

1 UNITED STATES DISTRICT COURT
2 CENTRAL DISTRICT OF CALIFORNIA

3
4 In re subpoenas to BROADCOM,
5 CORPORATION, a California
6 corporation.

Case No. MC17-0111-PA(ASx)

AMENDED PROTECTIVE ORDER

7 *Underlying litigation:*

8 TQ DELTA, LLC, v. 2WIRE, INC.,
9 Case No. 13-cv-1835-RGA

10 TQ DELTA, LLC, v. ZHONE
11 TECHNOLOGIES, INC.,
12 Case No. 13-cv-1836-RGA

13 TQ DELTA, LLC, v. ZYXEL
14 COMMUNICATIONS, INC. and
15 ZYXEL COMMUNICATIONS
16 CORPORATION,
17 Case No. 13-cv-2013-RGA

18 TQ DELTA, LLC, v. ADTRAN, INC.,
19 Case No. 14-cv-954-RGA

20 ADTRAN, INC., v. TQ DELTA, LLC,
21 Case No. 15-cv-121-RGA

22 WHEREAS, The Honorable Richard G. Andrews, United States District
23 Judge, entered a Protective Order to govern discovery in the above-referenced
24 underlying actions (“the action”) (“the Underlying Protective Order”) (Case No.
25 1:13-cv-01835-RGA, [Dkt. 72](#) (D. Del.)); and

26 WHEREAS, Broadcom Corporation (“Broadcom”), a non-party to these
27 action has been subpoenaed by TQ Delta, LLC (“TQ Delta”) to produce Source Code
28 and other documents in this action that include or incorporate confidential
information belonging to Broadcom (“Broadcom Confidential Information”);

WHEREAS TQ Delta and Broadcom have agreed to additional provisions to
protect against misuse or improper disclosure of such Broadcom Confidential
Information; and

1 WHEREAS TQ Delta and Broadcom have jointly filed a Motion for a
2 Protective Order in this Court; and

3 THE COURT HAVING FOUND GOOD CAUSE;

4 THEREFORE the following Protective Order is entered. Broadcom
5 Confidential Information produced in connection with the above-captioned matter
6 that is designated as “BROADCOM – OUTSIDE ATTORNEYS’ EYES ONLY –
7 SOURCE CODE” shall be subject to the following restrictions:

8 **A. Definitions**

9 1. “Defendants”: the following defendants 2Wire, Inc., Zhone
10 Technologies, Inc., ZyXEL Technologies, Inc., ZyXEL Communications Corp.,
11 and Adtran, Inc., who may have a need to access Broadcom Confidential
12 Information.

13 2. “Broadcom Material”: all information, documents, testimony, and
14 things produced, served, or otherwise provided in this action by any Party or by
15 Non-Party Broadcom, that include or incorporate Broadcom Confidential
16 Information.

17 3. “Personnel Retained by a Receiving Party in this Action” means any
18 consultants, experts, or outside counsel (including their support staff) that have
19 been and continue to be retained by a Receiving Party in these action. For the sake
20 of clarity, any person who was retained by a Receiving Party in this action will no
21 longer fall under this definition if that person ceases to be retained by a Receiving
22 Party in these action.

23 4. “Party” shall have the same meaning ascribed to it in the Underlying
24 Protective Order.

25 5. “Producing Party” and “Receiving Party” shall have the same
26 meanings as ascribed to those terms in the Underlying Protective Order, except that
27 both definitions as used herein shall also extend to “Designated Broadcom
28 Material” as defined herein.

1 6. “Authorized Reviewer(s)” shall mean persons authorized to review
2 “BROADCOM – OUTSIDE ATTORNEYS’ EYES ONLY – SOURCE CODE”
3 material in accordance with this Order and the Underlying Protective Order.

4 7. For purposes of this Order, “Source Code” includes human-readable
5 programming language text that defines software, firmware, or hardware
6 representations. Text files containing Source Code shall hereinafter be referred to
7 as “Source Code Files.” Source Code Files include, but are not limited to files
8 containing Source Code in “C”, “C++”, assembler, digital signal processor (DSP),
9 or any other programming languages. Source Code Files further include “.include
10 files,” “make” files, “link” files, and other human-readable text files used in the
11 generation and/or building of software directly executed on a microprocessor,
12 micro-controller, or DSP. Source Code Files also include code content that
13 represents hardware design, including code in HDL (VHDL, Verilog), RTL, etc.,
14 that translates into gates after synthesis.

