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UNITED STATES DISTRICT COURT
CENTRAL DISTRICT OF CALIFORNIA

HERLINDA C.,

Plaintiff,

v.

ANDREW SAUL,
Commissioner of Social Security,

Defendant.

No. CV 19-2730 AGR

MEMORANDUM OPINION AND ORDER

Plaintiff¹ filed this action on April 10, 2019. The parties filed a Joint Stipulation that addressed the disputed issues. The court has taken the matter under submission without oral argument.²

Having reviewed the entire file, the court reverses the decision of the Commissioner and remands for further proceedings consistent with this opinion.

¹ Plaintiff's name has been partially redacted in compliance with Fed. R. Civ. P. 5.2(c)(2)(B) and the recommendation of the Committee on Court Administration and Case Management of the Judicial Conference of the United States.

² Pursuant to 28 U.S.C. § 636(c), the parties consented to proceed before the magistrate judge. (Dkt. Nos. 9, 10.)

I.

PROCEDURAL BACKGROUND

Plaintiff filed an application for disability insurance benefits on December 29, 2015, and alleged an onset date of March 11, 2015. Administrative Record (“AR”) 16. The application was denied initially and on reconsideration. AR 16, 78. Plaintiff requested a hearing before an Administrative Law Judge (“ALJ”). On January 24, 2018, the ALJ conducted a hearing at which Plaintiff and a vocational expert (“VE”) testified. AR 31-66. On March 7, 2018, the ALJ issued a decision denying benefits. AR 13-25. On February 7, 2019, the Appeals Council denied review. AR 1-7. This action followed.

II.

STANDARD OF REVIEW

Pursuant to 42 U.S.C. § 405(g), this court has authority to review the Commissioner’s decision to deny benefits. The decision will be disturbed only if it is not supported by substantial evidence, or if it is based upon the application of improper legal standards. *Moncada v. Chater*, 60 F.3d 521, 523 (9th Cir. 1995) (per curiam); *Drouin v. Sullivan*, 966 F.2d 1255, 1257 (9th Cir. 1992).

“Substantial evidence” means “more than a mere scintilla but less than a preponderance – it is such relevant evidence that a reasonable mind might accept as adequate to support the conclusion.” *Moncada*, 60 F.3d at 523. In determining whether substantial evidence exists to support the Commissioner’s decision, the court examines the administrative record as a whole, considering adverse as well as supporting evidence. *Drouin*, 966 F.2d at 1257. When the evidence is susceptible to more than one rational interpretation, the court must defer to the Commissioner’s decision. *Moncada*, 60 F.3d at 523.

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III.

DISCUSSION

A. Disability

A person qualifies as disabled, and thereby eligible for such benefits, “only if his physical or mental impairment or impairments are of such severity that he is not only unable to do his previous work but cannot, considering his age, education, and work experience, engage in any other kind of substantial gainful work which exists in the national economy.” *Barnhart v. Thomas*, 540 U.S. 20, 21-22 (2003) (citation and quotation marks omitted).

B. The ALJ’s Findings

The ALJ found that Plaintiff met the insured status requirements through June 30, 2018. AR 18. Following the five-step sequential analysis applicable to disability determinations, *Lounsbury v. Barnhart*, 468 F.3d 1111, 1114 (9th Cir. 2006),³ the ALJ found that Plaintiff had the severe impairments of degenerative disc disease, fibromyalgia, and obesity. AR 18.

The ALJ found that Plaintiff had the residual functional capacity to perform less than the full range of sedentary work. Plaintiff can lift/carry 10 pounds occasionally and less than 10 pounds frequently. She can stand/walk a total of six hours in an eight-hour workday and sit six hours in an eight-hour workday, with normal breaks. Plaintiff can occasionally climb ramps and stairs, but she can never climb ladders, ropes, or scaffolds. Plaintiff can occasionally balance, stoop, kneel, crouch, and crawl. AR 21-22.

³ The five-step sequential analysis examines whether the claimant engaged in substantial gainful activity, whether the claimant’s impairment is severe, whether the impairment meets or equals a listed impairment, whether the claimant is able to do his or her past relevant work, and whether the claimant is able to do any other work. *Lounsbury*, 468 F.3d at 1114.

