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**United States District Court  
Central District of California**

LEO DAVID,

Plaintiff,

v.

UNITED AIRLINES, INC., and DOES 1–  
10, inclusive,

Defendants.

Case № 2:15-cv-02262-ODW (PJWx)

**ORDER GRANTING  
DEFENDANT’S MOTION FOR  
SUMMARY JUDGMENT [20]**

1 **I. INTRODUCTION**

2 Plaintiff Leo David claims Defendant United Airlines, Inc. (“UAL”) acted  
3 negligently and breached its contract of carriage when the airline downgraded Plaintiff  
4 from BusinessFirst (first class) to Economy Premier/Plus (economy, or coach, class)  
5 on an international flight. As a result of the downgrade and UAL’s inability to  
6 provide immediate accommodations for Plaintiff’s pre-existing medical condition,  
7 Plaintiff allegedly suffered bodily injuries.

8 Defendants’ Motion for Summary Judgment is now before the Court for  
9 consideration. (Def. Mot. for Summ. J. (“Mot.”), ECF No. 20.) The airline argues  
10 that Plaintiff’s state law claims are wholly preempted by the Montreal Convention.  
11 For the foregoing reasons, the Court agrees that the Montreal Convention applies and  
12 preempts the state contract and tort claims, and thus **GRANTS** the Motion in its  
13 entirety.

14 **II. FACTUAL BACKGROUND**

15 Plaintiff is an eighty-six year old gentleman who, in 2013, was invited to attend  
16 the Maccabiah Games in Israel as an esteemed guest of Israeli government officials.  
17 (Leo David (“David”) Decl. ¶¶ 2–3, ECF No. 23-3.) With the 600,000 points  
18 accumulated on his American Express card, Plaintiff purchased two first class  
19 roundtrip flights from Los Angeles, California to Tel Aviv, Israel, with a layover in  
20 Newark, New Jersey. (Deema Fleming (“Fleming”) Decl. ¶ 8, ECF No. 20-7; Pl.’s  
21 Passenger Ticket 2, Fleming Decl. Ex. B, ECF No. 20-9.)<sup>1</sup> Plaintiff purchased tickets  
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23 \_\_\_\_\_  
24 <sup>1</sup> The Court overrules Plaintiff’s objection that UAL employee Deema Fleming cannot authenticate  
25 Plaintiff’s Passenger Ticket because she is only “generally” familiar with this record. (Pl.’s Evid.  
26 Obj. 3, ECF No. 23-2.) However, to prove the authenticity of a business record, a declarant does not  
27 need personal knowledge of the contents of the specific record nor the time, place, and manner in  
28 which the record was made. *United States v. Sand*, 541 F.2d 1370, 1377 (9th Cir. 1976). Fleming’s  
declaration properly authenticates the record; she states that she acquired knowledge of the policies  
and procedures related to passenger reservations, special accommodations, and the mileage award  
program over her eighteen years of service with UAL. (Fleming Decl. ¶¶ 1–2.) The Court accepts  
evidence of Fleming’s long career with UAL as a means of authenticating a document she has seen

1 for himself and Samuel Licker, a student journalist whom Plaintiff personally invited  
2 to provide coverage of the games for a local TV channel. (David Decl. ¶ 3; Pl.’s  
3 Resp. to Def.’s Interrog. Nos. 7–8, Lazenby Decl., Ex. C, ECF No. 20-5.) After the  
4 mileage deduction, Plaintiff paid \$49.07 out of pocket for each ticket. (UAL  
5 Passenger Name Record 5, Fleming Decl. Ex. A, ECF No. 20-8.)<sup>2</sup> The connecting  
6 flight to Tel Aviv featured BusinessFirst and economy classes of service. (Fleming  
7 Decl. ¶ 13.) The economy section also included Economy Premier/Plus seats that  
8 offered extended legroom in the economy cabin.<sup>3</sup> (*Id.*)

### 9 **A. The Seat Downgrade**

10 On July 14, 2013, Plaintiff and his companion flew first class from Los Angeles  
11 to Newark without incident. (Leo David Dep. (“David Dep.”) 57:17–19, Lazenby  
12 Decl. Ex. D, ECF No. 20-6.) The flight landed in Newark at 9:45 p.m. and the  
13 connecting flight to Tel Aviv was scheduled to depart at 10:45 p.m. (*Id.* 48:17–19,  
14 49:4–11.) Just as Plaintiff was about to board the flight to Tel Aviv, the gate agent  
15 informed him that, due to an insufficient number of BusinessFirst seats, Plaintiff and  
16 Licker were downgraded to Economy Premier/Plus. (Compl. ¶ 5, Not. Of Removal,  
17 Ex. 2, ECF No. 1.) In response, Plaintiff objected to the downgrade and informed the  
18 agent that he suffered from edema, a health condition that would cause his legs to  
19 swell up with fluid if he could not extend his legs during the flight. (*Id.* ¶ 7). He then

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22 countless times over her nearly two decades of service, and thus accepts Plaintiff’s passenger tickets  
23 as admissible evidence.

