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**UNITED STATES DISTRICT COURT
CENTRAL DISTRICT OF CALIFORNIA**

IGNACIO R.,

Plaintiff,

v.

NANCY A. BERRYHILL, Acting
Commissioner of Social Security,

Defendant.

Case No. 2:17-cv-08933-MAA

**ORDER REVERSING DECISION
OF THE COMMISSIONER AND
REMANDING FOR FURTHER
ADMINISTRATIVE
PROCEEDINGS**

Ignacio R.¹ (“Plaintiff”) seeks review of the final decision of the Acting Commissioner of Social Security (“Defendant,” “Commissioner,” or “Administration”) denying his applications for Disability Insurance Benefits (“DIB”) under Title II of the Social Security Act and Supplemental Security Income (“SSI”) under Title XVI of the Social Security Act. Pursuant to 28 U.S.C. § 636(c), the parties consented to the jurisdiction of a United States Magistrate

¹ Plaintiff’s name is partially redacted in accordance with Federal Rule of Civil Procedure 5.2(c)(2)(B) and the recommendation of the Committee on Court Administration and Case Management of the Judicial Conference of the United States.

1 Judge. (ECF Nos. 9-11.) For the reasons stated below, the Court reverses the
2 decision of the Commissioner and remands the matter for further administrative
3 proceedings.

4
5 **I. SUMMARY OF ADMINISTRATIVE PROCEEDINGS**

6 On October 1, 2013, Plaintiff filed for DIB, and on October 15, 2013, for
7 SSI. (Administrative Record (“AR”) 266-67, 269-77.) In both applications,
8 Plaintiff alleged disability beginning on June 30, 2012, due to major depression,
9 diabetes, and sleep apnea. (AR 101-24.) The Administration denied the
10 applications initially on February 21, 2014, and upon reconsideration on June 18,
11 2014. (AR 156-66, 169-74.) Plaintiff sought review on July 8, 2014. (AR 178-
12 79.) Administrative Law Judge Lesley Troope (“ALJ”) held hearings on March 29,
13 2016, and July 28, 2016. (AR 32-100.)

14 The ALJ issued an unfavorable ruling on August 6, 2016. (AR 10-31.) To
15 reach this conclusion, the ALJ applied the five-step sequential evaluation process
16 for determining whether an individual is disabled. (AR 14-15.) At step one, the
17 ALJ determined that Plaintiff had not engaged in substantial gainful activity from
18 June 30, 2012, the alleged onset date, to May 1, 2015, the date Plaintiff returned to
19 work. (AR 15.) At step two, the ALJ found that Plaintiff had the following severe
20 impairments: “spine disorder, unstable angina, obesity, diabetes mellitus, affective
21 disorder, and anxiety disorder.” (AR 16-17.) At step three, the ALJ found that
22 Plaintiff’s impairments or combination of impairments did not meet or medically
23 equal the severity of a listed impairment. (AR 17-19.) The ALJ assessed Plaintiff’s
24 residual functional capacity as follows:

25 [Plaintiff] had the residual functional capacity to perform
26 light work as defined in 20 CFR 404.1567(b) and
27 416.967(b) as lifting and/or carrying up to 20 pounds
28 occasionally and 10 pounds frequently, standing and/or

1 walking up to six hours in an eight-hour workday, and
2 sitting up to six hours in an eight-hour workday.
3 [Plaintiff] can never climb ladders, ropes, or scaffolds,
4 and he can perform other postural activities on an
5 occasional basis. [Plaintiff] can have no concentrated
6 exposure to workplace hazards, such as moving
7 machinery and unprotected heights. [Plaintiff] can
8 perform work that requires understanding, remembering,
9 and carrying out simple, routine work instructions and he
10 can maintain attention, concentration, and pace for two-
11 hour increments in the performance of simple, routine
12 work.

13 (AR 19.) At step four, the ALJ concluded that Plaintiff was unable to perform his
14 past relevant work as a tractor trailer truck driver. (AR 23.) At step five, the ALJ
15 determined that Plaintiff could perform other work in the national economy,
16 specifically the occupations of small products assembler, housekeeping cleaner, and
17 garment sorter, all of which are light, unskilled occupations. (AR 23-25.)
18 Accordingly, the ALJ concluded that Plaintiff was not disabled as defined by the
19 Social Security Act. (AR 25.)

