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UNITED STATES DISTRICT COURT  
CENTRAL DISTRICT OF CALIFORNIA

LEONEL ARAMBULA  
TRUJILLO,  
  
Plaintiff,  
  
v.  
CAROLYN W. COLVIN, Acting  
Commissioner of Social Security,  
  
Respondent.

Case No. CV 15-5468-KES  
  
MEMORANDUM OPINION  
AND ORDER

Plaintiff Leonel Arambula Trujillo appeals the final decision of the Administrative Law Judge (“ALJ”) denying his applications for Disability Insurance Benefits (“DIB”) and Supplemental Security Income (“SSI”). For the reasons discussed below, the Court concludes: (1) the ALJ did not err at step five of the sequential evaluation; and (2) the ALJ gave clear and convincing reasons for discounting Plaintiff’s credibility. The ALJ’s decision is therefore AFFIRMED.

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2 I.

3 **BACKGROUND**

4 On August 1, 2011, Plaintiff filed applications for DIB and SSI, alleging  
5 disability beginning on March 1, 2010. Administrative Record (“AR”) 161-74.  
6 Plaintiff alleges that he is unable to work due to lower back injury, left elbow  
7 injury, and knee injury. AR 196.

8 On October 9, 2013, an ALJ conducted a hearing, at which Plaintiff,  
9 who chose to appear and testify without the assistance of an attorney or other  
10 representative, appeared and testified. AR 44-51. A vocational expert (“VE”)  
11 also testified. AR 52-54. The ALJ left the record open for 10 days to allow  
12 Plaintiff the opportunity to submit a list of additional providers for the Office  
13 of Disability Adjudication and Review staff to obtain additional medical  
14 evidence. AR 20, 43-44, 55. Plaintiff submitted the list, and new medical  
15 evidence was submitted and incorporated into the record. AR 20, 1062-76.

16 On December 23, 2013, the ALJ issued a written decision denying  
17 Plaintiff’s request for benefits. AR 20-30. The ALJ found that Plaintiff had  
18 the severe impairments of “left elbow injury, status post two corrective  
19 surgeries (March 2008 – ORIF<sup>1</sup> and March 2009 – capsular release with  
20 excision of humerus); cervical protrusions; and lumbar disc desiccation at L4-  
21 L5 and L5-S1.” AR 22. Notwithstanding Plaintiff’s impairments, the ALJ  
22 concluded that Plaintiff had the residual functional capacity (“RFC”) to  
23 perform light work, except that Plaintiff could lift/carry 20 pounds  
24 occasionally and 10 pounds frequently; stand for 6 hours in an eight-hour  
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26 <sup>1</sup> An open reduction internal fixation (“ORIF”) refers to a surgical  
27 procedure to fix a severe bone fracture by realigning the broken bone into the  
28 normal position. See [www.orthopaedics.com.sg/treatments](http://www.orthopaedics.com.sg/treatments).

1 workday; sit for 6 hours in an eight-hour workday; occasionally bend or stoop;  
2 and occasionally use the non-dominant left arm for fine manipulation, gross  
3 manipulation, and reaching in all directions. AR 23. The ALJ determined  
4 that Plaintiff was unable to perform any past relevant work, but there were jobs  
5 that existed in significant numbers in the national economy that Plaintiff could  
6 perform. AR 28-29. The ALJ thus found that Plaintiff was not disabled. AR  
7 30.

## 8 II.

### 9 ISSUES PRESENTED

10 The parties dispute whether the ALJ erred in:

11 (1) relying on the VE's testimony at step five; and

12 (2) discounting Plaintiff's testimony concerning the intensity, persistence  
13 and limiting effects of his symptoms.

## 14 III.

### 15 DISCUSSION

#### 16 A. The ALJ Properly Relied on the VE's Testimony at Step Five.

17 Plaintiff contends that the ALJ erred in relying on the VE's testimony at  
18 step five. Specifically, Plaintiff alleges: (1) the requirements of the ticket taker  
19 job as set forth in the Dictionary of Occupational Titles ("DOT") include  
20 frequent reaching and handling and, therefore, the job is inconsistent with his  
21 RFC, which limited him to only occasional reaching and handling; and (2) the  
22 VE made a mistake in citing the number of jobs available for the usher job.  
23 Dkt. 19 at 4-10.

24 At step five, the Commissioner has the burden to demonstrate that the  
25 claimant can perform some work that exists in significant numbers in the  
26 national or regional economy, taking into account the claimant's RFC, age,  
27 education, and work experience. Tackett v. Apfel, 180 F.3d 1094, 1100 (9th  
28 Cir. 1999); 42 U.S.C. § 423(d)(2)(A); 20 C.F.R. § 404.1560(c). An ALJ may

1 satisfy that burden by asking a VE a hypothetical question reflecting all the  
2 claimant’s limitations that are supported by the record. Hill v. Astrue, 698  
3 F.3d 1153, 1161 (9th Cir. 2012); see Thomas v. Barnhart, 278 F.3d 947, 956  
4 (9th Cir. 2002). In order to rely on a VE’s testimony regarding the  
5 requirements of a particular job, an ALJ must inquire whether his testimony  
6 conflicts with the DOT. Massachi v. Astrue, 486 F.3d 1149, 1152-53 (9th Cir.  
7 2007) (citing SSR 00-4p, 2000 WL 1898704, at \*4 (Dec. 4, 2000)<sup>2</sup>). When such  
8 a conflict exists, the ALJ may accept VE testimony that contradicts the DOT  
9 only if the record contains “persuasive evidence to support the deviation.”  
10 Pinto v. Massanari, 249 F.3d 840, 846 (9th Cir. 2001) (internal quotation  
11 marks omitted); see also Tommasetti v. Astrue, 533 F.3d 1035, 1042 (9th Cir.  
12 2008).