1 The ALJ found that Plaintiff could perform her past relevant work as an
2 administrative assistant. AR 24.

3 **C. Onset Date**

4 “The onset date of a disability can be critical to an individual’s application for
5 disability benefits. A claimant can qualify for SSDI only if her disability begins by her
6 date last insured, and these benefits can be paid for up to 12 months before her
7 application was filed.” *Wellington v. Berryhill*, 878 F.3d 867, 872 (9th Cir. 2017). “[T]he
8 onset date is the date when the claimant is unable to engage in any substantial gainful
9 activity due to physical or mental impairments that can be expected to last for at least
10 12 months.” *Id.* The ALJ is responsible for reviewing the record and resolving any
11 conflicts or ambiguities. *Id.*

12 “[T]o obtain disability benefits, [a claimant] must demonstrate he was disabled
13 prior to his last insured date.” *Morgan v. Sullivan*, 945 F.2d 1079, 1080 (9th Cir. 1991).
14 The claimant “bears the burden of proof and must prove that he was ‘either permanently
15 disabled or subject to a condition which became so severe as to disable [him] prior to
16 the date upon which [his] disability insured status expired.’” *Armstrong v. Commissioner*
17 *of the SSA*, 160 F.3d 587, 589 (9th Cir. 1998) (citation omitted). “To be eligible for
18 SSDI, a claimant’s disability must ‘be continuously disabling from the time of onset
19 during insured status to the time of application for benefits.’” *Wellington*, 878 F.3d at
20 875 (citation omitted).

21 Plaintiff argues that the ALJ erred in failing to call a medical expert to aid in the
22 determination of the onset date. “Under ordinary circumstances, an ALJ is equipped to
23 determine a claimant's disability onset date without calling on a medical advisor.” *Id.* at
24 874 (finding medical expert not required when relatively complete medical chronology is
25 available). The medical evidence serves as the primary element in the determination of
26 the onset date. *Id.* at 872 (citing Social Security Ruling 83-20). “The ALJ must develop
27 an incomplete record by calling on a medical advisor when ‘medical evidence from the
28 relevant time period is unavailable or inadequate.’ This requirement most readily applies

1 when an incomplete record clearly could support an inference that a claimant's disability
2 began when there were no contemporaneous medical records.” *Id.* at 873 (quoting
3 *Diedrich v. Berryhill*, 874 F.3d 634, 638 (9th Cir. 2017)). “[T]he ALJ should also enlist a
4 medical expert’s help when ‘the evidence is ambiguous regarding the possibility that the
5 onset of her disability occurred’ at that time.” *Id.* at 874. “In those circumstances, ‘an
6 ALJ’s assessment of the disability onset date would be mere speculation without the aid
7 of a medical expert.’” *Id.* (citation omitted).

8 Plaintiff argues that, pursuant to Social Security Ruling 83-20, the ALJ created
9 ambiguities in the medical record that required a medical expert when he proposed an
10 amended onset date of April 2017. The hearing record does not support Plaintiff’s
11 interpretation. At the hearing, the ALJ noted that Plaintiff’s left wrist fracture that
12 occurred in April 2017 “seems to be the most troubling thing that she suffers from, as far
13 as impeding her ability to work.” AR 56. Following this statement by the ALJ, Plaintiff’s
14 counsel alone raised the topic of amending the onset date to April 2017. *Id.* The ALJ
15 permitted Plaintiff and her counsel to discuss amending the onset date, but Plaintiff
16 ultimately declined to do so in order to preserve the issue on appeal. AR 58. The ALJ
17 did not implicitly agree that Plaintiff’s left wrist fracture was so severe as to constitute a
18 disability. Plaintiff’s counsel, not the ALJ, mentioned issuing a partially favorable
19 decision with an onset date of April 2017. AR 58.

20 Therefore, the ALJ did not introduce any ambiguity into the record when Plaintiff
21 raised amending the onset date and, for that reason, the duty to further develop the
22 record under SSR 83-20 was not triggered. Indeed, the administrative record contains
23 Plaintiff’s treatment history during the period from 2014 to 2017, supporting the onset
24 date of March 11, 2015. Accordingly, Plaintiff has not shown error.

