1		
2		
3		
4		
5		
6		
7		
8	UNITED STATES	DISTRICT COURT
9	CENTRAL DISTRICT OF CALIFORNIA	
10		
11	DANIEL GERARDO VIERA,	CASE NO. CV 18-7438 SS
12	Plaintiff,	
13	V.	MEMORANDUM DECISION AND ORDER
14	NANCY A. BERRYHILL, Acting Commissioner of Social	MEMORANDOM DECISION AND ORDER
15	Security,	
16	Defendant.	
17		
18		I.
19		DUCTION
20 21	Daniel Gerardo Viera ("Plai	ntiff") brings this action seeking
22	Daniel Gerardo Viera ("Plaintiff") brings this action seeking	
23	to overturn the decision of the Acting Commissioner of Social	
24	Security (the "Commissioner" or "Agency") denying his applications for Disability Insurance Benefits and Supplemental Security Income.	
25		t to 28 U.S.C. § 636(c), to the
26		d United States Magistrate Judge.
27		ons stated below, the Court AFFIRMS
28	the Commissioner's decision.	

II. PROCEDURAL HISTORY On December 24, 2014, Plaintiff filed application Disability Insurance Benefits and Supplemental Security I pursuant to Titles II and XVI of the Social Security Act *Act"), alleging a disability onset date of September 9, 2013 77-78, 151-60). The Commissioner denied Plaintiff's applic 9 initially. (AR 77-88). Thereafter, Plaintiff requested a horizontal security and the security of th	
3 4 On December 24, 2014, Plaintiff filed application 5 Disability Insurance Benefits and Supplemental Security I 6 pursuant to Titles II and XVI of the Social Security Act 7 "Act"), alleging a disability onset date of September 9, 2013 8 77-78, 151-60). The Commissioner denied Plaintiff's applic	
<ul> <li>On December 24, 2014, Plaintiff filed application</li> <li>Disability Insurance Benefits and Supplemental Security I</li> <li>pursuant to Titles II and XVI of the Social Security Act</li> <li>"Act"), alleging a disability onset date of September 9, 2013</li> <li>77-78, 151-60). The Commissioner denied Plaintiff's applic</li> </ul>	
5 Disability Insurance Benefits and Supplemental Security I 6 pursuant to Titles II and XVI of the Social Security Act 7 "Act"), alleging a disability onset date of September 9, 2013 8 77-78, 151-60). The Commissioner denied Plaintiff's applic	
6 pursuant to Titles II and XVI of the Social Security Act 7 "Act"), alleging a disability onset date of September 9, 2013 8 77-78, 151-60). The Commissioner denied Plaintiff's applic	s for
<pre>7 "Act"), alleging a disability onset date of September 9, 2013 8 77-78, 151-60). The Commissioner denied Plaintiff's applic</pre>	ncome,
8 77-78, 151-60). The Commissioner denied Plaintiff's applic	(the
	. (AR
9 initially. (AR 77-88). Thereafter, Plaintiff requested a h	ations
	earing
10 before an Administrative Law Judge ("ALJ"), which took pla	ace on
11 August 14, 2017. (AR 34-52, 98-147). The ALJ issued an a	lverse
12 decision on September 8, 2017, finding that Plaintiff wa	s not
13 disabled because there are jobs in the national economy th	nat he
14 can perform. (AR 15-26). On June 29, 2018, the Appeals C	ouncil
15 denied Plaintiff's request for review. (AR 1-6). This	action
16 followed on August 24, 2018.	
17	
18 III.	
19 FACTUAL BACKGROUND	
20	
21 Plaintiff was born on December 24, 1973. (AR 153). H	le was
22 forty-three (43) years old when he appeared before the A	LJ on
23 August 14, 2017. (AR 39). Plaintiff graduated from high	school
24 and has one year of college. (AR 39). He is single and live	s with
25 his mother. (AR 41, 153). Plaintiff last worked in May 201	0 as a
26 warehouse manager. (AR 182-83). He alleges disability of	lue to
27 bipolar disorder, obsessive-compulsive disorder (OCD),	and
28 anxiety. (AR 182).	
2	

2

28

Α.

