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11 12 JEFFREY FOX,

Security,

v.

NANCY A. BERRYHILL, Acting

Commissioner of Social

**PROCEEDINGS** 

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# 18 **I.**

Plaintiff seeks review of the Commissioner's final decision denying his application for Social Security disability insurance benefits ("DIB"). The parties consented to the jurisdiction of the undersigned U.S. Magistrate Judge under 28 U.S.C. § 636(c). The matter is before the Court on the parties' Joint Stipulation, filed May 2, 2017, which the Court has taken under submission without oral argument. For the reasons stated below, the Commissioner's decision is affirmed.

UNITED STATES DISTRICT COURT

CENTRAL DISTRICT OF CALIFORNIA

Plaintiff,

Defendant.

) Case No. CV 16-4738-JPR

AFFIRMING COMMISSIONER

) MEMORANDUM DECISION AND ORDER

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#### II. BACKGROUND

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Plaintiff was born in 1951. (Administrative Record ("AR") 122.) He obtained a GED (AR 141) and worked as a real estate agent (AR 33).

On March 6, 2013, Plaintiff filed an application for DIB, alleging that he had been unable to work since March 1, 2007, because of post-traumatic-stress disorder, bipolar disorder, depression, hearing loss, arthritis, sleep apnea, hypothyroid, and degenerative disc disease. (AR 60, 122-29.) After his application was denied (AR 60-69), he requested a hearing before an Administrative Law Judge (AR 75-76). A hearing was held on December 3, 2015, at which Plaintiff, who was represented by counsel, testified, as did a vocational expert. (AR 29-59.) In a written decision issued March 9, 2016, the ALJ found that Plaintiff was not disabled at any time between October 1, 2007, his date first insured, and December 31, 2008, his date last insured. (AR 11-28.) Plaintiff requested review from the Appeals Council, and on April 29, 2016, it denied review. 4.) This action followed.

#### III. STANDARD OF REVIEW

Under 42 U.S.C. § 405(g), a district court may review the Commissioner's decision to deny benefits. The ALJ's findings and decision should be upheld if they are free of legal error and supported by substantial evidence based on the record as a whole.

See id.; Richardson v. Perales, 402 U.S. 389, 401 (1971); Parra v. Astrue, 481 F.3d 742, 746 (9th Cir. 2007). Substantial evidence means such evidence as a reasonable person might accept as adequate to support a conclusion. Richardson, 402 U.S. at

401; Lingenfelter v. Astrue, 504 F.3d 1028, 1035 (9th Cir. 2007). It is more than a scintilla but less than a preponderance.

Lingenfelter, 504 F.3d at 1035 (citing Robbins v. Soc. Sec.

Admin., 466 F.3d 880, 882 (9th Cir. 2006)). To determine whether substantial evidence supports a finding, the reviewing court "must review the administrative record as a whole, weighing both the evidence that supports and the evidence that detracts from the Commissioner's conclusion." Reddick v. Chater, 157 F.3d 715, 720 (9th Cir. 1996). "If the evidence can reasonably support either affirming or reversing," the reviewing court "may not substitute its judgment" for the Commissioner's. Id. at 720-21.

#### IV. THE EVALUATION OF DISABILITY

People are "disabled" for purposes of receiving Social Security benefits if they are unable to engage in any substantial gainful activity owing to a physical or mental impairment that is expected to result in death or has lasted, or is expected to last, for a continuous period of at least 12 months. 42 U.S.C. § 423(d)(1)(A); Drouin v. Sullivan, 966 F.2d 1255, 1257 (9th Cir. 1992).

## A. The Five-Step Evaluation Process

The ALJ follows a five-step sequential evaluation process to assess whether a claimant is disabled. 20 C.F.R.

§ 404.1520(a)(4); Lester v. Chater, 81 F.3d 821, 828 n.5 (9th Cir. 1996) (as amended). In the first step, the Commissioner must determine whether the claimant is currently engaged in substantial gainful activity; if so, the claimant is not disabled and the claim must be denied. § 404.1520(a)(4)(i).

