



1 Administrative Law Judge ("ALJ") at the California Office of  
2 Administrative Hearings ("OAH"). Plaintiffs also seek to recover  
3 attorneys' fees and expenses in connection with the underlying  
4 administrative proceeding and this action pursuant to 20 U.S.C.  
5 § 1415(i)(2)(B). (Complaint at 1-2). Upon the parties' consent,  
6 this matter was reassigned to the undersigned Magistrate Judge on  
7 September 21, 2017. (Dkt. No. 25).

8  
9 The parties filed their respective opening briefs on April  
10 27, 2018. ("P Br.," Dkt. No. 43; "D Br.," Dkt. No. 44). The  
11 District filed the Administrative Record on May 2, 2018. ("AR,"  
12 Dkt. No. 45). The parties' respective Oppositions were filed on  
13 May 18, 2018. ("P Opp.," Dkt. No. 46; "D Opp.," Dkt. No. 47). On  
14 June 21, 2018, the Court held a hearing.

15  
16 For the reasons stated below and on the record at the hearing,  
17 Plaintiffs' Appeal is GRANTED IN PART and DENIED IN PART. The  
18 Court reverses the portion of ALJ's Decision finding that the April  
19 2016 IEP offered Student a FAPE despite Defendant's failure to  
20 conduct a functional behavior assessment and the significant  
21 impediment that that failure posed to Parents' meaningful  
22 participation in the IEP process. The Court further reverses the  
23 ALJ's finding that Student should not be awarded any compensatory  
24 education one-on-one aide services. Accordingly, the Court AWARDS  
25 Student an increase in the compensatory education services granted  
26 by the ALJ to include an additional: seven hours of individual  
27 counseling by a credentialed District counselor; seven hours of  
28 speech and language therapy from a District speech and language

1 pathologist; sixty minutes of behavior intervention services from  
2 a District behaviorist; and fifty-two and a half hours of one-on-  
3 one aide services. Plaintiffs' request for reimbursement of  
4 \$700.00 for the cost of Dr. Ott's services is also GRANTED. All  
5 of Plaintiffs' remaining claims and requests are DENIED.  
6 Plaintiffs may file a Motion for Attorneys' Fees within thirty days  
7 of the date of this Order, as more fully discussed in Part VII.E  
8 below.

9  
10 **II.**

11 **STATUTORY OVERVIEW**

12  
13 Under the IDEA, "[a] child is substantively eligible for  
14 special education and related services if he is a 'child with a  
15 disability,' which is statutorily defined, in relevant part, as a  
16 child with a serious emotional disturbance, other health  
17 impairment, or specific learning disability and who, by reason  
18 thereof, needs special education and related services." L.J. by &  
19 through Hudson v. Pittsburg Unified Sch. Dist., 850 F.3d 996, 1003  
20 (9th Cir. 2017) (citing 20 U.S.C. § 1401(3)(A)). However, "[e]ven  
21 if a child has such a disability, he or she does not qualify for  
22 special education services if support provided through the regular  
23 school program is sufficient." L.J., 850 F.3d at 1003.  
24 Accordingly, as the Ninth Circuit has explained,

25  
26 "[t]he IDEA provides federal funds to assist state and  
27 local agencies in educating children with disabilities,  
28 but conditions such funding on compliance with certain

1 goals and procedures.” Ojai Unified Sch. Dist. v.  
2 Jackson, 4 F.3d 1467, 1469 (9th Cir. 1993). The IDEA  
3 seeks “to ensure that all children with disabilities  
4 have available to them a free appropriate public  
5 education.” 20 U.S.C. § 1400(d)(1)(A). “A FAPE is  
6 defined as an education that is provided at public  
7 expense, meets the standards of the state educational  
8 agency, and is in conformity with the student’s IEP  
9 [individualized education program].” Baquerizo v.  
10 Garden Grove Unified Sch. Dist., 826 F.3d 1179, 1184  
11 (9th Cir. 2016) (citing 20 U.S.C. § 1401(9)). Upon  
12 request of a parent or agency, a local educational agency  
13 must “conduct a full and individual initial evaluation”  
14 to determine whether a child has a disability and the  
15 child’s educational needs. 20 U.S.C. § 1414(a)(1)(A)-  
16 (C). If a child is determined to have a disability, a  
17 team including a local educational agency  
18 representative, teachers, parents, and in some cases,  
19 the child, formulates an IEP. [FN4] § 1414(d)(1)(B).  
20 The local educational agency must conduct a reevaluation  
21 of the child if it “determines that the educational or  
22 related services needs, including improved academic  
23 achievement and functional performance, of the child  
24 warrant a reevaluation,” or if a reevaluation is  
25 requested by the child’s parents or teacher.  
26 § 1414(a)(2)(A).

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28 \\

1 [FN4] An IEP includes the following: 1) a  
2 statement about the child's level of academic  
3 achievement; 2) "measurable annual goals";  
4 3) a description of how the child's progress  
5 towards the goals will be measured; and 4) a  
6 statement of the special education and other  
7 services to be provided. 20 U.S.C.  
8 § 1414(d)(1)(A).  
9

10 The IDEA permits parents and school districts to  
11 file due process complaints "with respect to any matter  
12 relating to the identification, evaluation, or  
13 educational placement of the child, or the provision of  
14 a free appropriate public education to such child."  
15 § 1415(b)(6)(A). The state educational agency or local  
16 educational agency hears due process complaints in  
17 administrative due process hearings. § 1415(f)(1)(A).  
18 If a party disagrees with the administrative findings  
19 and decision, the IDEA allows for judicial review in  
20 state courts and federal district courts.  
21 § 1415(i)(2)(A).  
22

23 Avila v. Spokane Sch. Dist. 81, 852 F.3d 936, 939-40 (9th Cir.  
24 2017).

25  
26 Parental involvement in the IEP process "is a central feature  
27 of the IDEA." Hoelt v. Tucson Unified Sch. Dist., 967 F.2d 1298,  
28 1300 (9th Cir. 1992). As the Ninth Circuit has emphasized:

1 Parental participation in the IEP and educational  
2 placement process is critical to the organization of the  
3 IDEA. See 20 U.S.C. § 1414(d)(1)(B)(i) (requiring the  
4 inclusion of parents on the IEP team); 34 C.F.R.  
5 § 300.321(a)(1) (same); 20 U.S.C. § 1415(b)(1)  
6 (requiring opportunities for parents "to participate in  
7 meetings with respect to identification, evaluation and  
8 educational placement of the child"). Indeed, the  
9 Supreme Court has stressed that the IDEA's structure  
10 relies upon parental participation to ensure the  
11 substantive success of the IDEA in providing quality  
12 education to disabled students:

13  
14 [W]e think that the importance Congress  
15 attached to these procedural safeguards cannot  
16 be gainsaid. It seems to us no exaggeration  
17 to say that Congress placed every bit as much  
18 emphasis upon compliance with procedures  
19 giving parents and guardians a large measure  
20 of participation at every stage of the  
21 administrative process as it did upon the  
22 measurement of the resulting IEP against a  
23 substantive standard. We think that the  
24 congressional emphasis upon full  
25 participation of concerned parties throughout  
26 the development of the IEP . . . demonstrates  
27 the legislative conviction that adequate  
28 compliance with the procedures prescribed

1           would in most cases assure much if not all of  
2           what Congress wished in the way of substantive  
3           content in an IEP.

4  
5           [Bd. of Educ. v. Rowley, 458 U.S. 176, 205-06 (1982)]  
6           (citation omitted). . . .

7  
8           Echoing the Supreme Court, we have held that parental  
9           participation safeguards are “[a]mong the most important  
10          procedural safeguards” in the IDEA and that  
11          “[p]rocedural violations that interfere with parental  
12          participation in the IEP formulation process undermine  
13          the very essence of the IDEA.” [Amanda J. v. Clark Cnty.  
14          Sch. Dist., 267 F.3d 877, 882, 892 (9th Cir. 2001)]. We  
15          have explained that parental participation is key to the  
16          operation of the IDEA for two reasons: “Parents not  
17          only represent the best interests of their child in the  
18          IEP development process, they also provide information  
19          about the child critical to developing a comprehensive  
20          IEP and which only they are in a position to know.” Id.  
21          at 882.

22  
23          Doug C. v. Hawaii Dep’t of Educ., 720 F.3d 1038, 1043-44 (9th Cir.  
24          2013).

25          \\  
26          \\  
27          \\  
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1 Student's behavior history were inaccurate, as Student had  
2 exhibited pervasive behavioral problems in preschool. Student had  
3 received behavioral interventions from the time he was two years  
4 old and had been assigned a "one-to-one" aide when he was three  
5 years old, along with an "adult shadow" for after-school hours.  
6 (AR 2555-59). Mother admitted at the administrative hearing that  
7 she deliberately omitted negative information because she did not  
8 want District employees to "label" Student, did not know for  
9 certain how he would act at school in a "more structured  
10 environment," and felt like she "would be putting the cart before  
11 the horse if [she] said, you know, he was a wild child or he had  
12 some issues." (AR 2703). Student was assigned to Madroña  
13 Elementary School as a kindergartener for the 2015-2016 school  
14 year.

15  
16 On August 25, 2015, the day before the start of the school  
17 year, Mother met with Madroña's principal, Hallie Chambers. During  
18 this meeting, Mother told Chambers for the first time about  
19 Student's behavioral and mental health history, including that "he  
20 had been hitting, punching, kicking, pushing." (AR 2560). Mother  
21 also told Chambers that Student had been seeing a psychiatrist  
22 because it had reached the point in July where Mother and Father  
23 "couldn't control him anymore[.]" (AR 2561). That same day,  
24 Chambers emailed Student's assigned kindergarten teacher, Pam  
25 Meiron, and other Madroña staff. (AR 348). Chambers summarized  
26 the information that Mother had given her, including that Student  
27 "exhibits aggressive behaviors (hitting/punching)" and was seeing  
28 a psychiatrist, that his birth family had a "history of bipolar

1 and other mental health disorders," and that while Student's  
2 behavior had improved in his last year of preschool, he regressed  
3 over the summer while at camp. (Id.). Chambers stated that she  
4 wanted to set up a "student study team" ("SST") because she thought  
5 "it [was] important that we communicate."<sup>1</sup> (Id.).

6  
7 Student began kindergarten on August 26, 2015. In his first  
8 few weeks of school, he performed well academically, but exhibited  
9 poor impulse control and poor interpersonal skills, as he would  
10 "pok[e] and annoy[]" his fellow students and was sometimes  
11 "disruptive." (AR 2014; see also AR 2005-06, 2013). However,  
12 Meiron testified that it is typical for students in the first few  
13 weeks of kindergarten to be "fidgety" and have some attention span  
14 and impulse control problems. (AR 2007). Nonetheless, on  
15 September 9, 2015, Meiron sent Student to the principal's office  
16 for "kicking and punching" other students "during recess and in  
17 class." (AR 470). Despite Student's behavioral issues, Meiron  
18 testified that Student did not appear to require a special  
19 education assessment at that time. (AR 2013-14).

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21 \\

22  
23 \_\_\_\_\_  
24 <sup>1</sup> According to the ALJ, "Student Study Team meetings are held to  
25 address whether a pupil should be referred for assessment for  
26 special education, evaluated for a section 504 plan, or if other  
27 interventions in the general education curriculum are recommended  
28 by the team." (AR 545 n.2); see also L.J., 850 F.3d at 1000 ("The  
purpose of an SST is to develop interventions for students having  
trouble in school, either academically or behaviorally."). The  
Ninth Circuit has recognized that "[i]n many schools, an SST is  
the first step in addressing a student's needs before initiating  
the IEP process." Id.