15 8. “BROADCOM – OUTSIDE ATTORNEYS’ EYES ONLY –
16 SOURCE CODE” material: Source Code that Broadcom believes in good faith
17 includes or incorporates Broadcom’s Confidential Information that is not generally
18 known to others, and has significant competitive value such that unrestricted
19 disclosure to others would create a substantial risk of serious injury, and that Non-
20 Party Broadcom would not normally reveal to third parties except in confidence, or
21 has undertaken with others to maintain in confidence. This includes Broadcom
22 Source Code in the Producing Party’s possession, custody, or control, and made
23 available for inspection by the Producing Party.

24 9. “Designated Broadcom Material”: material that is designated
25 “BROADCOM – OUTSIDE ATTORNEYS’ EYES ONLY – SOURCE CODE”
26 under this Order.

27 10. “Counsel of Record”: (i) outside counsel who appears on the
28 pleadings, or have entered an appearance in the underlying litigation, as counsel for

1 a Party, (ii) partners, principals, counsel, associates, employees and contract
2 attorneys of such outside counsel to whom it is reasonably necessary to disclose the
3 information for this litigation, including supporting personnel employed by the
4 attorneys, such as paralegals, legal translators, legal secretaries, legal clerks and
5 shorthand reporters, and/or (iii) independent legal translators retained to translate in
6 connection with this action, or independent shorthand reporters retained to record
7 and transcribe testimony in connection with this action. This definition is subject to
8 the requirements of Judge Andrews' Order dated September 26, 2016.

9 11. "Outside Consultant": a person with specialized knowledge or
10 experience in a matter pertinent to the litigation who has been retained by Counsel
11 of Record to serve as an expert witness or a litigation consultant in this action
12 (including any necessary support personnel of such person to whom disclosure is
13 reasonably necessary for this litigation), and who is not a current employee of a
14 Party or of a competitor of a Party and who, at the time of retention, is not
15 anticipated to become an employee of, or a non-litigation consultant of: 1) a Party,
16 2) a competitor of a Party, or 3) a competitor of Non-Party Broadcom.

17 12. "Professional Vendors": persons or entities that provide litigation
18 support services (e.g., photocopying; videotaping; translating; designing and
19 preparing exhibits, graphics, or demonstrations; organizing, storing, retrieving data
20 in any form or medium; etc.) and their employees and subcontractors who have
21 been retained or directed by Counsel of Record in this action, and who are not
22 current employees of a Party or of a competitor of a Party and who, at the time of
23 retention, are not anticipated to become employees of: 1) a Party, 2) a competitor
24 of a Party, or 3) a competitor of Non-Party Broadcom. This definition includes ESI
25 vendors, and professional jury or trial consultants retained in connection with this
26 litigation to assist a Party, as well as companies retained to perform escrow and
27 hosting services for Source Code. Professional vendors do not include consultants
28 who fall within the definition of Outside Consultant.

1 **B. Relationship to Underlying Protective Order**

2 1. This Order shall not diminish any existing restriction with respect to
3 Designated Broadcom Material. TQ Delta acknowledges and agrees that this Order
4 is a supplement to the Underlying Protective Order entered in this action. The
5 Underlying Protective Order applies to all material designated pursuant to this
6 Order. To the extent that any provisions in this Order and the Underlying
7 Protective Order conflict or otherwise differ, this Order shall govern.

8 **C. Scope**

9 1. The protections conferred by this Order cover not only Designated
10 Broadcom Material (as defined above), but also any information copied or extracted
11 therefrom, as well as all copies, excerpts, summaries, or compilations thereof.

12 2. This Order shall not prevent a disclosure to which Non-Party
13 Broadcom consents in writing before that disclosure takes place.

14 3. This Order shall apply to all Broadcom Source Code designated as
15 "BROADCOM – OUTSIDE ATTORNEYS' EYES ONLY – SOURCE CODE"
16 that is produced or provided for inspection by Broadcom in this action, including all
17 Broadcom Source Code that is in the possession, custody or control of Broadcom.

18 **D. Designating Protected Material**

19 1. Designations of protected material under this Supplemental Protective
20 Order will be governed by the provisions specified in the Underlying Protective
21 Order's section on "DESIGNATING PROTECTED MATERIAL."

22 **E. Challenging Confidentiality Designations**

23 1. Any Party may object to the designation of particular
24 "CONFIDENTIAL," "HIGHLY CONFIDENTIAL -ATTORNEYS' EYES ONLY,"
25 or "HIGHLY CONFIDENTIAL - SOURCE CODE" information by specifically
26 identifying the information to which the objection is made in a written notice to the
27
28