If the claimant is not engaged in substantial gainful

activity, the second step requires the Commissioner to determine whether the claimant has a "severe" impairment or combination of impairments significantly limiting his ability to do basic work activities; if not, the claimant is not disabled and his claim must be denied. § 404.1520(a)(4)(ii).

If the claimant has a "severe" impairment or combination of impairments, the third step requires the Commissioner to determine whether the impairment or combination of impairments meets or equals an impairment in the Listing of Impairments set forth at 20 C.F.R. part 404, subpart P, appendix 1; if so, disability is conclusively presumed. § 404.1520(a)(4)(iii).

If the claimant's impairment or combination of impairments does not meet or equal an impairment in the Listing, the fourth step requires the Commissioner to determine whether the claimant has sufficient residual functional capacity ("RFC")<sup>1</sup> to perform his past work; if so, he is not disabled and the claim must be denied. § 404.1520(a)(4)(iv). The claimant has the burden of proving he is unable to perform past relevant work. <u>Drouin</u>, 966 F.2d at 1257. If the claimant meets that burden, a prima facie case of disability is established. <u>Id.</u>

If that happens or if the claimant has no past relevant work, the Commissioner then bears the burden of establishing that the claimant is not disabled because he can perform other substantial gainful work available in the national economy. § 404.1520(a)(4)(v); Drouin, 966 F.2d at 1257. That

<sup>&</sup>lt;sup>1</sup> RFC is what a claimant can do despite existing exertional and nonexertional limitations. § 404.1545; see Cooper v. Sullivan, 880 F.2d 1152, 1155 n.5 (9th Cir. 1989).

determination comprises the fifth and final step in the sequential analysis. § 404.1520(a)(4)(v); Lester, 81 F.3d at 828 n.5; Drouin, 966 F.2d at 1257.

## B. The ALJ's Application of the Five-Step Process

At step one, the ALJ cited "conflicting evidence" and made no finding about whether Plaintiff had engaged in substantial gainful activity from October 1, 2007, his date first insured, through December 31, 2008, his date last insured, proceeding instead to the next step of the sequential analysis.<sup>2</sup> (AR 16.) At step two, she concluded that during the relevant time period Plaintiff had the severe impairments of bipolar disorder, depression, PTSD, "degenerative disc disease of the cervical spine," and rheumatoid arthritis.<sup>3</sup> (AR 17.) At step three, she determined that Plaintiff's impairments did not meet or equal a listing. (Id.)

At step four, the ALJ found that through his date last insured, Plaintiff had the RFC to perform modified medium work: he could "sit[], stand[] and/or walk[] up to 6 hours in an 8-hour workday," with "no climbing of ladders, ropes, or scaffolds[,] no work around hazards[,] [and] occasional stooping, crouching, crawling and climbing ramps and stairs"; he was "limited to simple, routine work and occasional public contact." (AR 18.) Based on the VE's testimony, the ALJ concluded that during the relevant period Plaintiff could not have performed his past work

 $<sup>^{2}</sup>$  The ALJ noted that some Veterans Administration records indicate Plaintiff was employed full time during the relevant period. (AR 16.)

<sup>&</sup>lt;sup>3</sup> Plaintiff does not challenge the ALJ's finding that his other alleged impairments were not severe.

as a real estate broker. (AR 22.) At step five, she relied on the VE's testimony to find that given Plaintiff's age, education, work experience, and RFC for medium work "impeded by additional limitations," he could have performed three medium, unskilled "representative occupations" in the national economy: "dietary aide," DOT 319.677-014, 1991 WL 672771,4 (2) "laundry worker I," DOT 361.684-014, 1991 WL 672983, and (3) "hand packager," DOT 920.587-018, 1991 WL 687916. (AR 22-23.) The ALJ determined that the VE's testimony was consistent with the DOT. (AR 23.) Accordingly, she found that Plaintiff was not disabled during the relevant time period. (Id.)