1 **D. Treating Physicians**

2 Plaintiff argues that the ALJ improperly discounted the opinions of Plaintiff's
3 treating physicians and improperly relied on the opinions of the examining and state
4 agency reviewing physicians in formulating the RFC.⁴

5 The ALJ gave partial weight to treating physician Dr. Sobol. AR 23. The ALJ
6 found that the RFC "aligns with most of Dr. Sobol's opinions" but determined that the
7 "objective medical findings in the record do not support additional manipulative
8 restrictions" that Dr. Sobol assessed regarding Plaintiff's left shoulder. AR 24. The ALJ
9 gave less weight to treating physician Dr. Ahmed because his opinion "does not provide
10 a function-by-function assessment of [Plaintiff's] limitations" and it was rendered prior to
11 the alleged onset date. *Id.* As for the opinion of the orthopedic consultative examiner,
12 Dr. Hoang, the ALJ assigned partial weight because he found Plaintiff required a more
13 restrictive RFC. AR 23. Finally, the ALJ relied on the state agency physician's opinion
14 only to the extent that it found Plaintiff did not have a severe mental impairment. AR 21.

15 An opinion of a treating physician is given more weight than the opinion of
16 non-treating physicians. *Orn v. Astrue*, 495 F.3d 625, 631 (9th Cir. 2007). To reject an
17 uncontradicted opinion of a treating physician, an ALJ must state clear and convincing
18 reasons that are supported by substantial evidence. *Bayliss v. Barnhart*, 427 F.3d
19 1211, 1216 (9th Cir. 2005). When a treating physician's opinion is contradicted by
20 another doctor, "the ALJ may not reject this opinion without providing specific and
21 legitimate reasons supported by substantial evidence in the record. This can be done by
22 setting out a detailed and thorough summary of the facts and conflicting clinical
23 evidence, stating his interpretation thereof, and making findings." *Orn*, 495 F.3d at 632
24 (citations and quotation marks omitted).

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27 ⁴ Plaintiff raised this issue in conjunction with an argument that the ALJ erred in
28 formulating the RFC, which is discussed below. For the sake of clarity, the court
discusses these arguments separately.

1 An examining physician's opinion constitutes substantial evidence when it is
2 based on independent clinical findings. *Id.* When an examining physician's opinion is
3 contradicted, "it may be rejected for 'specific and legitimate reasons that are supported
4 by substantial evidence in the record.'" *Carmickle v. Comm'r*, 533 F.3d 1155, 1164 (9th
5 Cir. 2008) (citation omitted). "The opinion of a nonexamining physician cannot by itself
6 constitute substantial evidence that justifies the rejection of the opinion of either an
7 examining physician or a treating physician." *Ryan v. Comm'r*, 528 F.3d 1194, 1202
8 (9th Cir. 2008) (citation and emphasis omitted). However, a non-examining physician's
9 opinion may serve as substantial evidence when it is supported by other evidence in the
10 record and is consistent with it. *Andrews v. Shalala*, 53 F.3d 1035, 1041 (9th Cir.
11 1995).

12 "When there is conflicting medical evidence, the Secretary must determine
13 credibility and resolve the conflict." *Thomas v. Barnhart*, 278 F.3d 947, 956-57 (9th Cir.
14 2002) (citation and quotation marks omitted).

15 Dr. Ahmed

16 Plaintiff contends that the ALJ improperly rejected the opinion of treating
17 physician Dr. Ahmed. This argument fails. Dr. Ahmed's failure to provide a function-by-
18 function assessment of Plaintiff's limitations may not be a valid reason for discounting
19 his opinion. See *Phillips v. Colvin*, 2014 WL 124634, at *4 (C.D. Cal. Mar. 24, 2014)
20 (finding that lack of function-by-function limitations was "not a sufficient reason" for
21 rejecting physician's opinion). The ALJ, however, reasonably relied on the fact that Dr.
22 Ahmed's opinion was rendered in August 2014, approximately seven months prior to
23 the alleged date of onset. See *Carmickle*, 533 F.3d at 1165 ("Medical opinions that
24 predate the alleged onset of disability are of limited relevance."). Plaintiff's only
25 argument to the contrary is that the opinions of Dr. Ahmed and Dr. Sobol should be
26 considered together because Dr. Ahmed treated Plaintiff prior to Dr. Sobol, and both
27 physicians treated Plaintiff as part of her Workers' Compensation claim. But Plaintiff
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1 does not point to, nor could the court find, any case law that supports this argument.
2 Plaintiff has not shown error.