1 party designating the disputed information. However, a Party shall not be obligated
2 to challenge the propriety of such designations at the time made, and the failure to
3 do so shall not preclude a subsequent challenge thereto. If the parties cannot resolve
4 the objection, it shall be the obligation of the party challenging the
5 "CONFIDENTIAL," "HIGHLY CONFIDENTIAL - ATTORNEYS' EYES
6 ONLY," or "HIGHLY CONFIDENTIAL SOURCE CODE" designation to file and
7 serve a motion under L.R. 37-1 to 37-3 (and in compliance with any other
8 applicable Local Civil Rule(s)) that specifically identifies the challenged material
9 and sets forth in detail the basis for the challenge. Each such motion must be
10 accompanied by a competent declaration that affirms that the movant has complied
11 with the meet and confer requirements imposed in L.R. 37-1. Until the Court rules
12 on the challenge, all Parties shall continue to afford the material in question the
13 level of protection to which it is entitled under the Producing Party's designation.
14
15
16
17
18

19 **F. Access To Designated Broadcom Material**

20 1. Access to "BROADCOM – OUTSIDE ATTORNEYS' EYES ONLY
21 – SOURCE CODE" material: Unless otherwise ordered by the Court or permitted
22 in writing by Non-Party Broadcom, Receiving Party may disclose any information,
23 document or thing designated "BROADCOM – OUTSIDE ATTORNEYS' EYES
24 ONLY – SOURCE CODE" only to:

25 a. Persons who appear on the face of Designated Broadcom
26 Material as an author, addressee or recipient thereof, or persons who have been
27 designated under FRCP 30(b)(6) to provide testimony of behalf of a Producing
28 Party or Broadcom regarding the same;

1 b. During their depositions, witnesses in this Action to whom
2 disclosure is reasonably necessary for the Underlying Litigation and who have
3 signed the "Agreement to Be Bound By Supplemental Protective Order" (Exhibit
4 A), or who qualify under Section F(1)(a), unless otherwise agreed by the Producing
5 Party or ordered by the court; however, pages of transcribed deposition testimony
6 or exhibits to depositions that reveal Protected Material must be separately bound
7 by the court reporter and may not be disclosed to anyone except as permitted under
8 this Order;

9 c. Counsel of Record;

10 d. Outside Consultants of a Party to whom disclosure is reasonably
11 necessary for this litigation, and who have signed the acknowledgement form
12 annexed to the Protective Order as Exhibit A, the "Acknowledgement and
13 Agreement To Be Bound By Supplemental Protective Order," and the
14 "Certification Of Consultant" attached hereto as Exhibit B;

15 e. Any designated arbitrator or mediator who is assigned to hear
16 this matter or who has been selected by the Parties, and his or her staff;

17 f. The Court, the United States District Court for the District of
18 Delaware, and any seated jury;

19 g. Court reporters and videographers employed in connection with
20 this case; and

21 h. Professional Vendors to whom disclosure is reasonably
22 necessary for this litigation.

23 2. Absent a court order or agreement of the Producing Party, Designated
24 Broadcom Material may not be disclosed to employees of a Receiving Party,
25 including its in-house attorneys and support staff.

26 3. The Parties acknowledge that Designated Broadcom Material also may
27 be subject to the US government export control and economic sanctions laws,
28 including the Export Administration Regulations ("EAR", 15 CFR 730 et seq.,

1 <http://www.bis.doc.gov/>) administered by the Department of Commerce, Bureau of
2 Industry and Security, and the Foreign Asset Control Regulations (31 CFR 500 et
3 seq., <http://www.treas.gov/offices/enforcement/ofac/>) administered by the
4 Department of Treasury, Office of Foreign Assets Control (“OFAC”). Receiving
5 Parties and their Outside Consultants may not directly or indirectly export, re-
6 export, transfer or release (collectively, “Export”) any Designated Broadcom
7 Material to any destination, person, or entity outside the United States.

8 4. Absent written permission from Non-Party Broadcom, persons not
9 permitted access to Designated Broadcom Material under the terms of this Order
10 shall not be present at depositions while Designated Broadcom Material is
11 discussed or otherwise disclosed. Any Party intending to disclose or discuss
12 Designated Broadcom Material at pretrial or trial proceedings must give reasonable
13 30 days’ advance notice before the pretrial conference to the Producing Party of any
14 specific lines and pages of Designated Broadcom Material it intends to use at any
15 trial or hearing and a description of any information learned from that Designated
16 Broadcom Material that the Party intends to present through testimony to assure the
17 implementation of the terms of this Protective Order.

18 5. Any Party giving such notice may not overbroadly designate more
19 Broadcom documents or subject matter than it in good faith actually believes that it
20 will use at any trial or hearing, taking into account the time limits of any trial or
21 pretrial proceedings and the realities of the technical material to be presented.

22 6. Unless otherwise ordered by the trial court in the underlying actions,
23 the Party seeking to introduce Broadcom Designated Material, whether through
24 testimony or documentary evidence, shall not oppose any motion to seal by
25 Broadcom.

