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16 (Counsel's information cont. on p.2)

17 **UNITED STATES DISTRICT COURT**

18 **CENTRAL DISTRICT OF CALIFORNIA, WESTERN DIVISION**

19 PHILIPS LIGHTING NORTH
 20 AMERICA CORPORATION and
 PHILIPS LIGHTING HOLDING B.V.

21 Plaintiffs and
 22 Counterclaim-
 Defendants,

23 v.

24 DECO ENTERPRISES, INC. (d/b/a
 25 DECO LIGHTING),

26 Defendant and
 27 Counterclaim-
 Plaintiff.

CASE NO. 2:17-cv-04995-R-AGR

Judge Hon. Manuel L. Real

Magistrate Hon. Alicia G. Rosenberg

PROTECTIVE ORDER

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1 Pursuant to the Stipulation to Entry of Order Governing Use and
2 Dissemination of Confidential Information filed by Plaintiffs and Counterclaim
3 Defendants PHILIPS LIGHTING NORTH AMERICA CORPORATION and
4 PHILIPS LIGHTING HOLDING B.V. and Defendant and Counterclaim-Plaintiff
5 DECO ENTERPRISES, INC. (d/b/a DECO LIGHTING), the Court hereby enters
6 this Protective Order (the “Order”) to protect confidential information and material
7 that may be produced or otherwise disclosed by the parties or third parties during the
8 course of discovery in this case and in order to facilitate the exchange of information
9 and documents that may be subject to confidentiality limitations on disclosure due to
10 federal laws, state laws, and privacy rights.

11 IT IS HEREBY ORDERED that:

12 1. No person subject to this Order may disclose information of any kind
13 produced or disclosed in the course of discovery or settlement discussions in this
14 action (hereinafter “Discovery Material”) which a Party has designated as
15 “Confidential” or “Confidential Attorneys Eyes Only” (hereinafter “Confidential
16 AEO”) pursuant to this Order to anyone else except as this Order expressly permits.

17 2. The Party or person producing or disclosing Discovery Material
18 (hereinafter “Producing Party”) may designate as “Confidential” only the portion of
19 such material that it reasonably and in good faith believes consists of:

- 20 (a) previously non-disclosed financial information (including without
21 limitation profitability reports or estimates, percentage fees, royalty
22 rates, minimum guarantee payments, sales reports, and sale margins);
23 (b) previously non-disclosed material relating to ownership or control
24 of any non-public company; (c) previously non-disclosed business
25 plans, product development information, or marketing plans;
26 (d) any information of a personal or intimate nature regarding any
27 individual;

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- 1 (e) information related to previously non-disclosed and/or sensitive
2 information regarding suppliers, manufacturers, or other entities with
3 which either Party has business relations;
- 4 (f) any information that any Party reasonably and in good faith believes
5 would give a direct competitor an unfair business advantage by virtue
6 of disclosure of that information; or
- 7 (g) any other category of information this Court subsequently affords
8 confidential status.

9 3. A Producing Party may designate as “Confidential AEO” only the
10 portion of Discovery Material that it reasonably and in good faith believes consists
11 of highly sensitive and/or commercially competitive Discovery Material, including
12 but not limited to the types of information identified in subparagraphs 2(a)-(g)
13 above.

14 4. A Producing Party or its counsel may designate the confidential portion
15 of any Discovery Material other than deposition transcripts and exhibits as
16 “Confidential” or “Confidential AEO” by stamping or otherwise clearly marking as
17 “Confidential” or “Confidential AEO” the protected portion in a manner that will
18 not interfere with legibility or audibility.

19 5. A Producing Party or its counsel may designate deposition exhibits or
20 portions of deposition transcripts as Confidential Discovery Material or Confidential
21 AEO Discovery Material either by: (a) indicating on the record during the
22 deposition that a question calls for Confidential or Confidential AEO information, in
23 which case the reporter will bind the transcript of the designated testimony in a
24 separate volume and mark it as “Confidential” or “Confidential AEO” and will
25 indicate “Information Governed by Protective Order” or (b) notifying the reporter
26 and all counsel of record, in writing, within this thirty (30) days after receiving a
27 transcript of the deposition, of the specific pages and lines of the transcript that are
28 to be designated “Confidential” or “Confidential AEO,” in which case all counsel

1 receiving the transcript will be responsible for marking the copies of the designated
2 transcript in their possession or under their control as directed by the Producing
3 Party or that person's counsel. During the thirty (30) day period following the
4 Parties' receipt of transcripts of the deposition, all Parties will treat the entire
5 deposition transcript as if it had been designated "Confidential."