24 <sup>2</sup> Plaintiff’s objection to the Passenger Name Record’s lack of authentication is likewise overruled.  
25 (Pl.’s Evid. Obj. 2; *see supra* note 1.)

26 <sup>3</sup> The Court overrules Plaintiff’s objection that Fleming lacks personal knowledge of the actual  
27 conditions Plaintiff faced during the flight because the phrases “extended legroom” and “premium  
28 seats” are not legally defined with dimensions. (Pl.’s Evid. Obj. 1–2.) It is undisputed that there is  
no legal standard for industry terms defining the classes of seats among airlines. (Def.’s Resp. to  
Pl.’s Statement of Undisputed Material Facts (“UMF Resp.”) 3, ECF No. 24-2.) However, personal  
knowledge of actual seat dimensions is not necessary to determine whether an “accident” caused  
Plaintiff’s injury. The Court agrees with Defendant that the industry terms characterized the seats to  
the extent necessary to demonstrate that Plaintiff was downgraded to a class of service with less leg  
room than first class and more legroom than regular economy.

1 requested that the airline rebook their tickets for first class seats on another flight,  
2 either that day or the following day, operated by UAL or a different airline. (David  
3 Decl. ¶ 9.) The gate agent checked the availability of other flights to Tel Aviv, but no  
4 first class seats were available that night or the next day. (Lazenby Decl. ¶ 15.) He  
5 then asked the gate agent whether he could pay a passenger already occupying a  
6 BusinessFirst seat twice the original amount. (David Decl. ¶ 10.) Although the agent  
7 did not communicate his offer to the passengers (Lazenby Decl. ¶ 15), Plaintiff also  
8 did not directly ask passengers already seated in BusinessFirst to exchange seats in  
9 return for compensation. (UMF Resp. 5.) Moreover, Plaintiff did not personally  
10 pursue other viable options, such as searching for alternative flights online,  
11 summoning his private jet,<sup>4</sup> or returning to Los Angeles and flying at a later date.  
12 (David Dep. 75:17–24, 79:14–23, 141:1–8.) Faced with the decision to accept the  
13 downgrade and arrive in Israel on schedule or not, Plaintiff knowingly chose to board  
14 the aircraft. (Compl. ¶ 5.) He also submits that he was “angry,” “upset,” and  
15 “probably” irrational when he made his decision to board. (David Dep. 140:13–22.)

16 While Plaintiff expected to experience some discomfort during the flight, he did  
17 not know the extent to which his condition could be aggravated by sitting for ten  
18 hours without elevating his legs during the flight. (Pl.’s Resp. to Def.’s Interrog. No.  
19 26.) Plaintiff insists that he has only flown first class over the past few decades, and  
20 thus cannot speak to how carriage in an inferior cabin would affect his health. (*See*  
21 David Decl. ¶ 8.) During the flight, Plaintiff did not appreciate any pain in his legs;  
22 nor did he make any complaints or requests to flight attendants regarding his medical  
23 condition. (David Dep. 105:12–25.) He also attests that his seat did not malfunction  
24 or break during the duration of the flight. (Pl.’s Resp. to Def.’s Interrog. No. 30.)  
25 After arriving in Tel Aviv, Plaintiff testifies that his legs felt swollen. (David Dep.  
26 105:12–25.) Due to the swelling in his limbs and the exacerbation of his edema, he

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27 <sup>4</sup> Plaintiff rejects UAL’s claims that personal air travel would have been possible, as private jet  
28 travel requires advanced booking and, in any event, private aircraft are not permitted to land in  
Israel. (David Decl. ¶ 13.)

1 remained in bed for the first three days of his trip and experienced discomfort for the  
2 remainder of his time in Israel. (Compl. ¶ 7.)