20 Plaintiff requested review with the Appeals Council, which denied the
21 request on October 17, 2017. (AR 1-6.) The Appeals Council cast the ALJ's
22 decision of August 10, 2016 as the final decision of the Commissioner. (AR 1.)
23

24 **II. STANDARD OF REVIEW**

25 Pursuant to 42 U.S.C. § 405(g), the Court reviews the Commissioner's final
26 decision to determine whether the Commissioner's findings are supported by
27 substantial evidence and whether the proper legal standards were applied. *See*
28 *Treichler v. Comm'r of Soc. Sec. Admin.*, 775 F.3d 1090, 1098 (9th Cir. 2014).

1 Substantial evidence means “more than a mere scintilla” but less than a
2 preponderance. *See Richardson v. Perales*, 402 U.S. 389, 401 (1971); *Lingenfelter*
3 *v. Astrue*, 504 F.3d 1028, 1035 (9th Cir. 2007). Substantial evidence is “such
4 relevant evidence as a reasonable mind might accept as adequate to support a
5 conclusion.” *Richardson*, 402 U.S. at 401. This Court “must consider the record as
6 a whole, weighing both the evidence that supports and the evidence that detracts
7 from the Commissioner’s conclusion.” *Trevizo v. Berryhill*, 871 F.3d 664, 675 (9th
8 Cir. 2017) (quoting *Garrison v. Colvin*, 759 F.3d 995, 1009 (9th Cir. 2014)).
9 “Where evidence is susceptible to more than one rational interpretation, the ALJ’s
10 decision should be upheld.” *Orn v. Astrue*, 495 F.3d 625, 630 (9th Cir. 2007)
11 (citation and quotation marks omitted).

12 13 **III. DISCUSSION**

14 The parties present a single disputed issue: Whether the ALJ properly
15 evaluated the opinion of treating psychiatrist Chin Choo, M.D. For the reasons
16 stated below, reversal and remand for further administrative proceedings is
17 warranted.

18 19 **A. Legal Standard**

20 Decisions of the Commissioner follow a five-step sequential evaluation
21 process. *See generally* 20 C.F.R. §§ 404.1520, 416.920. To aid the ALJ’s
22 evaluation of steps four and five, the ALJ assesses the claimant’s residual
23 functional capacity (“RFC”). *See* 20 C.F.R. §§ 404.1520(a)(iv)-(v), (e),
24 416.920(a)(iv)-(v), (e). The RFC is “the most [a claimant] can still do” in a work
25 setting given the claimant’s physical and mental limitations. 20 C.F.R.
26 §§ 404.1545(a)(1), 416.945(a)(1); *see also* SSR 96-8p, 61 Fed. Reg. 34,474, 34,475
27 (July 2, 1996) (“RFC is an administrative assessment of the extent to which an
28 individual’s medically determinable impairment(s), including any related

1 symptoms, such as pain, may cause physical or mental limitations or restrictions
2 that may affect his or her capacity to do work-related physical and mental
3 activities.”). The Commissioner’s RFC assessment must be “based on all of the
4 relevant medical and other evidence.” 20 C.F.R. §§ 404.1545(a)(3), 416.945(a)(3);
5 *see also* SSR 96-8p, 61 Fed. Reg. at 34,477.

6 The amount of deference an ALJ accords to a medical opinion depends on the
7 characterization of the physician providing the opinion. The Ninth Circuit
8 distinguishes three types of physicians: “(1) those who treat the claimant (treating
9 physicians); (2) those who examine but do not treat the claimant (examining
10 physicians); and (3) those who neither examine nor treat the claimant
11 (nonexamining physicians).” *Lester v. Chater*, 81 F.3d 821, 830 (9th Cir. 1995).
12 “Generally, a treating physician’s opinion carries more weight than an examining
13 physician’s, and an examining physician’s opinion carries more weight than a
14 reviewing physician’s.” *Holohan v. Massanari*, 246 F.3d 1195, 1201-02 (9th Cir.
15 2001).