## Plaintiff's Statements and Testimony

- 3 On March 16, 2015, Plaintiff submitted an Adult Function Report. (AR 204-12). He asserted that his OCD affects his ability 4 5 to concentrate and focus on tasks. (AR 204, 208). His bipolar disorder causes depression and hypersomnia. (AR 204-05). 6 He 7 requires reminders to take care of personal needs and grooming. 8 (AR 206). Plaintiff rarely goes outside and does not drive. (AR 9 207). While he complains of being socially withdrawn, he is able to enjoy social activities with friends and family. (AR 208-09). 10 11 Plaintiff contends that his mental impairments affect his ability 12 to talk, hear, see, complete tasks, concentrate, understand, and 13 follow instructions. (AR 209). He asserts that his medications cause multiple side effects, including nausea, drowsiness, 14 dizziness, hypersomnia, and anorexia. (AR 211). 15 16 17 At Plaintiff's hearing, he testified that his medications 18 stabilize his mental impairments but cause drowsiness and
- hypersomnia. (AR 39-40, 43-44). He has trouble concentrating and 19 staying focused. (AR 40). His mother drives him everywhere and 20 21 gets him to his appointments on time. (AR 41, 44). He seldom goes 22 shopping because he gets agitated, nervous, and frustrated around 23 other people. (AR 42). Plaintiff acknowledged last using 24 marijuana in 2012. (AR 38). He lost his driver's license after 25 being arrested for driving under the influence of marijuana. (AR 26 44). 27

в.

## Treatment History

2

3 Plaintiff began treating with Ernesto Cortez, D.O., in 2000. (AR 185). In November 2013, Dr. Cortez noted that Plaintiff had 4 5 not been seen since 2011. (AR 273). Plaintiff requested medication for anxiety and insomnia. (AR 273). He stated that Xanax had 6 7 worked well for him in the past.<sup>1</sup> (AR 273). He denied suicidal 8 ideations, thoughts, or plans. (AR 273). His current medications included Abilify, Klonopin, and Prozac.<sup>2</sup> (AR 273). A neurological 9 10 examination was grossly normal. (AR 274). Dr. Cortez assessed 11 anxiety, stable on Alprazolam, and referred Plaintiff to outpatient psychology. (AR 274). In December 2014, Plaintiff's bipolar 12 13 disorder was stable on Abilify, and his medications were continued. 14 (AR 269-70, 346-47). In May 2017, Dr. Cortez assessed Plaintiff's 15 bipolar disorder as stable. (AR 339-40).

16

22

Plaintiff began treating with Jorge Dubin, M.D., in December (AR 186). In February and March 2014, mental status examinations were unremarkable, and Plaintiff denied any medication side effects. (AR 298, 304). He was fully oriented, exhibited normal self-perception, intact memory, mild concentration

<sup>1</sup> Xanax (alprazolam) is a benzodiazepine that is used to treat anxiety disorders, panic disorders, and anxiety caused by depression. <www.drugs.com> (last visited June 4, 2019).

Abilify (aripiprazole) is an antipsychotic medication used to treat schizophrenia and bipolar disorder. Klonopin (clonazepam) is a benzodiazepine used to treat panic disorder and agoraphobia. Prozac (fluoxetine) is a selective serotonin reuptake inhibitor used to treat major depressive disorder and OCD. <www.drugs.com> (last visited June 4, 2019).

impairment, and fair judgment and insight. (AR 304). Plaintiff 1 reported improvements in OCD symptoms. (AR 298). 2 Dr. Dubin 3 diagnosed bipolar affective disorder, OCD, and a history of polysubstance abuse. (AR 304). In May 2014, Dr. Dubin emphasized 4 that Plaintiff was doing well. (AR 297). Plaintiff denied mood 5 swings. (AR 297). A mental status examination was unremarkable. 6 7 (AR 297). Plaintiff's medications were continued. (AR 297). In 8 July 2014, Plaintiff exhibited moderate concentration deficits and 9 fair insight and judgment, but his mood, affect, psychomotor 10 activity, and thought process and content were all normal. (AR 11 295). In October 2014, Plaintiff reported doing well and denied any mood swings. (AR 293). Seroquel helped him stay calm. 12 (AR 13 293). A mental status examination demonstrated an anxious mood but otherwise intact memory, organized thought processes, clear 14 15 thought content, and only mild concentration deficits. (AR 293). 16

17 In January 2015, Plaintiff reported doing better, being 18 slightly depressed but with no psychotic symptoms. (AR 291). The mental status examination exhibited mild concentration deficits 19 20 and fair insight and judgment. (AR 291). In March 2015, Plaintiff 21 reported only rarely experiencing OCD symptoms. (AR 290). He was 22 depressed but otherwise doing well. (AR 290). A mental status 23 examination was unremarkable. (AR 290). Dr. Dubin continued 24 Seroquel, Abilify, and Prozac.<sup>3</sup> (AR 290). In April 2015, Plaintiff

25

<sup>&</sup>lt;sup>3</sup> Seroquel (quetiapine) is an antipsychotic medication used to treat schizophrenia and bipolar disorder. <www.drugs.com> (last visited June 4, 2019).

exhibited an anxious mood but otherwise the examination was largely
 normal. (AR 289).

