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28	CENTRAL DISTRICT OF CALIFORNIA
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CEIVA LOGIC, INC.,

Plaintiff,

V.

AMAZON.COM, INC.,

Defendant.

Case No. 2:19-cv-09129-AB-MAA

# STIPULATED PROTECTIVE ORDER

### 1. PURPOSES AND LIMITATIONS

Discovery in this action is likely to involve production of confidential, proprietary, or private information for which special protection from public disclosure and from use for any purpose other than prosecuting this litigation may be warranted. Accordingly, the parties hereby stipulate to and petition the Court to enter the following Stipulated Protective Order. The parties acknowledge that this Stipulated Protective Order does not confer blanket protections on all disclosures or responses to discovery and that the protection it affords from public disclosure and use extends only to the limited information or items that are entitled to confidential treatment under the applicable legal principles. The parties further acknowledge, as set forth in Section 13.3 below, that this Stipulated Protective Order does not entitle them to file confidential information under seal; Local Rule 79-5 sets forth the procedures that must be followed and the standards that will be applied when a party seeks permission from the Court to file material under seal. Discovery in this action is likely to involve production of confidential, proprietary, or private information for which special protection from public disclosure and from use for any purpose other than prosecuting this litigation may be warranted.

# 2. GOOD CAUSE STATEMENT

This action is likely to involve business, financial, technical and/or other proprietary information for which special protection from public disclosure and from use for any purpose other than prosecution of this action is warranted. Such

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confidential and proprietary materials and information consist of, among other things, highly sensitive technical documents describing the design and operation of the accused products and/or products alleged to practice and/or embody the patentsin-suit, source code related to these products, confidential business, strategy, and financial information, or other confidential research, development, or commercial information (including information implicating privacy rights of third parties), and other information otherwise generally unavailable to the public, or which may be privileged or otherwise protected from public disclosure under state or federal statutes, court rules, case decisions, or common law. Accordingly, to expedite the flow of information, to facilitate the prompt resolution of disputes over confidentiality of discovery materials, to adequately protect information the parties are entitled to keep confidential, to ensure that the parties are permitted reasonable necessary uses of such material in preparation for and in the conduct of trial, to address their handling at the end of the litigation, and to serve the ends of justice, a protective order for such information is justified in this matter. It is the intent of the parties that information will not be designated as confidential for tactical reasons and that nothing be so designated without a good faith belief that it has been maintained in a confidential, non-public manner, and there is good cause why it should not be part of the public record of this case.

# 3. <u>DEFINITIONS</u>

- 3.1. <u>Action</u>: This pending federal lawsuit, Civil Action No. 2:19-cv-09129-AB-MAA.
- 3.2. <u>Challenging Party</u>: A Party or Nonparty that challenges the designation of information or items under this Stipulated Protective Order.
- 3.3. "CONFIDENTIAL" Information or Items: Information (regardless of how it is generated, stored or maintained) or tangible things that qualify for protection under Federal Rule of Civil Procedure 26(c), and

- Outside Counsel of Record (and their support staffs).
- 3.12. <u>Producing Party</u>: A Party or Nonparty that produces Disclosure or Discovery Material in this Action.
- 3.13. <u>Professional Vendors</u>: Persons or entities that provide litigation support services (e.g., photocopying, videotaping, translating, preparing exhibits or demonstrations, and organizing, storing, or retrieving data in any form or medium) and their employees and subcontractors.
- 3.14. <u>Protected Material</u>: Any Disclosure or Discovery Material that is designated as "CONFIDENTIAL," or "HIGHLY CONFIDENTIAL SOURCE CODE."
- 3.15. <u>Receiving Party</u>: A Party that receives Disclosure or Discovery Material from a Producing Party.
- 3.16. Source Code: Computer code that defines, in an applicable programming language, the algorithms, function or structure of software. Source code includes source code, object code (i.e., computer instructions and data definitions expressed in a form suitable for input to an assembler, compiler, or other translator), microcode, register transfer language ("RTL"), firmware, and hardware description language ("HDL"), as well as any and all programmer notes, annotations, and other comments accompanying the code. For avoidance of doubt, this includes, but is not limited to, source files, make files, intermediate output files, executable files, header files, resource files, library files, module definition files, map files, object files, linker files, browse info files, debug files, and files containing source code in C, C++, BREW, Java ME, J2ME, assembler, digital signal processor (DSP) programming languages, VHDL, Verilog, other HDL formats, and any other human readable text programming

