 At step four, claimants have the burden to show that they no longer have the
5 capacity to perform their past relevant work. Pinto, 249 F.3d at 844. The
6 Commissioner may deny benefits at step four if the claimant can still perform (1) a
7 specific prior job as “actually performed”; or (2) the same kind of work as it is
8 “generally performed” in the national economy. Pinto, 249 F.3d at 845 (citing
9 SSR 82-61); SSR 82-62 at *3. Although claimants have the burden of proof at
10 step four, an ALJ’s determination at step four still “must be developed and
11 explained fully” and, at a minimum, contain the following specific findings of
12 fact: (1) the claimant’s residual functional capacity; (2) the physical and mental
13 demands of the past relevant job/occupation; and (3) that the claimant’s residual
14 functional capacity would permit a return to his or her past job or occupation.
15 Pinto, 249 F.3d at 844-45; SSR 82-62 at *3-*4.

16 At step five, the Commissioner has the burden to show that other work
17 exists in significant numbers in the national economy that the claimant can do,
18 taking into account the claimant’s residual functional capacity, age, education, and
19 work experience. 42 U.S.C. § 423(d)(2)(A); 20 C.F.R. §§ 404.1520(a)(4)(v) &
20 (g), 404.1560(c); 20 C.F.R. §§ 416.920(a)(4)(v) & (g), 416.960(c); see Zavalin v.
21 Colvin, 778 F.3d 842, 845 (9th Cir. 2015) (describing “legal framework for Step
22 Five”) (citations omitted). The Commissioner may satisfy this burden, depending
23 on the circumstances, either by (1) referring to the Medical-Vocational Guidelines
24 appearing in 20 C.F.R. Part 404, Subpart P, Appendix 2 (commonly known as “the
25 Grids”); or (2) eliciting testimony from a vocational expert. See Osenbrock v.
26 Apfel, 240 F.3d 1157, 1162 (9th Cir. 2001) (citing Tackett, 180 F.3d at 1100-01).

27 When a claimant suffers only exertional (strength-related) limitations, the
28 ALJ must consult the Grids at step five. Lounsbury v. Barnhart, 468 F.3d 1111,

1 1115 (9th Cir.), as amended (2006). When a claimant suffers only non-exertional
2 limitations, the Grids are generally inappropriate and the ALJ must rely on other
3 evidence.² Id.; see also Desrosiers v. Secretary of Health & Human Services, 846
4 F.2d 573, 577 (9th Cir. 1988) (“A non-exertional impairment, if sufficiently
5 severe, may limit the claimant’s functional capacity in ways not contemplated by
6 the guidelines. In such a case, the guidelines would be inapplicable.”). When a
7 claimant suffers from both exertional and non-exertional limitations, the ALJ must
8 first determine whether the Grids mandate a finding of disability with respect to
9 exertional limitations. See Lounsbury, 468 F.3d at 1116; Cooper v. Sullivan, 880
10 F.2d 1152, 1155 (9th Cir. 1989). If so, the claimant must be awarded benefits.
11 Cooper, 880 F.2d at 1155. If not, and if the claimant suffers from significant and
12 sufficiently severe non-exertional limitations, not accounted for in the Grids, the
13 ALJ must take the testimony of a vocational expert. Hoopai, 499 F.3d at 1076.

14 Consequently, “[i]f the grids accurately and completely describe a
15 claimant’s particular impairments, an ALJ may apply the grids instead of taking
16 testimony from a vocational expert.” Holohan v. Massanari, 246 F.3d 1195,
17 1208-09 (9th Cir. 2001) (citing Reddick, 157 F.3d at 729). Thus, even where, like
18 here, a claimant’s limitations are entirely non-exertional, a vocational expert’s
19 testimony is not required unless the ALJ determines that such non-exertional
20 limitations are “‘sufficiently severe’ so as to significantly limit the range of work
21 permitted by the claimant’s exertional limitation.” Hoopai, 499 F.3d at 1076
22 (citation omitted). It is within the ALJ’s province to determine whether a
23 claimant’s non-exertional limitations are sufficiently severe to obviate the need for
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26 ²An ALJ is required to seek the assistance of a vocational expert when the non-exertional
27 limitations are at a sufficient level of severity such that the Grids (which mostly account for
28 exertional limitations) would be inapplicable to the particular case. Hoopai, 499 F.3d at 1076.
The severity of limitations at step five that would require use of a vocational expert must be
greater than the severity of impairments determined at step two. Id.

1 testimony from a vocational expert. Sam v. Astrue, 2010 WL 4967718, at *11
2 (E.D. Cal. Dec. 1, 2010) (citing Desrosiers, 846 F.2d at 577).