13 **1. Ticket taker**

14 Here, the ALJ asked the VE a hypothetical question incorporating all of  
15 the limitations found in the RFC, including the limitation of occasional  
16 reaching and handling by the non-dominant left arm. AR 52. The VE testified  
17 that an individual with Plaintiff’s age, education, work experience and RFC  
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19 <sup>2</sup> SSR 00-4p provides in relevant part, “Occupational evidence  
20 provided by a VE . . . generally should be consistent with the occupational  
21 information supplied by the DOT. When there is an apparent unresolved  
22 conflict between VE . . . evidence and the DOT, the adjudicator must elicit a  
23 reasonable explanation for the conflict before relying on the VE . . . evidence to  
24 support a determination or decision about whether the claimant is disabled. At  
25 the hearings level, as part of the adjudicator’s duty to fully develop the record,  
26 the adjudicator will inquire, on the record, as to whether or not there is such  
27 consistency. Neither the DOT nor the VE . . . evidence automatically ‘trumps’  
28 when there is a conflict. The adjudicator must resolve the conflict by  
determining if the explanation given by the VE . . . is reasonable and provides  
a basis for relying on the VE . . . testimony rather than on the DOT  
information.”

1 could perform such jobs as ticket taker and usher. AR 53. The VE further  
2 testified that such a person who had no use of the non-dominant left arm  
3 would be precluded from “all work.” Id. When the ALJ asked if the VE’s  
4 testimony varied from the DOT, the VE stated: “Only as it relates to how the  
5 work was actually performed and that was based on the record.” AR 54.

6 In his written decision, the ALJ reported that he had determined that the  
7 VE’s testimony was consistent with the DOT, and he adopted the VE’s  
8 findings. AR 30.

9 The DOT indicates that the ticket taker job requires reaching and  
10 handling “frequently,” but the DOT does not expressly state whether both  
11 hands must be used. See DOT 344.667-010. Plaintiff argues that the ticket  
12 taker job exceeds his occasional reaching and handling limitations and,  
13 because the ALJ failed to identify and obtain a reasonable explanation from  
14 the VE regarding this deviation from the DOT, reversal is warranted. Dkt. 19  
15 at 6. The Commissioner argues that “the DOT does not specify whether it  
16 requires frequent reaching and handling with both arms,” and the VE  
17 “properly considered this” and then testified that the job could be performed  
18 with this limitation. Dkt. 19 at 11.

19 The Court finds that there is no conflict between the DOT job  
20 description for the ticket taker job and the VE’s testimony or Plaintiff’s RFC.  
21 Courts have routinely held that jobs requiring reaching, handling or fingering  
22 do not necessarily involve the use of both hands. See, e.g., Pierre v. Colvin,  
23 2016 WL 492430, at \*2 (C.D. Cal. Feb. 5, 2016) (finding no conflict where VE  
24 testified that a person with claimant’s limitations, requiring the use of a cane  
25 for ambulation, could perform the identified job, which required constant  
26 reaching, handling, and fingering); Barrett v. Colvin, 2015 WL 5796996, at \*5  
27 (C.D. Cal. Oct. 1, 2015) (finding no conflict where claimant had to use a cane  
28 and the DOT job descriptions did not require the continual use of both hands);

1 Gutierrez v. Astrue, 2012 WL 234366, at \*2 (C.D. Cal. Jan. 24, 2012)  
2 (“[G]enerally speaking, the requirement [in the DOT] that an employee  
3 frequently use his hands to perform a job does not mean that he has to be able  
4 to use both hands.”) (citing Carey v. Apfel, 230 F.3d 131, 146 (5th Cir. 2000)  
5 (holding vocational expert’s testimony that claimant, whose left arm had been  
6 amputated, could perform work as cashier or ticket seller was not inconsistent  
7 with DOT requirement of occasional or frequent handling and fingering where  
8 DOT did not specifically require use of both hands)).

9 Even assuming an apparent conflict with the DOT,<sup>3</sup> the conflict was  
10 resolved by the VE’s testimony that the ticket taker job could be performed  
11 with only occasional use of the non-dominant arm. AR 53. The VE’s  
12 testimony constitutes substantial evidence supporting the ALJ’s determination  
13 that Plaintiff could perform the ticket taker job. Tackett, 180 F.3d at 1101.  
14 Even assuming error, any error is harmless because Plaintiff could still perform  
15 the usher job, which requires only occasional reaching and handling. See  
16 DOT 344.677-014; see also Dkt. 19 at 7-8 (conceding that “the functional  
17 limitations assessed by the ALJ are in line with the DOT’s description of the  
18 [usher] occupation”). Accordingly, remand is not warranted on this issue.