26 7. If any Broadcom Designated Material is disclosed in any court
27 proceeding for which Broadcom has not received the requisite notice of this
28 provision, Broadcom may request by motion that this Court order the party making

1 such disclosure to immediately return all Broadcom Designated Material and may
2 no longer use it for any purpose at any time, in addition to whatever other
3 attorneys' fees and sanctions that this Court chooses to impose.

4 8. If Broadcom disputes the sufficiency of the Party's disclosure required
5 by § F.4 or if it contends that the disclosure is overbroad as described in § F.5, the
6 Parties shall utilize this Court's Informal Discovery Resolution Procedure. If any
7 Party contends that there has been any other violation of this Protective Order, the
8 Parties may utilize this Court's Informal Discovery Dispute Resolution Procedure.

9 **G. Access By Outside Consultants**

10 1. **Notice.** If a Party wishes to disclose Designated Broadcom Material to
11 the Party's Outside Consultant, the Party must, prior to the Outside Consultant
12 being granted access to any Designated Broadcom Material, provide notice to
13 counsel for Non-Party Broadcom, which notice shall include: (a) the individual's
14 name and business title; (b) business address; (c) business or profession; (d) the
15 individual's CV; (e) any previous or current relationship (personal or professional)
16 with Non-Party Broadcom or any of the Parties to this action; (f) a list of other
17 cases in which the individual has testified (at trial or deposition) within the last five
18 years; (g) a list of all companies with which the individual has consulted or by
19 which the individual has been employed within the last four years, the dates of the
20 consultancy or employment, a brief description of the subject matter of the
21 consultancy or employment, and copies of the "Acknowledgement and Agreement
22 To Be Bound By Supplemental Protective Order" attached as Exhibit A, and the
23 "Certification Of Consultant" attached hereto as Exhibit B, that have both been
24 signed by that Outside Consultant. Broadcom shall have seven calendar days to
25 raise any objections for good cause to those Outside Consultants, starting from the
26 first business day following the date upon which Receiving Party expressly notifies
27 Broadcom of that expert and provides the information set forth above, whereby
28

1 such express notification shall be provided to Broadcom directly by Receiving
2 Party.

3 2. Designated Broadcom Material may not be disclosed to mock jurors
4 without Broadcom's express written consent, which shall not be unreasonably
5 withheld.

6 3. Objections. With respect to Outside Consultants that have not been
7 previously disclosed to Non-Party Broadcom, Non-Party Broadcom shall have
8 seven (7) calendar days from receipt of the notice specified in Paragraph G to
9 object for good cause in writing to such disclosure (plus three (3) extra days if
10 notice is given in any manner other than by hand delivery, e-mail delivery or
11 facsimile transmission). After the expiration of the 7-day (plus 3-days, if
12 appropriate) period, if no objection for good cause has been asserted by Broadcom,
13 then Designated Broadcom Material may be disclosed to the Outside Consultant
14 pursuant to the terms of this Supplemental Protective Order. Any objection by
15 Non-Party Broadcom must be made for good cause, and must set forth in detail the
16 grounds on which it is based. Should Receiving Party disagree with the basis for
17 the objection(s), Receiving Party must first attempt to resolve the objection(s)
18 informally with Non-Party Broadcom. If the informal efforts do not resolve the
19 dispute within five (5) business days from the date upon which Receiving Party was
20 first notified of any objection for good cause by Non-Party Broadcom, Receiving
21 Party may file a motion requesting that the objection(s) be quashed after that five
22 (5) day period has passed. Non-Party Broadcom shall have the burden of proof by
23 a preponderance of the evidence on the issue of the sufficiency of the objection(s).
24 Pending a ruling by the Court upon any such objection(s), or the subsequent
25 resolution of the objection for good cause by Receiving Party and Non-Party
26 Broadcom, the discovery material shall not be disclosed to the person objected to
27 by Non-Party Broadcom.

1 4. The Notice requirements, Objections procedure, and related deadlines
2 outlined in this subsection do not apply to Outside Consultants who have already
3 been disclosed to Broadcom under the terms of the Underlying Protective Order
4 entered in this action. For those Outside Consultants, the Procedures for Approving
5 Disclosure of that Protective Order govern this issue. These Outside Consultants
6 are still required to submit to Broadcom signed copies of the “Acknowledgement
7 and Agreement To Be Bound By Supplemental Protective Order” attached as
8 Exhibit A, and the “Certification Of Consultant” attached as Exhibit B, before
9 viewing any Source Code designated BROADCOM – OUTSIDE ATTORNEYS’
10 EYES ONLY – SOURCE CODE. But for these Outside Consultants, submission
11 of these materials does not begin another objections period.