languages. Source code does not include documents that describe algorithms or structure of software but that do not include code in a programming language. To the extent documents (for example, PowerPoints or Word documents) contain lines of source code, the Producing Party may elect to redact the lines of Source Code in the document—labeled "Redacted Source Code"—and will otherwise produce the document in the normal course of discovery. In the event the Producing Party elects to redact Source Code from documents, the non-redacted versions of those documents will be placed on the Source Code Computer for review by the Receiving Party.

3.17. "HIGHLY CONFIDENTIAL SOURCE CODE" Information or Items: Protected Material reflecting or comprising non-public Source Code of a producing party.

### 4. SCOPE

The protections conferred by this Stipulated Protective Order cover not only Protected Material, but also (1) any information copied or extracted from Protected Material; (2) all copies, excerpts, summaries, or compilations of Protected Material; and (3) any testimony, conversations, or presentations by Parties or their Counsel that might reveal Protected Material.

Any use of Protected Material at trial shall be governed by the orders of the trial judge. This Stipulated Protective Order does not govern the use of Protected Material at trial.

# 5. <u>DURATION</u>

The Order applies to pretrial discovery. Nothing in this Order shall be deemed to prevent the Parties from introducing any Protected Material into evidence at the trial of this Action, or from using any information contained in Designated Material at the trial of this Action, subject to any pretrial order issued by this Court. Subject to any challenges under paragraph 7, the Parties will not

oppose any reasonable request by the Producing Party that the courtroom be sealed, if allowed by the Court, during the presentation of any testimony, evidence, or argument relating to or involving the use of any Protected Material.

Even after final disposition of this litigation, the confidentiality obligations imposed by this Stipulated Protective Order shall remain in effect until a Designating Party agrees otherwise in writing or a court order otherwise directs. Final disposition shall be deemed to be the later of (1) dismissal of all claims and defenses in this Action, with or without prejudice; and (2) final judgment herein after the completion and exhaustion of all appeals, rehearings, remands, trials, or reviews of this Action, including the time limits for filing any motions or applications for extension of time pursuant to applicable law.

### 6. **DESIGNATING PROTECTED MATERIAL**

### 6.1. Exercise of Restraint and Care in Designating Material for Protection.

Each Party or Nonparty that designates information or items for protection under this Stipulated Protective Order must take care to limit any such designation to specific material that qualifies under the appropriate standards. The Designating Party must designate for protection only those parts of material, documents, items, or oral or written communications that qualify so that other portions of the material, documents, items, or communications for which protection is not warranted are not swept unjustifiably within the ambit of this Stipulated Protective Order.

Mass, indiscriminate, or routinized designations are prohibited. Designations that are shown to be clearly unjustified or that have been made for an improper purpose (*e.g.*, to unnecessarily encumber the case development process or to impose unnecessary expenses and burdens on other parties) may expose the Designating Party to sanctions.

### 6.2. Manner and Timing of Designations.

Except as otherwise provided in this Stipulated Protective Order (see, e.g., Section 6.2(a)), or as otherwise stipulated or ordered,
Disclosure or Discovery Material that qualifies for protection under this Stipulated Protective Order must be clearly so designated before the material is disclosed or produced.

Designation in conformity with this Stipulated Protective Order requires the following:

(a) For information in documentary form (e.g., paper or electronic documents, but excluding transcripts of depositions or other pretrial or trial proceedings), that the Producing Party affix at a minimum, the legend "CONFIDENTIAL" to each page that contains protected material. If only a portion or portions of the material on a page qualifies for protection, the Producing Party also must clearly identify the protected portion(s) (e.g., by making appropriate markings in the margins).