## 19 **2. Usher**

20  
21 <sup>3</sup> At least one court has recognized “a split of authority as to  
22 whether there is a conflict between a DOT job description requiring some level  
23 of ‘reaching,’ and VE testimony that a hypothetical person who is limited in  
24 his ability to reach as to one arm can perform that job.” Reese v. Astrue, 2012  
25 WL 137567, at \*6 n.10 (C.D. Cal. Jan. 17, 2012) (comparing Marshall v.  
26 Astrue, 2010 WL 841252, at \*6 (S.D. Cal. Mar. 10, 2010), Meyer v. Astrue,  
27 2010 WL 3943519, at \*8-9 (E.D. Cal. Oct. 1, 2010), and Wallis v. Astrue, 2010  
28 WL 5672742, at \*5 (S.D.W. Va. Dec. 20, 2010), with Fuller v. Astrue, 2009  
WL 4980273, at \*2-3 (C.D. Cal. Dec. 15, 2009), and Diehl v. Barnhart, 357 F.  
Supp. 2d 804, 822 (E.D. Pa. 2005)).

1 Plaintiff argues that the VE provided an inaccurate number of jobs  
2 available for the usher position, and therefore the VE's testimony could not  
3 serve as substantial evidence supporting the ALJ's determination at step five  
4 that the usher job existed in significant numbers. Dkt. 19 at 8.

5 At the hearing, the VE testified that there were 10,000 usher jobs in  
6 California and 105,000 usher jobs nationally. AR 53. Plaintiff does not argue  
7 that the numbers cited are not significant numbers, but instead argues that "[i]t  
8 appears that the [VE] cited to the number of jobs in the entire [Occupational  
9 Employment Survey] statistical group, instead of the individual DOT code [for  
10 usher]." Dkt. 19 at 8. Plaintiff contends that the statistical group includes  
11 ticket taker, press-box custodian, drive-in theater attendant, children's  
12 attendant, and usher. *Id.* Plaintiff argues that in 2013, there existed 18,647  
13 usher jobs in the nation and 2,563 usher jobs in California, and the ALJ did  
14 not find 18,647 national jobs constitutes a significant number. *Id.* at 8-9. In  
15 support, Plaintiff cites Gutierrez v. Comm'r of Soc. Sec., 740 F.3d 519, 529  
16 (9th Cir. 2014), where the Ninth Circuit stated that 25,000 national jobs  
17 presented a "close call" with regards to whether the number of jobs available  
18 constituted a significant number. *Id.* at 9. The Commissioner argues that even  
19 assuming that the number of jobs identified by Plaintiff is correct, the number  
20 of regional jobs available constitutes a significant number of jobs, and any  
21 error would be harmless. *Id.* at 12-13 (citing Gutierrez, 740 F.3d at 524-29;  
22 Yelovich v. Colvin, 532 F. App'x 700, 702 (9th Cir. 2013); Allison v. Astrue,  
23 425 F. App'x 636, 640 (9th Cir. 2011)).

24 "This Circuit has never clearly established the minimum number of jobs  
25 necessary to constitute a 'significant number.'" Barker v. Sec'y of Health &  
26 Human Servs. 882 F.2d 1474, 1478 (9th Cir. 1989). The Social Security  
27 Regulations state that the Commissioner is to consider whether significant  
28 numbers exist "either in the region where [claimant lives] or in several other

1 regions of the country.” 20 C.F.R. §§ 404.1566(a) & 416.966(a). In other  
2 words, the “significant” number of jobs can be “either regional jobs (the region  
3 where a claimant resides) or in several regions of the country (national jobs),  
4 and if either of the two numbers is “significant,” the ALJ’s decision must be  
5 upheld. Gutierrez, 740 F.3d at 523-24 (quoting Beltran v. Astrue, 700 F.3d  
6 386, 389-90 (9th Cir. 2012)) (emphasis in original).

7 Plaintiff does not contend that 2,563 jobs in California is an insufficient  
8 number of regional jobs. Dkt. 19, at 8-9, 15-18. As the Commissioner argues,  
9 the Ninth Circuit has found that “2,500 jobs constituted significant work in the  
10 region of California.” Id. at 13 (quoting Gutierrez, 740 F.3d at 527); see also  
11 Yelovich v. Colvin, 532 F. App’x 700, 702 (9th Cir. 2013) (“900 regional  
12 document preparer jobs is similar to numbers we have found ‘significant’ in the  
13 past”); Meanel v. Apfel, 172 F.3d 1111, 1115 (9th Cir. 1999) (1,000 to 1,500  
14 jobs in the local area was a significant number); Peck v. Colvin, 2013 WL  
15 3121280, at \*5 (C.D. Cal. June 19, 2013) (1,400 jobs in California and 14,000  
16 in the nation significant). Furthermore, Plaintiff has failed to establish that  
17 18,647 national jobs does not constitute a significant number of jobs. See  
18 Yepiz v. Colvin, 2013 WL 1339450, at \*9 (C.D. Cal. Mar. 28, 2013) (15,000  
19 jobs in nation significant); Albidrez v. Astrue, 504 F. Supp. 2d 814, 824 (C.D.  
20 Cal. 2007) (1,445 jobs regionally and 17,382 jobs nationally significant).  
21 Accordingly, Plaintiff fails to demonstrate reversible error at step five and  
22 remand is not warranted on this issue.