6 6. If at any time before the close of the discovery period in this action a
7 Producing Party realizes that it should have designated as "Confidential" or
8 "Confidential AEO" some portion or portion(s) of Discovery Material that it
9 previously produced without limitation, the Producing Party may so designate such
10 material by so apprising all prior recipients in writing. Thereafter, all persons subject
11 to this Order will treat such designated portion(s) of the Discovery Material as
12 "Confidential" or "Confidential AEO." To the extent belatedly-designated
13 "Confidential" or "Confidential AEO" Discovery Material was previously submitted
14 in a filing or motion, the party submitting the filing shall cooperate with the
15 designating party in any motion or request to the Court to seal such information, in
16 accordance with the Court's rules and procedures. Nothing herein shall prevent a
17 party from challenging the propriety of the belated designation of the documents in
18 accordance with paragraph 13 below.

19 7. Nothing contained in this Order will be construed as: (a) a waiver by a
20 Party or person of its right to object to any discovery request; (b) a waiver of any
21 privilege or protection; or (c) a ruling regarding the admissibility at trial of any
22 document, testimony or other evidence. Without limiting the foregoing, the
23 production of documents subject to work product protection, trade secret protection,
24 the attorney-client privilege or other privilege or protection provided by law shall
25 not constitute a waiver of the protection or privilege, provided that the Producing
26 Party (or the party holding the privilege or protection if produced by a third party,
27 such as an outside law firm) promptly after learning of the production notifies the
28 party to whom the Discovery Material was produced (the "Receiving Party") in

1 writing that it intends to assert privilege or other protection with regard to such
2 production. No party to this Action thereafter shall assert that such inadvertent
3 disclosure alone waived any privilege or protection. Absent court order or
4 agreement of the parties to the contrary, no use shall be made of such documents
5 during deposition, at trial, or in any filing or motion, nor shall they be shown to
6 anyone who was not given access to them prior to the request to return or destroy
7 them. Any Receiving Party will return or destroy such inadvertently produced items
8 and all copies within five (5) business days of receiving a written request from the
9 Producing Party for the return or destruction of such items and certify such return or
10 destruction in writing to the Producing Party, and provide written confirmation of
11 compliance. The return of such items shall not be construed as an agreement by the
12 returning party that the information is, in fact, protected by any privilege or
13 immunity. Within seven (7) days of receiving written confirmation from any
14 Receiving Party, the Producing Party must serve a supplemental privilege log
15 covering the privileged or otherwise protected matter produced. The Receiving
16 Party, having so returned the items, may thereafter seek production of any such
17 documents in accordance with the Federal Rules of Civil Procedure (without
18 asserting waiver based solely on their inadvertent production). Nothing in this
19 Order shall (i) prevent the Receiving Party from challenging the propriety of the
20 attorney-client privilege or other privilege or protection asserted by submitting a
21 written challenge to the Court in accordance with paragraph 13 below or any other
22 applicable rule or statute; or (ii) be construed to require the production of any
23 information, document, electronically stored information or thing that a party
24 contends is protected from disclosure by any privilege or protection. To the extent
25 applicable, Federal Rule of Evidence 502(d) shall apply.

26 8. Where a Producing Party has designated Discovery Material as
27 “Confidential,” other persons subject to this Order may disclose such
28 information only to the following persons:

- 1 (a) A maximum of four (4) employees of the Parties to this action, the
2 Parties' insurers, and counsel to their insurers;
- 3 (b) outside counsel of record retained specifically for this action, including
4 any paralegal, clerical, or other assistant that such outside counsel
5 employs and assigns to this matter, and in-house counsel to whom
6 disclosure is reasonably necessary for this action;
- 7 (c) outside vendors or service providers (such as copy service providers
8 and document management consultants) that counsel hire and assign to
9 this matter, provided such persons or entities have first been notified of
10 this Confidentiality Agreement and Protective Order;
- 11 (d) any mediator or arbitrator that the Parties engage in this matter or that
12 this Court appoints, provided such person has first executed a
13 Nondisclosure Agreement;
- 14 (e) as to any document, its author, its addressee, and any other person
15 indicated on the face of the document as having received a copy;
- 16 (f) any witness who counsel for a Party in good faith believes may be
17 called to testify at trial or deposition in this action, provided such
18 person has first executed a Nondisclosure Agreement;
- 19 (g) any person a Party retains to serve as an expert witness or to otherwise
20 provide specialized advice to counsel or a Party in connection with this
21 action and/or settlement of this action (through a potential business
22 arrangement or otherwise), including but not limited to outside counsel,
23 consultants, accountants and other financial professionals, provided
24 such person has first executed a Nondisclosure Agreement and said
25 Nondisclosure Agreement and the following information have been
26 sent to the Disclosing Party at least ten (10) calendar days before the
27 disclosure: (1) current curriculum vitae including at least the past ten
28 (10) years of employment history; (2) an identification of trial and