### 3 **B. UAL Contract of Carriage**

4 Instead of a printed ticket, UAL issued Plaintiff an e-mail confirmation of his  
5 purchase, which provided notice to the terms in UAL’s Contract of Carriage. (UMF  
6 Resp. 4.) UAL’s Contract of Carriage states that “[s]eat assignments, regardless of  
7 class of service, are not guaranteed and are subject to change without notice.” (UAL  
8 Contract of Carriage (“Contract of Carriage”) 9, Fleming Decl. Ex. C, ECF 20-10.)  
9 The Contract of Carriage also sets forth a compensation system for passengers who  
10 are reassigned to a lower class of service. (*Id.* 38.) Passengers with round trip tickets  
11 may be reimbursed up to 50 percent of the difference between the two classes of  
12 service and only for the segment of the flight where the lower class of service is used.  
13 (*Id.* 39.) However, Rule 27(A)(3)(c) of the Contract provides that a passenger who  
14 purchases an upgrade through a combination of award points and cash is limited to  
15 recovering the amount paid in cash. (*Id.* 39.) Per UAL’s standard internal procedure,  
16 passengers holding award tickets have lower priority than those who paid solely by  
17 cash, and are thus more likely to be downgraded. (Fleming Decl. ¶ 12.) Finally,  
18 passengers are notified online that they must request special accommodations for  
19 disabilities at least twenty-four hours in advance of the scheduled flight. (*Id.* ¶ 16;  
20 UAL’s Requirement for Advance Notice (“Not. of Disability”) 2, Fleming Decl. Ex.  
21 E, ECF No. 20-12.)

## 22 23 **III. PROCEDURAL BACKGROUND**

24 Plaintiff filed his Complaint in Superior Court on January 30, 2015, seeking  
25 damages for Defendant’s alleged negligence and breach of contract. (Not. Of  
26 Removal, Ex. 2, ECF 1.) Defendant subsequently removed the state action to federal  
27 court. (ECF No. 1.) On February 1, 2016, Defendant moved for summary judgment  
28 on all claims and Plaintiff timely opposed. (ECF Nos. 20, 23). Defendant timely

1 replied. (ECF No. 24.) Defendant’s Motion is now before the Court for  
2 consideration.

#### 4 IV. LEGAL STANDARD

5 Summary judgment is appropriate where “there is no genuine dispute as to any  
6 material fact and the movant is entitled to judgment as a matter of law.” Fed. R. Civ.  
7 P. 56(a). The moving party bears the initial burden of identifying relevant portions of  
8 the record that demonstrate the absence of a fact or facts necessary for one or more  
9 essential elements of each claim upon which the moving party seeks judgment.  
10 *Celotex Corp. v. Catrett*, 477 U.S. 317, 323 (1986).

11 If the moving party meets its initial burden, the opposing party must then set out  
12 specific facts showing a genuine issue for trial in order to defeat the motion.  
13 *Anderson v. Liberty Lobby, Inc.*, 477 U.S. 242, 250 (1986); *see also* Fed. R. Civ. P.  
14 56(c), (e). The nonmoving party must not simply rely on the pleadings and must do  
15 more than make “conclusory allegations [in] an affidavit.” *Lujan v. Nat’l Wildlife*  
16 *Fed’n*, 498 U.S. 871, 888 (1990); *see also Celotex*, 477 U.S. at 324. Summary  
17 judgment must be granted for the moving party if the nonmoving party “fails to make  
18 a showing sufficient to establish the existence of an element essential to that party’s  
19 case, and on which that party will bear the burden of proof at trial.” *Id.* at 322; *see*  
20 *also Abramson v. Am. Pac. Corp.*, 114 F.3d 898, 902 (9th Cir. 1997).

21 In light of the facts presented by the nonmoving party, along with any undisputed  
22 facts, the Court must decide whether the moving party is entitled to judgment as a  
23 matter of law. *T.W. Elec. Serv., Inc. v. Pac Elec. Contractors Ass’n*, 809 F.2d 626, 631  
24 (9th Cir. 1987). When deciding a motion for summary judgment, “the interferences to  
25 be drawn from the underlying facts . . . must be viewed in the light most favorable to  
26 the party opposing the motion.” *Matsushita Elec. Indus. Co. v. Zenith Radio Corp.*,  
27 475 U.S. 574, 587 (1986) (citation omitted); *Valley Nat’l Bank of Ariz. v. A.E. Rouse*  
28 *& Co.*, 121 F.3d 1332, 1335 (9th Cir. 1997). Summary judgment for the moving party

1 is proper when a rational trier of fact would not be able to find for the nonmoving  
2 party on the claims at issue. *Matsushita*, 475 U.S. at 587.