23 **B. The ALJ Gave Clear and Convincing Reasons for Discounting**  
24 **Plaintiff’s Credibility.**

25 Plaintiff next contends that the ALJ erred in discrediting his complaints  
26 of pain and limitation. Dkt. 19 at 18-23, 27. Plaintiff testified that he cannot  
27 work because of an injury to his left elbow and lower back. AR 46. He  
28 “cannot sleep normal,” his left arm “is normally hurting,” he cannot do his



1 “normal activities, shower, dress,” and cannot lift or use his left arm. AR 47.  
2 He feels severe pain “[a]ll of the time” in the left hand and arm, and uses a  
3 cream for pain, which “works.” AR 48-49. He also has problems with his  
4 neck, both knees, and both wrists. AR 49-50. When the ALJ asked how many  
5 pounds he could lift with his left hand, Plaintiff testified: “Probably none.”  
6 AR 50.

### 7 **1. Applicable Law**

8 An ALJ’s assessment of symptom severity and claimant credibility is  
9 entitled to “great weight.” See Weetman v. Sullivan, 877 F.2d 20, 22 (9th Cir.  
10 1989); Nyman v. Heckler, 779 F.2d 528, 531 (9th Cir. 1986). “[T]he ALJ is  
11 not required to believe every allegation of disabling pain, or else disability  
12 benefits would be available for the asking, a result plainly contrary to 42  
13 U.S.C. § 423(d)(5)(A).” Molina v. Astrue, 674 F.3d 1104, 1112 (9th Cir. 2012)  
14 (internal quotation marks omitted).

15 In evaluating a claimant’s subjective symptom testimony, the ALJ  
16 engages in a two-step analysis. See Vasquez v. Astrue, 572 F.3d 586, 591 (9th  
17 Cir. 2009); Lingenfelter v. Astrue, 504 F.3d 1028, 1035-36 (9th Cir. 2007).  
18 “First, the ALJ must determine whether the claimant has presented objective  
19 medical evidence of an underlying impairment [that] could reasonably be  
20 expected to produce the pain or other symptoms alleged.” Lingenfelter, 504  
21 F.3d at 1036. If so, the ALJ may not reject a claimant’s testimony “simply  
22 because there is no showing that the impairment can reasonably produce the  
23 degree of symptom alleged.” Smolen v. Chater, 80 F.3d 1273, 1282 (9th Cir.  
24 1996).

25 Second, if the claimant meets the first test, the ALJ may discredit the  
26 claimant’s subjective symptom testimony only if he makes specific findings  
27 that support the conclusion. Berry v. Astrue, 622 F.3d 1228, 1234 (9th Cir.  
28 2010). Absent a finding or affirmative evidence of malingering, the ALJ must

1 provide “clear and convincing” reasons for rejecting the claimant’s testimony.  
2 Lester v. Chater, 81 F.3d 821, 834 (9th Cir. 1995); Ghanim v. Colvin, 763 F.3d  
3 1154, 1163 & n.9 (9th Cir. 2014). The ALJ must consider a claimant’s work  
4 record, observations of medical providers and third parties with knowledge of  
5 claimant’s limitations, aggravating factors, functional restrictions caused by  
6 symptoms, effects of medication, and the claimant’s daily activities. Smolen,  
7 80 F.3d at 1283-84 & n.8. “Although lack of medical evidence cannot form  
8 the sole basis for discounting pain testimony, it is a factor that the ALJ can  
9 consider in his credibility analysis.” Burch v. Barnhart, 400 F.3d 676, 681 (9th  
10 Cir. 2005).

11 The ALJ may also use ordinary techniques of credibility evaluation,  
12 such as considering the claimant’s reputation for lying and inconsistencies in  
13 his statements or between his statements and his conduct. Smolen, 80 F.3d at  
14 1284; Thomas, 278 F.3d at 958-59.

## 15 **2. Analysis**

16 Following the two-step process outlined above, the ALJ found as  
17 follows:

18 After careful consideration of the evidence, the undersigned  
19 finds that the claimant’s medically determinable impairments could  
20 reasonably be expected to cause the alleged symptoms; however, the  
21 claimant’s statements considering the intensity, persistence and  
22 limiting effects of these symptoms are not entirely credible for the  
23 reasons explained in this decision.<sup>4</sup>

24 AR 24.

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26 <sup>4</sup> The ALJ’s “reasons explained in this decision” are at AR 24-28.  
27 The Court has not quoted that discussion in full here, but discusses it in  
28 relevant part in the Court’s analysis, below.

1 The ALJ gave three reasons for discounting Plaintiff's credibility: (1) the  
2 objective evidence was inconsistent with Plaintiff's testimony regarding the  
3 severity and extent of his limitations; (2) Plaintiff's conservative treatment was  
4 inconsistent with an alleged inability to perform all work activity; and (3)  
5 Plaintiff's daily activities were inconsistent with his alleged degree of  
6 impairment. AR 24-28.

7 a. The objective evidence was inconsistent with Plaintiff's  
8 testimony regarding the severity and extent of his  
9 limitations.

10 First, the ALJ discounted Plaintiff's credibility on the ground that the  
11 objective medical evidence did not support the severity or the extent of his  
12 alleged limitations. AR 28. The ALJ noted that the medical evidence showed  
13 some physical limitations, but found the "majority of the medical evidence"  
14 showed mild to normal findings, inconsistent treatment, and improvement  
15 with treatment. *Id.* Plaintiff contends that "the objective records appear to  
16 show deterioration over time." Dkt. 19 at 20.