16 A treating physician’s medical opinion is given “controlling weight” if it “is
17 well-supported by medically acceptable clinical and laboratory diagnostic
18 techniques and is not inconsistent with the other substantial evidence in [the
19 claimant’s] case record.” 20 C.F.R. §§ 404.1527(c)(2), 416.927(c)(2); *see also*
20 *Trevizo*, 871 F.3d at 675. The weight given to a non-controlling physician’s
21 opinion depends on the length and frequency of examination, the nature and extent
22 of the treatment relationship, the evidentiary support for the opinion, consistency
23 with the record, and the physician’s specialty, among other factors. 20 C.F.R.
24 §§ 404.1527(c)(1)-(6), 416.927(c)(1)-(6).

25 Before an ALJ may reject the uncontradicted opinion of a treating or
26 examining physician, or that physician’s ultimate conclusions, the ALJ must
27 articulate “clear and convincing reasons that are supported by substantial evidence.”
28 *Trevizo*, 871 F.3d at 675 (citations and quotation marks omitted). Even when

1 contradicted by another doctor’s opinion, the ALJ must accord deference to a
2 treating or examining physician’s opinion and may disregard it only “by providing
3 specific and legitimate reasons that are supported by substantial evidence.”
4 *Garrison*, 759 F.3d at 1012. In either case, “the ALJ must do more than state
5 conclusions” and, instead, must explain why the ALJ’s interpretation of the record,
6 rather than the doctors’, is correct. *Id.* (quoting *Reddick v. Chater*, 157 F.3d 715,
7 725 (9th Cir. 1998)).

8
9 **B. Background**

10 In evaluating Plaintiff’s RFC, the ALJ considered the medical records
11 regarding Plaintiff’s mental impairments, which the ALJ concluded “do[] not
12 support more than moderate limitations during the time period at issue.” (AR 20.)
13 The ALJ considered the opinion of Dr. Choo, who performed a psychiatric
14 assessment.² (AR 21-22.) Dr. Choo conveyed a diagnosis of major depressive
15 disorder and assigned Plaintiff a global assessment of functioning (“GAF”) score of
16 49, “which suggests serious to moderate symptoms or difficulty in social,
17 occupational, or school functioning.” (AR 21 (construing AR 424).) Dr. Choo
18 noted that Plaintiff’s symptoms of depression cause difficulty in performing daily
19 activities and making typical daily decisions. (AR 21 (construing AR 422-23).) Dr.
20 Choo indicated that Plaintiff’s condition diminished his ability to focus, concentrate,
21 and remember. (*Id.* (construing AR 422-23).) Dr. Choo opined that Plaintiff’s
22 mental impairments “would be expected to substantially interfere with his ability to

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24 ² Dr. Choo’s records consist of an eight-page Mental Disorders Questionnaire and
25 accompanying documents relating to treatment. The Administrative Record does
26 not contain the first page of the eight-page Questionnaire. The ALJ apparently did
27 not have the first page of the Questionnaire in evaluating Dr. Choo’s opinion. (*See*
28 AR 21 (citing “Ex. 2F/6” as the location in the record of the GAF score Dr. Choo
assigned, which appears on page 7 of the Questionnaire).) Because the Court
reverses and remands on other grounds, the Court need not decide whether the
ALJ’s failure to fully and fairly develop the record is reversible error.

1 perform basic work activities on a regular and continuing basis,” and that Plaintiff
2 would have difficulty with completing a normal workday, sustaining an ordinary
3 work-related routine, staying focused on work tasks, maintaining persistence and
4 pace in a workplace setting, and interacting and communicating with supervisors and
5 coworkers. (AR 21 (citing AR 423-24).)

6 The ALJ ultimately assigned “little weight to Dr. Choo’s opinion, including
7 the assignment of the low GAF score, as they [were] not well supported by mental
8 status examination findings.” (AR 22.) Despite acknowledging that Dr. Choo
9 identified work activities Plaintiff would have difficulty performing, the ALJ noted
10 that Dr. Choo “failed to quantify the degree of difficulty the claimant would have or
11 provide a functional assessment of what the claimant is able to do.” (AR 21-22.)
12 The ALJ observed that “the GAF score assigned by Dr. Choo [was] quite low,” and
13 concluded that Plaintiff’s “actual performance on mental status examination
14 provides a better indicator of [Plaintiff’s] occupational functioning” than the GAF
15 score Dr. Choo assigned him. (AR 22.)