12 **H. Production of BROADCOM – ATTORNEYS’ EYES ONLY – SOURCE**
13 **CODE Material**

14 1. Broadcom Source Code:

15 a. TQ Delta shall provide to the Producing Party two stand-alone,
16 non-networked personal computers running a reasonably current version of the
17 Microsoft Windows operating system, a printer as well as any monitors, keyboards,
18 and mice for the computers to be deposited with the third-party escrow service
19 (each computer being a "Source Code Computer"). The Receiving Party’s outside
20 counsel and/or experts may place commercially-available software tools reasonably
21 necessary for the Receiving Party to perform its review consistent with its review
22 under this Protective Order on the review computer provided to the Producing
23 Party, and Receiving Party shall provide a list of all such software tools on the
24 review computers. Specific tools that may be used include, but are not limited to,
25 Eclipse, Notepad++ (with SourceCookifier plug-in), kdiff (with Windows-Explorer
26 integration – shell extension Diff-Ext-for-KDiff3 installed and enabled), Effective
27 File Search, Google Chrome (or any other browser to view XML or web-page-
28 related source-code files), Microsoft Office (to the extent any files produced are in

1 Microsoft Office format), Visual Slick Edit, Araxis Merge, and “grep.” The
2 Receiving Party shall not at any time use any compilers, interpreters or simulators
3 in connection with the Source Code review.

4 b. To the extent Broadcom makes BROADCOM – OUTSIDE
5 ATTORNEYS’ EYES ONLY – SOURCE CODE material available for inspection,
6 Broadcom shall deposit such Source Code available electronically and in text
7 searchable form with a third-party escrow service Iron Mountain, located at 21
8 Terry Ave, Burlington, MA 01803, or another location as Broadcom and TQ Delta
9 may agree, within 7 days of the last of the following three events that occurs: (1)
10 entry of this protective order, (2) execution the escrow agreement, and (3) receipt
11 from TQ Delta of the Equipment identified in the escrow service agreement, until
12 the close of expert discovery in the underlying litigations as captioned above. All
13 expenses related to the third party escrow service will be paid by TQ Delta. Source
14 Code shall be made available by the third-party escrow service during the regular
15 business hours of the escrow service, and other times as mutually agreed by TQ
16 Delta and the escrow service, pursuant to the terms of this Protective Order and the
17 agreement between TQ Delta, Broadcom, and Iron Mountain. Broadcom or its
18 counsel may supervise the inspection at its discretion, but may not unreasonably
19 interfere with the inspection or attempt to listen to any conversation by the
20 reviewers. The Source Code Computer shall be configured to permit review of the
21 Source Code through a password-protected account having read-only access.

22 c. The Source Code Computer shall be equipped to print copies of
23 the Source Code on watermarked pre-Bates numbered paper, which shall be
24 provided by the Producing Party to the third party escrow service. Under no
25 circumstances are original printouts of the Source Code to be made except for
26 directly onto the watermarked and numbered sides of the paper provided by the
27 Producing Party. Additionally, Authorized Reviewer(s) may not print any
28 continuous block of Source Code that results in more than 50 consecutive printed

1 pages. Counsel for the Producing Party will keep the original printouts, and shall
2 provide copies of such original printouts to counsel for the Receiving Party within
3 three (3) business days of (1) any request by the Receiving Party, or (2) otherwise
4 being notified that such original printouts have been made. Counsel of Record for
5 the Receiving Party may request up to 10 copies of each original printout of Source
6 Code.

7 d. No more than 1,422 pages of the total Source Code may be in
8 printed form at any one time. In addition, the Receiving Party shall not print more
9 than 478 pages of other Broadcom Designated Material stored with the Source
10 Code (which documents typically contain Broadcom Source Code and shall be
11 treated as Source Code under the Protective Order). All printed Source Code shall
12 be logged by Receiving Party's Counsel of Record and/or other Personnel Retained
13 by a Receiving Party in this Action as noted below. Receiving Party may request to
14 print additional pages in excess of the limits stated above, which request the
15 Producing Party shall not unreasonably deny. No additional electronic copies of
16 the Source Code shall be provided by the Producing Party. Hard copies of the
17 Source Code also may not be converted into an electronic document, and may not
18 be scanned using optical character recognition ("OCR") technology.

19 e. Authorized Reviewer(s) in this Action shall not print Source
20 Code which has not been reviewed on the Source Code Computer, or in order to
21 review the Source Code elsewhere in the first instance, *i.e.*, as an alternative to
22 reviewing that Broadcom Source Code electronically on the Source Code
23 Computer, as TQ Delta and Broadcom, and Defendants acknowledge and agree that
24 the purpose of the protections herein would be frustrated by such action.