3

On April 2, 2015, David K. Middleton, Ph.D., completed a 4 mental disorder questionnaire. (AR 281-87). He first treated 5 Plaintiff in December 2013. (AR 285). Dr. Middleton reported that 6 7 Plaintiff's grooming was "adequate but marginal," his mannerisms 8 "friendly but slightly odd," and his gait slow and deliberate. (AR 9 281). Plaintiff reported a history of OCD, depression, paranoia, 10 and mania since high school. (AR 281, 283). He described paranoia 11 ideation and generalized anxiety symptoms. (AR 282). On 12 examination, Plaintiff was oriented, his memory intact, and insight and judgment were marginal. (AR 282). Plaintiff's intellectual 13 functioning, assessed with WAIS-III testing, was in the range of 14 15 average cognitive skills with no intellectual impairment. (AR 16 282). Plaintiff reported persistent paranoid delusions, but Dr. 17 Middleton found "no evidence of distortion in form of thought." 18 (AR 283). Dr. Middleton concluded that Plaintiff's mood swings 19 and delusions appear to be managed adequately with medication. (AR 20 283). Dr. Middleton diagnosed bipolar disorder and OCD and opined 21 that Plaintiff's condition is chronic, unlikely to improve. (AR 285). 22

23

In August 2015, Norma R. Aguilar, a board-eligible psychiatrist, conducted a complete psychiatric evaluation on behalf of the Agency. (AR 308-16). Plaintiff was appropriately dressed and groomed, with normal posture and gait. (AR 308). Plaintiff stated he has "obsessive compulsive disorder which I do rituals

and counting numbers and I also have bipolar depression." (AR 1 2 308). He reported nervousness, poor concentration, poor memory, 3 paranoid feelings, insomnia, anorexia, racing thoughts, and low (AR 309). Plaintiff denied suicidal or homicidal 4 motivation. (AR 309). He reported some benefits from his 5 ideations. 6 medications and monthly psychotherapy sessions. (AR 309). 7 Plaintiff acknowledged a history of substance abuse, including alcohol, methamphetamine, and marijuana. (AR 310). Plaintiff is 8 able to bathe and dress without assistance and to handle his own 9 10 money. (AR 310). Plaintiff has good relationships with family and friends. (AR 310). 11

12

13 On examination, Plaintiff was cooperative, with normal body 14 movements and eye contact. (AR 310). His mood was slightly 15 depressed, with labile affect and no psychomotor retardation. (AR 16 His thought process was normal without looseness of 310). 17 association, thought disorganization, flight of ideas, thought 18 blocking, tangentiality, or circumstantiality. (AR 310). 19 Plaintiff's thought content was characterized by paranoid and 20 grandiose delusions. (AR 310). He reported obsessions and tactile 21 hallucinations. (AR 310). Plaintiff was alert, fully oriented, 22 with intact memory, concentration, and calculation. (AR 311). 23 Plaintiff's fund of information and intelligence and his insight 24 and judgment were within normal limits. (AR 311). Dr. Aquilar 25 diagnosed bipolar disorder, OCD, and polysubstance abuse, in 26 remission. (AR 311). She opined that Plaintiff has no limitations 27 in his ability to follow simple and detailed oral and written 28 instructions; to interact with the public, coworkers, and

supervisors; and to comply with work rules, such as safety and attendance. (AR 312). Plaintiff is mildly limited in his ability to respond to changes in a routine work setting and moderately limited in his ability to respond to work pressures. (AR 312).

In December 2015, Plaintiff reported to Dr. Dubin that he was 6 7 feeling better, without any nervousness or anxiety. (AR 326). His 8 sleep was okay and his OCD under control without any side effects from his medications. (AR 326). Other than a mild impairment in 9 concentration, a mental status examination was unremarkable. 10 (AR 11 326). In April 2016, Plaintiff's psychomotor control, thought process, thought content, memory, and concentration were within 12 13 normal limits. (AR 324). In October 2016, Plaintiff exhibited mood, 14 moderate concentration deficits, anxious and fair 15 insight/judgment but otherwise a mental status examination was 16 within normal limits. (AR 322). In May 2017, Plaintiff reported 17 worsening symptoms, but other than moderate concentration deficits 18 and poor insight/judgment, a mental status examination was largely 19 (AR 318). Dr. Dubin noted a moderate impairment in unchanged. concentration, but Plaintiff had calm psychomotor activity, clear 20 21 thought content, and intact memory. (AR 318). Dr. Dubin diagnosed 22 OCD, major depressive disorder, and history of polysubstance abuse. 23 (AR 318). He prescribed fluoxetine, quetiapine and buspirone and 24 discontinued aripiprazole. (AR 318).