3 Dr. Sobol

4 Plaintiff contends that the ALJ failed to translate treating physician Dr. Sobol's
5 opinion, which was rendered as part of her Workers' Compensation claim, into Social
6 Security terms. An ALJ is required to "translate" Workers' Compensation terms "into
7 the corresponding Social Security terminology in order to accurately assess the
8 implications of those opinions for the Social Security disability determination." *Booth v.*
9 *Barnhart*, 181 F. Supp. 2d 1099, 1106 (C.D. Cal. Jan. 22, 2002) (citation omitted). The
10 ALJ's decision does not need to contain an "explicit 'translation,'" but should indicate
11 that the ALJ recognized the differences between the Workers' Compensation and
12 Social Security terminology and took those differences into account in evaluating the
13 medical evidence. *Id.*

14 It does not appear that the ALJ translated or accounted for the Workers'
15 Compensation terminology in Dr. Sobol's opinion. The ALJ does not acknowledge
16 anywhere in his decision that Dr. Sobol's opinion was rendered as part of Plaintiff's
17 Workers' Compensation claim. The ALJ stated that Plaintiff's reduced sedentary RFC
18 aligned with "most of Dr. Sobol's opinions," but the ALJ did not explicitly adopt or reject
19 Dr. Sobol's assessments regarding Plaintiff's cervical spine and back, including
20 limitations to "very repetitive motions," "prolonged posturing of the head and neck," and
21 "repetitive bending and stooping." AR 23-24. These limitations are couched in
22 Workers' Compensation terminology, but there is no indication the ALJ considered that
23 fact prior to assessing Dr. Sobol's opinion. See *Rocha v. Astrue*, 2012 WL 6062081, at
24 *2 (C.D. Cal. Dec. 3, 2012) ("If there are terms of art which are utilized in medical
25 evaluations, such as 'repetitive,' 'prolonged,' or similar terms, it is the job of the ALJ to
26 translate the meaning of such terms into the Social Security context."). Moreover, the
27 RFC does not appear to incorporate Dr. Sobol's limitations related to prolonged
28 posturing of the head or neck, repetitive motions, or repetitive bending. See AR 21-22.

1 Without any clear indication about whether or how the ALJ interpreted these limitations,
2 the court cannot find that the ALJ properly assessed Dr. Sobol's Workers'
3 Compensation opinion.

4 Furthermore, the ALJ's failure to evaluate the differences between the Workers'
5 Compensation and Social Security terminology in regards to Dr. Sobol's cervical spine
6 and back assessments was not harmless error. Notably, the VE testified that an
7 individual precluded from prolonged posturing of the head and neck would not be able
8 to perform Plaintiff's past relevant work as an administrative assistant. AR 57-58. In
9 reaching this conclusion, the VE relied on Plaintiff's counsel's definition of "prolonged"
10 as a maximum of two hours of a fixed neck position. *Id.* Defendant contends that the
11 VE's testimony is irrelevant because Dr. Sobol did not define what he meant by
12 "prolonged" and "posturing." The ALJ was required to evaluate Dr. Sobol's use of the
13 term "prolonged posturing of the neck and head" in the context of Plaintiff's Social
14 Security case. *See Rocha v. Astrue*, 2012 WL 6062081, at *2. Thus, the VE's
15 testimony reflects that the ALJ's failure to translate these terms and consider them in
16 assessing Plaintiff's RFC may have impacted Plaintiff's nondisability determination.
17 *See Ismael A. v. Berryhill*, 2018 WL 6697178, at *3-4 (C.D. Cal. Dec. 19, 2018) (finding
18 "ALJ's failure to either include, reject, or otherwise explain her interpretation" of
19 physician's Workers' Compensation opinions in RFC assessment was not harmless
20 error because VE's hypotheticals did not include limitations assessed by physician);
21 *Gamache v. Colvin*, 2014 WL 5511210, at *1-2 (C.D. Cal. Oct. 31, 2014) (finding error
22 when ALJ failed to properly translate and reject limitations in physician's opinion
23 rendered for a Workers' Compensation claim). Therefore, the court cannot find that the
24 ALJ provided specific and legitimate reasons for rejecting the cervical and back
25 limitations assessed by Dr. Sobol.