17 The ALJ's determination that the objective evidence is inconsistent with  
18 Plaintiff's testimony regarding the severity and extent of his limitations is  
19 supported by substantial evidence. The ALJ noted that Plaintiff initially  
20 injured his left elbow on February 28, 2008, approximately two years prior to  
21 the alleged disability onset date. AR 25. Plaintiff had an operation on his left  
22 elbow on March 21, 2008, and was released to work by his workers'  
23 compensation physician, Dr. Zeman, as of September 22, 2008, as long as he  
24 performed no lifting over 10 pounds or overhead lifting. AR 25, 353, 418. A  
25 January 28, 2009 MRI of the cervical spine indicated abnormal disc  
26 desiccation from C3 through C7, and a 1-2 mm bulge at C4-C5 and C5-C6.  
27 AR 855. On February 9, 2009, an EMG nerve conduction study revealed  
28 entrapment neuropathy of the median nerve at the left wrist with mild results.

1 AR 25, 447-48. On March 27, 2009, Plaintiff had a second operation on his  
2 left elbow to improve his range of motion in his elbow. AR 25, 544. His range  
3 of motion increased and he was released to work as of June 29, 2009, as long  
4 as he performed no lifting over 10 pounds and worked no more than 8 hours  
5 per day. AR 25, 528, 551. In July 2009, Plaintiff reported that while at work,  
6 he had to lift and load two doors and lift 40 pound boxes of tile, which  
7 aggravated his shoulder. AR 25, 551. After he was taken off work because he  
8 was lifting more than his restriction, he reported that his wrist was “a little  
9 better.” AR 25, 569. On November 4, 2009, an EMG of the left upper  
10 extremity was normal. AR 855. On February 26, 2010, Dr. Zeman found no  
11 swelling, erythema, scars, muscle atrophy or ecchymosis of the left elbow, but  
12 found decreased range of motion, tenderness to palpation, and positive Tinel’s.  
13 AR 588. The EMG of the left elbow and hand/wrist were normal, and Dr.  
14 Zeman concluded that Plaintiff had no evidence of compressive peripheral  
15 neuropathy. AR 590.

16 On April 9, 2010, a month after the alleged disability onset date, Plaintiff  
17 reported that he was feeling better and he could soon return to work. AR 25,  
18 593. Dr. Zeman opined that Plaintiff could return to work as of July 1, 2010,  
19 with no lifting over 20 pounds and no repetitive overhead lifting, gripping, or  
20 grasping. AR 25, 681-88. Dr. Zeman also recommended school for retraining  
21 so Plaintiff could return to work. AR 758. According to the record, Plaintiff  
22 stopped treatment with Dr. Zeman as of July 2010, and received no other  
23 treatment until January 2013. AR 26, 1064. Around this time, Plaintiff  
24 stopped taking medication and started using an ointment/cream from Mexico  
25 that continues to help his pain. AR 49, 992. On August 17, 2010, an MRI of  
26 his left wrist indicated no evidence of triangular fibrocartilage tear, fluid  
27 surrounding flexor carpi ulnaris, and no evidence of avascular necrosis or  
28 degenerative change. AR 855. On March 24, 2011, an MRI of the lumbar

1 spine indicated lumbar disc desiccation and facet degenerative changes at L4-  
2 L5 and L5-S1. AR 26, 855.

3 Dr. Siebold, an Agreed Medical Examiner (“AME”) in Plaintiff’s  
4 workers’ compensation case, examined Plaintiff on August 11, 2011. AR 991-  
5 1011. Examination of the cervical spine was normal, except for the left lat  
6 bend. AR 994. Tinel’s test was positive at the left elbow, and there was  
7 flexion contraction of the left elbow. *Id.* Tinel’s, Phalen’s, and Finkelstein’s  
8 tests were negative in the bilateral wrists and hands. AR 994-95. There was  
9 atrophy in the left arm. AR 995. Dr. Siebold opined the following work  
10 restrictions: no very repetitive motion, cervical spine; no very prolonged  
11 positioning; no very heavy lifting at or above shoulder level; no repetitive  
12 motion, left elbow, and hand wrist with no repetitive fine manipulation and no  
13 forceful activities of the left upper extremity; and no heavy lifting and no  
14 repetitive stooping/bending of the lumbar spine. AR 26, 1001-05.

15 On January 31, 2013, Plaintiff began treatment with Dr. Calderone after  
16 he re-injured his left upper extremity by carrying a 5-gallon jug of water while  
17 looking for work in Arizona.<sup>5</sup> AR 26, 51, 1063-69, 1089. Upon examination,  
18 Dr. Calderone noted: gait – normal; neck – no swelling, no deformity, no  
19 tenderness to palpation, no discomfort on range of motion; upper extremities –  
20 deformity noted throughout the upper extremities bilaterally with no swelling,  
21 tenderness to palpation diffusely throughout the left elbow and tenderness to  
22 the left wrist, full range of motion of the shoulders, limited range of motion of  
23 the left elbow, full range of motion at the left wrist and hand; negative  
24 impingement test of the shoulders; positive medial and lateral epicondylar pain  
25

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26 <sup>5</sup> Five gallons of fresh water weighs 41.7 pounds.  
27 [https://www.reference.com/science/much-5-gallons-water-weigh-  
28 63e621962c79397e](https://www.reference.com/science/much-5-gallons-water-weigh-63e621962c79397e) (last visited June 14, 2016).