25 f. Authorized Reviewer(s) are prohibited from bringing outside
26 electronic devices, including but not limited to laptops, floppy drives, zip drives, or
27 other hardware into the secure room. Nor shall any cellular telephones, personal
28 digital assistants (PDAs), Blackberries, cameras, voice recorders, Dictaphones,

1 external or portable telephone jacks or other outside electronic devices be permitted
2 inside the secure room, except for medical devices, implants, or equipment
3 reasonably necessary for any legitimate medical reason. Notwithstanding the
4 preceding prohibitions, in the event a deposition is taken in the secure room,
5 stenographers or videographers may bring outside electronic devices reasonably
6 necessary to record the deposition into the review subject to the provisions of
7 section (i) below.

8 g. If any Authorized Reviewer(s) seeks to take notes inside the
9 secure room, all such notes will be taken on bound (spiral or other type of
10 permanently bound) notebooks. No loose paper or other paper that can be used in a
11 printer may be brought into the secure room. All such notes must be marked as
12 “HIGHLY CONFIDENTIAL - ATTORNEYS’ EYES ONLY.”

13 h. Where absolutely necessary or required by the Court, the
14 Receiving Party and the Producing Party may make further copies of original
15 source code printouts in the form required to be included in pleadings filed under
16 seal, to be included as exhibits in expert reports, or to be used as exhibits in
17 depositions or at trial, where such pleadings, expert reports, and transcripts from
18 such depositions or trial are designated “BROADCOM – OUTSIDE
19 ATTORNEYS’ EYES ONLY – SOURCE CODE”. In the event copies of printouts
20 of Designated Broadcom Material are used as exhibits in a deposition, further
21 copies of the Designated Broadcom Material printouts made for the deposition or
22 trial shall be destroyed at the conclusion of the deposition or trial.

23 i. If a depositions of an individual authorized under this Protective
24 Order to view Broadcom-Attorneys’ Eyes Only Source Code occurs at the location
25 of the third party escrow service, then a Source Code Computer may be used during
26 the deposition, except that the screen of the Source Code Computer shall not be
27 recorded on any videographic record of the deposition. This provision does not
28

1 otherwise limit a party from using print-outs of Designated Broadcom Material at
2 depositions.

3 j. In addition to other reasonable steps to maintain the security and
4 confidentiality of Non-Party Broadcom's Source Code, printed copies of the Source
5 Code maintained by the Authorized Reviewer(s) must be kept in a locked storage
6 container when not being actively reviewed or otherwise being transferred as
7 permitted by this Supplemental Protective Order.

8 k. The Receiving Party's Counsel of Record shall keep log(s)
9 recording the identity of each individual beyond Counsel of Record to whom each
10 hard copy of each Producing Party's Source Code was provided and when it was
11 provided to that person. Non-Party Broadcom or its outside counsel may request in
12 writing (including email) the Receiving Party's Counsel of Record to serve the log
13 relating to Source Code produced by Non-Party Broadcom upon Broadcom or its
14 outside counsel at any time after the issuance of a final, non-appealable decision
15 resolving all issues in this case, and the Receiving Party's Counsel of Record must
16 serve the log(s) as requested within thirty (30) days of any such written request
17 from each Producing Party's Counsel of Record. In addition, at any time after the
18 issuance of a final, non-appealable decision resolving all issues in these cases, each
19 Producing Party's Counsel of Record may also request any Outside Consultants of
20 the Authorized Reviewer(s) to whom the paper copies of the Source Code were
21 provided to certify in writing that all copies of the Source Code were returned to the
22 counsel who provided them the information and that they will make no use of the
23 Source Code or of any knowledge gained from the Source Code in any future
24 endeavor, whereupon such certification shall be provided to Broadcom's Counsel of
25 Record within thirty (30) days of that request.

26 l. The Producing Party and the Receiving Party may agree on
27 alternative arrangements on how Broadcom Source Code will be produced.
28

1 m. Use of Broadcom Designated Material at trial in the underlying
2 actions. Nothing in this Order shall be construed to affect the use of any Broadcom
3 Designated Material at any trial or hearing in the underlying actions. A Party that
4 intends to present Broadcom Designated Material at a hearing or trial shall follow
5 the procedures described in § F.4–6. The Trial Court may thereafter make such
6 orders as are necessary to govern the use of such documents or information at trial.

7 **A. Prosecution, Development, and Patent Acquisition Consulting Bar**

8 1. Unless otherwise permitted in writing between Producing Party and
9 Receiving Party, any individual who personally receives, other than on behalf of
10 Producing Party, any material designated “BROADCOM – OUTSIDE
11 ATTORNEYS’ EYES ONLY – SOURCE CODE” shall not participate in
12 amending or drafting patent specifications or claims before a Patent Office of any
13 patent or patent application substantially related to the particular technology or
14 information disclosed in the Designated Broadcom Material, from the time of
15 receipt of such material through until at least two years after the individual
16 person(s) cease to have access to materials designated “BROADCOM – OUTSIDE
17 ATTORNEYS’ EYES ONLY – SOURCE CODE,” as well as any materials that
18 contain or disclose Broadcom Material so designated. This provision shall not
19 apply to post-grant adversarial proceedings, including reexamination or opposition
20 proceedings filed in relation to the patents-in-suit or foreign counterparts.