#### V. DISCUSSION

Plaintiff argues that the ALJ erred in considering the medical evidence and determining his RFC. (See J. Stip. at 4-7, 11-12.) Specifically, he contends that she failed to incorporate into his RFC portions of the opinion of state-agency psychologist Eric Oritt even though she gave his opinion "significant weight." (Id. at 4-6; see AR 21.) For the reasons discussed below, remand is not warranted.

### A. Applicable Law

A claimant's RFC is "the most [he] can still do" despite his impairments and related symptoms, which "may cause physical and

<sup>&</sup>lt;sup>4</sup> The occupation as listed in the Dictionary of Occupational Titles is "food-service worker, hospital"; "dietary aide" is an alternative title. <u>See</u> DOT 319.677-014, 1991 WL 672771.

<sup>&</sup>lt;sup>5</sup> Dr. Oritt's signature line includes a medical-consultant code of "38," indicating "[p]sychology" (AR 67); see Program Operations Manual System (POMS) DI 24501.004, U.S. Soc. Sec. Admin. (May 5, 2015), https://secure.ssa.gov/poms.nsf/lnx/0424501004.

mental limitations that affect what [he] can do in a work setting." § 404.1545(a)(1). A district court must uphold an ALJ's RFC assessment when the ALJ has applied the proper legal standard and substantial evidence in the record as a whole supports the decision. Bayliss v. Barnhart, 427 F.3d 1211, 1217 (9th Cir. 2005). The ALJ must consider all the medical opinions "together with the rest of the relevant evidence [on record]." § 404.1527(b); see also § 404.1545(a)(1) ("We will assess your residual functional capacity based on all the relevant evidence in your case record.").

The ALJ considers findings by state-agency medical consultants and experts as opinion evidence. § 404.1527(e). "[T]he findings of a nontreating, nonexamining physician can amount to substantial evidence, so long as other evidence in the record supports those findings." Saelee v. Chater, 94 F.3d 520, 522 (9th Cir. 1996) (per curiam). An ALJ need not recite "magic words" to reject a physician's opinion or a portion of it; the court may draw "specific and legitimate inferences" from the

<sup>&</sup>lt;sup>6</sup> Social Security regulations regarding the evaluation of opinion evidence were amended effective March 27, 2017. here, the ALJ's decision is the final decision of the Commissioner, the reviewing court generally applies the law in effect at the time of the ALJ's decision. See Lowry v. Astrue, 474 F. App'x 801, 805 n.2 (2d Cir. 2012) (applying version of regulation in effect at time of ALJ's decision despite subsequent amendment); Garrett ex rel. Moore v. Barnhart, 366 F.3d 643, 647 (8th Cir. 2004) ("We apply the rules that were in effect at the time the Commissioner's decision became final."); Spencer v. <u>Colvin</u>, No. 3:15-CV-05925-DWC, 2016 WL 7046848, at \*9 n.4 (W.D. Wash. Dec. 1, 2016) ("42 U.S.C. § 405 does not contain any express authorization from Congress allowing the Commissioner to engage in retroactive rulemaking"). Accordingly, citations to 20 C.F.R. § 404.1527 are to the version in effect from August 24, 2012, to March 26, 2017.

ALJ's opinion. Magallanes v. Bowen, 881 F.2d 747, 755 (9th Cir. 1989). "[I]n interpreting the evidence and developing the record, the ALJ does not need to 'discuss every piece of evidence.'" Howard ex rel. Wolff v. Barnhart, 341 F.3d 1006, 1012 (9th Cir. 2003) (quoting Black v. Apfel, 143 F.3d 383, 386 (8th Cir. 1998)). The Court must consider the ALJ's decision in the context of "the entire record as a whole," and if the "'evidence is susceptible to more than one rational interpretation,' the ALJ's decision should be upheld." Ryan v. Comm'r of Soc. Sec., 528 F.3d 1194, 1198 (9th Cir. 2008) (citation omitted).