1           On September 16, 2015, school staff and Student's Parents held  
2 their first of three SST meetings. The parties presented  
3 conflicting testimony as to what transpired at the meeting. School  
4 psychologist Miriam Carmona testified that the team discussed  
5 Student's behavioral issues, including his "[h]itting, kicking and  
6 drawing with a marker on another student," and observed that "he's  
7 distracted and he works slowly" and had poor impulse control. (AR  
8 680). However, Carmona also testified that Student's behavior was  
9 not a "red flag" that he might need special education "[b]ecause  
10 his behavior did not manifest any very intense or very frequent  
11 aggression at that point" and he "was doing well in class  
12 academically." (AR 686). However, as Carmona explained, staff  
13 did decide to refer Student to a general education school counselor  
14 "because although the behaviors were infrequent and although the  
15 behaviors were not intense, we wanted to provide [an] additional  
16 intervention tool that we have for a child in our system. Not  
17 every child is referred to special ed. So we wanted to get to know  
18 him know what -- how he thinks, how he's wired, whatever, and one  
19 of the tools we use is counseling." (AR 702). Carmona  
20 affirmatively stated that during the first SST meeting, the team  
21 decided not to refer Student for assessment, (AR 711-12), and that  
22 although Mother expressed some concerns about the process "taking  
23 a long time," everyone agreed to that plan. (AR 724).

24  
25           However, Mother testified that she "asked for an IEP" for  
26 Student at the September 16, 2015 SST meeting. (AR 2583).  
27 According to Mother, staff resisted because they said "they wanted  
28 to take a few months to get to know him . . . to see for themselves

1 the behavior, to watch him . . . ." (AR 2584). Mother further  
2 testified that Meiron, Student's teacher, stated during the SST  
3 meeting that she thought Student should be assessed, but was  
4 "overruled." (AR 2585). However, Meiron denied making such a  
5 statement, noting that "if [she] thought he should be assessed,  
6 [she] would have asked for him to be assessed." (AR 1908). The  
7 week after the first SST meeting, Meiron started Student on a  
8 specially designed behavior contract to set up a system of rewards  
9 with more achievable behavior goals for him than the "regular  
10 behavior contract." (AR 1910).

11  
12 The second SST meeting was held on October 7, 2015. (AR 330-  
13 31). Staff reported that Student's behavior was "better in terms  
14 of physical contact," but that his "new behavior is spitting in  
15 faces" while waiting in line. (AR 330). Staff also reported that  
16 Student poked another student in the eye earlier that day while in  
17 line. (Id.). The team decided to place Student in a general  
18 education social skills/communication group with the school's  
19 speech therapist and to refer him to a counselor. (AR 328).  
20 However, Carmona testified that Student's behaviors still did not  
21 set up a "red flag" that Student might need special education. (AR  
22 741).

23  
24 A third SST meeting was held on February 8, 2016. (AR 341-  
25 42). Meeting minutes reflect that the reason for the meeting was  
26 a "Parent request for assessment for special education." (AR 342).  
27 Prior to the third SST meeting, school officials had reported  
28 several incidents to Mother. For example, on January 27, 2016,

1 Chambers emailed Mother, stating that "I was very stern with  
2 [Student] and relayed the message that he is making my school  
3 unsafe by hitting, kicking, et cetera." (AR 2606). Mother stated  
4 that upon reading the email, she felt that "finally . . . they're  
5 seeing that his behaviors are dangerous, can be dangerous, and that  
6 they're violent and that they're aggressive," as she had been  
7 attempting to communicate "from the day [she] met with  
8 Ms. Chambers." (Id.). Similarly, Mother testified that Carmona  
9 and Chambers told her that on February 3, 2016, Student was standing  
10 in line, and "suddenly out of nowhere . . . punched the little boy  
11 behind him in the crotch." (AR 2608). Mother stated that she  
12 became angry upon learning of this incident because she "had been  
13 telling them that there were no triggers and nobody would believe  
14 [her]." (AR 2609).

15  
16 The February 8, 2016 SST minutes reflect that Student had  
17 "become increasingly aggressive at home and has demonstrated  
18 impulsive and aggressive behavior at school (hitting/kicking  
19 others)." (AR 342). The minutes further note that Student "has  
20 difficulty during unstructured time with his behavior" and had been  
21 recently diagnosed by a private psychiatrist hired by Parents,  
22 Derrick Ott, with conduct disorder, mood disorder, and disruptive  
23 mood dysregulation disorder. (Id.). The minutes conclude, "[d]ue  
24 to the recent diagnosis and the increase in behaviors in the last  
25 two months, the team feels an assessment for special education is  
26 warranted." (Id.).

27 \\

28 \\

1 Carmona specified that it was the combination of the  
2 escalation of behaviors and Dr. Ott's diagnosis that led to the  
3 decision to assess Student. In response to a question from the  
4 ALJ as to whether there was something specific about the diagnosis  
5 from Dr. Ott "that basically convinced you that we better assess  
6 this child for special education," Carmona responded,

7  
8 We have disruptive mood dysregulation, mood disorder  
9 unspecified and the conduct disorder. Conduct disorder  
10 by itself for Education Code -- for example, for  
11 emotional disturbance -- is not considered emotional  
12 disturbance. So you can have a child with conduct  
13 disorder but he's not eligible for special education.  
14 But when you have that with emotional components and  
15 this is now a combination between behavior and emotional  
16 components that was a reason for -- another reason for  
17 looking at him for an assessment, yes.

18  
19 (AR 866). Carmona affirmed that the diagnosis of disruptive mood  
20 dysregulation disorder was "the part that really concerned [her]."

21 (AR 867). The District provided Mother with an assessment plan on  
22 February 10, 2016, which she approved and returned a week later,  
23 on February 17, 2016. (AR 343).

24  
25 Following the third SST meeting, Student began to receive  
26 outside behavioral services from the Ventura County Health  
27 Department based on a referral by Carmona to Mother. (AR 2609).  
28 Additionally, Mother hired a private neuropsychologist, Dr. Mary

1 Large, to conduct a neuropsychological evaluation of Student. (AR  
2 300 (invoice reflecting Dr. Large's services for March and April  
3 2016)).

4  
5 At the conclusion of the assessment process, the District held  
6 an initial IEP meeting with District staff, Student's Parents, and  
7 Dr. Large, who presented her private neuropsychological report.  
8 The meeting took place over the course of two days, April 20 and  
9 25, 2016. (AR 436-57). Student was deemed eligible for special  
10 education services under the primary qualifying disability of  
11 "emotional disturbance" and the secondary qualifying disability of  
12 "other health impairment." (AR 436). The IEP provided five areas  
13 of special education and related services effective April 26, 2016,  
14 including: (1) 30 minutes weekly of specialized academic  
15 instruction, (2) 60 minutes weekly of individual counseling,  
16 (3) 240 minutes monthly of speech and language therapy, (4) 300  
17 minutes yearly of behavior intervention services, and (5) 90  
18 minutes daily of intensive individualized services. (Id.).  
19 Although Parents requested a full-time one-on-one behaviorist aide  
20 from a certified non-public agency, the District denied the request  
21 on the ground that it "provides paraprofessionals who are trained  
22 and supervised by District behaviorists." (AR 455). Parents did  
23 not consent to the IEP at that time.

24  
25 Also on April 25, 2016, the District provided Parents with a  
26 follow-up Assessment Plan, reflecting the District's intention to  
27 evaluate Student's needs for intensive social emotional services  
28 ("ISES"), occupational therapy, and increased aide support beyond

1 that offered in the IEP. (AR 461). The follow-up Assessment Plan  
2 also proposed that the District conduct a functional behavior  
3 analysis ("FBA"). (Id.). Mother signed the form that day, but  
4 did not check the box affirming her full consent to the plan.  
5 (Id.). On May 2, 2016, Carmona emailed Mother to follow up on  
6 Parent's "consent or lack thereof to the proposed assessment plan,"  
7 but Parents did not respond. (AR 469). On May 27, 2016, the  
8 District sent Parents a letter summarizing Student's educational  
9 program to date, and reminding Parents that they still had not  
10 provided their consent to the follow-up Assessment Plan. The  
11 letter enclosed an additional copy of the plan. (AR 468).

12  
13 Mother signed and returned the follow-up Assessment Plan just  
14 prior to the end of the school year. (AR 462). However, Parents  
15 did not consent to the IEP until August 24, 2016, just before the  
16 start of the new school year. (AR 458-60, 555, 2681). Student  
17 continued to attend Madroña Elementary School for first grade and  
18 was assigned to the classroom of Karen Tokin. The behavioral  
19 interventions specified in the April IEP were implemented. Tokin  
20 testified that Student was progressing academically and that his  
21 behaviors were "not more severe than anybody else's in [her] class"  
22 (AR 2481) and that on "most days," Student "was earning more  
23 [positive behavior] stickers than [she] could keep up with on the  
24 rewarding." (AR 2474). Tokin further testified that Student did  
25 not need "one-on-one aide support" in her classroom because she  
26 could "handle it," and that he did not pose a safety risk in the  
27 classroom. (AR 2523).



1 Pursuant to the District's aide assessment report, dated  
2 September 8, 2016, Student did not require an increase in aide  
3 services beyond the one-to-one adult support during unstructured  
4 times provided for in the April 2016 IEP. (AR 494-96). According  
5 to the report, with the implementation of Student's IEP goals, (AR  
6 494), Student "was compliant, attentive, involved, and cooperative"  
7 in the classroom; during lunch and recess he required intervention  
8 "very infrequently" and behaved in a "socially appropriate" manner;  
9 and overall "was demonstrating appropriate behaviors" both in and  
10 outside the classroom. (AR 495).

11  
12 Student also received a Functional Behavioral Assessment in  
13 September 2016. School Behavior Interventionist Specialist Megan  
14 Henderson drafted the FBA report, which was dated September 20,  
15 2016. Henderson noted at the outset that Student "was referred  
16 for a Functional Behavioral Assessment (FBA) by the IEP team in  
17 April 2016. Due to a delay in acquiring a signed assessment plan,  
18 the FBA was not initiated until September 2016." (AR 487). The  
19 Report concluded that teaching and paraprofessional staff should  
20 continue the behavioral strategies they were implementing pursuant  
21 to the IEP, but that "due to the low frequency, intensity, and  
22 duration of behaviors observed during the course of the  
23 assessment," no behavior intervention plan was recommended. (AR  
24 492-93).

25  
26 Student received a second IEP on October 6, 2016. (AR 509).  
27 The IEP explicitly notes that the annual "[g]oals continue from  
28 4/20/16 IEP." (Id.). The October 2016 IEP changed the 60 minutes

1 of counseling that Student received per week in the April 2016 IEP  
2 from "individual counseling" to "ISES counseling" and included 90  
3 minutes per month of social work support. (D Br. at 18 n.4).  
4 Mother signed the IEP with the following addendum: "I don't believe  
5 this IEP offers or provides a free and appropriate public education  
6 to [Student] and I reserve all rights with regard to it. In the  
7 meantime, I hereby request and instruct the District to implement  
8 the IEP in full." (AR 510). In an email dated October 16, 2016  
9 from Tokin to Chambers, Tokin reported that Student was  
10 "cooperative and focused on learning," and although he continued  
11 to engage in "attention seeking behaviors," Tokin controlled the  
12 incidents without negative reactions from Student. (AR 524). On  
13 October 18, 2016, Tokin also reported to Chambers that she heard  
14 "the sweetest exchange between [Student] and a girl at his table.  
15 They are complimenting each other's work and sharing crayons, using  
16 words like 'please' and 'thank you.' It is delightful!" (AR 525).