A Party or Nonparty that makes original documents available for inspection need not designate them for protection until after the inspecting Party has indicated which documents it would like copied and produced. During the inspection and before the designation, all of the material made available for inspection shall be deemed "CONFIDENTIAL." After the inspecting Party has identified the documents it wants copied and produced, the Producing Party must determine which documents, or portions thereof, qualify for protection under this Stipulated Protective Order. Then, before producing the specified documents, the Producing Party must affix the legend "CONFIDENTIAL," or "HIGHLY CONFIDENTIAL SOURCE

CODE" to each page that contains Protected Material. If only a portion or portions of the material on a page qualifies for protection, the Producing Party also must clearly identify the protected portion(s) (*e.g.*, by making appropriate markings in the margins).

- (b) For testimony given in depositions, that the Designating Party identify the Disclosure or Discovery Material on the record, before the close of the deposition, all protected testimony.
- (c) For information produced in nondocumentary form, and for any other tangible items, that the Producing Party affix in a prominent place on the exterior of the container or containers in which the information is stored the legend "CONFIDENTIAL." If only a portion or portions of the information warrants protection, the Producing Party, to the extent practicable, shall identify the protected portion(s).

# 6.3. <u>Inadvertent Failure to Designate</u>.

If timely corrected, an inadvertent failure to designate qualified information or items does not, standing alone, waive the Designating Party's right to secure protection under this Stipulated Protective Order for such material. Upon timely correction of a designation, the Receiving Party must make reasonable efforts to assure that the material is treated in accordance with the provisions of this Stipulated Protective Order.

# 7. CHALLENGING CONFIDENTIALITY DESIGNATIONS

# 7.1. <u>Timing of Challenges</u>.

Any Party or Nonparty may challenge a designation of confidentiality at any time that is consistent with the Court's Scheduling Order.

### 7.2. Meet and Confer.

The Challenging Party shall initiate the dispute resolution process, which shall comply with Local Rule 37.1 et seq., and with Section 4 of Judge Audero's Procedures ("Mandatory Telephonic Conference for Discovery Disputes").<sup>1</sup>

### 7.3. Burden of Persuasion.

The burden of persuasion in any such challenge proceeding shall be on the Designating Party. Frivolous challenges, and those made for an improper purpose (*e.g.*, to harass or impose unnecessary expenses and burdens on other parties) may expose the Challenging Party to sanctions. Unless the Designating Party has waived or withdrawn the confidentiality designation, all parties shall continue to afford the material in question the level of protection to which it is entitled under the Producing Party's designation until the Court rules on the challenge.

# 8. ACCESS TO AND USE OF PROTECTED MATERIALS

## 8.1. Basic Principles.

A Receiving Party may use Protected Material that is disclosed or produced by another Party or by a Nonparty in connection with this Action only for prosecuting, defending, or attempting to settle this Action. Such Protected Material may be disclosed only to the categories of persons and under the conditions described in this Stipulated Protective Order. When the Action reaches a final disposition, a Receiving Party must comply with the provisions of Section 14 below.

<sup>&</sup>lt;sup>1</sup> Judge Audero's Procedures are available at https://www.cacd.uscourts.gov/honorable-maria-audero.

Protected Material must be stored and maintained by a
Receiving Party at a location and in a secure manner that ensures that
access is limited to the persons authorized under this Stipulated
Protective Order.