## B. Relevant Background

On March 13, 2014, Dr. Oritt completed the mental portion of the disability determination for Plaintiff's DIB claim. (AR 64-67.) After reviewing the medical evidence, Dr. Oritt found that Plaintiff had a "mild" restriction in activities of daily living and "moderate" difficulties in maintaining social functioning and concentration, persistence, or pace. (AR 65.) He had had "[o]ne or [t]wo" episodes of decompensation, each of extended duration. (Id.)

Dr. Oritt assessed Plaintiff's mental RFC. (AR 65-67.) He opined that Plaintiff had no limitations in the area of understanding and memory. (AR 66.) He had "moderate" limitations in maintaining attention and concentration for extended periods; performing activities within a schedule, maintaining regular attendance, and being punctual within customary tolerances; working in coordination with or in proximity to others without being distracted by them; completing

a normal workday and workweek without interruptions from psychologically based symptoms; and performing at a consistent pace without an unreasonable number and length of rest periods.

(Id.) Dr. Oritt found that Plaintiff had no significant limitations in carrying out short and simple, or detailed, instructions; sustaining an ordinary routine without special supervision; or making simple work-related decisions. (Id.) When asked to explain Plaintiff's "sustained concentration and persistence capacities and/or limitations," Dr. Oritt opined that "[a] more flexible and low demand work environment would be preferable but not required." (Id.) He noted that Plaintiff would require only "[o]rdinary supervision." (Id.)

In the area of social interaction, Dr. Oritt opined that Plaintiff had "moderate" limitations in interacting appropriately with the general public, accepting instructions and responding appropriately to criticism from supervisors, maintaining socially appropriate behavior, and adhering to basic standards of neatness and cleanliness; he had no significant limitations in his ability to ask simple questions, request assistance, or get along with coworkers or peers without distracting them or exhibiting behavioral extremes. (AR 66-67.) When asked to explain Plaintiff's "social interaction capacities and/or limitations," Dr. Oritt opined that he "would do best in a job not requiring customer service, contact with [the] public, [or] demanding social interaction." (AR 67.) He would, however, "be able to cooperate with co-workers." (Id.)

In the area of adaptation, Dr. Oritt opined that Plaintiff had "moderate" limitations in responding appropriately to changes

in the work setting, setting realistic goals, and making plans independently of others; he had no significant limitations in his ability to be aware of normal hazards and take appropriate precautions, travel in unfamiliar places, or use public transportation. (Id.) When asked to explain Plaintiff's "adaptation capacities and/or limitations," Dr. Oritt opined that he "would function best in a workplace setting with defined workplace tasks," where he would "not be required to develop independent workplace goals." (Id.)

### C. Analysis

The ALJ limited Plaintiff to "simple, routine work and occasional public contact." (AR 18.) In assessing Plaintiff's RFC, she gave "significant weight" to Dr. Oritt's opinion, finding that it was "both consistent with and supported by the substantial medical evidence of record and [Plaintiff]'s allegations and presentation at the hearing." (AR 21 (citing Ex. 1A).) Plaintiff does not contend that the ALJ erred in giving "significant weight" to the opinion; instead, he argues that the ALJ erred because portions of his RFC "differ[] from Dr. Oritt's opinion and there is no explanation for the deviation." (See J. Stip. at 6.) Specifically, Plaintiff contends that the ALJ

 $<sup>^7</sup>$  It is not entirely clear that Dr. Oritt's 2014 findings related to the relevant period. Although he indicated that his mental-RFC evaluation was for "Date Last Insured: 12/31/2008" (AR 65), the medical records he reviewed were recent and his findings were all made in the present tense (see AR 66-67). Because neither party contends otherwise, the Court assumes that Dr. Oritt's evaluation was for the relevant period.

<sup>&</sup>lt;sup>8</sup> Plaintiff does not challenge the ALJ's physical-RFC assessment, credibility findings, or indeed any other portion of her decision.

failed to incorporate Dr. Oritt's alleged findings that he "could not work with the public" or in a "service occupation" and "would need defined tasks" and "preferable [sic] low stress." (Id. at 7.)