#### 17 18 IV.

#### 19 THE ALJ'S DECISION

20  
21 Plaintiffs filed an administrative due process complaint on  
22 March 17, 2016, i.e., after the District had provided Parents with  
23 an Assessment Plan on February 10, 2016, but before the April 2016  
24 IEP meetings were held. (AR 540). As amended, Plaintiffs'  
25 complaint raised the same seven questions for each of two periods,  
26 the first from April 26, 2015 (the date Mother returned the health  
27 history form omitting information about Student's behavioral and  
28 mental issues) through February 10, 2016 (the date the District

1 offered Mother an Assessment Plan), and the second from February  
2 11, 2016 through the end of the 2016 extended school year.  
3 Specifically, Plaintiffs asked the OAH to resolve whether, for each  
4 of those two periods, the District denied Student a FAPE by failing  
5 to:

- 6
- 7 a. meet its "child find" obligations with respect to
- 8 Student;
- 9 b. assess Student in all areas of suspected
- 10 disability;
- 11 c. find Student eligible for special education and
- 12 related services;
- 13 d. offer and provide measurable goals and appropriate
- 14 present levels of performance in all areas of need;
- 15 e. offer and provide appropriate placement and
- 16 services, including appropriate accommodations and
- 17 modifications, speech and language services,
- 18 occupational therapy, behavioral interventions,
- 19 psychotherapy, social skills, and extended school
- 20 year services;
- 21 f. offer and provide Parents training addressing
- 22 Student's behavioral and emotional difficulties;
- 23 and
- 24 g. make a "formal, specific" offer of [a free
- 25 appropriate public education ("FAPE")].
- 26

27 (AR 541-42).  
28

1           The ALJ heard testimony over the course of seven days in  
2 November 2016: November 2-3 (school psychiatrist Carmona (AR 649-  
3 1187)); November 8 (private neuropsychologist Dr. Large (AR 1204-  
4 1458)); November 9 (re-direct and re-cross of Carmona (AR 1501-  
5 1631), and principal Chambers (AR 1632-1857)); November 10  
6 (kindergarten teacher Meiron (AR 1872-2117), and school speech  
7 pathologist Caitlin Templeman (AR 2120-2181)); November 17 (school  
8 behavior intervention specialist and author of September 2016 FBA  
9 report Henderson (AR 2209-2314), special education teacher Noelle  
10 Jordan (AR 2315-2390), behavioral health clinician Caren Jinich  
11 (AR 2391-2425), behavioral health clinician-individual therapist  
12 Lorena Rojas (AR 2426-2457), and first grade teacher Tokin (AR  
13 2457-2537)); and, finally, November 29 (Mother (AR 2549-2754)).  
14 The ALJ broadly summarized her conclusions in the Decision as  
15 follows:

16  
17           Mother had informed District of Student's history of  
18 social, emotional and behavioral difficulties when he  
19 started kindergarten. District failed to timely assess  
20 Student after Mother first requested he be assessed for  
21 special education eligibility at an initial Student  
22 Study Team meeting on September 16, 2015. Instead of  
23 promptly assessing Student, District held two more  
24 Student Study Team meetings and attempted to address  
25 Student's social, emotional and behavioral deficits with  
26 interventions in the general education curriculum over  
27 the next four and one-half months. District eventually  
28

1 assessed Student, found him eligible for special  
2 education and offered him a FAPE in the April 2016 IEP.

3  
4 District denied Student a FAPE for four and one-half  
5 months due to its delay in assessing Student. However,  
6 Student did not establish that District failed to offer  
7 him a FAPE in the April 2016 IEP. Student proved that  
8 when District eventually assessed him, it failed to  
9 assess him in all areas of suspected disability by  
10 failing to timely administer a functional behavior  
11 assessment to him, even though his negative behavior was  
12 his primary suspected area of disability. Student  
13 failed to establish that District should have assessed  
14 him prior to Mother's request for an assessment on  
15 September 16, 201[5]. Student is awarded remedies of  
16 compensatory education and training for District  
17 personnel in the area of assessment obligations under  
18 the IDEA and functional behavior assessments.

19  
20 (AR 542).

21  
22 Specifically, the ALJ concluded that District failed to meet  
23 its "child find" obligations between September 16, 2015, the date  
24 of the first SST Meeting, through April 19, 2016, the day before  
25 the first IEP Meeting. (AR 563). However, the ALJ determined that  
26 the District was not required to assess Student prior to Mother's  
27 request for an assessment on September 16, 2015. (AR 562). The  
28 ALJ further determined that District failed to assess Student in

1 all areas of suspected disability between September 16, 2015 and  
2 the end of the 2016 extended school year due to its failure to  
3 provide a functional behavior assessment. (AR 563-64). Finally,  
4 due to the District's failure to act on Mother's request for an  
5 assessment at the September 16, 2015 SST meeting, which would have  
6 also triggered an IEP deadline four and a half months sooner than  
7 the date Student's IEP was actually provided, the ALJ found that  
8 District failed to find Student eligible for special education,  
9 provide measureable goals and appropriate levels of performance,  
10 provide appropriate placement and services, and make a formal,  
11 specific FAPE offer between December 1, 2015, the date when an IEP  
12 would have been due had District promptly acted on its duty to  
13 assess, and April 19, 2016. (AR 574).

14  
15 However, the ALJ also found that the District fulfilled its  
16 obligation to offer Student a FAPE as of the April 20, 2016 IEP.  
17 (AR 542). Finally, with respect to Plaintiffs' claim that District  
18 should have provided parent training, the ALJ concluded that  
19 District personnel and Mother "frequently communicated about  
20 Student's behaviors, strategies used at school and consequences"  
21 such that parent training was not "necessary to create consistency  
22 between strategies used at school and at home." (AR 571-72).

23  
24 The ALJ's remedial order required the District to compensate  
25 Student for the services he would have otherwise received between  
26 December 1, 2015 and April 20, 2016, and to reimburse Plaintiffs  
27 for Dr. Large's services. (AR 576). The ALJ further ordered the  
28 District to provide "at least two hours of special education

1 training to the special education administrative, teaching, and  
2 other professional personnel . . . in the area of the obligations  
3 under the IDEA to refer pupils for assessment for special education  
4 in all areas of suspected disabilities, and in the area of  
5 functional behavior assessments.” (Id.). However, because  
6 “Student failed to establish that Dr. Aucoin’s and Dr. Ott’s  
7 services were reasonably necessary for Student to access his  
8 education at the times at issue in this proceeding,” the ALJ  
9 determined that “Student is not entitled to reimbursement for out  
10 of pocket costs for their services.”<sup>2</sup> (AR 575).

11  
12 **V.**

13 **THE PARTIES’ CONTENTIONS**

14  
15 Although the Complaint broadly asserts that Plaintiffs are  
16 seeking “this Court’s review and reversal of the Decision with  
17 respect to those issues in which Plaintiffs did not prevail,”  
18 (Complaint ¶ 10), Plaintiffs’ brief does not challenge all of the  
19 ALJ’s adverse decisions, but focuses instead on four primary  
20 claims. First, Plaintiffs contend that the District’s “child find”  
21 obligations arose when Mother met with Principal Chambers on August  
22 25, 2015, the day before kindergarten started, and not, as the ALJ

23 \_\_\_\_\_  
24 <sup>2</sup> Student and Parents participated in family therapy with  
25 psychologist Dr. Andrea Aucoin in the summer of 2015, before  
26 Student started kindergarten, to address Student’s aggressive  
27 behaviors at home. At the September 16, 2015 SST meeting, Parents  
28 told the team that Student was seeing Dr. Aucoin, who had opined  
that Student may have a mental disorder, but that such a disorder  
was difficult to diagnose due to Student’s young age. (AR 545).  
Plaintiffs do not seek reimbursement for costs related to  
Dr. Aucoin in this action.

1 found, when Mother requested an IEP at the first SST Meeting on  
2 September 16, 2015.<sup>3</sup> (P Br. at 2, 4-7). Second, Plaintiffs  
3 challenge the ALJ's finding that the April 2016 IEP adequately  
4 provided Student a FAPE because the District had not yet assessed  
5 Student in all areas of disability by that point. (P Br. at 7-  
6 11). According to Plaintiffs, the District's failure to timely  
7 administer a functional behavior assessment was a fatal procedural  
8 flaw that "made it impossible to develop a substantively  
9 appropriate IEP," and therefore "constituted a denial of FAPE for  
10 the period from April 20, 2016 through the end of the 2016 [school  
11 year]." (P Opp. at 5).

12  
13 Third, Plaintiffs maintain that, apart from the failure to  
14 administer an FBA prior to the April 2016 IEP, Defendant's  
15 assessment of Student was also inadequate due to: (1) the  
16 psychologist's use of assessment instruments for purposes for which  
17 they were not valid and reliable, and her failure to administer an  
18 assessment instrument in accordance with the producer's  
19 instructions, (P Br. at 11-13); (2) Defendant's failure to properly  
20 assess Student's emotional difficulties, despite the recognition

---

21 <sup>3</sup> Plaintiffs claimed in the administrative proceeding that  
22 Defendant's "child find" obligations were triggered even earlier,  
23 on April 26, 2015, when Mother submitted a District Permanent  
24 Health History about Student that contained concededly inaccurate  
25 information. Plaintiffs appear to have renewed that claim in the  
26 instant Complaint. (See, e.g., Complaint ¶ 9). However, in their  
27 brief, Plaintiffs explicitly reduced the scope of their original  
28 contention and alleged that Defendant's "child find" obligations  
arose on August 25, 2015, when Mother met with Chambers before the  
start of Student's kindergarten school year, approximately three  
weeks earlier than the ALJ had found. (See P Br. at 4 n.6  
("Plaintiffs do not contend that the District violated its 'child  
find' duties from April 26, 2015 through August 24, 2015.")).



1 that further evaluation was required, (id. at 13-14); and  
2 (3) Defendant's failure to review all existing data in connection  
3 with its assessment. (Id. at 14-15). Fourth, Plaintiffs contend  
4 that the remedies ordered by the ALJ are inadequate because:  
5 (1) the compensatory remedies cover only the four and a half-month  
6 period between December 1, 2015 and April 20, 2016, instead of the  
7 entire period during which the ALJ determined that District failed  
8 to comply with at least some portion of the IDEA; used a "cookie  
9 cutter" approach as to the frequency and scope of the compensatory  
10 remedies ordered; and failed to include any behavioral aide  
11 services at all, (P Br. at 16-18); (2) the compensatory remedies  
12 did not incorporate all of Dr. Large's "uncontradicted testimony  
13 regarding appropriate compensatory services," (id. at 18-23); and  
14 (3) the monetary remedies did not include reimbursement in the  
15 amount of \$700 for the services of Dr. Ott, the psychiatrist who  
16 diagnosed Student with ADHD in early February 2016. (Id. at 23).  
17

18 In addition to the remedies ordered by the ALJ, Plaintiffs  
19 seek: "(a) compensatory education services as follows: 200-250  
20 hours of behavioral intervention services by a behavioral aide,  
21 100 hours of intensive social emotional service, 50-70 hours of  
22 speech and language services to address social interaction; and 50  
23 hours of parent training; these services to be implemented at the  
24 time and place of plaintiffs' choosing; (b) reimbursement in the  
25 amount of \$700 for Dr. Ott's services; and (c) attorneys fees as  
26 the prevailing parties, pursuant to a subsequently filed motion  
27 for attorneys fees." (Id. at 24).  
28

1 In opposition, Defendant contends that the ALJ correctly  
2 concluded that its "child find" obligations arose, at the earliest,  
3 on September 16, 2015, because the District was entitled to a  
4 "reasonable time" after the start of the school year to determine  
5 whether Student should properly be referred for special education  
6 assessment. (D Br. at 10-13). Additionally, Defendant maintains  
7 that the April 2016 IEP was reasonably calculated to provide  
8 Student a FAPE, as it incorporated recommendations from both the  
9 District's reports and Dr. Large's report, (id. at 14-17), and has  
10 been proven successful by its implementation during Student's first  
11 grade year. (Id. at 17-18). Finally, Defendant states that the  
12 remedies ordered were appropriate, and notes that even though the  
13 ALJ determined that Defendant should have conducted a functional  
14 behavior assessment earlier, the failure to do so was harmless  
15 error because when the assessment was eventually performed, "it  
16 resulted in no change to [Student's] educational program." (Id.  
17 at 18).