17 **C. Analysis**

18 Plaintiff argues that the ALJ failed to articulate specific and legitimate
19 reasons, supported by substantial evidence, for rejecting Dr. Choo’s opinion. (ECF
20 No. 22, at 5.)

21 The parties characterize Dr. Choo as a treating psychiatrist (*e.g.*, ECF No. 22,
22 at 5, 16), but the ALJ’s decision does not articulate whether she considered Dr.
23 Choo a treating, examining, or nonexamining physician (*see* AR 21-22). The Court
24 need not parse the issue, as the standard for rejecting the controverted opinion of a
25 treating physician is the same as the standard for rejecting that of an examining
26 physician. *See Garrison*, 759 F.3d at 1012. Here, Dr. Choo examined Plaintiff on
27 at least five occasions over two years (*see* AR 441-47), and Dr. Choo’s opinion is
28 controverted at least in part by the opinion of Miriam Sherman, M.D., a psychiatrist

1 and impartial, nonexamining medical expert (*see* AR 21 (detailing Dr. Sherman’s
2 assessment of “mild restriction of activities of daily living, mild difficulties in
3 maintaining social functioning, moderate difficulties in maintaining concentration,
4 persistence, or pace, and no episodes of decompensation”)).

5 The ALJ offers two reasons for her assignment of little weight to Dr. Choo’s
6 opinion: (1) Dr. Choo failed to provide a functional assessment or quantify the
7 difficulty Plaintiff would have with the work-related activities Dr. Choo opined
8 would be difficult for Plaintiff, and (2) the doctor’s opinion is “not well supported
9 by mental status examination findings.”³ (AR 22.) These reasons are not legally
10 sufficient.

11
12 1. Failure to Quantify the Degree of Difficulty or Provide a
13 Functional Assessment

14 The ALJ wrote, “[W]hile Dr. Choo provided a number of specific activities
15 that the claimant would have difficulty performing, he failed to quantify the degree
16 of difficulty the claimant would have or provide a functional assessment of what the
17 claimant is able to do.” (AR 21-22.) This reason for assigning little weight to Dr.
18 Choo’s opinion is unsupported by substantial evidence.

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21 ³ The ALJ’s decision also engaged with Dr. Choo’s assignment of a GAF score,
22 ultimately concluding that Plaintiff’s “actual performance on mental status
23 examination provides a better indicator of [Plaintiff’s] occupational functioning”
24 than the GAF score. (*See* AR 22.) The ALJ correctly reasoned that she need not
25 rely on the GAF score standing alone as a dispositive indicator of occupational
26 functioning. *See Garrison*, 759 F.3d at 1002 n.4 (“Although GAF scores, standing
27 alone, do not control determinations of whether a person’s mental impairments rise
28 to the level of disability . . . , they may be a useful measurement.”); Revised
Medical Criteria for Evaluating Mental Disorders and Traumatic Brain Injury, 65
Fed. Reg. 50,746, 50,764-65 (Aug. 21, 2000) (discussing GAF scale in response to
comments to Listing 12.00D). Plaintiff does not take issue with the ALJ’s analysis
regarding the GAF score. (*See* ECF No. 22, at 10-12.)

1 Contrary to the ALJ’s observation, Dr. Choo’s opinion provides ample
2 details probative of the degree of difficulty Plaintiff would have in a workplace
3 environment. For example, Dr. Choo opined that Plaintiff’s mental impairments
4 were expected “to *substantially* interfere” with basic work activities “on a *regular*
5 *and continuing* basis”; to cause “interruptions due to psychologically-based
6 symptoms or behavioral extremes” in “*a normal workday or workweek*”; to cause
7 “difficulty performing activities *within a schedule* and maintaining *regular*
8 attendance”; and to cause him to decompensate with “[e]ven a *minimal* increase in
9 mental demands or change in the environment.” (AR 423-24 (emphases added).)
10 These opined limitations are sufficiently quantified to allow a reasonable ALJ to
11 understand and interpret them in evaluating RFC. They also sufficiently “provide a
12 functional assessment of what the claimant is able to do,” which the ALJ claimed
13 the opinion lacked. (AR 22.)