1 of the left elbow, and negative Tinel's sign of the bilateral wrists; and back – no  
2 swelling or deformity, no tenderness to palpation of the thoracic or lumbar  
3 spine, and negative straight leg raise. AR 26, 1065-67. Dr. Calderone  
4 diagnosed status post fracture dislocation left elbow with radial head  
5 placement biceps tendon and lateral collateral ligament repair; history of left  
6 wrist TFCC tear; chronic cervical sprain; and chronic lumbar sprain. AR  
7 1068. He noted that Plaintiff had “increased symptoms” and recommended an  
8 updated MRI of the left wrist and cervical spine and CT scan of the left elbow  
9 to check the status of radial head replacement. Id.

10 Plaintiff had updated MRIs of his left wrist and cervical spine in April  
11 2013. AR 26, 1052-56. The MRI of the left wrist indicated positive ulnar  
12 variance, triangular fibrocartilage defect and early changes of arthrosis of the  
13 proximal ulnar lunate; no evidence of fracture; and some dorsal soft tissue  
14 edema. AR 26, 1053. The MRI of the cervical spine indicated focal central  
15 protrusion at C4-5 leading to mild central stenosis; focal central protrusion at  
16 C5-6 leading to borderline central stenosis; no evidence of foraminal narrowing  
17 at any level; no evidence of fracture; and normal-appearing spinal cord. AR  
18 26, 1055. On May 17, 2013, Dr. Calderone opined that Plaintiff could return  
19 to modified work, with the restriction of lifting no greater than 5 pounds. AR  
20 27, 1058-59. On June 14, 2013, and again on November 5, 2013, Dr.  
21 Calderone opined that Plaintiff could return to modified work, but could not  
22 lift more than 10 pounds. AR 27, 1060-61, 1072-73.

23 On September 18, 2013, Dr. Siebold re-examined Plaintiff after not  
24 having seen him since August 11, 2011. AR 1088-1144. Plaintiff reported that  
25 he had been actively searching for work, although he was “worse” than when  
26 he was last seen. AR 1089. He reported that he had used a cane to ambulate  
27 since July 2012 because of increased right knee pain, lumbar spine pain,  
28 bilateral knees, and bilateral feet. AR 1090. Examination indicated flexion

1 below normal with complaints at C5 and C7; left lateral elbow extension,  
2 flexion, supination, and pronation diminished; Tinel’s “questionably positive”  
3 at the left elbow; left wrist complaints with decreased range of motion of the 5<sup>th</sup>  
4 digit; negative Phalen’s and Tinel’s at the left wrist, diminished forward flexion  
5 and extension of the lumbar spine; and x-rays indicating anterior spur at C5-C6  
6 and straightening of the normal cervical lordosis; lumbar spine negative for  
7 spondylolysis or spondylolisthesis; bilateral positive ulnar variance on the left  
8 and right hand and wrist; and some suggestion of degenerative change and  
9 spur formation with degenerative change in the humeral ulnar joint in the left  
10 elbow. AR 1091-95. Dr. Siebold opined no repetitive motion, no prolonged  
11 positioning, and no heavy lifting at or above shoulder level bilaterally; no  
12 repetitive motion of the left elbow, hand and wrist with no repetitive fine  
13 manipulation; no forceful activities with the upper left extremity; no  
14 “substantial work” with the left hand and wrist; and no heavy lifting and no  
15 repetitive stooping and bending of the lumbar spine. AR 1137-43.

16 Plaintiff argues that Dr. Siebold described “what appears to be some  
17 worsening of the MRI” of the cervical spine and noted atrophy of the left arm  
18 in September 2013. *Id.* at 20-21; AR 1137, 1139. Nevertheless, Dr. Siebold  
19 opined work restrictions similar to those opined in August 2011, and he did  
20 not restrict Plaintiff from all use of his left arm or all work in general. AR  
21 1001-05, 1137-43. Moreover, as discussed above, both Dr. Zeman and Dr.  
22 Calderone opined that Plaintiff could return to modified work, which further  
23 contradicts Plaintiff’s allegations that he was unable to perform any work  
24 activity after the alleged disability onset date. AR 681-88, 1058-61, 1072-73.

25 To the extent Plaintiff argues that the ALJ erred by not discussing the  
26 atrophy of his left arm as noted by Dr. Siebold in September 2013, Plaintiff’s  
27 argument fails. Dkt. 19 at 21. Dr. Siebold’s September 2013 report was  
28 submitted by Plaintiff to the Appeals Council on May 22, 2014, after the ALJ’s

1 decision in this matter. AR 1077-1154. Therefore, the ALJ would not have  
2 considered Dr. Siebold's September 2013 report. Nevertheless, even though  
3 Dr. Siebold noted atrophy of the left arm in 2011, he opined that Plaintiff had  
4 use of the left arm, subject to work restrictions. AR 995, 1139. Accordingly,  
5 the evidence of atrophy does not, as Plaintiff argues, support Plaintiff's  
6 allegation that he cannot lift or use his arm at all. Dkt. 19 at 21; AR 47.