## 3 4 V. DISCUSSION

5 Plaintiff's Complaint asserts breach of contract and negligence claims and seeks  
6 compensatory damages. (Compl. ¶¶ 8, 13.) Defendant, in turn, maintains that no  
7 genuine issues of material fact exist to refute that each claim is preempted by  
8 international law.

### 9 A. Applicability of the Montreal Convention

10 Defendant argues that the Montreal Convention exclusively governs this case  
11 and precludes Plaintiff from bringing claims under local law. The Court agrees.

12 The Warsaw Convention is a multilateral treaty adopted in 1929 to exclusively  
13 govern the rights and liabilities of a carrier in the international carriage of passengers,  
14 baggage, and cargo. *See El Al Israel Airlines, Ltd., v. Tsui Yuan Tseng*, 525 U.S. 155,  
15 161. Its successor, the Montreal Convention, was enacted in the United States on  
16 November 4, 2003 and unifies the Warsaw Convention's liability system and retains  
17 many of its predecessor's terms and provisions. *Phifer v. Icelandair*, No. CV08-  
18 06561 ODW-CWX, 2009 WL 6635315, at \*2 (C.D. Cal. Oct. 29, 2009) (Wright, J.),  
19 *rev'd on other grounds and remanded*, 652 F.3d 1222 (9th Cir. 2011) (citing *Bassam*  
20 *v. American Airlines*, 287 Fed. Appx. 309, 313 n.5 (5th Cir. 2008). The Montreal  
21 Convention governs the rights and liabilities of air carriers in "persons, baggage, or  
22 cargo." Montreal Convention, Art. 1(1).<sup>5</sup> The treaty is controlling when Plaintiff  
23 engages in "international carriage," which is defined as:

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27 <sup>5</sup> The Convention for the Unification of Certain Rules for International Carriage by Air Done at  
28 Montreal on 28 May 1999, ICAO Doc. No. 9740 (entered into force on November 4, 2003),  
reprinted in Treaty Doc. 106-45, 1999 WL 33292734 (2000), hereinafter referred to as the "Montreal  
Convention" or "Convention."

1 any carriage in which . . . the place of departure and the place of  
2 destination, whether or not there be a break in the carriage or a  
3 transshipment, are situated either within the territories of two States  
4 Parties, or within the territory of a single State Party if there is an agreed  
5 stopping place within the territory of another State, even if that State is  
6 not a State Party.

7 *Id.* at Art. 1(2).

8 Here, Plaintiff clearly engaged in international travel: he departed the territory  
9 of one State with the intent to stop in the territory of another State. It is also  
10 undisputed that Plaintiff had a round trip ticket to and from Los Angeles with a  
11 designated stop in Israel. (Fleming Decl. ¶ 8.) Moreover, Plaintiff traveled between  
12 State Parties, as both the United States and Israel are parties to the Montreal  
13 Convention. *Montreal Convention*, Int’l Civil Aviation Org. (May 28, 1999),  
14 [http://www.icao.int/secretariat/legal/List%20of%20Parties/Mtl99\\_EN.pdf](http://www.icao.int/secretariat/legal/List%20of%20Parties/Mtl99_EN.pdf). Because  
15 Plaintiff’s travel is considered “international carriage” under Article 1, the Court finds  
16 that the Montreal Convention applies to Plaintiff’s claims against Defendant.

### 17 **B. Preemption of State Law Claims**

18 Defendant next argues that the Montreal Convention also *precludes* Plaintiff  
19 from maintaining any state actions. (Mot. 11.) Plaintiff in turn argues that, while the  
20 Convention has preemptive effects, it does not compel preemption as to Plaintiff’s  
21 specific contract claim, as his cause of action falls outside the scope of the  
22 Convention’s liabilities. (Opp’n 7–10, ECF No. 23.) At the heart of their dispute is  
23 whether the Convention effectuates complete preemption, where all state law claims  
24 are precluded, or conflict preemption, where a state law claim is only precluded if it  
25 conflicts with the Convention’s three categories of liability. This Court has previously  
26 addressed this issue, and in resolving the ambiguity has considered divergent district  
27 court decisions as well as the treaty’s drafting history. *See Fadhliah v. Societe Air*  
28 *France*, 987 F. Supp. 2d 1057, 1063–64 (C.D. Cal. 2013) (Wright, J.). As in



1 *Fadhliah, id.*, the Court finds the pro-preemptive line of cases persuasive and again  
2 concludes that the Convention *exclusively* governs all claims for damages arising in  
3 international transportation of passengers.