25

- 26
- 27
- 28

## C. <u>State Agency Consultants</u>

3	On September 17, 2015, Robert Liss, Ph.D., a State agency
4	consultant, evaluated the mental health records and concluded that
5	Plaintiff's affective and anxiety disorders are severe impairments.
6	(AR 59). He opined that Plaintiff has a mild restriction of
7	activities of daily living, mild difficulties in maintaining social
8	functioning, and moderate difficulties in maintaining
9	concentration, persistence or pace. (AR 59). Dr. Liss concluded
10	that Plaintiff is moderately limited in his ability to understand,
11	remember, and carry out detailed instructions; maintain attention
12	and concentration for extended periods; work in coordination with
13	or in proximity to others without being distracted by them; and to
14	complete a normal workday and workweek without interruptions from
15	psychologically based symptoms and to perform at a consistent pace
16	without an unreasonable number and length of rest periods. (AR
16 17	without an unreasonable number and length of rest periods. (AR 61-62).
17	
17 18	61-62).
17 18 19	61-62). IV.
17 18 19 20	61-62). IV.
17 18 19 20 21	61-62). IV. THE FIVE-STEP SEQUENTIAL EVALUATION PROCESS
17 18 19 20 21 22	61-62). <b>IV.</b> <b>THE FIVE-STEP SEQUENTIAL EVALUATION PROCESS</b> To qualify for disability benefits, a claimant must
17 18 19 20 21 22 23	<pre>61-62).  IV. THE FIVE-STEP SEQUENTIAL EVALUATION PROCESS To qualify for disability benefits, a claimant must demonstrate a medically determinable physical or mental impairment</pre>
17 18 19 20 21 22 23 24	<pre>61-62). IV. THE FIVE-STEP SEQUENTIAL EVALUATION PROCESS To qualify for disability benefits, a claimant must demonstrate a medically determinable physical or mental impairment that prevents the claimant from engaging in substantial gainful</pre>
17 18 19 20 21 22 23 24 25	<pre>61-62). IV. THE FIVE-STEP SEQUENTIAL EVALUATION PROCESS To qualify for disability benefits, a claimant must demonstrate a medically determinable physical or mental impairment that prevents the claimant from engaging in substantial gainful activity and that is expected to result in death or to last for a</pre>

1	work pre	eviously performed or any other substantial gainful
2	employmer	nt that exists in the national economy. <u>Tackett v. Apfel</u> ,
3	180 F.30	d 1094, 1098 (9th Cir. 1999) (citing 42 U.S.C.
4	§ 423(d)(	(2)(A)).
5		
6	To decide if a claimant is entitled to benefits, an ALJ	
7	conducts	a five-step inquiry. 20 C.F.R. §§ 404.1520, 416.920. The
8	steps are	2:
9		
10	(1)	Is the claimant presently engaged in substantial gainful
11		activity? If so, the claimant is found not disabled. If
12		not, proceed to step two.
13	(2)	Is the claimant's impairment severe? If not, the
14		claimant is found not disabled. If so, proceed to step
15		three.
16	(3)	Does the claimant's impairment meet or equal one of the
17		specific impairments described in 20 C.F.R. Part 404,
18		Subpart P, Appendix 1? If so, the claimant is found
19		disabled. If not, proceed to step four.
20	(4)	Is the claimant capable of performing his past work? If
21		so, the claimant is found not disabled. If not, proceed
22		to step five.
23	(5)	Is the claimant able to do any other work? If not, the
24		claimant is found disabled. If so, the claimant is found
25		not disabled.
26		
27		
28		
		10

1 <u>Tackett</u>, 180 F.3d at 1098-99; <u>see also Bustamante v. Massanari</u>, 2 262 F.3d 949, 953-54 (9th Cir. 2001); 20 C.F.R. §§ 404.1520(b)-3 (g)(1), 416.920(b)-(g)(1).

5 The claimant has the burden of proof at steps one through four and the Commissioner has the burden of proof at step five. 6 7 Bustamante, 262 F.3d at 953-54. Additionally, the ALJ has an 8 affirmative duty to assist the claimant in developing the record 9 at every step of the inquiry. Id. at 954. If, at step four, the claimant meets his or her burden of establishing an inability to 10 11 perform past work, the Commissioner must show that the claimant 12 can perform some other work that exists in "significant numbers" 13 in the national economy, taking into account the claimant's 14 residual functional capacity ("RFC"), age, education, and work 15 experience. Tackett, 180 F.3d at 1098, 1100; Reddick, 157 F.3d at 16 721; 20 C.F.R. §§ 404.1520(g)(1), 416.920(g)(1). The Commissioner 17 may do so by the testimony of a VE or by reference to the Medical-18 Vocational Guidelines appearing in 20 C.F.R. Part 404, Subpart P, 19 Appendix 2 (commonly known as "the grids"). Osenbrock v. Apfel, 20 240 F.3d 1157, 1162 (9th Cir. 2001). When a claimant has both 21 exertional (strength-related) and non-exertional limitations, the 22 Grids are inapplicable and the ALJ must take the testimony of a 23 vocational expert ("VE"). Moore v. Apfel, 216 F.3d 864, 869 (9th Cir. 2000) (citing Burkhart v. Bowen, 856 F.2d 1335, 1340 (9th Cir. 24 25 1988)).

