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**UNITED STATES DISTRICT COURT  
FOR THE CENTRAL DISTRICT OF CALIFORNIA**

MORGAN STANLEY SMITH BARNEY  
LLC and MORGAN STANLEY SMITH  
BARNEY FA NOTES HOLDINGS LLC,

Petitioners,

v.

SCOTT ALEXANDER THOMPSON  
a/k/a SCOTT A. THOMPSON  
a/k/a SCOTT THOMPSON,

Respondent.

Civil Action No. 2:15-cv-00851  
JAK (JCx)

**JUDGMENT**

**JS-6**

**JUDGMENT**

AND NOW, upon consideration of Petitioners Morgan Stanley Smith  
Barney LLC and Morgan Stanley Smith Barney FA Notes Holdings LLC's  
Application for Entry of Default Judgment and pursuant to Fed. R. Civ. P. 55(b)  
and Local Rule 55-1, default judgment is hereby entered in favor of Morgan

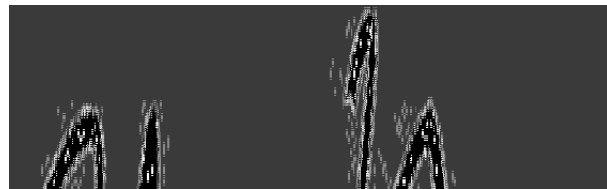
1 Stanley Smith Barney LLC and Morgan Stanley Smith Barney FA Notes Holdings  
2 LLC and against Respondent Scott Alexander Thompson a/k/a Scott A. Thompson  
3 a/k/a Scott Thompson (“Thompson”) as follows:  
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5 1. The Financial Industry Regulatory Authority arbitration Award served  
6 on October 6, 2014 in the matter captioned, Morgan Stanley Smith Barney LLC  
7 and Morgan Stanley Smith Barney FA Notes Holdings LLC v. Scott Alexander  
8 Thompson, FINRA Dispute Resolution Case No. 14-00349, is **CONFIRMED**;  
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10 2. Judgment is entered in favor Morgan Stanley Smith Barney LLC and  
11 Morgan Stanley Smith Barney FA Notes Holdings LLC and against Scott  
12 Alexander Thompson a/k/a Scott A. Thompson a/k/a Scott Thompson in the  
13 amount of \$550,439.95, representing compensatory damages and pre-Award  
14 interest.  
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17 **IT IS SO ORDERED.**

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19 Dated: 5/26/15



20 Hon. John A. Kronstadt  
21 United States District Judge  
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