1 deposition testimony for the past four (4) years; and (3) an
2 identification of any current affiliations (including consulting) with any
3 persons or entities involved in the design, manufacture, and/or sale of
4 LED luminaires (or components thereof) (if the Disclosing Party
5 objects within the prescribed 10-day period, the parties shall meet and
6 confer in good faith and if no resolution is reached, the Disclosing
7 Party shall raise the issue with the Court within ten (10) days of the
8 objection or the objection shall be considered waived;

9 (h) stenographers engaged to transcribe depositions the Parties conduct in
10 this action; and

11 (i) this Court, including any appellate court, its support personnel, and
12 court reporters.

13 9. Where a Producing Party has designated Discovery Material as
14 “Confidential AEO,” other persons subject to this Order may disclose such
15 information only to the following persons:

16 (a) outside counsel of record retained specifically for this action, including
17 any paralegal, clerical, or other assistant that such outside counsel
18 employs and assigns to this matter, and up to three in-house counsel to
19 whom disclosure is reasonably necessary for this action, who have
20 signed the Nondisclosure Agreement, and whom are identified to the
21 Disclosing Party in advance;

22 (b) outside vendors or service providers (such as copy service providers
23 and document management consultants) that counsel hire and assign to
24 this matter, provided such persons or entities have first been notified of
25 this Confidentiality Agreement and Protective Order;

26 (c) any mediator or arbitrator that the Parties engage in this matter or that
27 this Court appoints, provided such person has first executed a
28 Nondisclosure Agreement;

- 1 (d) as to any document, its author, its addressee, and any other person
2 indicated on the face of the document as having received a copy;
- 3 (e) any person a Party retains to serve as an expert witness or to otherwise
4 provide specialized advice to counsel or a Party in connection with this
5 action and/or settlement of this action (through a potential business
6 arrangement or otherwise), including but not limited to outside counsel,
7 consultants, accountants and other financial professionals, provided
8 such person has first executed a Nondisclosure Agreement;
- 9 (f) stenographers engaged to transcribe depositions the Parties conduct in
10 this action; and
- 11 (g) this Court, including any appellate court, its support personnel, and
12 court reporters.

13 10. Before disclosing any “Confidential” or “Confidential AEO” Discovery
14 Material to any person referred to in subparagraphs 8(d), (f), or (g), or 9(d) or (f),
15 counsel must provide a copy of this Order to such person, who must sign the
16 Nondisclosure Agreement attached hereto as Exhibit “A” stating that he or she has
17 read this Order and agrees to be bound by its terms. Said counsel must retain each
18 signed Nondisclosure Agreement, hold it in escrow, and produce it to opposing
19 counsel either before such person is permitted to testify (at deposition or trial) or at
20 the conclusion of the case, whichever comes first.

21 11. This Court retains discretion as to whether to afford confidential
22 treatment to any Discovery Material designated as “Confidential” or “Confidential
23 AEO” and submitted to the Court in connection with any motion, application, or
24 proceeding that may result in an order and/or decision by the Court.

25 12. If the Parties desire to file “Confidential” or “Confidential AEO”
26 Discovery Material with this Court, or any portions of any pleadings, motions, or
27 other papers that disclose such “Confidential” or “Confidential AEO” Discovery
28 Material (“Confidential Court Submission”), the Parties shall follow the procedures

1 required by Local Rule 79-5, effective December 1, 2015, to request permission to
2 file Confidential Court Submissions under seal, and to effect service of the same.

3 13. Any Party who objects to any designation of confidentiality may at any
4 time within the discovery period established by the District Judge to which this
5 action is assigned, serve upon counsel for the Producing Party a written notice
6 stating with particularity the grounds of the objection. If the Parties cannot reach
7 agreement promptly, counsel for all affected Parties will address their dispute to this
8 Court pursuant to the procedure set forth in Central District of California Local Rule
9 37.

10 14. Recipients of “Confidential” or “Confidential AEO” Discovery
11 Material under this Order may use such material solely for the prosecution and
12 defense of this action and any appeals thereto, and for the purpose of assessing
13 settlement of this action, and not for any business, commercial, or competitive
14 propose or in any other litigation proceeding. Nothing contained in this Order,
15 however, will affect or restrict the rights of any Party with respect to its own
16 documents or information produced in this action.