## 18 VI.

### 19 STANDARD OF REVIEW

20  
21  
22 An action under the IDEA "is in substance an appeal from an  
23 administrative determination, not a summary judgment." Capistrano  
24 Unified Sch. Dist. v. Wartenberg, 59 F.3d 884, 892 (9th Cir. 1995)  
25 (noting that IDEA proceedings in federal court "[do] not fit well  
26 into any pigeonhole of the Federal Rules of Civil Procedure"). The  
27 district court must "read the administrative record, consider the  
28 new evidence, and make an independent judgment based on a

1 preponderance of evidence . . . giving due weight to the hearing  
2 officer's determinations." Id.; see also L.M. v. Capistrano  
3 Unified Sch. Dist., 556 F.3d 900, 908 (2009) ("Although the  
4 district court is free to determine independently how much weight  
5 to give the administrative findings, the courts are not permitted  
6 simply to ignore them.") (internal quotation marks and brackets  
7 omitted); M.C. by & through M.N. v. Antelope Valley Union High Sch.  
8 Dist., 858 F.3d 1189, 1195 n.1 (9th Cir. 2017) ("[T]he district  
9 judge must actually examine the record to determine whether it  
10 supports the ALJ's opinion."). In exercising their power of  
11 independent review, "courts must not 'substitute their own notions  
12 of sound educational policy for those of the school authorities  
13 which they review.'" Van Duyn v. Baker Sch. Dist. 5J, 502 F.3d  
14 811, 817 (9th Cir. 2007) (quoting Rowley, 458 U.S. at 206).

15  
16 The Ninth Circuit has more recently addressed the issue of  
17 deference to underlying administrative decisions in IDEA  
18 proceedings as follows:

19  
20 In IDEA cases, unlike other cases reviewing  
21 administrative action, we do not employ a highly  
22 deferential standard of review. [Amanda J., 267 F.3d at  
23 887]. Nevertheless, complete de novo review "is  
24 inappropriate." Id. We give "due weight" to the state  
25 administrative proceedings. Van Duyn, 502 F.3d at 817.  
26 "[T]he fact-intensive nature of a special education  
27 eligibility determination coupled with considerations of  
28 judicial economy render a more deferential approach

1 appropriate." Hood v. Encinitas Union Sch. Dist., 486  
2 F.3d 1099, 1104 n.4 (9th Cir. 2007). We give particular  
3 deference to "thorough and careful" administrative  
4 findings. R.B. ex rel. F.B. v. Napa Valley Unified Sch.  
5 Dist., 496 F.3d 932, 937 (9th Cir. 2007) (internal  
6 quotation marks and citation omitted).

7  
8 J.G. v. Douglas Cnty. Sch. Dist., 552 F.3d 786, 793 (9th Cir.  
9 2008); Wartenberg, 59 F.3d at 892 (where a hearing officer's  
10 report is "especially careful and thorough," the court may  
11 appropriately exercise its discretion to give the report "quite  
12 substantial deference"). A court will "treat a hearing officer's  
13 findings as 'thorough and careful' when the officer participates  
14 in the questioning of witnesses and writes a decision 'contain[ing]  
15 a complete factual background as well as a discrete analysis  
16 supporting the ultimate conclusions.'" R.B., 496 F.3d at 942  
17 (quoting Park v. Anaheim Union High Sch. Dist., 464 F.3d 1025, 1031  
18 (9th Cir. 2006) (per curiam)); see also Cnty. of San Diego v.  
19 California Special Educ. Hearing Office, 93 F.3d 1458, 1466-67 (9th  
20 Cir. 1996) ("This circuit gives the state hearing officer's  
21 decision 'substantial weight' when it 'evinces his careful,  
22 impartial consideration of all the evidence and demonstrates his  
23 sensitivity to the complexity of the issues presented.'" (quoting  
24 Ojai Unified Sch. Dist., 4 F.3d at 1476). "[A]t a minimum," the  
25 court "must consider the [ALJ's] findings carefully." R.B., 496  
26 F.3d at 937 (internal quotation marks and citation omitted).

27 \\

28 \\



1 sufficient to trigger the District's duty to assess." (Id. at 5).  
2 This claim is DENIED.

3  
4 The Parties presented conflicting evidence as to the substance  
5 of the August 25, 2015 meeting between Mother and Chambers. Mother  
6 testified that she had several reasons for arranging to meet with  
7 Chambers before school started. According to Mother, her primary  
8 reason was to give Chambers "a heads up. [Student's] behavior had  
9 become increasingly more challenging and, as I said, more violent,  
10 and I felt that she needed to be aware of some of the things that  
11 we were going through as well as his history, mostly for the safety  
12 of the other children." (AR 2552). Mother also testified, only  
13 in response to a question from the ALJ, that she asked for an IEP  
14 at during the meeting. (See AR 2553) ("[ALJ]: Did you tell Ms.  
15 Chambers you wanted an IEP? [Mother]: Yes. [ALJ]: You did at  
16 this meeting? [Mother]: Yes, I did.").

17  
18 In contrast, Chambers testified in great detail about what  
19 transpired at the meeting, (AR 1646, 1653-60), which she expressly  
20 maintained did not include a request for an IEP. (AR 1658).  
21 Furthermore, Chambers' account of the meeting was memorialized in  
22 a contemporaneous email sent at 6:08 p.m. on August 25, 2015, which  
23 Chambers represented was a "summary of [her] discussion with  
24 [Student's] mom." (AR 1654). That email reads, in relevant part,

25  
26 I met with [Mother] today . . . . [Student] is an  
27 incoming kindergartener who is in Pam Meiron's class.  
28 [Student] was adopted and there is a history of bipolar

1 and other mental health disorders in his family. Mom  
2 shared that [Student] has been working with a  
3 behaviorist, had a "shadow" at his preschool, and  
4 received private speech therapy for disfluency. He has  
5 also received PT for low muscle tone in the past but  
6 this has improved. [Student] exhibits aggressive  
7 behaviors (hitting/punching). Mom reported that his  
8 behavior improved last year; he had an amazing preschool  
9 teacher. The behaviors did regress during the summer  
10 while in camp. [Student] and his parents are seeing a  
11 private psych as well (I have her card and she is willing  
12 to come to meetings). There is not a diagnosis as of  
13 yet. Mom is going to look for any information or reports  
14 that she may have and provide us with copies. Mom seems  
15 very supportive and wants to be kept in the loop. She  
16 is going to fill out a release form for us to talk to  
17 the psych.

18  
19 Pam, I am happy to sit down and discuss more with you  
20 but wanted to give you a heads up on what I learned  
21 today.

22  
23 I would like to set up an SST for [Student]. I know  
24 that normally we wait for a bit to get to know the  
25 students (especially in K) but I think it is important  
26 that we communicate.

27  
28 (AR 348).

1 Chambers also affirmatively testified that Mother did not  
2 request an IEP during that meeting:

3  
4 Q. You don't recall in this conversation [Student's]  
5 mother asking you for an IEP?

6 A. She did not ask me for an IEP.

7 Q. You recall that she didn't?

8 A. If she had, then we would have had a timeline and  
9 we would have addressed her request.

10  
11 (AR 1658).

12  
13 Although the ALJ acknowledged that Mother disclosed Student's  
14 behavioral problems to Chambers for the first time on August 25,  
15 2015, she concluded that the District's "Child Find" obligations  
16 to propose an assessment did not arise until three weeks later, at  
17 the first SST meeting. (AR 562-563). The ALJ explained:

18  
19 Mother first informed District of Student's behavioral  
20 difficulties at her meeting with Ms. Chambers on August  
21 2[5], 2015. Ms. Chambers responded to this information  
22 reasonably by immediately alerting the Madroña Study  
23 Team members and Ms. Meiron of the information Mother  
24 had provided about Student and by setting up a Study  
25 Team meeting for September 16, 2015. Ms. Chambers  
26 promptly instructed them to pay attention to Student's  
27 behavior and be ready to discuss him at the Study Team  
28 meeting. Student's first day at Madroña was August 2[6],



1 2015, when he started kindergarten. Student exhibited  
2 a few negative behaviors in his first few weeks of  
3 kindergarten. However, District was entitled to a  
4 reasonable amount of time to elapse after these  
5 behaviors occurred before it referred Student for an  
6 assessment for special education. Therefore, District  
7 did not breach its child find and duty to assess  
8 obligation to Student from April 26, 2015 through  
9 September 15, 2015.

10  
11 (AR 562).

12  
13 “Child-find requires school districts to develop a method to  
14 identify, locate, and evaluate students with disabilities who are  
15 in need of special education services.” Beauchamp v. Anaheim Union  
16 High Sch. Dist., 816 F.3d 1216, 1221 (9th Cir. 2016). “[C]laims  
17 based on a local educational agency’s failure to meet the ‘child  
18 find’ requirement are cognizable under the IDEA.” Compton Unified  
19 Sch. Dist. v. Addison, 598 F.3d 1181, 1185 (9th Cir. 2010). The  
20 Ninth Circuit instructs that a duty to evaluate arises when a  
21 disability is deemed “suspected”:

22  
23 [A] disability is “suspected,” and therefore must be  
24 assessed by a school district, when the district has  
25 notice that the child has displayed symptoms of that  
26 disability. In Pasatiempo by Pasatiempo v. Aizawa, 103  
27 F.3d 796 (9th Cir. 1996), for example, we held that the  
28 “informed suspicions of parents, who may have consulted

1 outside experts," trigger the requirement to assess,  
2 even if the school district disagrees with the parent's  
3 suspicions because "[t]he identification [and  
4 assessment] of children who have disabilities should be  
5 a cooperative and consultative process." Id. at 802.  
6 Once either the school district or the parents suspect  
7 disability, we held, a test must be performed so that  
8 parents can "receive notification of, and have the  
9 opportunity to contest, conclusions regarding their  
10 children." Id.

11  
12 Timothy O. v. Paso Robles Unified Sch. Dist., 822 F.3d 1105, 1119-  
13 20 (9th Cir. 2016), cert. denied, 137 S. Ct. 1578 (2017); see also  
14 J.K. v. Missoula Cnty. Pub. Sch., 713 F. App'x 666, 667 (9th Cir.  
15 2018) ("The duty to evaluate a student arises when disability is  
16 'suspected,' or 'when the district has notice that the child has  
17 displayed symptoms of that disability.'" (quoting Timothy O., 822  
18 F.3d at 1119); S.B. v. San Mateo Foster City Sch. Dist., 2017 WL  
19 4856868, at \*13 (N.D. Cal. April 11, 2017) ("A school district's  
20 child find duty is triggered when it has reason to suspect a child  
21 has a disability, and reason to suspect the child may need special  
22 education services to address that disability.") (citing Dep't of  
23 Educ. v. Cari Rae S., 158 F. Supp. 2d 1190, 1194 (D. Haw. 2001)).  
24 Whether a school district had reason to suspect that a child might  
25 have a disability must be evaluated in light of the information  
26 the district knew, or had reason to know, at the relevant time,  
27 not "'exclusively in hindsight.'" Adams v. State of Oregon, 195  
28 F.3d 1141, 1149 (9th Cir. 1999) (quoting Fuhrmann v. East Hanover

1 Bd. of Educ., 993 F.2d 1031, 1041 (3d Cir. 1993)). However, some  
2 consideration of subsequent events may be permissible if the  
3 additional data “provide[s] significant insight into the child’s  
4 condition, and the reasonableness of the school district’s action,  
5 at the earlier date.” E.M. v. Pajaro Valley Unified Sch. Dist.,  
6 652 F.3d 999, 1006 (9th Cir. 2011) (quoting Adams, 195 F.3d at  
7 1149).

8  
9 Plaintiffs summarily contend that the ALJ was factually  
10 mistaken in finding that Mother did not request an IEP until  
11 September 16, 2015 because Mother allegedly did so during her  
12 August 25, 2015 meeting with Chambers. While Mother testified in  
13 response to the ALJ’s (not her counsel’s) questions that she  
14 requested an IEP at the August 25 meeting, the testimony was  
15 isolated and unsupported by any factual detail. In contrast,  
16 Chambers testified in great detail about what occurred at the  
17 meeting, (AR 1646, 1653-60), and memorialized the substance of the  
18 discussion in an email that same day. (AR 348). Chambers further  
19 testified that if Mother had requested an IEP during the meeting,  
20 she would have followed the relevant IDEA deadlines. (AR 1658).  
21 Plaintiffs have not shown by a preponderance of the evidence that  
22 Mother expressly requested an IEP at her initial meeting with  
23 Chambers on August 25, 2015.