7 The ALJ set forth a detailed discussion of the objective evidence and  
8 could have reasonably found that the majority of the medical evidence did not  
9 support Plaintiff's allegations that he was unable to work.

10 b. Plaintiff's conservative treatment was inconsistent with an  
11 alleged inability to perform all work activity.

12 Second, the ALJ noted that Plaintiff's treatment history was generally  
13 conservative. AR 28. Plaintiff did not use narcotic pain medication since  
14 2010, and did not have further corrective surgeries for his left arm or wrist. AR  
15 28, 49, 992, 1065. Plaintiff treated his pain with Mamisan, a topical cream  
16 from Mexico used on horses to cure bones.<sup>6</sup> AR 49, 960. The use of a topical  
17 cream is conservative treatment. See Caniglia v. Colvin, 2016 WL 3096806  
18 (C.D. Cal. June 1, 2016); Diaz v. Colvin, 2015 WL 1238024 (C.D. Cal. Mar.  
19 17, 2015). An ALJ may consider evidence of conservative treatment in  
20 discounting testimony regarding the severity of an impairment. See Parra v.  
21 Astrue, 481 F.3d 742, 751 (9th Cir. 2007). Plaintiff testified that the cream  
22

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23 <sup>6</sup> Mamisan appears to be an over-the-counter ointment for  
24 inflammation and sore muscles, and is readily available on the internet. See,  
25 e.g., [https://www.amazon.com/Mamisan-Unguento-100-](https://www.amazon.com/Mamisan-Unguento-100-grams/dp/B004DR5CG8?ie=UTF8&ref=cm_cr_ar_p_d_product_top)  
26 [grams/dp/B004DR5CG8?ie=UTF8&ref=cm\\_cr\\_ar\\_p\\_d\\_product\\_top](https://www.amazon.com/Mamisan-Unguento-100-grams/dp/B004DR5CG8?ie=UTF8&ref=cm_cr_ar_p_d_product_top) (last  
27 visited June 14, 2016); [www.ebay.com/itm/OINTMENT-Mamisan-](http://www.ebay.com/itm/OINTMENT-Mamisan-Unguento-100g-Ointment-EXPIRATION-DATE-02-2018-FAST-SHIPPING-/171096332545)  
28 [Unguento-100g-Ointment-EXPIRATION-DATE-02-2018-FAST-SHIPPING-](http://www.ebay.com/itm/OINTMENT-Mamisan-Unguento-100g-Ointment-EXPIRATION-DATE-02-2018-FAST-SHIPPING-/171096332545)  
[/171096332545](http://www.ebay.com/itm/OINTMENT-Mamisan-Unguento-100g-Ointment-EXPIRATION-DATE-02-2018-FAST-SHIPPING-/171096332545) (last visited June 14, 2016).



1 works. AR 49. It is true that “[i]mpairments that can be controlled effectively  
2 with medication are not disabling for the purpose of determining eligibility” for  
3 Social Security benefits. Warre v. Comm’r of Soc. Sec. Admin., 439 F.3d  
4 1001, 1006 (9th Cir. 2006).

5 In addition, the ALJ noted “a significant gap in treatment history,” and  
6 that Plaintiff did not seek any treatment between July 2010 and January 2013,  
7 other than a re-examination by AME Dr. Siebold on August 11, 2011. AR 26,  
8 959-87, 1064. In assessing the claimant’s credibility, “unexplained, or  
9 inadequately explained, failure to seek treatment . . . can cast doubt on the  
10 sincerity of the claimant’s pain testimony.” Fair v. Bowen, 885 F.2d 597, 603  
11 (9th Cir. 1989). In the Reply, Plaintiff argues that the ALJ should have  
12 inquired into this issue at the hearing, as “[t]here may be several reasons  
13 [Plaintiff] did not treat, such as cost or lack of coverage.”<sup>7</sup> Dkt. 19 at 27.  
14 Other than a reference to a six-month delay in approving a visit to Dr.  
15 Calderone after Plaintiff’s June 28, 2012 injury, the record lacks evidence  
16 showing that the gap in treatment was caused by Plaintiff’s lack of ability to  
17 pay for treatment. AR 1089; see Orn, 495 F.3d at 638 (9th Cir. 2007) (gaps in  
18 treatment do not constitute a clear and convincing reason for discounting  
19 credibility if the claimant lacked the financial ability to pay for treatment).

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21 <sup>7</sup> Plaintiff relies on Soto-Olarte v. Holder, 555 F.3d 1089, 1092 (9th  
22 Cir. 2009), and Campos-Sanchez v. INS, 164 F.3d 448, 450 (9th Cir. 1999), for  
23 the proposition that an ALJ must confront a claimant with an inconsistency in  
24 testimony, and if an explanation is made, address that explanation. Dkt. 19 at  
25 27. Soto-Olarte and Campos-Sanchez are immigration cases. “[D]istrict  
26 courts within the Ninth Circuit have rejected the contention that the rule  
27 articulated in Soto-Olarte applies in the social security disability context.”  
28 Mulay v. Colvin, 2015 WL 1823261, at \*6 (C.D. Cal. Apr. 22, 2015)  
(collecting cases). Plaintiff cites no authority for the proposition that the Soto-  
Olarte rule applies in adjudicating social security disability appeals.