26 Plaintiff also takes issue with the ALJ's rejection of Dr. Sobol's left upper
27 extremity functional limitations. Regarding Plaintiff's left shoulder, Dr. Sobol precluded
28 Plaintiff from "heavy lifting, as well as repetitive or forceful pushing or pulling, repetitive

1 forward reaching and over-the-shoulder work with the left upper extremity.” AR 23, 395.
2 The ALJ gave “less weight” to these limitations because he found they were not
3 supported by “objective medical findings in the record.” AR 24. The ALJ failed to
4 translate the Workers’ Compensation terminology in Dr. Sobol’s opinion, but this error
5 was harmless because the ALJ’s rejection of the left upper extremity functional
6 limitations was supported by substantial evidence. See *Molina v. Astrue*, 674 F.3d
7 1104, 1115 (noting error is harmless when it is “inconsequential to the ultimate
8 nondisability determination”) (citations omitted); see also *Dimola v. Berryhill*, 2018 WL
9 2278260, at *3-4 (C.D. Cal. May 17, 2018) (finding harmless error when ALJ failed to
10 translate Workers’ Compensation terminology in opinion by Dr. Sobol because there
11 were inconsistencies regarding claimant’s functional limitations).

12 The ALJ pointed to objective medical evidence in the record that did not support
13 Dr. Sobol’s finding of left upper extremity functional limitations. See *Lewis v. Apfel*, 236
14 F.3d 503, 513 (finding ALJ is not required to evaluate evidence under any specific
15 heading in decision). Specifically, the ALJ referenced X-rays performed on Plaintiff’s
16 left shoulder in June 2017 that reflected normal findings.⁵ AR 19, 3994. The ALJ also
17 pointed to the opinion by Dr. Hoang, the orthopedic consultative examiner, who found
18 tenderness in Plaintiff’s left anterior shoulder joint but otherwise noted she had full
19 range of motion, full joint stability, and negative impingement tests in her left shoulder.
20 AR 19, 3127, 3130; see also *Andrews* 53 F.3d at 1041. The medical record supports
21 these largely normal findings in the left shoulder. See, e.g., AR 3407, 4037, 4054.
22 Finally, Plaintiff does not point to any other evidence in the record indicating she
23 required greater functional limitations in her left upper extremity. The ALJ provided
24 specific and legitimate reasons for rejecting Dr. Sobol’s left upper extremity limitations.

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27 ⁵ Although Plaintiff fractured her left wrist after Dr. Sobol rendered his opinion, the
28 ALJ also found that the record showed it was healing as expected and she only
exhibited mild tenderness. AR 19-20.

1 Accordingly, the ALJ erred in failing to translate and account for the cervical spine
2 and back limitations assessed by Dr. Sobol in his Workers' Compensation opinion. This
3 matter will be remanded on that basis.

4 **E. Residual Functional Capacity**

5 Plaintiff argues that the ALJ's RFC finding does not account for (a) her
6 fibromyalgia, mental impairments, medication side effects, and obesity; and (b) her hip,
7 tail bone, and upper and lower extremity impairments.

8 The RFC measures the claimant's capacity to engage in basic work activities.
9 *Bowen v. New York*, 476 U.S. 467, 471 (1986). The RFC is a determination of "the
10 most [an individual] can still do despite [his or her] limitations." 20 C.F.R. §
11 404.1545(a); *Treichler v. Comm'r*, 775 F.3d 1090, 1097 (9th Cir. 2014). It is an
12 administrative finding, not a medical opinion. 20 C.F.R. § 404.1527(e). The ALJ's RFC
13 assessment must be supported by substantial evidence. *Bayliss*, 427 F.3d at 1217.

14 Beyond Plaintiff's subjective allegations, which are addressed below, Plaintiff
15 does not point to anything in the record that indicates she required greater functional
16 limitations than those found by the ALJ. First, Plaintiff contends the ALJ did not explain
17 how he accounted for the chronic diffuse pain and fatigue caused by her fibromyalgia in
18 the RFC. The ALJ found Plaintiff's fibromyalgia to be severe, and he stated that he
19 accounted for it through her sedentary RFC. AR 23. There is nothing in the medical
20 record or opinions indicating Plaintiff required greater limitations due to her fibromyalgia.

21 Similarly, Plaintiff takes issue with the ALJ's consideration of her hip, tail bone,
22 and upper and lower extremity impairments. In determining that these issues were not
23 severe, the ALJ reviewed the medical record and noted largely normal findings. AR 19-
24 20. With the exception of her left upper extremity, which is discussed above, there are
25 no medical opinions indicating greater functional limitations for those impairments.