24  
25 Defendant’s “child find” liability for the three-week period  
26 between August 25 and September 16, 2015 that Plaintiffs have put  
27 at issue here therefore turns on whether Mother’s representations  
28 during the August 25 meeting triggered, as a matter of law, a duty

1 on Defendant's part to conduct an immediate assessment of Student.  
2 Plaintiffs contend that Defendant violated its child find  
3 obligations by promptly assembling an SST and scheduling a team  
4 meeting for three weeks later instead of initiating a full  
5 assessment. The Court disagrees.

6  
7 Here, Chambers assembled an SST the very day she met with  
8 Mother on August 25. The first SST meeting, scheduled for September  
9 16, 2015, reasonably provided Defendant a brief window to observe  
10 Student's behavior before making a decision about Student's  
11 potential need for general education interventions or a special  
12 education assessment. This brief window was all the more  
13 reasonable because Student, as a five-year-old kindergarten  
14 student, could be expected to experience some emotional or  
15 behavioral difficulties in making the transition to a new school,  
16 and it does not appear that as of August 25, 2015 anyone at Madroña  
17 had ever even seen Student. Furthermore, as memorialized in  
18 Chambers' email, Mother admitted during the meeting that despite  
19 his purported history of behavioral problems, Student had not been  
20 diagnosed with a disability. (AR 348). While Chambers appears to  
21 have taken Mother's representations seriously, it must also be  
22 remembered that Mother misled Defendant by failing to expressly  
23 reveal Student's behavioral history in the school forms she had  
24 submitted earlier that Spring and apparently did not even bring  
25 any materials documenting Student's prior behavior with her to the  
26 August 25 meeting. Mother admitted that she did not tell the  
27 District about Student's history earlier because she did not know  
28 how he would behave in a new school and did not want the District

1 to prejudge him. (AR 2703). Therefore, Defendant could reasonably  
2 question whether Mother's representations reflected truly  
3 "informed suspicions" about Student's potential disability  
4 sufficient to call for an immediate assessment. Timothy O., 822  
5 F.3d at 1119 (quoting Pasatiempo, 103 F.3d at 802).

6  
7 The District acted reasonably upon learning of Student's  
8 behavioral problems on August 25, 2015 by assembling an SST and  
9 scheduling a meeting in the coming weeks. The Court agrees with  
10 the ALJ's finding that the District's "Child Find" obligation did  
11 not arise until the September 16, 2015 SST meeting. Accordingly,  
12 Plaintiffs' "child find" claim is DENIED.

13  
14 **B. The Impact Of The District's Failure To Complete A Functional**  
15 **Behavior Assessment On The Validity Of The April 2016 IEP**

16  
17 According to Plaintiffs, the ALJ correctly found that the  
18 failure to complete a Functional Behavior Assessment violated the  
19 District's obligation to "assess Student in all areas of suspected  
20 disability" from September 16, 2015, the date of the first SST  
21 meeting, through the end of the 2016 school year, and impeded  
22 Parents from meaningfully participating in the IEP process.  
23 However, contrary to the ALJ, Plaintiffs maintain that this failure  
24 constituted a "fatal procedural violation of the IDEA" that  
25 necessarily invalidated the April 2016 IEP, without regard to the  
26 contents of the IEP or whether it was actually effective. (P Br.  
27 at 2; see also id. at 7-11). As discussed below, the ALJ's Decision  
28 appears to reach competing conclusions as to whether Defendant's

1 failure to conduct an FBA effectively denied Student a FAPE from  
2 December 1, 2015 through the end of the 2015-2016 school year. In  
3 light of this tension, the Court will defer to the ALJ's explicit  
4 finding that "the District's delay in administering a functional  
5 behavior assessment to Student constitute[d] a denial of FAPE for  
6 the period from April 20, 2016 through the end of the 2016 extended  
7 school year," and reverses any finding that Defendant offered  
8 Student a FAPE in the April 2016 IEP. (AR 565).

9  
10 "Under the IDEA, the school district must conduct a 'full and  
11 individual initial evaluation,' one which ensures that the child  
12 is assessed in 'all areas of suspected disability,' before  
13 providing that child with any special education services."  
14 Timothy O., 822 F.3d at 1119 (quoting 20 U.S.C. §§ 1414(a)(1)(A),  
15 1414(b)(3)(B)). "[T]his requirement serves a critical purpose: it  
16 allows the child's IEP Team to have a complete picture of the  
17 child's functional, developmental, and academic needs, which in  
18 turn allows the team to design an individualized and appropriate  
19 educational plan tailored to the needs of the individual child."  
20 Timothy O., 822 F.3d at 1119.

21  
22 "School districts may deny a child a free appropriate public  
23 education by violating either the substantive or procedural  
24 requirements of the IDEA." Id. at 1118 (citing M.M. v. Lafayette  
25 Sch. Dist., 767 F.3d 842, 852 (9th Cir. 2014)).

26  
27 A school district denies a child a free appropriate  
28 public education by violating the IDEA's substantive

1 requirements when it offers a child an IEP that is not  
2 reasonably calculated to enable the child to receive  
3 educational benefits. J.W. ex rel. J.E.W. v. Fresno  
4 Unified Sch. Dist., 626 F.3d 431, 432-33 (9th Cir. 2010).  
5 The school district may also, however, deny the child a  
6 free appropriate public education by failing to comply  
7 with the IDEA's extensive and carefully drafted  
8 procedures. See Doug C. v. Hawaii Dep't of Educ., 720  
9 F.3d 1038, 1043 (9th Cir. 2013). While some procedural  
10 violations can be harmless, procedural violations that  
11 substantially interfere with the parents' opportunity to  
12 participate in the IEP formulation process, result in  
13 the loss of educational opportunity, or actually cause  
14 a deprivation of educational benefits "clearly result in  
15 the denial of a [free appropriate public education.]"  
16 Amanda J., 267 F.3d at 892.

17  
18 Timothy O., 822 F.3d at 1118 (emphasis added).

19  
20 A loss of an educational opportunity occurs "when there is a  
21 'strong likelihood' that, but for the procedural error, an  
22 alternative placement 'would have been better considered.'" Id.  
23 at 1124 (quoting Doug C., 720 F.3d at 1047). However, "to succeed  
24 on a claim that a child was denied a free appropriate public  
25 education because of a procedural error, the individual need not  
26 definitively show that his educational placement would have been  
27 different without the error." Timothy O., 822 F.3d at 1124  
28 (emphasis added).

1           The ALJ found that as of the September 16, 2015 SST meeting,  
2 the District was on notice that "Student's behavior was a suspected  
3 area of his disability." (AR 565). As such, the ALJ explained,  
4 the "District reasonably should have anticipated that results of a  
5 functional behavior assessment might be needed to develop effective  
6 behavior strategies for Student. Therefore, District should have  
7 included a functional behavior assessment in the untimely proposed  
8 assessment plan District gave to Mother on February 10, 2016."  
9 (Id.).

10  
11           The ALJ also addressed the harm that resulted from the failure  
12 to administer the assessment:

13  
14           If District had included a functional behavior  
15 assessment in the battery of assessments it administered  
16 to Student in spring 2016, the IEP team would likely  
17 have had valuable information about Student's behavior  
18 patterns and antecedents to his aggressive behaviors.  
19 The absence of results, findings and recommendations  
20 from a functional behavior assessment at the April 2016  
21 IEP meeting impeded Parents' opportunity to participate  
22 in the decision making process regarding the provision  
23 of FAPE to Student.

24  
25           When District eventually administered a functional  
26 behavior assessment to Student in September 2016, Ms.  
27 Henderson concluded that staff should continue to  
28 implement behavioral strategies which were already being



1 used with Student, including reinforcement with "token  
2 economy" and redirection. Ms. Henderson's report did  
3 not recommend that Student have a behavior intervention  
4 plan because his observed negative behaviors occurred  
5 infrequently at school, and were low in intensity and  
6 brief in duration. If District had administered a  
7 functional behavior assessment to Student earlier,  
8 District and Parents would have had the results and  
9 recommendations from it by the April 2016 IEP meeting.  
10 This material information would have assisted Parents in  
11 deciding what services Student reasonably needed in  
12 order to access his education. Therefore, District's  
13 failure to administer a functional behavior assessment  
14 to Student until September 2016, significantly impeded  
15 Parent's [sic] opportunity to participate in the  
16 decision making process regarding the provision of FAPE  
17 to Student between April 20, 2016 through the end of the  
18 2016 extended school year. Consequently, District's  
19 delay in administering a functional behavior assessment  
20 to Student constitutes a denial of FAPE for the period  
21 from April 20, 2016 through the end of the 2016 extended  
22 school year.

23  
24 (AR 565) (emphasis added).  
25

26 Despite the ALJ's explicit finding that the failure to assess  
27 Student in all areas of suspected disability constituted a denial  
28 of FAPE due to the significant impediment that it posed to Parents'

1 ability to participate in the IEP process, the ALJ elsewhere found  
2 that "Student did not establish that District failed to offer him  
3 a FAPE in the April 2016 IEP" and in fact affirmatively asserted  
4 that Defendant "offered [Student] a FAPE in the April 2016 IEP."  
5 (AR 542). Specifically, the ALJ determined that the April 2016  
6 IEP properly found Student eligible for special education and  
7 related services, (AR 567); offered and provided measurable goals  
8 and appropriate levels of present performance, (AR 569); offered  
9 and provided "placement, services, accommodations and/or  
10 modifications that [Student] needed to access his education and  
11 receive educational benefit, (AR 571); and constituted a formal,  
12 specific offer of a FAPE. (AR 573). Accordingly, the ALJ appears  
13 to have implicitly found that the April 2016 IEP was substantively  
14 sufficient despite any procedural errors. The question, then, is  
15 whether the procedural violation was sufficiently serious to  
16 undermine what the ALJ otherwise found to be a substantively  
17 adequate IEP, and if so, what the proper remedy should be.

18  
19 Plaintiffs argue that the failure to conduct an FBA  
20 constituted "such a[n] infringement of parent participation in the  
21 IEP process" that "an appropriate IEP definitionally could not be  
22 created." (P Br. at 10). This "definitional" argument seems to  
23 suggest that some procedural errors are structural defects that  
24 simply cannot be overcome, an approach that the Ninth Circuit has  
25 pointedly rejected in this context:

26  
27 Not all procedural flaws result in the denial of a FAPE.

28 We have never adopted as precedent the structural defect

1 approach discussed by Judge Alarcon in M.L. v. Federal  
2 Way School District, 394 F.3d 634 (9th Cir. 2005)  
3 (plurality). Our precedent is clear: a procedural  
4 violation may be harmless, and we must consider whether  
5 the procedural error either resulted in a loss of  
6 educational opportunity or significantly restricted  
7 parental participation.

8  
9 L.M., 556 F.3d at 910 (emphasis added). In L.M., for example, the  
10 lower court had concluded that the school district's strict  
11 limitation on the amount of time that parents' expert could observe  
12 student was a "structural" error that deprived parents of "their  
13 right to 'meaningfully participate in the IEP process.'" Id. The  
14 Ninth Circuit reversed the district court's finding as "clearly  
15 erroneous" because the court "neglected to consider whether  
16 Parents' right was significantly affected by the District's  
17 procedural violation," and no evidence in the record appeared to  
18 support such a finding. Id. Accordingly, even procedural errors  
19 that restrict parental participation in the IEP process are subject  
20 to harmless error analysis. Id. at 910-11.