26

- 27
- 28

1	<b>v</b> .
2	THE ALJ'S DECISION
3	
4	The ALJ employed the five-step sequential evaluation process
5	and concluded that Plaintiff was not disabled within the meaning
6	of the Act. (AR 15-27). At step one, the ALJ found that Plaintiff
7	has not engaged in substantial gainful activity since September 9,
8	2013, the alleged onset date. (AR 17). At step two, the ALJ found
9	that Plaintiff's bipolar disorder, OCD, and history of drug abuse
10	are severe impairments. (AR 17). At step three, the ALJ determined
11	that Plaintiff does not have an impairment or combination of
12	impairments that meet or medically equal the severity of any of
13	the listings enumerated in the regulations. (AR 17-20).
14	
15	The ALJ then assessed Plaintiff's RFC and concluded that he
16	can perform a full range of work at all exertional levels but with
17	the following nonexertional limitations: "noncomplex routine
18	tasks, no tasks requiring hypervigilance, not responsible for the
19	safety of others, no jobs requiring significant teamwork." (AR
20	20). At step four, the ALJ found that Plaintiff is unable to
21	perform any past relevant work. (AR 25). Based on Plaintiff's
22	RFC, age, education, work experience, and the VE's testimony, the
23	ALJ determined at step five that there are jobs that exist in
24	significant numbers in the national economy that Plaintiff can
25	perform, including hand packager, cleaner, and store laborer. (AR
26	25-26). Accordingly, the ALJ found that Plaintiff was not under a
27	disability, as defined by the Act, from September 9, 2013, through
28	the date of the decision. (AR 26-27).
	12

1	VI.
2	STANDARD OF REVIEW
3	
4	Under 42 U.S.C. § 405(g), a district court may review the
5	Commissioner's decision to deny benefits. The court may set aside
6	the Commissioner's decision when the ALJ's findings are based on
7	legal error or are not supported by substantial evidence in the
8	record as a whole. <u>Garrison v. Colvin</u> , 759 F.3d 995 (9th Cir.
9	2014) (citing Stout v. Comm'r, Soc. Sec. Admin., 454 F.3d 1050,
10	1052 (9th Cir. 2006)); <u>Auckland v. Massanari</u> , 257 F.3d 1033, 1035
11	(9th Cir. 2001) (citing <u>Tackett</u> , 180 F.3d at 1097); <u>Smolen v.</u>
12	Chater, 80 F.3d 1273, 1279 (9th Cir. 1996) (citing Fair v. Bowen,
13	885 F.2d 597, 601 (9th Cir. 1989)).
14	
15	"Substantial evidence is more than a scintilla, but less than
16	a preponderance." <u>Reddick</u> , 157 F.3d at 720 (citing <u>Jamerson v.</u>
17	<u>Chater</u> , 112 F.3d 1064, 1066 (9th Cir. 1997)). It is "relevant
18	evidence which a reasonable person might accept as adequate to
19	support a conclusion." <u>Id.</u> (citing <u>Jamerson</u> , 112 F.3d at 1066;
20	Smolen, 80 F.3d at 1279). To determine whether substantial
21	evidence supports a finding, the court must "`consider the record
22	as a whole, weighing both evidence that supports and evidence that
23	detracts from the [Commissioner's] conclusion.'" <u>Auckland</u> , 257
24	F.3d at 1035 (citing <u>Penny v. Sullivan</u> , 2 F.3d 953, 956 (9th Cir.
25	1993)). If the evidence can reasonably support either affirming
26	or reversing that conclusion, the court may not substitute its
27	judgment for that of the Commissioner. <u>Reddick</u> , 157 F.3d at 720-
28	21 (citing <u>Flaten v. Sec'y</u> , 44 F.3d 1453, 1457 (9th Cir. 1995)).
	13
I	I I