### 8.2. Disclosure of "CONFIDENTIAL" Information or Items.

Unless otherwise ordered by the Court or permitted in writing by the Designating Party, a Receiving Party may disclose any information or item designated "CONFIDENTIAL" only to:

- (a) The Receiving Party's Outside Counsel of Record, as well as employees of said Outside Counsel of Record to whom it is reasonably necessary to disclose the information for this Action;
- (b) The officers, directors, board members, and employees (including In-House Counsel) of the Receiving Party to whom disclosure is reasonably necessary for this Action;
- (c) Experts of the Receiving Party to whom disclosure is reasonably necessary for this Action and who have signed the "Acknowledgment and Agreement to Be Bound" (Exhibit A). Before access is given, the Expert shall complete the "Acknowledgment and Agreement to Be Bound" (Exhibit A), and the same shall be served upon any producing Party with a current curriculum vitae of the consultant or expert at least ten (10) days before access to the Protected Material is to be given to that consultant.<sup>2</sup> However, the producing Party may notify

<sup>&</sup>lt;sup>2</sup> For any such person, the curriculum vitae shall identify his/her (i) current employer(s), (ii) each person or entity from whom s/he has received compensation or funding for work in his or her areas of expertise or to whom the s/he has provided professional services, including in connection with a litigation, at any time during the preceding five years; (iii) (by name and number of the case, filing date,

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the receiving Party in writing that it objects to disclosure of Protected Material to a consultant or expert. The producing Party waives objection to disclosure of its Designated Material by a receiving Party to an identified outside consultant or expert of a receiving Party if the Producing Party provides no written objection within ten (10) days of service by a receiving Party of the Exhibit A and current curriculum vitae of the identified outside consultant or expert. Such a waiver shall not preclude a Producing Party from raising an objection at a later time with respect to Protected Material if a party believes in good faith that newly disclosed or discovered information about the expert, had it been known at the time the outside consultant or expert was disclosed, would have warranted such an objection. The Parties agree to promptly confer and use good faith to resolve any such objection. If the Parties are unable to resolve any objection, the producing Party may file a motion with the Court within fifteen (15) days of the notice, or within such other time as the Parties may agree, seeking a protective order with respect to the proposed disclosure. The producing Party shall have the

and location of court) any litigation in connection with which s/he has offered expert testimony, including through a declaration, report, or testimony at a deposition or trial, during the preceding five years; and (iv) and an identification of any patents or patent applications in which the technical advisor is identified as an inventor or applicant, is involved in prosecuting or maintaining, or has any pecuniary interest. If such consultant or expert believes any of this information is subject to a confidentiality obligation to a third-party, then the s/he should provide whatever information can be disclosed without violating any confidentiality agreements, and the Party seeking to disclose Protected Material to the consultant or expert shall be available to meet and confer with the designating Party regarding any such engagement.

#### Information or Items.

For Source Code designated HIGHLY CONFIDENTIAL SOURCE CODE, access to, and disclosure of, such Source Code shall be limited to individuals listed in paragraph 8.2 above, and the following additional restrictions apply:

- (a) Access to a Party's Source Code shall be provided only on "stand-alone" computer(s) (that is, the computer may not be linked to any network, including a local area network ("LAN"), an intranet or the Internet). The standalone computer shall be a current vintage, Windows-based laptop or desktop computer having at least 4 gigabytes of memory, shall have a mouse, and a screen with a minimum size of fifteen inches, and shall be connectable to a second screen supplied by the receiving party at the receiving party's option. The stand-alone computer(s) may only be located within the continental United States at the offices of the producing Party's outside counsel or another agreed-upon location.
- (b) The stand-alone computer(s) shall have disk encryption and be password protected. Use or possession of any outside electronic input/output device (e.g., USB memory stick, mobile phone, tablet, personal digital assistants (PDAs), Blackberries, Dictaphones, voice recorders, external or portable telephone jack, camera or any camera-enabled device, CD, floppy disk, portable hard drive, laptop, or any device that can access the Internet or any other network or external system, etc.) is prohibited while accessing the computer containing the source code. All persons entering the locked room containing the stand-alone computer(s) must agree to submit to reasonable

security measures to ensure they are not carrying any prohibited items before they will be given access to the stand-alone computer(s). The producing Party may periodically "check in" on the activities of the receiving Party's representatives during any stand-alone computer review and may visually monitor the activities of the receiving Party's representatives from outside the room in which the stand-alone computer(s) is located, but only to ensure that no unauthorized electronic records of the Source Code and no information concerning the Source Code are being created or transmitted in any way. The producing Party must remain at such a distance as to avoid viewing notes or other work product generated by the receiving Party's representatives and at such a distance to allow representatives of the receiving Party's to carry on a quiet conversation without being overheard by the producing Party. The producing Party may not record (visually, audibly or by other means) the activities of the receiving Party's representatives.