26 Further, Plaintiff testified that she experienced medication side effects. Nothing in
27 the medical record indicates she experienced side effects for medications she took
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1 continuously. See *Bayliss*, 427 F.3d at 1217 (finding ALJ’s failure to expressly address
2 medication side effects is not error where there was no record support for side effects).

3 As for her mental impairment, the ALJ found it was non-severe because she did
4 not exhibit “any significant limitations in mental functioning” due to her anxiety and
5 depression. AR 20. This finding is supported by the medical record. See AR 3491,
6 3665. Plaintiff contends that the report by the psychiatric consultative examiner Dr.
7 Bagner, to which the ALJ gave great weight, is too limited to provide an accurate
8 representation of her mental condition. The ALJ acknowledged that Dr. Bagner’s
9 opinion was based on a “one-time examination,” but found that Dr. Bagner’s opinion
10 contained “detailed clinical findings and narratives explaining and supporting the
11 examiner’s medical opinion and functional assessment.” AR 20. The ALJ reasonably
12 relied on Dr. Bagner’s opinion in finding Plaintiff had no functional limitations stemming
13 from her anxiety and depression. See *Orn*, 495 F.3d at 632.

14 Finally, the ALJ found Plaintiff’s obesity to be a severe impairment and stated that
15 he considered “the impact [of her weight] on her ability to ambulate as well as her other
16 body systems” and found it to be “within the limitations of [Plaintiff’s RFC].” AR 23.
17 Again, Plaintiff does not point to anything in the record that reflects the need for greater
18 functional limitations for her obesity.

19 In sum, Plaintiff takes issue with the ALJ’s interpretation of the medical record in
20 formulating the RFC, but disagreement with the ALJ’s interpretation of the medical
21 record does not warrant reversal of the ALJ’s decision. See *Burch v. Barnhart*, 400
22 F.3d 676, 679 (9th Cir. 2005) (“Where evidence is susceptible to more than one rational
23 interpretation, it is the ALJ’s conclusion that must be upheld.”).

24 As discussed in the preceding section, this matter is being remanded for
25 reconsideration of Dr. Sobol’s cervical and back limitations. On remand, the ALJ is free
26 to reconsider Plaintiff’s RFC on that basis.

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1 **F. Subjective Allegations**

2 In assessing a claimant’s subjective allegations, the Commissioner conducts a
3 two-step analysis. *Vasquez v. Astrue*, 572 F.3d 586, 591 (9th Cir. 2009). First, the ALJ
4 determines whether the claimant presented objective medical evidence of an
5 impairment that could reasonably be expected to produce the symptoms alleged. *Id.*
6 The ALJ found that Plaintiff’s medically determinable impairments could reasonably be
7 expected to cause the alleged symptoms. AR 19.

8 Second, when as here the record does not contain evidence of malingering, the
9 ALJ must give specific, clear and convincing reasons for discounting the claimant’s
10 subjective allegations. *Vasquez*, 572 F.3d at 591.

11 The ALJ found that Plaintiff’s statements concerning the intensity, persistence
12 and limiting effects of his symptoms were inconsistent with the objective medical
13 evidence and other evidence in the record, specifically evidence that Plaintiff’s
14 treatment was conservative. AR 22-23. The ALJ may rely on lack of objective medical
15 evidence to support the severity of Plaintiff’s subjective allegations as one factor in the
16 analysis, although it may not rely exclusively on that factor to discount Plaintiff’s
17 subjective allegations. See *Rollins v. Massanari*, 261 F.3d 853, 857 (9th Cir. 2001).
18 The ALJ may also rely on the fact that Plaintiff received conservative treatment. See
19 *Parra v. Astrue*, 481 F.3d 742, 751 (9th Cir. 2007) (finding that “evidence of
20 ‘conservative treatment’ is sufficient to discount a claimant’s testimony regarding
21 severity of an impairment”).

22 The ALJ’s finding that Plaintiff received conservative treatment is not supported
23 by substantial evidence. The ALJ found that Plaintiff received “relatively mild and
24 conservative treatment” for her back and neck impairments. AR 22. Specifically, the
25 ALJ stated that Plaintiff was “mostly given pain medication,” but “[n]o other more
26 invasive or drastic treatment plan was recommended, such as surgery” and the
27 frequency and duration of her epidural injections and physical therapy were “unclear.”
28 *Id.* As for her fibromyalgia, the ALJ found that Plaintiff was “only given the pain

1 medication, Naproxen, and told to perform range of motion exercises,” and the record
2 “does not indicate frequent [trigger point] injections.” AR 23.