21  
22 The cases upon which Plaintiffs rely for the proposition that  
23 "an appropriate IEP definitionally [sic] could not be created  
24 without the required parental participation" are not entirely  
25 controlling here, as the facts are slightly different. (P Br. at  
26 10). In those cases, the procedural violation actually foreclosed  
27 development of an appropriate, substantively effective IEP. In  
28 Timothy O., for example, the Ninth Circuit found that the school

1 district's complete failure to test an autistic student for autism  
2 "deprived his IEP Team of critical evaluative information about  
3 his developmental abilities as an autistic child. That deprivation  
4 made it impossible for the IEP Team to consider and recommend  
5 appropriate services necessary to address [student's] unique needs,  
6 thus depriving him of critical educational opportunities and  
7 substantially impairing his parents' ability to fully participate  
8 in the collaborative IEP process." Timothy O., 822 F.3d at 1119  
9 (emphasis added).

10  
11 Similarly, in Amanda J., which also concerned an autistic  
12 student, the school district wrongfully withheld critical  
13 information from student's parents, thereby depriving them of the  
14 opportunity to meaningfully participate in the IEP process. The  
15 court explained:

16  
17 This is a situation where the District had information  
18 in its records, which, if disclosed, would have changed  
19 the educational approach used for [student], increasing  
20 the amount of individualized speech therapy and possibly  
21 beginning the D.T.T. program much sooner. This is a  
22 particularly troubling violation, where, as here, the  
23 parents had no other source of information available to  
24 them. No one will ever know the extent to which this  
25 failure to act upon early detection of the possibility  
26 of autism has seriously impaired [student's] ability to  
27 fully develop the skills to receive education and to  
28 fully participate as a member of the community.

1 Amanda J., 267 F.3d at 893-94; see also N.B. v. Hellgate Elementary  
2 Sch. Dist., ex rel. Bd. of Directors, Missoula Cnty., Mont., 541  
3 F.3d 1202, 1210 (9th Cir. 2008) (“[W]ithout evaluative information  
4 that [student] has autism spectrum disorder, it was not possible  
5 for the IEP team to develop a plan reasonably calculated to provide  
6 [student] with a meaningful educational benefit throughout the  
7 2003-04 school year.”); L.J., 850 F.3d at 1008 (“[T]here is reason  
8 to believe that alternative services would have at least been more  
9 seriously considered during the IEP process if the School District  
10 had assessed [student’s] health . . . . Because his health and the  
11 impacts of his medication were never assessed, no matter what  
12 assistance [student] received, the School District would remain  
13 unable to appropriately address those needs.”).<sup>4</sup>

14  
15 Here, the record suggests that the April 2016 IEP had a  
16 positive impact once it was implemented at the start of Student’s

---

17 <sup>4</sup> The remaining cases cited by Plaintiffs involved IEPs that were  
18 developed without any participation at all by the student’s parents  
19 or other key persons with knowledge, which is not the case here.  
20 See Doug C., 720 F.3d at 1043 (district’s decision to hold IEP  
21 meeting without parent even though parent expressed a willingness  
22 to participate and merely asked to reschedule constituted denial  
23 of FAPE); Shapiro v. Paradise Valley Unified Sch. Dist., 317 F.3d  
24 1072, 1079 (9th Cir. 2003), superseded by statute on other grounds  
25 (district’s failure to include student’s parents and a  
26 representative of the school for the deaf that student had been  
27 attending in IEP meeting resulted in loss of educational  
28 opportunity and denial of FAPE); M.L., 394 F.3d at 646 (failure to  
include regular education teacher on IEP team deprived student of  
FAPE because “we have no way of determining whether the IEP team  
would have developed a different program after considering the  
views of a regular education teacher”); W.G. v. Board of Trustees  
of Target Range Sch. Dist. No. 23, 960 F.2d 1479, 1484 (9th Cir.  
1992), superseded by statute on other grounds (failure to include  
student’s teacher in IEP and to develop a “complete IEP” denied  
student a FAPE).

1 first grade year, even if Student's IEP was modified somewhat in  
2 his October 2016 IEP.<sup>5</sup> For example, Henderson, who conducted the  
3 FBA in the fall of 2016, observed that Student "responded well to  
4 directions in the classroom," (AR 2273), and that his behavior on  
5 the playground was "very appropriate. He stayed in line, he took  
6 his turn, there was no issue during that outside observation." (AR  
7 2274). Henderson also noted that the "few times" she saw an  
8 incident in which Student was touching a peer or getting in another  
9 student's space, "the peer would tell him to stop or move away and  
10 then [Student] would kind of just stop engaging in the behavior  
11 and would redirect himself back to what he was supposed to be  
12 doing." (Id.). Tokin, Student's classroom teacher, implemented  
13 behavioral modification motivating rewards for Student for good  
14 classroom behavior with the result that Student "was earning more  
15 [good behavior] stickers than [she] could keep up with on the  
16 rewarding, most days." (AR 2474). Otherwise, Tokin testified that  
17 Student's classroom behavior and learning was on par with his  
18 peers. (See, e.g., AR 2495 (Student tested "middle to top"  
19 academically), 2519 (Tokin had no concerns about her "ability to  
20 teach [Student], teach the other children with him in the class,  
21 or help him control his behaviors"), 2523 (Student did not require

---

22  
23 <sup>5</sup> At the administrative hearing, Plaintiffs' counsel objected to  
24 the introduction of evidence regarding Student's behavior in the  
25 fall of 2016 and to the introduction of the October 6, 2016 IEP on  
26 the ground that the "remedies we are requesting have to do with  
27 the 2015-16 school year and October 6th, 2016 is not during that  
28 year." (AR 2288). However, the objection was overruled. (AR  
2288-89). At the district court hearing, Plaintiffs' counsel took  
issue with Defendant's assertion that Student showed improvement  
at the beginning of the 2016 school year after the IEP was  
implemented, noting that Student had three disciplinary referrals  
in six weeks. (6/21/18 Hrg. Tr. at 21).

1 one-on-one aide support in Tokin's classroom and was not a safety  
2 risk to himself or others in the classroom).

3  
4         Nonetheless, however effective the April 2016 may have been  
5 once it was implemented, the ALJ expressly found that the failure  
6 to conduct an FBA prior to the April 2016 IEP "significantly impeded  
7 Parent's [sic] opportunity to participate in the decision making  
8 process . . . [and their] ability to participate in the IEP  
9 process," and "constitute[d] a denial of FAPE for the period from  
10 April 20, 2016 through the end of the school year." (AR 565). The  
11 ALJ reasoned that if Defendant had included an FBA in its battery  
12 of assessments in the spring of 2016, "the IEP would likely have  
13 had valuable information about Student's behavior patterns and  
14 antecedents to his aggressive behaviors." (Id.). The ALJ further  
15 concluded that this "material information would have assisted  
16 Parents in deciding what services Student reasonably needed in  
17 order to access his education." (Id.). These findings, including  
18 the express finding that the District's procedural error  
19 "constitute[d] a denial of FAPE," (id.), are extremely difficult  
20 to reconcile with the ALJ's findings elsewhere that "Student did  
21 not establish that District failed to offer him a FAPE in the April  
22 2016 IEP." (AR 542). Accordingly, giving due deference to the  
23 ALJ's assessment that the delay in conducting an FBA significantly  
24 impeded Parents' ability to participate in the IEP process, the  
25 Court finds that Defendant failed to offer a FAPE "for the period  
26 from April 20, 2016 through the end of the 2016 [extended school  
27 year]" and reverses any finding by the ALJ to the contrary. (P  
28 Opp. at 5). Because the procedural error "seriously infringe[d]

1 on the parents' opportunity to participate in the IEP formulation  
2 process," as found by the ALJ, the Court concludes that the error  
3 was not harmless. L.J., 850 F.3d at 1003. Furthermore, because  
4 this finding is dispositive on the issue of whether Student was  
5 offered a FAPE in April 2016, the Court need not address whether  
6 the IEP was defective on any other procedural or substantive  
7 grounds.<sup>6</sup> Doug C., 720 F.3d at 1043 ("Where a court identifies a  
8 procedural violation that denied a student a FAPE, the court need  
9 not address the second [substantive] prong.").

10  
11 **C. Purported Remedy Errors**

12  
13 Plaintiffs contend that the remedies ordered by the ALJ are  
14 inadequate because (1) the compensatory remedies did not encompass  
15 the entire period during which the ALJ found a violation of the  
16 IDEA, account for the equities of Student's deprivation, or order

17  
18 <sup>6</sup> Plaintiffs maintain that apart from the District's failure to  
19 provide a functional behavior assessment, the District's assessment  
20 of Student was inadequate due to: (1) the psychologist's use of  
21 assessment instruments for purposes for which they were not valid  
22 and reliable, and her failure to administer an assessment  
23 instrument in accordance with the producer's instructions, (P Br.  
24 at 11-13); (2) District's failure to properly assess Student's  
25 emotional difficulties, despite the recognition that further  
26 evaluation was required, (id. at 13-14); and (3) District's failure  
27 to review all existing data in connection with its assessment.  
28 (Id. at 14-15). According to Plaintiffs, the ALJ "inexplicably"  
failed to take into account these deficiencies when she determined  
that the April 2016 IEP satisfied the District's obligations to  
offer a FAPE. (Id. at 11). However, because the Court's finding  
that the failure to conduct a FBA denied Student a FAPE from April  
20, 2016 to the end of the extended school year, Plaintiffs'  
additional arguments about the substantive inadequacy of the April  
2016 IEP are moot. Accordingly, the Court declines to address  
these contentions.



1 any behavioral aide services, (P Br. at 16-18); (2) the  
2 compensatory remedies did not incorporate all of Dr. Large's  
3 "uncontradicted testimony regarding appropriate compensatory  
4 services," (id. at 18-23); and (3) the monetary remedies did not  
5 include reimbursement for Dr. Ott's services in early February  
6 2016. (Id. at 23).

### 7 8 **1. Determination Of Compensatory Remedies**

9  
10 Plaintiffs claim that the ALJ's compensatory services award  
11 was improperly limited to only four and a half months, even though  
12 the ALJ found that the District's IDEA violations spanned a longer  
13 period. Plaintiffs further contend that in determining the  
14 frequency of the services that would compensate Student for the  
15 District's violations, the ALJ improperly applied the same  
16 frequency of services provided in the IEP. (Id. at 17). Finally,  
17 Plaintiffs also complain that the ALJ did not award any aide  
18 services to compensate for the deprivation of such services in the  
19 2015-2016 school year, even though aide services did not begin  
20 until the 2016-2017 school year. (Id. at 17).

21  
22 Plaintiffs appear to argue that Student is entitled to  
23 compensatory services before December 1, 2015 because the ALJ  
24 found, at a minimum, that the District was in violation of its duty  
25 to assess Student in all areas of disability during the period  
26 between September 16, 2015 and November 30, 2015. The Court  
27 disagrees. Whether or not Defendant failed to satisfy all of its  
28 IDEA obligations during this period, Student was not entitled to

1 placement and services until December 1, 2015. Accordingly, there  
2 is no loss of services prior to December 1, 2015 to compensate.  
3 Furthermore, because the Court has rejected Plaintiffs' argument  
4 that Defendant's duty to assess arose on August 25, 2015, the  
5 calculation of the period for which compensatory services applies  
6 begins on December 1, 2015, as the ALJ found, and not before.

7  
8 Plaintiffs also argue that Student is entitled to compensatory  
9 services for the period after April 2016 because the IEP did not  
10 offer a FAPE due to Defendants' continuing failure to assess  
11 Student in all areas of disability and the significant impediment  
12 that failure posed to Parent's ability to participate in the IEP  
13 process. The Court agrees. Because the April 2016 IEP failed to  
14 offer a FAPE, the Court shall, in its discretion, consider the  
15 period between April 20, 2016 and the end of the extended 2016  
16 school year in determining whether additional compensatory services  
17 are warranted, as further discussed below. Although the April 2016  
18 IEP would have offered certain services beginning April 26, 2016  
19 had Parents timely accepted it, the Court has found that due to  
20 procedural errors, the April 2016 IEP did not offer a FAPE.  
21 Accordingly, there was no obligation on Parents' part to accept  
22 the IEP and consideration of an expanded remedies period is  
23 appropriate.