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that contradicts his RFC.

Plaintiff misstates Dr. Oritt's opinion. Dr. Oritt did not opine that Plaintiff "could not work with the public"; he stated that he was "[m]oderately limited" in his ability to "interact appropriately" in that area (AR 66) and "would do best" in a job "not requiring . . . contact with the public" (AR 67). Dr. Oritt did not opine that Plaintiff could not work in a "service occupation"; rather, he found that he "would do best" in a position "not requiring customer service." (Id.) He did not limit Plaintiff to only "defined tasks"; he noted that he "would function best" in a setting with "defined workplace tasks." (Id.) And he did not limit Plaintiff to "low stress" work; rather, he noted that "[a] more flexible and low demand work environment would be preferable but not required." (AR 66.) Oritt stated preferences, not requirements, for work that would accommodate Plaintiff's limitations. The ALJ's interpretation of those recommendations was reasonable; she was not required to address every word of Dr. Oritt's opinion, as Plaintiff suggests. See Ryan, 528 F.3d at 1198; Howard, 341 F.3d at 1012.

<sup>&</sup>lt;sup>9</sup> Notably, the ALJ specifically rejected those portions of the opinion of Plaintiff's treating doctor, Dr. Douglas Sears, that assessed greater limitations than those found by Dr. Oritt (see AR 21-22), including his opinion that Plaintiff could not work with the public or in situations of high stress (AR 266-78). Plaintiff does not challenge the weight the ALJ gave to Dr. Sears's opinions nor point to any other medical-opinion evidence

Further, as Defendant points out, Plaintiff's RFC is "fully consistent" with the actual limitations Dr. Oritt imposed. Stip. at 8.) The ALJ limited Plaintiff to "simple, routine work and occasional public contact." (AR 18.) Dr. Oritt opined that Plaintiff had moderate limitations in his ability to "perform at a consistent pace without an unreasonable number and length of rest periods" and in concentration and persistence, but he found no limitations in his ability to carry out short and simple, or detailed, instructions, make simple work-related decisions, or sustain an ordinary routine without special supervision. 66.) He found that Plaintiff had the capacity to work under ordinary supervision and cooperate with coworkers, but that he "would function best" with "defined workplace tasks" and no requirement that he "develop independent workplace goals." (AR 66-67.) Dr. Oritt opined that "[a] more flexible and low demand work environment" was preferable but not required (AR 66), and that Plaintiff "would do best" without public contact or "demanding social interaction" (AR 67). Those limitations were properly translated by the ALJ into a restriction to "simple, routine work" with only "occasional public contact." See Stubbs-<u>Danielson v. Astrue</u>, 539 F.3d 1169, 1173-74 (9th Cir. 2008) (finding that ALJ's limitation to "simple, routine, repetitive" work sufficiently accommodated medical-opinion evidence that claimant had "moderate" limitation in pace and "other mental limitations regarding attention, concentration, and adaption"); Hughes v. Colvin, 599 F. App'x 765, 766 (9th Cir. 2015) (ALJ's RFC assessment accounted for moderate difficulties in social functioning, concentration, and persistence by restricting

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claimant to simple, routine, repetitive tasks in job where she could work independently, with no more than occasional public interaction); Rodriquez v. Colvin, No. 1:13-CV-01716-SKO, 2015 WL 1237302, at \*6 (E.D. Cal. Mar. 17, 2015) ("a moderate limitation in the ability to complete a workday or workweek without interruption is consistent with and properly captured by a limitation to simple repetitive tasks"); McLain v. Astrue, No. SACV 10-1108 JC, 2011 WL 2174895, at \*6 (C.D. Cal. June 3, 2011) ("[m]oderate mental functional limitations . . . are not per se disabling, nor do they preclude the performance of jobs that involve simple, repetitive tasks" (citations omitted)).