1	VII.
2	DISCUSSION
3	
4	Plaintiff raises a single claim for relief. He contends that
5	the ALJ impermissibly rejected his subjective symptom testimony.
6	(Dkt. No. 22 at 5-12).
7	
8	Plaintiff testified that he is unable to work due to deficits
9	in concentration and focus, and being fearful, agitated, or
10	frustrated when around other people. (AR 40-44). His medications
11	have helped stabilize his symptoms but cause drowsiness and
12	hypersomnia side effects. (AR 39-40, 43-44).
13	
14	When assessing a claimant's credibility regarding subjective
15	pain or intensity of symptoms, the ALJ must engage in a two-step
16	analysis. <u>Trevizo v. Berryhill</u> , 871 F.3d 664, 678 (9th Cir. 2017).
17	First, the ALJ must determine if there is medical evidence of an
18	impairment that could reasonably produce the symptoms alleged.
19	Garrison, 759 F.3d at 1014. "In this analysis, the claimant is
20	not required to show that her impairment could reasonably be
21	expected to cause the severity of the symptom she has alleged; she
22	need only show that it could reasonably have caused some degree of
23	the symptom." Id. (emphasis in original) (citation omitted). "Nor
24	must a claimant produce objective medical evidence of the pain or
25	fatigue itself, or the severity thereof." Id. (citation omitted).
26	
27	If the claimant satisfies this first step, and there is no
28	evidence of malingering, the ALJ must provide specific, clear and

1	convincing reasons for rejecting the claimant's testimony about
2	the symptom severity. Trevizo, 871 F.3d at 678 (citation omitted);
3	see also Smolen, 80 F.3d at 1284 ("[T]he ALJ may reject the
4	claimant's testimony regarding the severity of her symptoms only
5	if he makes specific findings stating clear and convincing reasons
6	for doing so."); Robbins v. Soc. Sec. Admin., 466 F.3d 880, 883
7	(9th Cir. 2006) ("[U]nless an ALJ makes a finding of malingering
8	based on affirmative evidence thereof, he or she may only find an
9	applicant not credible by making specific findings as to
10	credibility and stating clear and convincing reasons for each.").
11	"This is not an easy requirement to meet: The clear and convincing
12	standard is the most demanding required in Social Security cases."
13	Garrison, 759 F.3d at 1015 (citation omitted).
14	
15	In discrediting the claimant's subjective symptom testimony,
16	the ALJ may consider the following:
17	
18	(1) ordinary techniques of credibility evaluation, such
19	as the claimant's reputation for lying, prior
20	inconsistent statements concerning the symptoms, and
21	other testimony by the claimant that appears less than
22	candid; (2) unexplained or inadequately explained
23	failure to seek treatment or to follow a prescribed
24	course of treatment; and (3) the claimant's daily
25	activities.
26	
27	<u>Ghanim v. Colvin</u> , 763 F.3d 1154, 1163 (9th Cir. 2014) (citation
28	omitted). Inconsistencies between a claimant's testimony and
	15
I	· · · · · · · · · · · · · · · · · · ·

conduct, or internal contradictions in the claimant's testimony, 1 also may be relevant. Burrell v. Colvin, 775 F.3d 1133, 1137 (9th 2 3 Cir. 2014); Light v. Soc. Sec. Admin., 119 F.3d 789, 792 (9th Cir. In addition, the ALJ may consider the observations of 4 1997). 5 treating and examining physicians regarding, among other matters, the functional restrictions caused by the claimant's symptoms. 6 7 Smolen, 80 F.3d at 1284; accord Burrell, 775 F.3d at 1137. However, 8 it is improper for an ALJ to reject subjective testimony based "solely" on its inconsistencies with the objective medical evidence 9 10 presented. Bray v. Comm'r of Soc. Sec. Admin., 554 F.3d 1219, 1227 11 (9th Cir. 2009) (citation omitted).

12

13 Further, the ALJ must make a credibility determination with findings that are "sufficiently specific to permit the court to 14 15 conclude that the ALJ did not arbitrarily discredit claimant's 16 testimony." Tommasetti v. Astrue, 533 F.3d 1035, 1039 (9th Cir. 17 2008) (citation omitted); see Brown-Hunter v. Colvin, 806 F.3d 487, 18 493 (9th Cir. 2015) ("A finding that a claimant's testimony is not 19 credible must be sufficiently specific to allow a reviewing court to conclude the adjudicator rejected the claimant's testimony on 20 permissible grounds and did not arbitrarily discredit a claimant's 21 testimony regarding pain.") (citation omitted). Although an ALJ's 22 23 interpretation of a claimant's testimony may not be the only 24 reasonable one, if it is supported by substantial evidence, "it is 25 not [the court's] role to second-guess it." Rollins v. Massanari, 26 261 F.3d 853, 857 (9th Cir. 2001).

27

The ALJ provided multiple, specific, clear, and convincing reasons, supported by evidence in the record, to find Plaintiff's complaints of disabling mental symptomology only partially credible. (AR 18-19, 21-24). These reasons are sufficient to support the Commissioner's decision.