21 2. Unless otherwise permitted in writing between Producing Party and
22 Receiving Party, any Outside Consultant retained on behalf of Receiving Party who
23 is to be given access to documents or Source Code designated as “BROADCOM –
24 OUTSIDE ATTORNEYS’ EYES ONLY – SOURCE CODE” produced by another
25 Party must agree in writing not to perform hardware or software development work
26 or product development work intended for commercial purposes substantially
27 related to the particular technology or information disclosed in the Designated
28 Broadcom Material from the time of first receipt of such material through until at

1 least two years after the Outside Consultant ceases to have access to any material
2 designated “BROADCOM – OUTSIDE ATTORNEYS’ EYES ONLY – SOURCE
3 CODE,” as well as any materials that contain or disclose Broadcom Material so
4 designated.

5 3. Unless otherwise permitted in writing between Producing Party and
6 Receiving Party, any Outside Consultant retained on behalf of Receiving Party who
7 is to be given access to “BROADCOM – OUTSIDE ATTORNEYS’ EYES ONLY
8 – SOURCE CODE” must agree in writing not to advise anyone regarding the
9 purchase or acquisition of patents for assertion against Producing Party having
10 claims that read on the Source Code that Outside Consultant reviews, from the time
11 of first review of such material by Outside Consultant and through until two years
12 after the Outside Consultant last accesses any material designated “BROADCOM –
13 OUTSIDE ATTORNEYS’ EYES ONLY – SOURCE CODE,” as well as any
14 materials that contain or disclose Broadcom Material so designated. The Parties’
15 counsel will maintain a log indicating which Source Code printouts that each
16 Outside Consultant views. For avoidance of doubt, this provision does not prevent
17 Outside Consultants from working on matters adverse to Broadcom where they are
18 not asked to advise on the purchase or acquisition of patents.

19 **B. Protected Material Subpoenaed or Ordered Produced In Other**
20 **Litigation**

21 1. If a Receiving Party is served with a subpoena or a court order that
22 would compel disclosure of any information, documents or things designated in this
23 action as “BROADCOM –OUTSIDE ATTORNEYS’ EYES ONLY – SOURCE
24 CODE,” Receiving Party must endeavor to so notify the Producing Party of such
25 information, documents or things, in writing (by fax and email) promptly, and in no
26 event more than ten (10) calendar days after receiving the subpoena or order. Such
27 notification must include a copy of the subpoena or order. Receiving Party also
28 must immediately inform, in writing, the party who caused the subpoena or order to

1 issue that some or all of the material covered by the subpoena or order is subject to
2 this Supplemental Protective Order and the Protective Order. In addition, the
3 Receiving Party must provide a copy of this Supplemental Protective Order and the
4 Protective Order promptly to the party in the other action that caused the subpoena
5 or order to issue. The purpose of imposing these duties is to alert the interested
6 parties to the existence of this Supplemental Protective Order and the Protective
7 Order, and to afford the Party whose Designated Broadcom Material in this case, is
8 at issue in the other case, an opportunity to try to protect its confidentiality interests
9 in the court from which the subpoena or order issued. Producing Party shall bear
10 the burdens and the expenses of seeking protection in that court of Designated
11 Broadcom Material. Nothing in these provisions should be construed as
12 authorizing or encouraging any Authorized Reviewer(s) in this action to disobey a
13 lawful directive from another court.

14 **C. Unauthorized Disclosure Of Designated Broadcom Material**

15 1. If a Receiving Party learns that, by inadvertence or otherwise, it has
16 disclosed Designated Broadcom Material to any person or in any circumstance not
17 authorized under this Order, the Receiving Party must immediately (a) notify in
18 writing Producing Party of the unauthorized disclosures, (b) use its best efforts to
19 retrieve all copies of the Designated Broadcom Material, (c) inform the person or
20 persons to whom unauthorized disclosures were made of all the terms of this Order,
21 and (d) request such person or persons to execute the “Acknowledgment and
22 Agreement to Be Bound” that is attached hereto as Exhibit A. Nothing in these
23 provisions should be construed as limiting any Producing Party’s rights to seek
24 remedies for a violation of this Order.

25 **D. Duration**

26 1. Even after the termination of this action, the confidentiality obligations
27 imposed by this Order shall remain in effect for two (2) years following the
28

1 termination of this action, or until Non-Party Broadcom agrees otherwise in writing
2 or a court order otherwise directs.