24  
25 Plaintiffs' contention that the ALJ improperly applied a  
26 "cookie cutter" approach in determining the frequency or amount of  
27 services required to compensate Student is unsupported. It is  
28 accurate, as Plaintiffs contend, that "[t]here is no obligation to

1 provide a day-for-day compensation for time missed. Appropriate  
2 relief is relief designed to ensure that the student is  
3 appropriately educated within the meaning of the IDEA." Parents  
4 of Student W. v. Puyallup Sch. Dist., No. 3, 31 F.3d 1489, 1497  
5 (9th Cir. 1994); see also Reid ex rel. Reid v. District of Columbia,  
6 401 F.3d 516, 523 (D.C. Cir. 2005) (rejecting parents' contention  
7 that each hour without a FAPE entitles the student to one hour of  
8 compensatory education on the ground that "compensatory education  
9 is not a contractual remedy, but an equitable remedy" requiring  
10 the exercise of fact-specific discretion) (quoting Parents of  
11 Student W., 31 F.3d at 1497). As the Reid court explained,

12  
13 Some students may require only short, intensive  
14 compensatory programs targeted at specific problems or  
15 deficiencies. Others may need extended programs,  
16 perhaps even exceeding hour-for-hour replacement of time  
17 spent without FAPE. In addition, courts have recognized  
18 that in setting the award, equity may sometimes require  
19 consideration of the parties' conduct, such as when the  
20 school system reasonably "require[s] some time to  
21 respond to a complex problem," [M.C. v. Cent. Reg'l Sch.  
22 Dist., 81 F.3d 389, 397 (3d Cir. 1996)], or when parents'  
23 refusal to accept special education delays the child's  
24 receipt of appropriate services. Parents of Student W.,  
25 31 F.3d at 1497.

26 \\

27 \\

28

1 Reid, 401 F.3d at 524; see also Parents of Student W., 31 F.3d at  
2 1497 (“The behavior of Student W.’s parents is also relevant in  
3 fashioning equitable relief.”). The Court notes that in both  
4 Parents of Student W. and Reid, the court determined that one-for-  
5 one compensation either would (Parents of Student W.) or could  
6 (Reid) overcompensate for the time lost.

7  
8 Even though ALJs (and the courts) are not required to offer  
9 compensatory educational services of the same type and frequency  
10 as those offered in a subsequent IEP, the cases cited by Plaintiffs  
11 do not affirmatively preclude them from doing so, depending on the  
12 student’s needs and the equities of the case. As more fully  
13 discussed below, except for certain conclusory, unsupported  
14 contentions of Dr. Large, Plaintiffs have not offered any evidence  
15 to support a deviation, either up or down, from the ALJ’s method  
16 of calculating compensatory services. Accordingly, Plaintiffs have  
17 not shown by a preponderance of the evidence that the ALJ’s  
18 compensatory services award was improper simply because the  
19 services track the frequency of the services awarded in the IEP.

20  
21 Plaintiffs assert that the ALJ improperly declined to award  
22 Student one-on-one aide services on the irrelevant ground that,  
23 pursuant to the April 2016 IEP, “Student is already accompanied by  
24 an aide during the unstructured parts of his school day.” (AR  
25 575).<sup>7</sup> According to Plaintiffs, whatever services Student is

26  
27 

---

<sup>7</sup> Pursuant to the April 2016 IEP, Student was receiving 90 minutes  
28 per day of “intensive individualized services” on the school  
campus. (AR 436).

1 currently receiving have no bearing on the services he should  
2 receive as compensation for the past denial of a FAPE because  
3 “[a]ppropriate compensatory education services cannot be replaced  
4 by services in a subsequent IEP.” (P Br. at 16) (citing Boose v.  
5 Dist. of Columbia, 786 F.3d 1054 (D.C. Cir. 2015)). As such, even  
6 though the aide services that Student is receiving now may assist  
7 Student from this point forward, they will not compensate him for  
8 the harm caused by the deprivation of aide services in the past.

9  
10 In Boose, the D.C. Circuit explained that in contrast to  
11 education services offered through an IEP, “compensatory education”  
12 consists of:

13  
14 education services designed to make up for past  
15 deficiencies in a child’s program. . . . [B]ecause the  
16 Supreme Court has held that IEPs need do no more than  
17 provide ‘some educational benefit’ going forward,  
18 [Rowley, 458 U.S. at 200], an education plan conforming  
19 to that standard will speak only to ‘the child’s present  
20 abilities,’ Reid, 401 F.3d at 523. Unlike compensatory  
21 education, therefore, an IEP ‘carries no guarantee of  
22 undoing damage done by prior violations,’ id., and that  
23 plan alone cannot take the place of adequate  
24 compensatory education.

25  
26 Boose, 786 F.3d at 1056; see also Reid, 401 F.3d at 523 (an IEP  
27 conforming to a standard that looks to the child’s present  
28

1 abilities "carries no guarantee of undoing damage done by prior  
2 violations," which may be "quite severe").

3  
4 While Plaintiffs do not explicitly rely on any Ninth Circuit  
5 cases to advance this argument, the Ninth Circuit has in fact cited  
6 the D.C. Circuit with approval on this point. See R.P. ex rel.  
7 C.P. v. Prescott Unified Sch. Dist., 631 F.3d 1117, 1125 (9th Cir.  
8 2011). In R.P., the underlying district court had concluded that  
9 parents' IDEA action was frivolous because by the time parents  
10 initiated suit in district court, "the school district had already  
11 taken steps to provide [student] with the programs and staffing  
12 they had sought from the ALJ," which the court erroneously  
13 interpreted to mean that no further relief could be granted. Id.  
14 The Ninth Circuit reversed, noting that parents had prayed for all  
15 relief that was available, which would include "compensatory  
16 education as a remedy for the harm a student suffers while denied  
17 a FAPE." Id. The Ninth Circuit explained: "Compensatory education  
18 is an equitable remedy that seeks to make up for 'educational  
19 services the child should have received in the first place,' and  
20 'aim[s] to place disabled children in the same position they would  
21 have occupied but for the school district's violations of IDEA.'" Id.  
22 (quoting Reid, 401 F.3d at 518). The R.P. court specifically  
23 noted that "even if the parents were happy with the current IEP,  
24 they could reasonably have expected the district court to use its  
25 equitable powers to help bring [student] to the point he would have  
26 been, had he received a FAPE all along." Id. at 1126. Accordingly,  
27 R.P. strongly suggests that compensatory education services are  
28

1 distinct from services provided pursuant to a subsequent IEP and  
2 serve a different purpose.

3  
4 The Court finds Plaintiffs' contention that some amount of  
5 one-on-one aide services should be awarded to compensate Student  
6 for services he would have received had he been timely offered a  
7 FAPE to be persuasive. The Court further finds that these  
8 compensatory education services are not satisfied by the one-on-  
9 one aide services provided in Student's April 2016 IEP because the  
10 purpose of compensatory aide services is to bring Student as close  
11 to where he would be today if he had not been deprived of such  
12 services in the past. However, because compensatory education is  
13 an equitable remedy, the Court's guiding principle must be to  
14 fashion an award that will be sufficient to help Student reach the  
15 position he would be in now if he had been offered services in the  
16 past, but not more. The Court will address the particulars of its  
17 remedies award in Part VII.C.3 below.

18  
19 **2. Dr. Large's Testimony Regarding Appropriate Compensatory**  
20 **Education Services**

21  
22 Plaintiffs contend that even though the ALJ found Dr. Large's  
23 testimony very credible and purported to give her assessments of  
24 Student "significant weight," (see AR 550), she improperly failed  
25 to incorporate much of Dr. Large's "uncontradicted opinion  
26 testimony regarding the appropriate compensatory education  
27 remedies to compensate [Student] for the District's failures." (P  
28 Br. at 22). Particularly with respect to Dr. Large's

1 recommendation that Parents receive training, Plaintiffs emphasize  
2 that in September 2016, when the District completed an ISES  
3 assessment pursuant to the April 25, 2016 follow-up Assessment  
4 Plan, the assessor determined that Student "meets the criteria for  
5 eligibility for ISES" and recommended that "the IEP consider[]  
6 adding ISES which will include individual therapy and social work  
7 services." (Id. at 23) (quoting AR 485). The assessor further  
8 stated: "Parental involvement will be important and highly  
9 beneficial as parent[] training and collaborative problem solving  
10 and support will increase the chances of high efficacy levels in  
11 the implemental of therapeutic techniques and consistent  
12 structure." (Id.).

13  
14 Dr. Large recommended, among other things, that Student's IEP  
15 should include "four to six hours per week" of home-based  
16 behavioral intervention services incorporating both Mother and  
17 Father, (AR 419); respite care "so that [Parents] can have a break  
18 from the intensity of [Student's] behavior," (AR 420); and a "full-  
19 time aide" to provide "one-to-one behavioral support." (Id.).

20 Dr. Large testified that an award of compensatory education  
21 services to make up for ground lost by not timely offering an IEP  
22 should include 200-250 hours of behavioral intervention services  
23 by a behavioral aide, 100 hours of intensive social emotional  
24 service, 50-70 hours of speech and language services to address  
25 social interaction, and 50 hours of parent training. (AR 1333-  
26 36).

27 \\  
28 \\  
29



1 In the Decision, the ALJ explained that she was giving "less  
2 weight" to Dr. Large's critiques of Defendant's assessment of  
3 Student's present levels of performance and its goals for Student  
4 in the IEP due to the conclusory nature of the critiques, their  
5 lack of alternative proposals, and Dr. Large's admissions that "she  
6 did not have experience developing IEPs" and that "she is not an  
7 expert on developing measurable Student goals for an IEP." (AR 556,  
8 ¶¶ 59-60). The ALJ then addressed Dr. Large's proposed  
9 compensatory remedies:

10  
11 Dr. Large further opined that the District's offer of  
12 FAPE was inadequate because it should have included:  
13 home-based intervention services, parent training and a  
14 1-to-1 trained aide for Student throughout the school  
15 day. Dr. Large did not describe the nature or extent of  
16 the home-based intervention services or the parent  
17 training she referred to with any specificity. She only  
18 opined that it was essential that school personnel and  
19 Parents be consistent with strategies used with Student  
20 to extinguish his negative behaviors. She also  
21 recommended Student receive mental health services, but  
22 she did not specifically describe those services, or  
23 explain if such mental health services differed  
24 materially, or at all and in what way, from the 60  
25 minutes a week of designated instructional service  
26 individual counseling that District offered Student.  
27 Any weight given to Dr. Large's recommendations was  
28 undermined by the absence of material specificity

1 regarding the nature, and for some recommendations the  
2 extent (duration and frequency) of the services she  
3 endorsed for Student. Also, her report stated that a  
4 full time aide should be only considered for Student.  
5 However, she testified at hearing that Student should  
6 have a full time aide because he presented a safety risk.  
7 This inconsistency also undermined her recommendation  
8 that Student needed a full time aide.

9  
10 (Id. ¶ 61).

11  
12 Additionally, with particular respect to Dr. Large's  
13 contention that Student was entitled to additional parent training  
14 or "home-based behavioral interventions," (see AR 1260), the ALJ  
15 noted that:

16  
17 Evidence established [that] District personnel and  
18 Mother frequently communicated about Student's  
19 behaviors, strategies used at school[,] and  
20 consequences. Student failed to establish that Parent  
21 training was necessary to create consistency between  
22 strategies used at school and at home. The continued  
23 frequent communication between school and Parents should  
24 reasonably suffice to assure that District and Parents  
25 consistently use strategies to address Student's  
26 behavioral and emotional difficulties. Also, Student  
27 offered no evidence proving Parents' dealings with  
28 Student at home was inconsistent with, or in any way

1           undermined, the strategies used by District personnel  
2           with Student at school. Therefore, District did not  
3           deny Student a FAPE at any time from April 26, 2015  
4           through the end of the 2016 extended school year by  
5           failing to offer him the designated related service of  
6           Parent training addressing his behavioral and emotional  
7           difficulties.