6

7 First, the ALJ found that Plaintiff's statements were internally inconsistent. (AR 18-19, 21). "[T]he ALJ may consider 8 9 inconsistencies either in the claimant's testimony or between the 10 testimony and the claimant's conduct." Molina v. Astrue, 674 F.3d 11 1104, 1112 (9th Cir. 2012); see Burch v. Barnhart, 400 F.3d 676, 12 680 (9th Cir. 2005) ("ALJ may engage in ordinary techniques of 13 credibility evaluation, such as . . . inconsistencies in 14 claimant's testimony"); accord 20 C.F.R. §§ 404.1529(c)(4), 15 416.929(c)(4). While Plaintiff asserted that his medications cause 16 side effects, including drowsiness and hypersomnia (AR 39-40, 43-17 44, 211), he consistently denied medication side effects to his 18 treatment providers. In January, February and March 2014; December 19 2015; April, July and October 2016; and February and May 2017, 20 Plaintiff reported "no side effects" from his medications to Dr. 21 Dubin. (AR 298, 299, 304, 318, 320, 322, 323, 324, 326). Dr. 22 Cortez did not note any adverse reactions from Plaintiff's 23 medications. (AR 270, 272, 339, 342, 345, 355). Dr. Middleton 24 reported that Plaintiff "tolerate[s] well" his medications with "mild benefit noted." (AR 285). At his consultative examination, 25 26 Plaintiff reported some benefit from his medications but did not 27 mention any serious side effects. (AR 309). Further, despite 28 testifying to having trouble getting along with others, Plaintiff

acknowledged being able to enjoy social activities with friends 1 and family. (Compare AR 42, with id. 208-09). Similarly, Plaintiff 2 3 told Dr. Aquilar that he has good relationships with family and friends. (AR 310). Finally, despite Plaintiff asserting that he 4 5 is fearful, agitated, and frustrated around other people (AR 40-6 44), the medical record demonstrates generally normal presentations 7 to treatment providers and examiners, without any mentions of fear, agitation, or frustration. (AR 19, 264-367). All of these 8 inconsistencies diminish Plaintiff's credibility. (AR 18-19, 21). 9 10

11 Second, the ALJ found that Plaintiff exhibited a "good response to prescribed treatment, which consisted of routine 12 13 medication management." (AR 18; see id. 19, 21). "Impairments that can be controlled effectively with medication are not 14 15 disabling for the purpose of determining eligibility for SSI 16 benefits." Warre v. Comm'r of Soc. Sec. Admin., 439 F.3d 1001, 17 1006 (9th Cir. 2006). Plaintiff consistently reported that his 18 medications were helping him. In November 2013, he stated that 19 Xanax helped control his anxiety and insomnia. (AR 273-74). In 20 October 2014, he reported that Seroquel helped him stay calm and 21 denied any mood swings. (AR 293). In March 2015, Plaintiff 22 reported only rarely incurring OCD symptoms. (AR 290). He told 23 Dr. Aguilar that his medications and monthly psychotherapy sessions 24 were helpful. (AR 309). In December 2015, Plaintiff reported to 25 Dr. Dubin that he was feeling better, without any nervousness or 26 anxiety, and that his OCD was under control from his medications. 27 A good response to treatment supports an adverse credibility 28 finding. See Crane v. Shalala, 76 F.3d 251, 254 (9th Cir. 1996)

("evidence suggesting that [the claimant] responded well to 1 treatment" supports an adverse credibility finding). Similarly, 2 3 Plaintiff's treating providers noted positive responses to medication. In November 2013, Dr. Cortez assessed Plaintiff's 4 5 anxiety as stable on Xanax. (AR 274). In December 2014 and May 2017, Dr. Cortez assessed Plaintiff's bipolar disorder as stable 6 7 on Abilify. (AR 269-70, 339-40, 346-47). In May 2014, Dr. Dubin emphasized that Plaintiff was doing well on his medications. 8 (AR 297). In April 2015, Dr. Middleton concluded that Plaintiff's mood 9 10 swings and delusions were managed adequately with medications. (AR 11 283).

12

Finally, the ALJ found that the medical record "demonstrates 13 14 minimal evidence of significant mental status abnormalities." (AR 15 18; see id. 19, 21-24). While inconsistencies with the objective 16 medical evidence cannot be the sole ground for rejecting a 17 claimant's subjective testimony, it is a factor that the ALJ may 18 consider when evaluating credibility. Bray, 554 F.3d at 1227; Burch, 400 F.3d at 681; Rollins, 261 F.3d at 857; see SSR 16-3p, 19 20 at \*5 ("objective medical evidence is a useful indicator to help 21 make reasonable conclusions about the intensity and persistence of 22 symptoms, including the effects those symptoms may have on the 23 ability to perform work-related activities"). In November 2013, a 24 neurological examination was normal. (AR 274). In February and 25 March 2014, mental status examinations were largely normal: 26 Plaintiff was fully oriented, exhibited normal self-perception, 27 intact memory, mild concentration deficits, and fair judgment and 28 insight. (AR 304). In July 2014, Plaintiff exhibited moderate