1 Furthermore, the record indicates that Plaintiff had been “released” by Dr.  
2 Zeman in approximately May 2010, and Plaintiff did not seek further  
3 treatment until his June 2012 injury. AR 960, 1089. Under these  
4 circumstances, the ALJ properly considered the gap in treatment as part of the  
5 credibility determination. See Burch, 400 F.3d at 681 (ALJ properly  
6 considered a “three or four month” gap in treatment in discounting claimant’s  
7 pain testimony).

8 c. The ALJ’s reliance on Plaintiff’s daily activities was  
9 harmless error.

10 Third, the ALJ discounted Plaintiff’s credibility based on his activities of  
11 daily living. AR 28.

12 The ALJ noted that Plaintiff’s activities of daily living reveal a person  
13 capable of performing some level of substantial gainful activities. AR 28. The  
14 ALJ cited Plaintiff’s testimony that he was unable to work due to pain and  
15 limited ability to reach, and his testimony that he cooks, cleans, watches  
16 television, socializes, mows the lawn, drives a car, and can manage his own  
17 money. Id.; AR 47-48. Specifically, the ALJ noted that on August 22, 2011,  
18 Plaintiff reported that he could walk up to 2 miles, stand for 20 minutes at a  
19 time, drive his own car, take public transportation, and do light housekeeping  
20 chores without assistance. AR 24, 211-13. On September 1, 2011, Plaintiff  
21 reported that he does his own grocery shopping, cleans his room, does laundry  
22 once a week, and walks 3 times a day for a mile each time. AR 24, 232-34.  
23 On November 15, 2011, Plaintiff reported that he has difficulty putting on his  
24 clothes due to his left arm limitations, he prepares simple meals, performs  
25 household chores, manages his own money, recycles cans and plastic bottles to  
26 pay his bills, and socializes. AR 24, 237-44. The ALJ found that “[t]his sort of  
27 activity reveals a claimant who is not in continuous pain, nor incapable of  
28 work.” AR 28.

1           The Court finds that the ALJ’s description of Plaintiff’s daily activities  
2 was incomplete, and thus this was not a clear and convincing reason to  
3 discount Plaintiff’s credibility. On August 22, 2011, Plaintiff stated that he  
4 needed assistance with carrying laundry and groceries, picking up the laundry  
5 basket, mopping, and cleaning the kitchen. AR 213. On September 1, 2011,  
6 Plaintiff stated that although he takes walks 3 times a day, he also lies down 4  
7 times a day for 40 minutes and sleeps 3 times a day for 2 hours. AR 232. He  
8 cleans his room and does his laundry once a week. AR 233. On November  
9 15, 2011, Plaintiff stated that he vacuums once a week, but cannot mop or use  
10 the lawn mower.<sup>8</sup> AR 239-40. He shops in the store once a week. AR 240.  
11 His social activities consist of talking to a few people at the park where he  
12 recycles daily and visiting his mother twice a month. AR 241. At the hearing  
13 on October 9, 2013, Plaintiff testified that he lives in his car and spends most of  
14 his day sitting in his car and sometimes recycling at the park. AR 50. He  
15 cannot cook or clean house, he occasionally watches television at his mother’s  
16 house on the weekends, he goes to the store to buy cold food, and he does not  
17 socialize. Id. While Plaintiff’s activities suggest some difficulty in functioning,  
18 it is not clear that they contradict claims of a totally debilitating impairment.  
19 See Molina, 674 F.3d at 1113 (“Even where [claimant’s] activities suggest  
20 some difficulty functioning, they may be grounds for discrediting the  
21 claimant’s testimony to the extent that they contradict claims of a totally  
22 debilitating impairment.”). However, any error was harmless because the ALJ  
23 provided two other valid reasons for discounting Plaintiff’s credibility that  
24 were each supported by substantial evidence, and were sufficiently clear and  
25 convincing. See Burch, 400 F.3d at 681.

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27           <sup>8</sup> Plaintiff indicated he could mow the grass on May 1, 2009, which  
28 was prior to the alleged disability onset date. AR 498.

1 On appellate review, this Court is limited to determining whether the  
2 ALJ properly identified reasons for discrediting Plaintiff's credibility. Smolen,  
3 80 F.3d at 1284. The lack of supporting objective evidence and conservative  
4 treatment were proper and sufficiently specific bases for discounting Plaintiff's  
5 claims of disabling symptoms, and the ALJ's reasoning was clear and  
6 convincing. See Tommasetti, 533 F.3d at 1039-40; Houghton v. Comm'r Soc.  
7 Sec. Admin., 493 F. App'x 843, 845 (9th Cir. 2012). Because the ALJ's  
8 findings were supported by substantial evidence, this Court may not engage in  
9 second-guessing. See Thomas, 278 F.3d at 959; Fair, 885 F.2d at 604.  
10 Remand is therefore not warranted on this issue.

11 **IV.**

12 **CONCLUSION**

13 For the reasons stated above, the decision of the Social Security  
14 Commissioner is AFFIRMED and the action is DISMISSED with prejudice.

15  
16 Dated: June 20, 2016

*Karen E. Scott*

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17 KAREN E. SCOTT  
18 United States Magistrate Judge  
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