14 Moreover, the ALJ’s decision paradoxically states that Dr. Choo failed to
15 provide a functional assessment but, in the next sentence, indicates that Dr. Choo
16 had assigned a GAF score. (AR 22.) The GAF score is a measurement probative
17 of functional capacity. *See Garrison*, 759 F.3d at 1102 n.4 (characterizing GAF
18 score as “useful measurement” probative of whether mental impairments rise to the
19 level of disability). The ALJ’s decision discusses the GAF score Dr. Choo
20 assigned. (*See* AR 22.) Though the decision ultimately concludes that the GAF
21 score is not a perfect indicator of Plaintiff’s occupational limitations, the ALJ
22 effectively engages with a functional assessment she elsewhere concludes does not
23 exist.

24 The Administration asserts that the ALJ noted that Dr. Choo’s opinion
25 contained “generalized language” and was “highly conclusory.” (ECF No. 22, at
26 14-15.) Neither of these phrases correctly characterizes the observations of the
27 ALJ, and the Administration’s attempt to rehabilitate the ALJ’s decision is not
28 appropriate. *See Bray v. Comm’r of Soc. Sec. Admin.*, 554 F.3d 1219, 1225 (9th

1 Cir. 2009) (“Long-standing principles of administrative law require us to review the
2 ALJ’s decision based on the reasoning and factual findings offered by the ALJ—
3 not *post hoc* rationalizations that attempt to intuit what the adjudicator may have
4 been thinking.”).

5 Thus, the ALJ’s conclusion that Dr. Choo’s opinion failed to offer a
6 sufficient functional assessment of Plaintiff’s mental impairments is not supported
7 by substantial evidence.

8
9 2. Opinion Unsupported by Mental Status Examination Findings

10 The ALJ gives “little weight to Dr. Choo’s opinion, including the assignment
11 of the low GAF score, as they are [sic] not well supported by mental status
12 examination findings.” (AR 22.) This rationale is not sufficient to reject the
13 opinion.

14 *First*, the ALJ offers this conclusion without explanation. The ALJ may not
15 rest on her conclusion alone; she must set forth her own interpretations and explain
16 why they, rather than Dr. Choo’s, are correct. *See Reddick*, 157 F.3d at 725. The
17 ALJ’s statement that the opinion is not supported by examination findings is not
18 accompanied by any reasonably related supporting evidence or explanation. (*See*
19 AR 21-22.) This is error. *See Garrison*, 759 F.3d at 1012-13 (“[A]n ALJ errs when
20 he rejects a medical opinion or assigns it little weight while doing nothing more
21 than . . . criticizing it with boilerplate language that fails to offer a substantive basis
22 for his conclusion.”).

23 *Second*, the ALJ’s conclusion is not supported by substantial evidence. An
24 examination record, from Plaintiff’s initial assessment of April 19, 2012 (shortly
25 before the alleged onset date), demonstrates Plaintiff’s “bizarre” grooming and
26 hygiene; guarded or suspicious interactional style; immediate memory impairment;
27 dysphoric, tearful, irritable mood with noted lack of pleasure and feelings of
28 hopelessness or worthlessness; visual and auditory hallucinations; concentration

1 impaired by rumination; severe impairments of judgment and insight; and
2 behavioral disturbances, including excessive or inappropriate display of anger,
3 destructive tendencies, and poor impulse control.⁴ (See AR 429.) Progress notes
4 from 2012-2013 document that, although Plaintiff “made some improvement” and
5 his hallucinations dissipated, he continued to feel sad, anxious, isolated, ashamed,
6 helpless, and hopeless; had difficulty concentrating; presented a depressed affect;
7 and experienced a panic attack. (See AR 431-47.) The records reflect a December
8 2012 hospitalization for anxiety-related issues. (See AR 442.) A mental status
9 assessment from just one week before the date of Dr. Choo’s opinion shows below-
10 average grooming; anxious motor activity; impaired concentration and memory;
11 dysphoric, anxious mood and restricted, tearful affect; visual and auditory
12 hallucinations; and paranoid delusions. (See AR 420-22 (adopting mental status
13 assessment of July 22, 2013 by licensed clinical social worker).)