- (c) Source Code will be made available for inspection between the hours of 9:00 a.m. and 5:00 p.m. (in the time zone where the Source Code is made available) on business days (i.e., weekdays that are not Federal holidays), although the Parties will be reasonable in accommodating reasonable requests to conduct inspections at other times;
- (d) A list of names of persons who will review Source Code on the stand-alone computer(s) will be provided to the producing Party in conjunction with any written (including email) notice requesting inspection. Prior to the first inspection of any Source Code made available by the Producing Party, the receiving Party

shall provide five (5) days' notice that it wishes to inspect Source Code. The receiving Party shall provide three (3) days' notice prior to any additional inspections. Such notice shall include the names and titles for every individual from the receiving Party who will attend the inspection. The producing Party may maintain a daily log of the names of persons who enter the locked room to view the source code and when they enter and depart. To the extent practical and reasonable, the Parties agree to accommodate requests for inspection on a shorter timeframe, particularly when the needs of the case and case schedule require more immediate access by the receiving Party to the requested Source Code. Similarly, the receiving Party shall make good faith efforts to reasonably accommodate scheduling limitations identified by the producing Party;

- (e) The producing Party shall provide the receiving Party with information explaining how to start, log on to, and operate the stand-alone computer(s) in order to access the produced Source Code on the stand-alone computer(s);
- (f) The producing Party will produce Source Code in computer searchable format on the stand-alone computer(s) as described above;
- (g) Access to any Party's Protected Material designated HIGHLY CONFIDENTIAL SOURCE CODE shall be limited to outside counsel and up to four (4) Experts retained for the purpose of this litigation and approved to access such Protected Materials pursuant to paragraph 3.14 above. A receiving Party may include excerpts of Source Code in a pleading, exhibit, expert report, discovery document, deposition transcript, or other Court

document (hereinafter, "Source Code Documents") only to the extent necessary, provided that the Source Code Documents are appropriately marked under this Order, restricted to those who are entitled to have access to them as specified herein, and, if filed with the Court, filed under seal in accordance with the Court's rules, procedures and orders;

To the extent portions of Source Code are quoted in a Source (h) Code Document, either (1) the entire Source Code Document will be stamped and treated as HIGHLY CONFIDENTIAL SOURCE CODE or (2) those pages containing quoted Source Code will be separately stamped and treated as HIGHLY CONFIDENTIAL SOURCE CODE. However, for clarity, production documents describing how source code operates (for example, architecture documents, program manuals, process flow descriptions, PowerPoint presentations, etc.) may not be stamped as HIGHLY CONFIDENTIAL SOURCE CODE solely for the purpose of limiting access to such documents. To the extent any documents (for example, PowerPoints, Word documents, architecture documents, etc.) contain lines of source code, the producing Party may elect to redact only the lines of Source Code in the document and must otherwise produce the document (including any discussion, analysis or commentary of any Source Code) in the normal course of discovery. In the event the producing Party elects to redact Source Code from documents, the non-redacted versions of those documents must be placed on the stand-alone computer for review by the receiving Party. Further, if the receiving Party elects to print such non-redacted source code documents from the stand-alone

computer, only pages containing source code will count toward any printing limitations contained herein. Alternatively, a producing Party may elect to produce the entirety of a document containing lines of Source Code in the normal course of discovery with a designation of "HIGHLY CONFIDENTIAL SOURCE CODE."