4 The Convention’s exclusivity provision provides that “any action for damages,  
5 however founded, whether under this Convention or in contract or in tort or otherwise,  
6 can only be brought subject to the conditions and such limits of liability as are set out  
7 in this Convention.” Montreal Convention, art. 29. It provides three categories of air  
8 carrier liability: personal injury, loss of or damage to baggage, and delay. *Id.*, art. 17–  
9 19. Accordingly, under the Convention, no recovery is available for injuries falling  
10 outside these three categories.

11 This limitation on liability provides uniformity for carriers and Convention  
12 signatories. The Supreme Court in *El Al Israel Airlines, Ltd. v. Tseng* held that a  
13 passenger could not maintain an action for personal injury damages under local law  
14 when the claim did not satisfy the conditions of liability for personal injury relief  
15 under Article 17 of the Convention. 525 U.S. 155, 176 (1999). The Court stated that  
16 the Convention “precludes passengers from bringing actions under local law when  
17 they cannot establish air carrier liability under the treaty.” *Id.* at 175; *see also Carey*  
18 *v. United Airlines*, 255 F.3d 1044, 1048 (9th Cir. 2001). The purpose of drawing an  
19 international treaty is to establish uniform laws for claims arising from international  
20 travel and to limit the liability of air carriers for such claims. *Id.* at 169. In light of  
21 that purpose, one “would be hard put to conclude that the delegates at Warsaw meant  
22 to subject air carriers to the distinct, nonuniform liability rules of the individual  
23 signatory nations.” *Id.* Therefore, if Plaintiff cannot recover under the Convention’s  
24 three categories of liability, then Plaintiff cannot recover at all.

25 Since *Tseng*, federal district courts have divided on the issue of complete  
26 preemption. Some read *Tseng* to mean that if recovery is not available under the  
27 Convention, it is not available at all. *Sobol v. Cont’l Airlines*, No. 05 CV 8992 (LBS),  
28 2006 WL 2742051, at \*5 (S.D.N.Y. Sept. 26, 2006). Other district courts determined

1 that the *Tseng* Court only decided the issue of conflict preemption— but not complete  
2 preemption—and therefore a plaintiff may bring state claims that do not run counter to  
3 remedies already available under the Convention. Plaintiff relies on the latter line of  
4 cases to argue that, since the Convention does not provide relief for his contract claim,  
5 the Convention therefore does not preclude recovery through a state action. *See*  
6 *Nankin v. Cont'l Airlines, Inc.*, No. CV 09-07851-MMM (RZx), 2010 WL 342632, at  
7 \*4, \*6 (C.D. Cal. Jan. 29, 2010); *Serrano v. Am. Airlines, Inc.*, CV08-2256 AHM  
8 (FFMX), 2008 WL 2117239, at \*3 (C.D. Cal. May 15, 2008).

9 However, the Court does not find Plaintiff's arguments to be persuasive enough  
10 to merit a divergence from *Fadhliah*. In *Fadhliah*, this Court found that the Montreal  
11 Convention provides the exclusive cause of action for injuries sustained in  
12 international travel after a thorough analysis of *Tseng* and its progeny, as well as the  
13 legislative intent in drafting the treaty. *Fadhliah*, 987 F. Supp. 2d at 1063–64. The  
14 Court finds the *Fadhliah* argument to be more compelling and in line with the  
15 Convention's need to maintain uniformity across signatory States.

16 Therefore, should Plaintiff's claims fall outside the bounds of the Convention's  
17 delineated categories of liability, the Court holds those claims to be wholly  
18 preempted.<sup>6</sup>

### 19 **C. Recovery Under Article 17**

20 The Court finds that Plaintiff's alleged injuries do not fall under one of the  
21 Convention's three categories of liability, and are therefore wholly preempted.

22 Plaintiff claims to have sustained personal injuries from having insufficient leg  
23 room on his flight to Tel Aviv. (Compl. ¶¶ 7–8.) Liability for this type of personal

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24 <sup>6</sup> Even if *Tseng* sets forth Plaintiff's preferred conflict preemption standard, his contract claim still  
25 does not survive the summary judgment because it is premised on the same set of allegations giving  
26 rise to the negligence claim—which, as discussed below, is excludable under Article 17. *See Naqvi*  
27 *v. Turkish Airlines, Inc.*, 80 F. Supp. 3d 234, 240 (D. D.C. 2015) (preempting common law contract  
28 claims that are indistinct from plaintiffs' tortious theories of harm). Both Plaintiff's contract and  
negligence claims arise from UAL's downgrade of Plaintiff from BusinessFirst to Economy  
Premier/Plus. (Compl. ¶ 7, 11.) As such, the Court concludes that Plaintiff is precluded from  
maintaining independent state law claims, regardless of the theory applied.