3 The medical record supports the ALJ’s finding that surgery was not recommended
4 for Plaintiff’s back and neck impairments. Although Plaintiff stated that her treatment
5 included recommended surgery, the record reflects only that a physician recommended
6 surgery to her knee – for an impairment that the ALJ found to be non-severe – as one of
7 multiple treatment options. AR 19, 4068. There does not appear to be any other
8 recommendation of surgery for Plaintiff’s impairments.

9 The ALJ’s remaining reasons for finding Plaintiff’s treatment conservative fail. The
10 medical record indicates that Plaintiff received trigger point injections and steroid
11 injections to her bilateral rhomboids and lumbar paraspinal muscles on at least three
12 occasions between May 2016 and December 2017, although it appears Plaintiff received
13 additional injections prior to May 2016. AR 3284-86, 4009, 4306-10. Plaintiff was also
14 prescribed pain medication, including Naproxen and Hydrocodone-Acetaminophen
15 (Norco), a narcotic. AR 381, 3328, 3552, 4071. Plaintiff received physical therapy and
16 management by a pain specialist. AR 381, 387, 451, 3284, 4306. Taken together, the
17 court cannot conclude that repeated trigger point and steroid injections, in combination
18 with prescription pain medication, physical therapy, and treatment by a pain specialist,
19 are conservative treatment. See *Christie v. Astrue*, 2011 WL 4368189, at *4 (C.D. Cal.
20 Sept. 16, 2011) (refusing to characterize treatment with narcotics, steroid injections,
21 trigger point injections, and epidural injections as conservative); *Eldridge v. Berryhill*,
22 2018 WL 2357147, at *9 (S.D. Cal. May 23, 2018) (noting pain medication combined
23 with pain specialist referral and epidural steroid injections was “far from conservative”);
24 *Cf. Gonzales v. Colvin*, 2015 WL 685347, at *11 (C.D. Cal. Feb. 18, 2015) (finding
25 treatment consisting of medication and single steroid injection was conservative).

26 Because the ALJ’s finding that Plaintiff received conservative treatment is not
27 supported by substantial evidence, the ALJ’s only remaining reason for discounting
28 Plaintiff’s subjective allegations is that they were inconsistent with the objective medical

1 evidence. The objective evidence, even if inconsistent with Plaintiff's subjective
2 allegations, cannot alone constitute a reason to reject Plaintiff's subjective allegations.
3 See *Rollins*, 261 F.3d at 857.

4 Accordingly, Plaintiff has shown error, and this matter must be remanded for
5 reconsideration of Plaintiff's testimony.

6 **G. Past Relevant Work**

7 At step four of the sequential analysis, the claimant has the burden of showing
8 that he or she can no longer perform past relevant work. "Although the burden of proof
9 lies with the claimant at step four, the ALJ still has a duty to make the requisite factual
10 findings to support his conclusion." *Pinto v. Massanari*, 249 F.3d 840, 844 (9th Cir.
11 2001). "The claimant must be able to perform: 1. The actual functional demands and
12 job duties of a particular past relevant job; or 2. The functional demands and job duties
13 of the occupation as generally required by employers throughout the national economy."
14 *Id.* at 845 (quoting Social Security Ruling 82-61); see 20 C.F.R. § 416.920(f) (stating
15 that "we will compare our residual functional capacity assessment . . . with the physical
16 and mental demands of your past relevant work").

17 Plaintiff argues that the ALJ erred by relying on the VE's testimony in finding that
18 Plaintiff could perform her past relevant work as an administrative assistant.
19 Specifically, Plaintiff contends that the ALJ posed an incomplete RFC hypothetical to
20 the VE because it did not include of all Plaintiff's functional limitations, particularly
21 limitations related to her left upper extremity and neck. Because the court is remanding
22 this case to assess Dr. Sobol's cervical spine and back limitations and Plaintiff's
23 subjective allegations, the ALJ may modify the RFC on remand and may reconsider
24 whether Plaintiff can perform her past relevant work.

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IV.

ORDER

IT IS HEREBY ORDERED that the decision of the Commissioner is reversed and this matter is remanded for reconsideration of Dr. Sobol's opinion and Plaintiff's subjective allegations, consistent with this opinion.



DATED: October 27, 2020

ALICIA G. ROSENBERG
United States Magistrate Judge