Except as set forth in this paragraph, no copies of Source Code (i) shall be made without prior written consent of the producing Party. The receiving Party may create an electronic copy or image of limited excerpts of Source Code only to the extent necessary in a pleading, exhibit, expert report, discovery document, deposition transcript, other Court document, or any drafts of these documents. The receiving Party shall only include such excerpts as are reasonably necessary for the purposes for which such part of the Source Code is used. Images or copies of Source Code shall not be included in correspondence between the parties (references to production numbers shall be used instead) and shall be omitted from pleadings and other papers except to the extent permitted herein. The receiving party may create an electronic image of a selected portion of the Source Code only when the electronic file containing such image has been encrypted using commercially reasonable encryption software including password protection. Notwithstanding the foregoing, the receiving Party may file under seal printed Source Code with the Court via the ECF system without encryption. The communication and/or disclosure of electronic files containing any portion of Source Code shall at all times be limited to individuals who are

authorized to see Source Code under the provisions of this Protective Order. Additionally, all electronic copies must be labeled "HIGHLY CONFIDENTIAL SOURCE CODE." No person shall copy, e-mail, transmit, upload, download, print, photograph or otherwise duplicate any portion of the designated "HIGHLY CONFIDENTIAL SOURCE CODE" material, except that the receiving Party may request paper copies ("Source Code Printouts") of limited portions of the Source Code, but only if and to the extent reasonably necessary for the preparation of court filings, pleadings, expert reports, or other papers, or for deposition or trial. The receiving Party shall be permitted to print 500 pages total from the stand-alone computer (per producing Party). Furthermore, the receiving Party may print up to 50 contiguous pages. The receiving Party will only print material that it believes reasonably necessary to the claims and defenses at issue. If the receiving Party reasonably believes that it is necessary to print more than set forth by these limits, the parties shall meet and confer in good faith to resolve the issue. To ensure the proper pages requested by the receiving Party are printed, the producing Party shall provide the ability for the receiving Party to save relevant files for printing as PDFs (preserving the line numbers and formatting using a program such as Notepad++) to a folder on the source code review computer for printing, or any other similar arrangement agreed to by the parties. The receiving Party shall not request paper copies for the purposes of reviewing the source code other than electronically as set forth in this paragraph. Within three (3) business days, the producing Party will mail, or within five (5)

business days will deliver, the requested material on watermarked or colored paper bearing Bates numbers and the legend "HIGHLY CONFIDENTIAL SOURCE CODE" unless objected to as discussed below. At the receiving Party's request, up to three (3) additional sets, for a total of four sets of printed Source Code may be requested and provided by the producing Party in a timely fashion. Printouts of Source Code may be made only by the producing Party, and such printouts must include (1) directory path information and filenames from which the Source Code came, and (2) line numbers. For avoidance of doubt, the receiving Party may make copies of Source Code for service to the Court to the extent requested or required by Court or chamber rules.

- Code Printouts, the receiving Party shall ensure that such outside counsel, consultants, or experts keep the Source Code Printouts under their direct control in a secured locked area in the offices of such outside counsel or Experts. The receiving Party may also temporarily keep the Source Code Printouts at:

  (i) the Court for any proceedings(s) relating to the Source Code, for the dates associated with the proceeding(s); (ii) the sites where any deposition(s) relating to the Source Code are taken, for the dates associated with the deposition(s); and (iii) if in a secured locked container, any intermediate location reasonably necessary to transport the Source Code Printouts (e.g., a hotel prior to a Court proceeding or deposition); and
- (k) A producing Party's Source Code may only be delivered by the receiving Party at the direction of a person authorized to view

Source Code, on paper via hand carry. If reasonably necessary, the receiving Party may choose to transport Source Code via courier, including Fedex or UPS. Source Code may not be transported or transmitted over a network of any kind, including a LAN, an intranet, or the Internet. Source Code may only be transported for the purpose of Court proceeding(s) and filings, expert reports, or deposition(s) or trial, as set forth in this paragraph and is at all times subject to the transport restrictions set forth therein.