3 **E. Final Disposition**

4 1. Unless otherwise ordered or agreed in writing by Producing Party,
5 within thirty (30) days of the termination of all of these action, whether through
6 settlement or final judgment (including any and all appeals therefrom), all
7 Designated Broadcom Material produced by any Party in this action, and all
8 secondary materials incorporating Designated Broadcom Material (*e.g.*, work
9 product, expert reports, motions, etc.), shall either be returned to the Producing
10 Party or be destroyed. The Receiving Party shall verify the return or destruction of
11 Designated Broadcom Material by notification furnished to the Producing Party
12 within 30 days of termination of this action.

13 SO STIPULATED.

14 Dated: June 11, 2020

15 Respectfully submitted,

16 ROBINS KAPLAN LLP

17 By: /s/ Daniel L. Allender

18 Daniel L. Allender, CA Bar No. (264651)
19 DAllender@RobinsKaplan.com
20 2049 Century Park East, Suite 3400
Los Angeles, CA 90067-3208
Telephone: (310) 552-0130
Facsimile: (310) 229-5800

21 *Attorneys for TQ DELTA, LLC*

22 PERKINS COIE LLP

23 By: /s/ Elizabeth M. Banzhoff

24 Elizabeth M. Banzhoff (*pro hac vice*)
25 EBanzhoff@perkinscoie.com
26 1900 Sixteenth Street, Suite 1400
27 Denver, CO 80202-5255
28 Telephone: 303.291.2300
Facsimile: 303.291.2400

1
2
3
4
5
6
7
8
9
10
11
12
13
14
15
16
17
18
19
20
21
22
23
24
25
26
27
28

Lara J. Dueppen, CA Bar No. 259075
LDueppen@perkinscoie.com
1888 Century Park East, Suite 1700
Los Angeles, CA 90067-1721
Telephone: 310.788.9900
Facsimile: 310.788.3399
Attorneys for Broadcom Corporation

Filer's Attestation

Pursuant to Local Rule 5-4.3.4(a)(2)(i), Daniel L. Allender hereby attests that concurrence in the filing of this document has been obtained.

I, Daniel L. Allender, further hereby attest that all other signatories on whose behalf this filing is submitted concur to the filing of its content and have authorized the filing.

/s/ Daniel L. Allender

IT IS SO ORDERED.

DATED: June 12, 2020

/ s / Sagar
Honorable Alka Sagar
United States Magistrate Judge

1
2
3
4
5
6
7
8
9
10
11
12
13
14
15
16
17
18
19
20
21
22
23
24
25
26
27
28

EXHIBIT A

**ACKNOWLEDGMENT AND AGREEMENT TO BE BOUND BY
SUPPLEMENTAL PROTECTIVE ORDER GOVERNING CONFIDENTIAL
INFORMATION OF NON-PARTY BROADCOM IN THIS CASE**

I, _____ [print or type full name],
state: My business address is _____.

1. My present employer is _____.

2. My present occupation or job description is _____.

3. I have been informed of and have reviewed the Supplemental Protective Order Governing Discovery From Non-Party Broadcom (“Supplemental Protective Order”) entered in this case, and understand and agree to abide by its terms. I agree to keep confidential all information provided to me in this matter, in accordance with the restrictions in the Supplemental Protective Order, and to be subject to the authority of the U.S. District Court for the Central District of California in the event of any violation or dispute related to this Supplemental Protective Order.

4. I state under penalty of perjury under the laws of the United States of America that the foregoing is true and correct.

Executed On _____.

[Printed Name]

[Signature]

1
2
3
4
5
6
7
8
9
10
11
12
13
14
15
16
17
18
19
20
21
22
23
24
25
26
27
28

EXHIBIT B
CERTIFICATION OF CONSULTANT RE SUPPLEMENTAL
PROTECTIVE ORDER GOVERNING CONFIDENTIAL INFORMATION
OF NON-PARTY BROADCOM IN THIS CASE

I, _____ [print or type full name],
of _____ am not an employee of the Party
who retained me or of a competitor of any Party or Non-Party Broadcom and will not
use any information, documents, or things that are subject to the Supplemental
Protective Order Governing Discovery From Non-Party Broadcom in this case for
any purpose other than this litigation. I agree not to perform hardware or software
development work or product development work intended for commercial purposes
relating to the particular technology or information disclosed in the Designated
Broadcom Material and I agree not to advise anyone regarding the purchase or
acquisition of patents for assertion against Producing Party having claims that read
on the code that I review, from the time of my first review of such material and
through until two years after I last access any material designated “BROADCOM –
OUTSIDE ATTORNEYS’ EYES ONLY – SOURCE CODE,” as well as any
materials that contain or disclose Broadcom Material so designated.

I state under penalty of perjury under the laws of the United States of
America that the foregoing is true and correct.

Executed On _____.

[Printed Name]

[Signature]