14 Although the Court may not second-guess the ALJ’s reasoning, here the
15 boilerplate rejection of Dr. Choo’s opinion does not find purchase in substantial
16 evidence from the Arcadia Mental Health Center medical records. The
17 Commissioner cites evidence from the examination records supporting a conclusion
18 of non-disability, including “little objective evidence of significant deficits in the
19 ability to focus or concentrate.” (See, e.g., ECF No. 22, at 16 (citing, *inter alia*, AR
20 441 (reporting that Plaintiff had normal speech, euthymic mood, no delusions,
21 etc.)); see also, e.g., AR 421 (reporting alert orientation; normal eye contact,
22 speech, and behavior; average knowledge and intelligence; and fair insight and

23 ⁴ The signatory of this examination record, and of several other records from
24 Arcadia Mental Health Center, is Jerry O’Day, Ph.D., not Dr. Choo. (See AR 430.)
25 Dr. O’Day and Dr. Choo apparently share a facility within the Los Angeles County
26 Department of Mental Health. (See AR 434 (indicating Dr. O’Day scheduled a
27 follow-up appointment with Dr. Choo for Plaintiff).) Dr. Choo apparently adopted
28 Dr. O’Day’s assessments in formulating the opinion at issue. (See AR 425
(indicating first examination of April 19, 2012, the date of Dr. O’Day’s initial
assessment).)

1 judgment).) Even assuming the ALJ relied on these observations to conclude that
2 the mental status examination findings did not support the functional limitations
3 prescribed by Dr. Choo, these findings must be taken in the context of the medical
4 record as a whole. *See Trevizo*, 871 F.3d at 675. Taking a holistic view of the
5 mental status examination findings, the findings of improvement the Commissioner
6 highlights are not representative of the signs and symptoms of Plaintiff’s mental
7 impairments and the limitations opined by Dr. Choo. *See See Ghanim v. Colvin*,
8 763 F.3d 1154, 1162 (9th Cir. 2014) (emphasizing that a medical finding of
9 improvement “must be ‘read in context of the overall diagnostic picture’ the
10 provider draws” (quoting *Holohan*, 246 F.3d at 1205)). The examination findings
11 of hallucinations and delusions, impaired concentration and memory, and dysphoric
12 mood and restricted affect—among other mental status examination findings—are
13 consistent with the ultimate occupational restrictions Dr. Choo recommends. Given
14 the ALJ’s lack of explanation of her conclusion that the mental status examination
15 records were inconsistent with the opined limitations, and the lack of substantial
16 evidence supporting her conclusion, this reason as articulated is not sufficient to
17 facilitate the ALJ’s assignment of little weight to the treating psychiatrist’s opinion.

18 In sum, the ALJ failed to provide specific and legitimate reasons supported
19 by substantial evidence for assigning little weight to Dr. Choo’s opinion. This is
20 error.

21 22 3. The Court Cannot Conclude the Errors Were Harmless

23 The Court cannot confidently conclude the errors identified above were
24 harmless. “[W]here harmlessness is clear and not a ‘borderline question,’ remand
25 for reconsideration is not appropriate,” *McLeod v. Astrue*, 640 F.3d 881, 888 (9th
26 Cir. 2011), but Ninth Circuit precedents “have been cautious about when harmless
27 error should be found.” *Marsh*, 792 F.3d at 1173; *see also, e.g., Molina*, 674 F.3d

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1 at 1115-17 (reviewing the Ninth Circuit’s harmless error principles in the Social
2 Security context).