1 injury is governed by Article 17 of the Convention, which holds an airline liable for  
2 bodily injuries caused by (1) an “accident” that occurs (2) “on board the aircraft or in  
3 the course of any of the operations of embarking or disembarking.” Montreal  
4 Convention, art. 17.

5 **1. “Embarking”**

6 The Court holds as a matter of law that Plaintiff was “embarking” at the time of  
7 his injury. In determining whether the passenger was embarking or disembarking, the  
8 Ninth Circuit employs an assessment of the totality of the circumstances surrounding  
9 a passenger’s injuries, such as (1) the passenger’s activity; (2) the carrier’s control  
10 over the passenger; and (3) the location where the incident occurred. *Maugnie v.*  
11 *Compagnie Nationale Air France*, 549 F.2d 1256, 1262 (9th Cir. 1977) (citing *Day v.*  
12 *Trans World Airlines, Inc.*, 528 F.2d 31, 33–34 (2d Cir. 1975)). Considering the  
13 factors above, the Court in *Day* held on summary judgment that, at the time of the  
14 questioned incident, passengers were in the course of embarking: they were assembled  
15 at the departure gate, ready to board the aircraft, and they were required to stand in  
16 line in accordance with the gate agent’s orders. *Day*, 528 F.2d. at 33. Similarly, just  
17 prior to the the downgrade, Plaintiff stood adjacent to the departure gate and remained  
18 under the carrier’s control. “Immediately prior to boarding the plane destined for Tel  
19 Aviv,” the gate agent directed Plaintiff to go back the ticket counter, where Plaintiff  
20 learned of the downgrade. (Compl. ¶ 5; David Dep. 49:18–20.) Plaintiff was clearly  
21 acting under the direction of the gate agent because his compliance was necessary to  
22 timely arrive in Israel. Accordingly, in looking to Plaintiff’s position adjacent to the  
23 departure gate, his restriction in movement, and his imminence to boarding, Plaintiff  
24 was in the course of embarking.

25 **2. “Accident”**

26 While the location and timing of Plaintiff’s injuries fall under the purview of  
27 Article 17, the Court holds as a matter of law that Defendant’s actions do not  
28 constitute an “accident” under the Convention.

1 An accident is an “unexpected or unusual event or happening that is external to  
2 the passenger.” *Air France v. Saks*, 470 U.S. 392, 392 (1985) (determining the  
3 qualifications of an “accident” on summary judgment). “This definition should be  
4 flexibly applied after assessment of all the circumstances surrounding a passenger’s  
5 injuries.” *Id.* at 405. While an accident may denote the occurrence of the injury itself,  
6 drafters of the Warsaw Convention specified that air carriers would only be liable  
7 should an accident *cause* an injury, not merely where an injury has occurred. *Id.* at  
8 398–99; *see also Phifer*, 592 F.3d at 1224.<sup>7</sup> As such, an injury is not caused by an  
9 accident when it results from the passenger’s own “internal reaction to the usual,  
10 normal, expected operation of the aircraft.” *Id.* at 406. To determine whether an  
11 event is unexpected, courts look to a “purely factual description of the events”  
12 irrespective of the passenger’s subjective experience. *Krys v. Lufthansa German*  
13 *Airlines*, 119 F.3d 1515, 1521 (11th Cir. 1997). The Ninth Circuit has further  
14 recognized that the terms “unexpected” and “unusual” modify the event, and not the  
15 injury itself. *Phifer*, 2009 WL 6635315, at \*3 (citing *Craig v. Compagnie Nationale*  
16 *Air France*, 45 F.3d 435, \*2–3 (9th Cir. 1994).

17 Plaintiff cites three potential events that could be construed as Article 17  
18 “accidents”: the seat downgrade; UAL’s failure to accommodate after notice of  
19 Plaintiff’s medical condition; and the exacerbation of Plaintiff’s edema. (Compl. ¶¶ 5,  
20 7.) The Court finds that there are no genuine issues of material fact as to whether  
21 these events meet the objective definition of an “accident.”