(1) The producing Party shall install tools that are sufficient for viewing and searching the Source Code produced, on the platform produced. The receiving Party's outside counsel and/or experts may request that other mutually agreeable commercially available software tools for viewing and searching Source Code be installed on the stand-alone computer, provided, however, that such other software tools are reasonably necessary for the receiving Party to perform its review of the source code consistent with all of the protections herein. The receiving Party must provide the producing Party with a copy of such licensed software tool(s) at least three (3) business days in advance of the date upon which the receiving Party wishes to have the additional software tools available for use on the stand-alone computer. To the extent any requested commercially available software tool(s) can be downloaded from the Internet, the receiving Party will provide the producing Party with a link or URL at least three (3) business days in advance of the date upon which the receiving Party wishes to have the additional software tools available for use on the stand-alone computer.

- (m) The receiving Party's outside counsel and/or Experts shall be entitled to take notes relating to the Source Code but may not copy any portion of the Source Code into the notes. No copies of all or any portion of the source code may leave the room in which the source code is inspected. Further, no other written or electronic record of the source code is permitted except as otherwise provided above. No notes shall be made or stored on the inspection computer, or left behind at the site where the inspection computer is made available, and any such notes shall be deleted or destroyed by the producing Party, without reviewing the substance of the notes, upon discovery; and
- (n) All copies of any portion of the Source Code Printouts in whatever form shall be securely destroyed if they are no longer in use. Copies of Source Code Printouts that are marked as deposition exhibits shall not be provided to the Court Reporter or attached to deposition transcripts; rather, the deposition record will identify the exhibit by its production numbers;
- (o) For the avoidance of doubt, printing of Source Code shall not be used as a substitute for review of the Source Code in the first instance on the Source Code Computer

# 9. PROTECTED MATERIAL SUBPOENAED OR ORDERED PRODUCED IN OTHER LITIGATION

If a Party is served with a subpoena or a court order issued in other litigation that compels disclosure of any information or items designated in this Action as "CONFIDENTIAL," or "HIGHLY CONFIDENTIAL SOURCE CODE" that Party must:

(a) Promptly notify in writing the Designating Party. Such notification shall include a copy of the subpoena or court order;

- (b) Promptly notify in writing the party who caused the subpoena or order to issue in the other litigation that some or all of the material covered by the subpoena or order is subject to this Stipulated Protective Order. Such notification shall include a copy of this Stipulated Protective Order; and
- (c) Cooperate with respect to all reasonable procedures sought to be pursued by the Designating Party whose Protected Material may be affected.

If the Designating Party timely seeks a protective order, the Party served with the subpoena or court order shall not produce any information designated in this action as "CONFIDENTIAL" before a determination by the Court from which the subpoena or order issued, unless the Party has obtained the Designating Party's permission. The Designating Party shall bear the burden and expense of seeking protection in that court of its confidential material and nothing in these provisions should be construed as authorizing or encouraging a Receiving Party in this Action to disobey a lawful directive from another court.

# 10. <u>A NONPARTY'S PROTECTED MATERIAL SOUGHT TO BE</u> <u>PRODUCED IN THIS LITIGATION</u>

# 10.1. Application.

The terms of this Stipulated Protective Order are applicable to information produced by a Nonparty in this Action and designated as "CONFIDENTIAL," or "HIGHLY CONFIDENTIAL SOURCE CODE." Such information produced by Nonparties in connection with this litigation is protected by the remedies and relief provided by this Stipulated Protective Order. Nothing in these provisions should be construed as prohibiting a Nonparty from seeking additional protections.

# 10.2. Notification.

In the event that a Party is required, by a valid discovery request, to produce a Nonparty's confidential information in its possession, and the Party is subject to an agreement with the Nonparty not to produce the Nonparty's confidential information,<sup>3</sup> then the Party shall:

- (a) Promptly notify in writing the Requesting Party and the Nonparty that some or all of the information requested is subject to a confidentiality agreement with a Nonparty;
- (b) Promptly provide the Nonparty with a copy of the Stipulated Protective Order in this Action, the relevant discovery request(s), and a reasonably specific description of the information requested; and
- (c) Make the information requested available for inspection by the Nonparty, if requested.