concentration deficits and fair insight and judgment, but his mood, 1 affect, psychomotor activity, and thought process and content were 2 3 all normal. (AR 295). In October 2014, a mental status examination demonstrated an anxious mood but otherwise intact memory, organized 4 5 thought processes, clear thought content, and only mild concentration deficits. (AR 293). In March and April 2015, 6 7 Plaintiff exhibited an anxious mood but otherwise the mental status 8 examinations were largely normal. (AR 289, 290). In April 2015, 9 Dr. Middleton tested Plaintiff's intellectual functioning and 10 concluded that his cognitive skills were normal with no 11 intellectual impairment. (AR 282). While Plaintiff reported 12 persistent paranoid delusions, Dr. Middleton found "no evidence of 13 distortion in form of thought." (AR 283). In August 2015, Dr. 14 Aquilar found that Plaintiff's mood was slightly depressed with 15 labile affect but no psychomotor retardation. (AR 310). 16 Plaintiff's thought process was normal; he was alert, fully 17 oriented, with intact memory, concentration, and calculation. (AR 18 311). His fund of information and intelligence and his insight 19 and judgment were within normal limits. (AR 311). In December 20 2015, other than a mild concentration deficit, a mental status 21 examination was unremarkable. (AR 326). In April 2016, 22 Plaintiff's psychomotor control, thought process and content, 23 memory, and concentration were all within normal limits. (AR 324). 24 In May 2017, Plaintiff exhibited moderate concentration deficits, 25 anxious mood, labile affect, and poor insight/judgment, but calm 26 psychomotor activity, clear thought content, intact memory, full 27 orientation, normal self-perception, and normal speech. (AR 318).

28

Plaintiff contends that the ALJ failed to acknowledge that 1 his treating physicians "noted impairment in concentration, mood, 2 3 and affect." (Dkt. No. 22 at 5). To the contrary, throughout his opinion, the ALJ noted that on occasion, Plaintiff's symptoms 4 5 included mild to moderate concentration deficits, fair judgment and insight, depressed mood, and labile affect. (AR 19, 21, 22, 6 7 23). Moreover, the ALJ found that Plaintiff's bipolar disorder 8 and OCD are severe impairments. (AR 17). While the "evidence" cited by Plaintiff supports the various diagnoses he has received, 9 10 it does not support his allegations of debilitating symptoms. The 11 mere existence of these impairments does not provide any support 12 for the disabling limitations alleged by Plaintiff. Indeed, "[t]he 13 mere existence of an impairment is insufficient proof of a 14 disability." Matthews v. Shalala, 10 F.3d 678, 680 (9th Cir. 1993); see Key v. Heckler, 754 F.2d 1545, 1549 (9th Cir. 1985) 15 16 ("The mere diagnosis of an impairment . . . is not sufficient to 17 sustain a finding of disability.").

18

19 Furthermore, the ALJ did not completely reject Plaintiff's 20 testimony. (AR 21). Indeed, the ALJ gave less than full weight 21 to the State agency physician's assessment and the consultative 22 examiner's opinion because they did not have the benefit of 23 Plaintiff's hearing testimony. (AR 23-24) (ALJ finding "moderate 24 social restrictions based on the totality of the evidence, 25 including [Plaintiff's] testimony, as well as the treatment notes received at the hearing level"). Based partially on Plaintiff's 26 27 subjective statements, the ALJ found that Plaintiff has moderate 28 limitations in understanding, remembering, or applying

1	information; in interacting with others; and with regard to
2	concentrating, persisting, or maintaining pace. (AR 18-19). The
3	ALJ accommodated Plaintiff's bipolar disorder and OCD and his
4	moderate difficulties in social functioning, in understanding and
5	applying information and in concentration, persistence, or pace by
6	restricting him to noncomplex routine tasks, no tasks requiring
7	hypervigilance, not responsible for the safety of others, no public
8	interaction, and limited teamwork. (AR 20). While these
9	limitations preclude Plaintiff from performing any past relevant
10	work, the VE opined that there are still jobs in the national
11	economy that Plaintiff can perform. (AR 25-26, 47-50).
12	
13	In sum, the ALJ offered clear and convincing reasons,
14	supported by substantial evidence in the record, for his adverse
15	credibility findings. Accordingly, because substantial evidence
16	supports the ALJ's assessment of Plaintiff's credibility, no remand
17	is required.
18	
19	
20	
21	
22	
23	
24	
25	
26	
27	
28	
	22
I	· · · · · · · · · · · · · · · · · · ·

dgment be
Clerk of
dgment on
ATE JUDGE
WESTLAW,