22 First, a downgrade is not an Article 17 “accident,” as it is not unexpected or  
23 unusual in terms of industry standard or practice. While Plaintiff contends that the  
24 downgrade was unexpected because he has only flown first class for the past several  
25 decades (David Decl. ¶ 8), the Court reminds Plaintiff that the standard for  
26 determining whether an event was “unexpected” is an objective one, and just because

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27 <sup>7</sup> While the Court in *Saks* analyzed Article 17 of the Warsaw Convention of 1929, rather than its  
28 successor, Article 17 of the Montreal Convention of 1999, which governs here, any differences are  
immaterial to the case at bar.

1 he may have been so fortunate to have never experienced a prior downgrade does not  
2 deem downgrades to be “unexpected” as a matter of law. *See Kryz*, 119 F.3d at 1521.  
3 Just as being “bumped” from a flight altogether is a systematic and widely known  
4 practice, being downgraded from first class to a general population of passengers is  
5 also a routine travel procedure. *Campbell v. Air Jamaica Ltd.*, 760 F.3d 1165, 1172  
6 (11th Cir. 2014) (holding the practice of “bumping” to be systematic and declaring  
7 there to be “nothing accidental about it.”); *see also Sobol*, 2006 WL 2742051, at \*4  
8 (finding that the downgrading of a companion traveler’s ticket is not an “accident”  
9 under the Convention). Moreover, Plaintiff was on constructive notice of UAL’s  
10 policy because Plaintiff’s electronic ticket confirmation incorporated the terms of  
11 UAL’s Contract of Carriage. (David Decl. ¶ 17.) The terms of that contract explicitly  
12 state that reserved seating assignments are subject to change without any notice for  
13 any reason. (Contract 9.) Additionally, the fact that the Contract provides remedies  
14 for these circumstances indicates that downgrades are indeed possible. (*Id.* 40.)  
15 Moreover, Plaintiff’s tickets were more likely to be downgraded because they were  
16 purchased with his award points, and thus are deemed lower priority in UAL’s internal  
17 system. (Fleming Decl. ¶ 12.) Therefore, the Court holds that Plaintiff’s downgrade  
18 was not “unexpected” and thus does not qualify as an “accident.”

19         Second, UAL’s failure to accommodate Plaintiff’s request for priority seating  
20 due to his pre-existing medical condition was also not an “accident.” Plaintiff  
21 contends that the gate agent’s inability to assist with his requests was unexpected and  
22 unusual. (Mot. 19.) After learning of the downgrade, Plaintiff asked the agent to find  
23 a different flight leaving that night or the next day with UAL or another airline; for  
24 appropriate accommodations on the current flight in light of his disability; and for the  
25 agent to inquire whether a first class passenger would be willing to exchange seats for  
26 twice the value of the seat. (Lazenby Decl. ¶ 7; David Decl. ¶¶ 9–10.) Plaintiff  
27 further claims that he did not need to request special accommodations in advance  
28 because he purchased first class seats that already promised extended legroom.

1 (Opp'n 14 n.1.)

2         However, as is clear from above, no passenger is guaranteed a seat type, let  
3 alone a seat on a specific flight. If a passenger does require a specific seat, UAL's  
4 website provides that certain seats will be made available to passengers with a  
5 disability, so long as the airline is given at least twenty-four hours' notice prior to the  
6 scheduled flight. (Not. of Disability 2.) The Federal Aviation Regulations govern  
7 seating accommodations for disabled passengers, and Section 382.83(a)(1)(iii) echoes  
8 UAL's policy and requires twenty-four hour advance notice in order to accommodate  
9 a passenger's disability. 14 C.F.R. § 382.83(a)(1)(iii). In the absence of such notice,  
10 agents must only meet last minute requests to the extent practicable, but agents are not  
11 required to displace another passenger in order to accommodate a request. *Id.* Here,  
12 the gate agent is expected to comply with industry regulations and internal policy, and  
13 thus her inability to accommodate Plaintiff's belated requests is not unusual enough to  
14 constitute an "accident." Given the lateness of the hour and the lack of available first  
15 class seats on a later flight, the UAL agent assisted to the extent practicable by  
16 assigning Plaintiff to Economy Premier/Plus, which offered more legroom than  
17 Economy. (Fleming Decl. ¶¶ 13–14.)<sup>8</sup> Plaintiff's argument that the agent should have  
18 allowed Plaintiff to personally ask another first class passenger to trade his or her seat  
19 for cash is not practicable; not only does it fly in the face of UAL and FAA policy  
20 disfavoring passenger displacement, it violates the other first class passengers'  
21 privacy. Therefore, because UAL's actions conformed to industry practice, the  
22 agent's failure to accommodate Plaintiff's disability without notice cannot be  
23 construed as an "accident."

