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**UNITED STATES DISTRICT COURT  
CENTRAL DISTRICT OF CALIFORNIA  
WESTERN DIVISION**

In re RESONANT INC. SECURITIES  
LITIGATION

This Document Relates To:  
All Actions

Case No. 2:15-cv-01970 SJO (MRW)

Consolidated with:  
2:15-cv-02054 SJO (VBK)  
2:15-cv-02369 SJO (VBK)

**FINAL JUDGMENT AND ORDER  
OF DISMISSAL WITH  
PREJUDICE**

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Final Judgment  
Case No. 2:15-cv-01970 SJO (MRW)

1 This matter came before the Court for hearing pursuant to the Order Granting  
2 Preliminary Approval of Settlement and Directing Dissemination of Notice to Class  
3 of this Court (“Order”), dated July 13, 2017 on the motion of the Lead Plaintiffs for  
4 final approval of the Settlement set forth in the Amended Stipulation of Settlement  
5 dated June 14, 2017 (the “Stipulation”). Due and adequate notice having been given  
6 to the Settlement Class as required in said Order, and the Court having considered all  
7 papers filed and proceedings had herein and otherwise being fully informed in the  
8 premises and good cause appearing therefore, IT IS HEREBY ORDERED,  
9 ADJUDGED AND DECREED that:

10 1. The terms used in this Judgment have the same meanings assigned to  
11 them in the Stipulation.

12 2. This Court has jurisdiction over the subject matter of the Litigation and  
13 over all parties to the Litigation, including all members of the Settlement Class.

14 3. Pursuant to Federal Rule of Civil Procedure 23, this Court hereby  
15 approves the Settlement set forth in the Stipulation and finds that said Settlement is,  
16 in all respects, fair, just, reasonable and adequate to the Settlement Class. The Court  
17 also hereby reaffirms its findings and conclusion, set forth in the Preliminary  
18 Approval Order, that, for purposes of the Stipulation and the Settlement, this  
19 Settlement Class meets the prerequisites for bringing a class action set forth in  
20 Federal Rule of Civil Procedure Rule 23(a) and the requirements for maintenance of  
21 a class action under Rule 23(b)(3). The Court hereby makes final its previously  
22 conditional certification of the Settlement Class.

23 4. Accordingly, the Court authorizes and directs implementation and  
24 performance of all the terms and provisions of the Stipulation, as well as the terms  
25 and provisions hereof. Except as to any individual claim of those Persons (identified  
26



1 of law to acknowledge, that the waiver of Unknown Claims, and of the provisions,  
2 rights, and benefits of Section 1542 of the California Civil Code, was bargained for  
3 and is a key element of the Settlement of which the release in this paragraph is a part.

4 8. Lead Plaintiffs, all members of the Settlement Class and anyone  
5 claiming through or on behalf of any of them, are forever barred and enjoined from  
6 commencing, instituting, or continuing to prosecute any action or proceeding in any  
7 court of law or equity, arbitration tribunal, administrative forum, or other forum of  
8 any kind, asserting against any of the Released Persons, and each of them, any of the  
9 Released Claims.

10 9. Upon the Effective Date, each of the Defendants shall be deemed to  
11 have, and by operation of this Judgment shall have, fully, finally, and forever  
12 released, relinquished and discharged each and all members of the Settlement Class  
13 and counsel to the Lead Plaintiffs from all claims (including Unknown Claims),  
14 arising out of, relating to, or in connection with the institution, prosecution, assertion,  
15 settlement or resolution of (i) the Litigation, or (ii) the Released Claims, other than  
16 the obligations provided in the Stipulation.

17 10. The Notice of Proposed Class Action Settlement given to the Settlement  
18 Class was the best notice practicable under the circumstances, including the  
19 individual notice to all members of the Settlement Class who could be identified  
20 through reasonable effort. Said notice provided the best notice practicable under the  
21 circumstances of those proceedings and of the matters set forth therein, including the  
22 proposed Settlement set forth in the Stipulation, to all Persons entitled to such notice,  
23 and said notice fully satisfied the requirements of Federal Rule of Civil Procedure 23,  
24 Section 21D(a)(7) of the Securities Exchange Act of 1934, 15 U.S.C. § 78u-4(a)(7),  
25 as amended by the Private Securities Litigation Reform Act of 1995; the requirements  
26 of due process under the Constitution of the United States; and any other applicable  
27 law.



1 the Litigation in good faith and at all times complied with the requirements of Federal  
2 Rule of Civil Procedure 11.

3 15. In the event that the Settlement does not become effective in accordance  
4 with the terms of the Stipulation or in the event that the Settlement Fund, or any  
5 portion thereof, is returned to the Defendants except as provided for in the  
6 Stipulation, then this Judgment shall be rendered null and void to the extent provided  
7 by and in accordance with the Stipulation and shall be vacated and, in such event, all  
8 orders entered and releases delivered in connection herewith shall be null and void to  
9 the extent provided by and in accordance with the Stipulation.

10 16. The Escrow Agent appointed by Lead Counsel shall maintain the  
11 Settlement Fund in accordance with the requirements set forth in the Stipulation. No  
12 Defendant, or any other Released Party, shall have any liability, obligation, or  
13 responsibility whatsoever for the administration of the Settlement or disbursement of  
14 the Net Settlement Fund. Lead Counsel, Plaintiffs, the Escrow Agent, and the Claims  
15 Administrator shall have no liability to any Class Member with respect to any aspect  
16 of the administration of the Settlement Fund, including, but not limited to, the  
17 processing of Claim Forms and the distribution of the Net Settlement Fund to Class  
18 Members.

19 17. Lead Counsel are hereby awarded 33% of the Settlement Fund for their  
20 attorneys' fees, which sum the Court finds to be fair and reasonable. Lead Counsel  
21 are hereby awarded \$51,133.20 in reimbursement of litigation expenses, which  
22 expenses the Court finds to have been reasonably incurred. The Court has also  
23 considered the request for incentive awards for the Lead Plaintiffs in recognition of  
24 their contributions and hereby awards \$5,000 to each Lead Plaintiff. The foregoing  
25 amounts shall be paid to Lead Counsel and the Lead Plaintiffs from the Settlement  
26 Fund pursuant to the terms of the Stipulation. Lead Counsel may make payments of  
27 fees and expenses to counsel for other plaintiffs as Lead Counsel deems appropriate

1 based on their relative contribution to the prosecution and resolution of the Action.  
2 Neither the Plan of Allocation submitted by Lead Counsel nor the portion of this Final  
3 Judgment regarding the attorneys' fee and litigation expenses application including  
4 any modification or change in the award of attorneys' fees and litigation expenses  
5 that may hereafter be approved, shall in any way disturb or affect this Final Judgment  
6 or the Releases provided hereunder and shall be considered separate from this Final  
7 Judgment.

8 18. Without further order of the Court, the Settling Parties may agree to  
9 reasonable extensions of time or other reasonable modifications necessary to carry  
10 out any of the provisions of the Stipulation.

11 19. The Court hereby dismisses the Litigation and all Released Claims of  
12 the Settlement Class with prejudice, without costs as to any Settling Party. There is  
13 no reason for delay in the entry of this Final Judgment and Order of Dismissal with  
14 prejudice and immediate entry by the Clerk of the Court is expressly directed  
15 pursuant to Rule 54 of the Federal Rules of Civil Procedure.

16  
17 IT IS SO ORDERED.

18  
19 DATED: November 20, 2017



20 THE HONORABLE S. JAMES OTERO  
21 UNITED STATES DISTRICT JUDGE