3 Here, the ALJ’s reasons for rejecting the opinion of Dr. Choo are not
4 sufficient for the Court to conclude that the opinion rightfully should have been
5 rejected. Thus, the Court is unable to conclude that the ALJ’s failure to articulate
6 legally sufficient reasons for assigning little weight to Dr. Choo’s opinion was
7 clearly harmless. That is, if Dr. Choo’s opinion were weighed properly, the ALJ
8 reasonably could have decided on a different RFC determination and found that
9 Plaintiff was disabled at step five. For example, if the ALJ had accepted Dr.
10 Choo’s opinion that Plaintiff could not work within a schedule and maintain regular
11 attendance (*see* AR 423), it is uncertain whether Plaintiff could be employed as a
12 small products assembler, housekeeping cleaner, or garment sorter—or in another
13 occupation existing in significant numbers in the national economy. Consequently,
14 reversal is warranted. *See, e.g., Stout v. Comm’r, Soc. Sec. Admin.*, 454 F.3d 1050,
15 1055 (9th Cir. 2006) (collecting cases in which error “was inconsequential to the
16 ultimate nondisability determination”).

17 18 **D. Remedy**

19 If a reviewing court determines the agency erred in reaching a decision to
20 deny benefits and that the error was not harmless, “sentence four of § 405(g)
21 authorizes the court to ‘revers[e] the decision of the Commissioner of Social
22 Security, with or without remanding the cause for a rehearing.’” *Treichler*, 775
23 F.3d at 1099 (alteration in original) (quoting 42 U.S.C. § 405(g)). Ninth Circuit
24 case law “precludes a district court from remanding a case for an award of benefits
25 unless certain prerequisites are met.” *Dominguez v. Colvin*, 808 F.3d 403, 407 (9th
26 Cir. 2015) (citations omitted). When “the record before the agency does not
27 support the agency action, . . . the agency has not considered all relevant factors,
28 or . . . the reviewing court simply cannot evaluate the challenged agency action on

1 the basis of the record before it, the proper course, except in rare circumstances, is
2 to remand to the agency for additional investigation or explanation.” *Fla. Power &*
3 *Light Co. v. Lorion*, 470 U.S. 729, 744 (1985); *see also Treichler*, 775 F.3d at 1099
4 (applying *Lorion* to decisions of the Administration).

5 Alternatively, the Court may reverse the Commissioner’s decision with
6 instructions to calculate and award benefits when “(1) the record has been fully
7 developed and further administrative proceedings would serve no useful purpose;
8 (2) the ALJ has failed to provide legally sufficient reasons for rejecting evidence,
9 whether claimant testimony or medical opinion; and (3) if the improperly
10 discredited evidence were credited as true, the ALJ would be required to find the
11 claimant disabled on remand.” *Garrison*, 759 F.3d at 1020; *see also Dominguez*,
12 808 F.3d at 407-08. Even if the three prongs of this “credit-as-true rule” are met,
13 however, directing the Commissioner to award benefits is inappropriate if “an
14 evaluation of the record as a whole creates serious doubt that a claimant is, in fact,
15 disabled.” *Garrison*, 759 F.3d at 1021.

16 In addition to finding that the ALJ committed reversible error, the Court is
17 “not satisfied that further administrative proceedings would serve no useful
18 purpose.” *Brown-Hunter*, 806 F.3d at 495 (citation and quotation marks omitted).
19 Dr. Choo’s opinion raises factual conflicts about Plaintiff’s level of functioning that
20 “should be resolved through further proceedings on an open record before a proper
21 disability determination can be made by the ALJ in the first instance.” *Id.* at 496;
22 *see also Treichler*, 775 F.3d at 1101 (stating that remand for an award of benefits is
23 inappropriate “[w]here there is conflicting evidence, and not all essential factual
24 issues have been resolved”); *Strauss v. Comm’r of Soc. Sec. Admin.*, 635 F.3d 1135,
25 1138 (9th Cir. 2011) (same, where the existing record does not clearly demonstrate
26 that the claimant is disabled within the meaning of the Social Security Act).

27 Based on its review and consideration of the entire record, the Court
28 concludes that a remand on an open record for further administrative proceedings

1 pursuant to sentence four of 42 U.S.C. § 405(g) is warranted. The Court does not
2 intend to limit the scope of the remand.

3

4 **IV. CONCLUSION**

5 The Court ORDERS that judgment be entered reversing the decision of the
6 Commissioner and remanding this matter for further administrative proceedings.

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8 **IT IS SO ORDERED.**

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10 DATED: Oct. 29, 2018

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MARIA A. AUDERO
UNITED STATES MAGISTRATE JUDGE

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