24         Third, the exacerbation of Plaintiff's edema is not an "accident." Defendant

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25 \_\_\_\_\_  
26 <sup>8</sup> While the Court is concerned that UAL's policy for disability accommodations does not specify  
27 whether first class flyers must still request assistance in advance despite the services already  
28 available in first class, it is not a material issue in this instance. Prior to the flight, Plaintiff was not  
aware that his edema could be exacerbated to the extent that it did. (Pl.'s Resp. to Def.'s Interrog.  
No. 26.) Therefore, he likely would not have requested disability accommodations in advance,  
regardless of the lack of specificity in UAL's policy.

1 argues that the swelling in Plaintiff’s legs was a bodily injury that is an internal  
2 reaction to the usual, normal, or expected operation of the aircraft. (Mot. 20.) Several  
3 courts have held that instances where passengers experienced adverse physical  
4 reactions on otherwise regularly operating flights did not constitute as “accidents.”  
5 *See Saks*, 470 U.S. at 406 (concluding that passenger’s hearing loss was an internal  
6 reaction in light of the aircraft’s normally operating pressurization system); *Rodriguez*  
7 *v. Ansett Australia Ltd.*, 383 F.3d 914, 918 (9th Cir. 2004) (holding on summary  
8 judgment that plaintiff’s development of deep vein thrombosis—a blood clot that may  
9 cause excessive swelling—was not an external event because the aircraft was  
10 operating under normal conditions). It is commonly known among travelers that long  
11 flights may cause circulation problems as a result of cabin air pressure and inactivity  
12 during the plane ride. Remaining inactive in a seated position for an extended amount  
13 of time will cause swelling to one’s legs and feet. Sheldon G. Sheps, *What causes leg*  
14 *and feet swelling during air travel*, Mayo Clinic (Feb. 6, 2014),  
15 [http://www.mayoclinic.org/diseases-conditions/edema/expert-answers/foot-](http://www.mayoclinic.org/diseases-conditions/edema/expert-answers/foot-swelling/faq-20057828)  
16 [swelling/faq-20057828](http://www.mayoclinic.org/diseases-conditions/edema/expert-answers/foot-swelling/faq-20057828); Ashley Mackenzie, *Swelling in My Leg after a Plane Ride*,  
17 *Livestrong.com* (Jan. 27, 2015), [http://www.mayoclinic.org/diseases-](http://www.mayoclinic.org/diseases-conditions/edema/expert-answers/foot-swelling/faq-20057828)  
18 [conditions/edema/expert-answers/foot-swelling/faq-20057828](http://www.mayoclinic.org/diseases-conditions/edema/expert-answers/foot-swelling/faq-20057828). Even though Plaintiff  
19 typically has ample leg room in first class and is therefore less susceptible to  
20 experiencing these symptoms during air travel (David Decl. ¶ 8.), this common bodily  
21 reaction is not considered an “accident” under Article 17.

22 Furthermore, there is no indication that the aircraft seat in which Plaintiff  
23 traveled was broken or malfunctioning. (Pl.’s Resp. to Def.’s Interrog. No. 30.) Nor  
24 did Plaintiff sense any pain or make further requests during the flight, and thus  
25 Plaintiff cannot argue that the flight crew’s response, or lack thereof, contributed to  
26 his injuries. (David Dep. 105:12–25, 108:14–21.) *See Rodriguez*, 383 F.3d at 918  
27 (highlighting the difference between bodily injury that results from a passenger’s  
28 internal reaction independent of the flight crew’s involvement, and that which is

1 *caused* by a flight crew’s failure to respond to a passengers’ request for medical  
2 assistance, an event that courts deem an “accident”). Therefore, the Court holds that  
3 the exacerbation of Plaintiff’s edema was an event internal to him, and thus not an  
4 “accident” as a matter of law.

5 Accordingly, the Court finds that none of Plaintiff’s alleged injuries constitute  
6 “accidents” under Article 17, and therefore Plaintiff’s state law claims are wholly  
7 preempted by the Montreal Convention.

8  
9 **VI. CONCLUSION**

10 For the above reasons, the Court holds that Plaintiff’s claims are preempted as a  
11 matter of law and **GRANTS** Defendant’s Motion for Summary Judgment in its  
12 entirety.

13  
14 **IT IS SO ORDERED.**

15  
16 April 18, 2016

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18 

19 **OTIS D. WRIGHT, II**  
20 **UNITED STATES DISTRICT JUDGE**