3 **2. Analysis**

4 Plaintiff appears to contend that the ALJ erred at step four, in pertinent part,
5 by failing to “investigate fully the demands of [plaintiff’s] past work and compare
6 them to [plaintiff’s] residual mental and physical capabilities. . . .” (Plaintiff’s
7 Motion at 11-12). Any failure by the ALJ to thoroughly develop and explain his
8 findings at step four, however, was at most harmless error given the ALJ’s
9 alternative determination at step five that plaintiff was not disabled under the
10 framework of Section 204.00 of the Grids (“Section 204.00”). (AR 33-34); see,
11 e.g., Tommasetti v. Astrue, 533 F.3d 1035, 1042-43 (9th Cir. 2008) (ALJ error at
12 step four harmless in light of ALJ’s alternative finding at step five that claimant
13 “could still perform other work in the national and local economies that existed in
14 significant numbers”). Thus, for the reasons explained below, a reversal or
15 remand on the asserted basis is not warranted.

16 Here, substantial evidence supports the ALJ’s finding that plaintiff’s
17 limitation to simple repetitive tasks “[had] little or no effect” on plaintiff’s ability
18 to do “unskilled work at all exertional levels.” (AR 33-34). As the ALJ
19 suggested, Dr. Ibraheem essentially opined that plaintiff would have “zero to
20 minimal difficulty” in meeting the basic mental demands of unskilled work.³ (AR
21 32-34, 289). Again, Dr. Ibraheem’s opinions regarding plaintiff’s mental abilities
22 constituted substantial evidence supporting the ALJ’s findings. See Tonapetyan,
23 242 F.3d at 1149; Andrews, 53 F.3d at 1041. In addition, plaintiff does not
24 plausibly challenge the ALJ’s assessment that she has the residual functional
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26 ³Basic mental demands for unskilled work include: (1) understanding, carrying out, and
27 remembering simple instructions; (2) responding appropriately to supervision, co-workers and
28 usual work situations; and (3) dealing with changes in a routine work setting. See 20 C.F.R.
§§ 404.1521(b), 416.921(b); SSR 85-15 at *4.

1 capacity to perform the full range of work at all exertional levels. Moreover, as
2 discussed above, the ALJ properly discredited plaintiff's statements that her
3 subjective symptoms were more limiting.

4 Where, like here, a claimant is limited to unskilled work, but otherwise
5 retains the ability to perform a full range of work at all exertional levels, the Grids
6 alone accurately and completely account for the plaintiff's particular impairments.
7 See Sam, 2010 WL 4967718, at *11 ("An individual with solely non-exertional
8 limitations who is capable of performing the full range of unskilled work is
9 capable of performing jobs that exist in significant numbers [in the national
10 economy].") (citing 20 C.F.R. Pt. 404, Subpt. P, App. 2 § 203.00(a)); 20 C.F.R. Pt.
11 404, Subpt. P, App. 2, § 204.00 ("[A]n impairment which does not preclude heavy
12 work (or very heavy work) would not ordinarily be the primary reason for
13 unemployment, and generally is sufficient for a finding of not disabled, even
14 though age, education, and skill level of prior work experience may be considered
15 adverse."). Therefore, here, the ALJ properly found plaintiff not disabled under
16 the framework of Section 204.00. See, e.g., Hoopai, 499 F.3d at 1077 (holding
17 that substantial evidence supported ALJ's conclusion that claimant's "depression
18 was not a sufficiently severe non-exertional limitation that prohibited the ALJ's
19 reliance on the [Grids]"; noting that Ninth Circuit "[had] not previously held mild
20 or moderate depression to be a sufficiently severe non-exertional limitation that
21 significantly limits a claimant's ability to do work beyond the exertional
22 limitation"); Sam, 2010 WL 4967718, at *10-*11 (ALJ properly relied on
23 Section 204.00 to find plaintiff not disabled based on conclusion that plaintiff's
24 limitation to simple, repetitive tasks "had little or no effect on the occupational
25 base of unskilled work at all exertional levels"); cf., e.g., Landa v. Astrue, 283
26 Fed. Appx. 556, 558 (9th Cir. 2008) (substantial evidence supported ALJ's
27 determination that claimant's "depression was not a sufficiently severe
28 non-exertional limitation that required the assistance of a vocational expert" where

1 claimant was found “moderately limited” in only two of the twenty categories of
2 mental residual functional capacity assessment).

3 Accordingly, a remand or reversal on this basis is not warranted.

4 **V. CONCLUSION**

5 For the foregoing reasons, the decision of the Commissioner of Social
6 Security is affirmed.

7 LET JUDGMENT BE ENTERED ACCORDINGLY.

8 DATED: December 2, 2015

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10 /s/

11 _____
12 Honorable Jacqueline Chooljian
13 UNITED STATES MAGISTRATE JUDGE
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