17 15. Nothing in this Order will prevent any Party from producing any
18 “Confidential” or “Confidential AEO” Discovery Material in its possession in
19 response to a lawful subpoena or other compulsory process, or if required to produce
20 it by law or by any government agency having jurisdiction, provide that such Party
21 gives written notice to the Producing Party as soon as reasonably possible, and if
22 permitted by the time allowed under the request, at least ten (10) days before any
23 disclosure. Upon receiving such notice, the Producing Party will bear the burden to
24 oppose compliance with the subpoena, other compulsory process, or other legal
25 notice if the Producing Party deems it appropriate to do so.

26 16. Each person who has access to Discovery Material designated as
27 “Confidential” or “Confidential AEO” pursuant to this Order must take all due
28 precautions to prevent the unauthorized or inadvertent disclosure of such material.

1 17. The Parties agree that privileged or protected communications
2 occurring on or after April 12, 2017, need not be recorded on the Party’s privilege
3 log.

4 18. Within sixty (60) days of the final disposition of this action - including
5 all appeals – all recipients of “Confidential” or “Confidential AEO” Discovery
6 Material must either return the material – including all copies thereof-to the
7 Producing Party, or, upon permission of the Producing Party, destroy such material-
8 including all copies thereof, and provide evidence of such destruction to the
9 Producing Party. In either event, by the sixty (60) day deadline, the recipient must
10 certify its return or destruction by submitting a written certification to the Producing
11 Party that affirms that it has not retained any copies, abstracts, compilations,
12 summaries, or other forms of reproducing or capturing any of the “Confidential” or
13 “Confidential AEO” Discovery Material. Notwithstanding this provision, the
14 attorneys that the Parties have specifically retained for this action may retain an
15 archival copy of all pleadings, motion papers, transcripts, expert reports, legal
16 memoranda, correspondence, or attorney work product, even if such materials
17 contain “Confidential” or “Confidential AEO” Discovery Material. Any such
18 archival copies that contain or constitute “Confidential” or “Confidential AEO”
19 Discovery Material remain subject to this Order.

20 19. The Parties agree and acknowledge that the terms of this Order will
21 continue throughout trial of this matter and shall survive the termination of the
22 litigation, notwithstanding the Court’s lack of jurisdiction from the date of
23 commencement of trial.

24 20. The Parties acknowledge that any disclosure or exchange of
25 “Confidential” or “Confidential AEO” Discovery Material that has occurred prior to
26 the date of entry of this Order is subject to this Agreement.

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1 21. This Court will retain jurisdiction over all persons subject to this Order
2 to the extent necessary to enforce any obligations arising hereunder or to impose
3 sanctions for any contempt thereof.

4 GOOD CAUSE appearing, the Court hereby enters this Stipulation as
5 stipulated to in Stipulation of Entry of Protective Order (Docket No. 41) and
6 Protective Order.

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8 IT IS SO ORDERED:

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10 DATED: October 11, 2017



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Honorable Manuel L. Real
United States District Judge

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Attachment "A"

NONDISCLOSURE AGREEMENT

I, _____, state that:

1. My address is:

2. My present employer is:

3. My present occupation or job description is:

4. I have received a copy of the Protective Order entered in the case of PHILIPS LIGHTING NORTH AMERICA CORPORATION and PHILIPS LIGHTING HOLDING B.V. v. DECO ENTERPRISES, INC. (d/b/a DECO LIGHTING), United States District Court – Central District of California Case No. 2:17-cv-04995-R-AGR.

5. I have read and understand the Order Governing Use and Dissemination of Confidential Information (the "Order"). I hereby agree to comply with all of the terms of the Order, including holding in confidence and not disclosing to any unqualified person all documents, things, or information designated "CONFIDENTIAL" or "CONFIDENTIAL ATTORNEYS EYES ONLY."

6. I hereby expressly covenant that I will only use the documents, things, or information designated as "CONFIDENTIAL" or "CONFIDENTIAL ATTORNEYS EYES ONLY" in connection with the above-referenced litigation and that I will not use such documents, things, or information for any other purpose. Further, I expressly covenant that I will not use such documents, things, or information for the benefit of myself or any other person or entity.

1 7. Within sixty (60) days of notice by any Party that this litigation is over,
2 or that my involvement is no longer deemed necessary, I expressly covenant that I
3 will return all information and materials provided to me with the designation of
4 “CONFIDENTIAL” or “CONFIDENTIAL ATTORNEYS EYES ONLY.” I will
5 also provide a Declaration, submitted under penalty of perjury, which identifies by
6 Bates Number, the information and materials that I am returning.

7 8. I hereby consent to the jurisdiction of the United States District Court –
8 Central District of California for the purpose of enforcing the Order and this
9 agreement to be bound thereby.

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11 Dated: _____

Signature

Print Name

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