8  
9           (AR 571-72, ¶ 48).

10  
11           Accordingly, the ALJ concluded that, based on the eighteen-  
12           week period between December 1, 2015 and April 26, 2016<sup>8</sup> during  
13           which Student should have received services had his IEP been timely  
14           implemented, Student was entitled to the following compensatory  
15           education services:

- 16  
17           • 18 hours of individual counseling from a credentialed  
18           District counselor;  
19           • 18 hours of speech and language therapy from a  
20           District speech and language pathologist; and  
21           • 150 minutes of behavior intervention services from a  
22           District behaviorist.

23  
24           <sup>8</sup> The ALJ's eighteen-week calculation does not appear to have  
25           differentiated between weeks in which the school was closed for  
26           vacation and weeks when school was actually in session.  
27           Accordingly, although the ALJ stated in the Decision that the  
28           amount of the compensatory services awarded "coordinates to the  
          amount of services offered per week or year in the April 2016 IEP,"  
          for services calculated on a weekly basis, the ALJ's compensatory  
          award may actually be somewhat generous, as it provided  
          compensation for weeks when school was not in session.

1 (AR 575). However, as noted above, the ALJ did not award additional  
2 one-on-one aide services as compensatory education on the ground  
3 that "Student [was already being] accompanied by an aide during  
4 the unstructured parts of his school day." (Id.).

5  
6 The Court has already concluded that some award of one-on-one  
7 aide services is warranted as compensatory education. However,  
8 with respect to the remainder of Dr. Large's recommendations, the  
9 Court finds that the ALJ thoughtfully considered the remedies  
10 proposed by Dr. Large and gave well-reasoned explanations as to  
11 why she did not give them the weight Plaintiffs argue they deserve.  
12 Plaintiffs have not shown by a preponderance of the evidence that  
13 the compensatory services awarded by the ALJ were inadequate  
14 because they did not incorporate all of Dr. Large's  
15 recommendations. Accordingly, Plaintiffs' remedies claim  
16 concerning Dr. Large's recommendations, with the exception of the  
17 one-on-one aide services, is DENIED.

### 18 19 **3. Proper Remedies**

20  
21 An award of compensatory educational services is an equitable  
22 remedy that requires the exercise of fact-specific discretion.  
23 Reid, 401 F.3d at 523. The purpose of any award is to help bring  
24 disabled students "to the point where [they] would have been, had  
25 [they] received a FAPE all along." R.P., 631 F.3d at 1126. "The  
26 courts have discretion on how to craft the relief" and, as noted  
27 earlier, there is "no obligation to provide a day-for-day  
28

1 compensation for time missed.” Park, 464 F.3d at 1033 (internal  
2 quotation marks and citation omitted).

3  
4 For the reasons stated above, the Court finds that Student is  
5 entitled to compensatory education services for an additional seven  
6 weeks beyond the period identified by the ALJ, from April 20, 2016  
7 through June 9, 2016, the last day of school for elementary school  
8 students in the Conejo Valley Unified School District for the 2015-  
9 2016 school year. (See AR 319). However, the Court also defers  
10 to the ALJ’s well-considered reasons for not giving significant  
11 weight to the full range of remedies advanced by Dr. Large.  
12 Plaintiffs have not shown that Student is entitled to substantially  
13 more hours of remedial education for the services awarded by the  
14 ALJ than the amounts awarded by the ALJ. Accordingly, the Court  
15 will increase those awards proportionately as follows:

- 16  
17 • An additional 7 hours of individual counseling from a  
18 credentialed District counselor, for a total award of  
19 25 hours, including the ALJ’s award;
- 20 • An additional 7 hours of speech and language therapy  
21 from a District speech and language pathologist, for  
22 a total award of 25 hours, including the ALJ’s award;
- 23 and
- 24 • An additional 60 minutes of behavior intervention  
25 services from a District behaviorist, for a total  
26 award of 210 minutes, including the ALJ’s award.

1 With respect to one-on-one aide services, the Court notes that  
2 the April IEP awarded Student "90 minutes daily of intensive  
3 instructional services, consisting of 1-to-1 adult support for  
4 Student during unstructured times in the school day (both recesses,  
5 lunch and priming before and during recess)." (AR 554-55).  
6 Accordingly, if Student had been offered an IEP on December 1,  
7 2015, as the ALJ determined he should have been, he would have  
8 received 450 minutes of one-on-one aide services per week from that  
9 point forward for the 25-week period ending on June 9, 2016.  
10 However, as a matter of logic, he would not have received one-on-  
11 one aide services to assist with lunch and recess for the days when  
12 school was not in session. This would include the Winter Recess  
13 from December 21, 2015 through January 1, 2016 (ten weekdays); the  
14 Spring Recess from March 25 through April 1, 2016 (six weekdays);  
15 and the following one-day holidays: Martin Luther King Day  
16 (1/18/16), Lincoln's Day (2/12/16), Washington's Day (2/15/16) and  
17 Memorial Day (5/30/18). Accordingly, Student would have received  
18 one-on-one aide services, at most, for a period of approximately  
19 twenty-one weeks, for a total of 9,450 minutes, or 157.5 hours.

20  
21 Although Plaintiffs summarily argue that the ALJ should have  
22 awarded one-on-one aide services as part of a compensatory remedy,  
23 (P Br. at 17-18), and Dr. Large testified that somewhere between  
24 "200 and 250 hours" of aide services would be a fair compensatory  
25 award, (AR 1334), Plaintiffs have not satisfactorily explained why  
26 any particular amount of aide services is warranted. For example,  
27 Plaintiffs have not shown by a preponderance of the evidence that  
28 Student's behavior deteriorated to such a point over his

1 kindergarten year that he requires even 157.5 hours of aide  
2 services to reach the position where he would be had he timely  
3 received such services, much less more than that amount.  
4 Furthermore, to the extent that Dr. Large recommended that Student  
5 be assigned a one-to-one aide to ensure the safety of other  
6 students, it is plain that an award of additional aide services  
7 now would not make Student's fellow students in the past any safer.  
8 (See AR 1413-14) (Dr. Large's testimony that she is recommending  
9 an aide for Student "[m]ost certainly to ensure the safety of his  
10 peers and also to ensure his own safety").

11  
12 The Court is willing to accept that Student may have gained  
13 some additional insight into and control over his behavior had he  
14 been accorded a one-on-one aide during recess and lunch from  
15 December 1, 2015 on. At the same time, considering (1) the multiple  
16 purposes for which a one-on-one aide may serve, only some of which  
17 pertain to those goals, (2) Plaintiffs' failure to present evidence  
18 of the exact amount of aide services that would promote those  
19 purposes, (3) evidence of Student's progress in controlling his  
20 behavior, and (4) the equities of the award, including Plaintiffs'  
21 own responsibility for causing or prolonging the delay in the  
22 implementation of an IEP, the Court concludes that an award of 52.5  
23 hours of one-on-one aide services, approximately one-third of the  
24 amount that Student would have received had his IEP been timely  
25 implemented, should roughly compensate Student for losses suffered  
26 or gains not achieved due to the lack of aide services in his  
27 kindergarten year.

1     **4.     Reimbursement For Dr. Ott's Services**

2  
3             Dr. Ott, a psychiatrist, assessed Student in February 2016  
4 with conduct disorder, mood disorder, and disruptive mood  
5 dysregulation disorder. (AR 342). His assessment was discussed  
6 at the Third SST meeting on February 10, 2016 and appears to have  
7 been a factor in the decision to put forward an Assessment Plan  
8 for Student. (AR 866-87). Plaintiffs argue that they are entitled  
9 to reimbursement in the amount of \$700.00 for Dr. Ott's services,  
10 which the ALJ denied on the ground that Student had failed to  
11 establish that Dr. Ott's services "were reasonably necessary for  
12 Student to access his education at the times at issue in this  
13 proceeding." (AR 575).

14  
15             Carmona testified that the decision to conduct an assessment  
16 in February 2016 was taken based on both the escalation of Student's  
17 behavior and Dr. Ott's diagnoses, and that it was the diagnosis of  
18 disruptive mood dysregulation disorder "that really concerned  
19 [her]." (AR 866). While the ALJ found that the District had  
20 enough evidence to order an assessment by September 16, 2015 and  
21 should have done so, in fact the District did not act on the  
22 information it had until it considered Dr. Ott's diagnoses. The  
23 District cannot plausibly argue, as it did in the underlying  
24 proceedings, that it had no obligation to assess Student until  
25 February 2016 and then contend that the information it received in  
26 February 2016 was of no import. Accordingly, the Court reverses  
27 the ALJ's decision with respect to Dr. Ott's services and ORDERS  
28



1 the District to reimburse Parents in the amount of \$700.00 for his  
2 fees.

3  
4 **E. Request For Attorneys' Fees**

5  
6 Plaintiffs pray for "attorneys fees as the prevailing parties,  
7 pursuant to a subsequently filed motion for attorneys fees." (P  
8 Br. at 24). The Ninth Circuit instructs:

9  
10 The IDEA provides that a "court, in its discretion, may  
11 award reasonable attorneys' fees as part of the costs to  
12 the parent or guardian of a child or youth with a  
13 disability who is a prevailing party." 20 U.S.C.  
14 § 1415(i)(3)(B)(i)(I). A parent need not succeed on  
15 every issue in order to be a prevailing party. Park v.  
16 Anaheim Union High Sch. Dist., 464 F.3d 1025, 1035 (9th  
17 Cir. 2006). Rather, parents are prevailing parties if  
18 they "succeed [] on any significant issue in litigation  
19 which achieves some of the benefit [they] sought in  
20 bringing the suit." Id. at 1034 (emphasis in original)  
21 (citation omitted).

22  
23 M.C., 858 F.3d at 1201; see also Meridian Joint Sch. Dist. No. 2  
24 v. D.A., 792 F.3d 1054, 1065 (9th Cir. 2015) ("[T]o be a 'prevailing  
25 party,' a party must 'succeed[] on any significant issue in  
26 litigation which achieves some of the benefit the parties sought  
27 in bringing the suit.'" (quoting Van Duyn, 502 F.3d at 825); Y.Z.  
28 ex rel. Arvizu v. Clark Cnty. Sch. Dist., 54 F. Supp. 3d 1171, 1175

1 (D. Nev. 2014) ("A plaintiff is a 'prevailing party' entitled to  
2 fees under the IDEA if he (1) brings an action and is provided  
3 judicially-sanctioned relief, also referred to as relief with  
4 sufficient 'judicial imprimatur,' and (2) the relief changes the  
5 legal relationship between plaintiff and defendant."). "The Ninth  
6 Circuit has construed the IDEA to justify awarding attorneys' fees  
7 to parents who prevailed at an administrative hearing." Miller ex  
8 rel. Miller v. San Mateo-Foster City Unified Sch. Dist., 318 F.  
9 Supp. 2d 851, 863 (N.D. Cal. 2004) (citing McSomebodies (No. 1) v.  
10 Burlingame Elementary Sch. Dist., 897 F.2d 974, 975 (9th Cir.  
11 1989)).

12  
13 The Court agrees that an award of attorneys' fees appears  
14 appropriate here. Plaintiffs may file a Motion for Attorneys' Fees  
15 within thirty days of the date of this Order. The Motion shall  
16 address whether an award of attorneys' fees is warranted for both  
17 the underlying administrative proceeding and, separately, for the  
18 action in this Court, and shall include a detailed declaration to  
19 support any amounts requested, including the information necessary  
20 for the Court to evaluate whether the hourly rate and the hours  
21 requested are reasonable. The District's Opposition shall be due  
22 within fourteen days of service of the Motion. Plaintiffs' Reply,  
23 if any, shall be filed within seven days of service of the  
24 Opposition.

25 \\  
26 \\  
27 \\  
28 \\