Even assuming the ALJ erred in failing to include in Plaintiff's RFC a prohibition on public contact or a specific requirement of "low stress" and "defined tasks" (J. Stip. at 7), any error was harmless. The VE testified that a person with Plaintiff's RFC could perform three representative occupations: dietary aide, DOT 319.677-014, 1991 WL 672771, laundry worker, DOT 361.684-014, 1991 WL 672983, and hand packager, DOT 920.587-018, 1991 WL 687916. (AR 54.) The VE further testified that 13,828 laundry-worker jobs and 43,123 hand-packager jobs were available nationally. (Id.) As Defendant argues, those two jobs are consistent with Plaintiff's alleged additional limitations. (J. Stip. at 9.)

A laundry worker "[w]ashes and irons . . . linens and

Defendant appears to concede that the dietary-aide job would involve some level of public contact. (See J. Stip. at 9 (arguing harmless error for only laundry-worker and hand-packager jobs)); see also DOT 319.677-014, 1991 WL 672771.

clothes used by employees . . . or washes uniforms, aprons, and towels in establishments supplying employees with these linens," "[u]s[ing] equipment usually found in household or in small laundry." DOT 361.684-014, 1991 WL 672983. A hand packager "[p]ackages materials and products manually" and variously "[c]leans packaging containers," "[1]ines and pads crates and assembles cartons," "[o]btains and sorts product," "[w]raps protective material around product," "[s]tarts, stops, and regulates speed of conveyor," "[i]nserts or pours product into containers or fills containers from spout or chute," "[w]eighs containers and adjusts quantity," "[n]ails, glues, or closes and seals containers," "[1]abels containers, container tags, or products," "[s]orts bundles or filled containers," "[p]acks special arrangements or selections of product," "[i]nspects materials, products, and containers at each step of packaging process," and "[r]ecords information, such as weight, time, and date packaged." DOT 920.587-018, 1991 WL 687916.

Both jobs have a list of defined tasks and do not appear to involve any public contact or obviously stressful work. Indeed, neither could reasonably be described as a "customer service" occupation; both require the lowest level of public interaction and list "talking" as "not present." See DOT 361.684-014, 1991 WL 672983; DOT 920.587-018, 1991 WL 687916; DOT app. B - Explanation of Data, People, and Things, 1991 WL 688701. Any error was thus harmless. See Stout v. Comm'r, Soc. Sec. Admin., 454 F.3d 1050, 1055 (9th Cir. 2006) (nonprejudicial or irrelevant mistakes are harmless); Gallo v. Comm'r of Soc. Sec. Admin., 449 F. App'x 648, 650 (9th Cir. 2011) ("Because the ALJ satisfied his

burden at Step 5 by relying on the VE's testimony about the Addresser job, any error that the ALJ may have committed by relying on the testimony about the 'credit checker' job was harmless" (citing Carmickle v. Comm'r, Soc. Sec. Admin., 533 F.3d 1155, 1162 (9th Cir. 2008)); see also Tommasetti v. Astrue, 533 F.3d 1035, 1043-44 (9th Cir. 2008) (holding that VE's testimony describing single occupation for which significant number of jobs existed sufficed). Some 57,000 jobs available nationally between the hand-packager and laundry-worker jobs is a significant number. See Gutierrez v. Comm'r of Soc. Sec., 740 F.3d 519, 528-29 (9th Cir. 2014) (holding that 25,000 nationally available jobs presented "close call" but nonetheless sufficed as "work which exists in significant numbers").

#### VI. CONCLUSION

Consistent with the foregoing and under sentence four of 42 U.S.C. § 405(q), 11 IT IS ORDERED that judgment be entered AFFIRMING the Commissioner's decision, DENYING Plaintiff's request for remand, and DISMISSING this action with prejudice.

U.S. Magistrate Judge

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DATED: July 27, 2017

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<sup>11</sup> That sentence provides: "The [district] court shall have power to enter, upon the pleadings and transcript of the record, 27 a judgment affirming, modifying, or reversing the decision of the Commissioner of Social Security, with or without remanding the 28 cause for a rehearing."