### 10.3. Conditions of Production.

If the Nonparty fails to seek a protective order from this Court within fourteen (14) days after receiving the notice and accompanying information, the Receiving Party may produce the Nonparty's confidential information responsive to the discovery request. If the Nonparty timely seeks a protective order, the Receiving Party shall not produce any information in its possession or control that is subject to the confidentiality agreement with the Nonparty before a

<sup>&</sup>lt;sup>3</sup> If requested information is subject to a protective order entered by another Court, then production will only occur with permission of the nonparty, or upon issuance of an order by the other court that materials covered under that court's protective order may be produced. The party to this case will bear the burden of contacting the nonparty seeking permission, and will not oppose proceedings before the other court, but the requesting party will bear the burden of seeking approval from the other court to access the requested materials.

determination by the Court. Absent a court order to the contrary, the Nonparty shall bear the burden and expense of seeking protection in this Court of its Protected Material.

### 11. UNAUTHORIZED DISCLOSURE OF PROTECTED MATERIAL

If a Receiving Party learns that, by inadvertence or otherwise, it has disclosed Protected Material to any person or in any circumstance not authorized under this Stipulated Protective Order, the Receiving Party immediately must (1) notify in writing the Designating Party of the unauthorized disclosures, (2) use its best efforts to retrieve all unauthorized copies of the Protected Material, (3) inform the person or persons to whom unauthorized disclosures were made of all the terms of this Stipulated Protective Order, and (4) request such person or persons to execute the "Acknowledgment and Agreement to be Bound" (Exhibit A).

# 12. <u>INADVERTENT PRODUCTION OF PRIVILEGED OR OTHERWISE</u> <u>PROTECTED MATERIAL</u>

When a Producing Party gives notice to Receiving Parties that certain inadvertently produced material is subject to a claim of privilege or other protection, the obligations of the Receiving Parties are those set forth in Federal Rule of Civil Procedure 26(b)(5)(B). This provision is not intended to modify whatever procedure may be established in an e-discovery order that provides for production without prior privilege review. Pursuant to Federal Rule of Evidence 502(d) and (e), insofar as the parties reach an agreement on the effect of disclosure of a communication or information covered by the attorney-client privilege or work product protection, the parties may incorporate their agreement in the Stipulated Protective Order submitted to the Court.

# 13. <u>MISCELLANEOUS</u>

# 13.1. Right to Further Relief.

Nothing in this Stipulated Protective Order abridges the right of any person to seek its modification by the Court in the future.

### 13.2. Right to Assert Other Objections.

By stipulating to the entry of this Stipulated Protective Order, no Party waives any right it otherwise would have to object to disclosing or producing any information or item on any ground not addressed in this Stipulated Protective Order. Similarly, no Party waives any right to object on any ground to use in evidence of any of the material covered by this Stipulated Protective Order.

### 13.3. Filing Protected Material.

A Party that seeks to file under seal any Protected Material must comply with Local Rule 79-5. Protected Material may only be filed under seal pursuant to a court order authorizing the sealing of the specific Protected Material at issue. If a Party's request to file Protected Material under seal is denied by the Court, then the Receiving Party may file the information in the public record unless otherwise instructed by the Court.

# 13.4. Export Control.

Absent agreement, a Party's Designated Material must be stored and maintained by a receiving Party at a location in the United States and in a secure manner that ensures that access is limited to persons authorized under this Order. Absent agreement, Designated Material may not be exported outside the United States or released to any foreign national (even if within the United States), unless the foreign national (1) is a Green Card holder, (2) working in the United States pursuant to an H-1B visa sponsored by the receiving Party's law firm, or (3) directly employed by the receiving Party's law firm to provide support or legal representation to the firm's clients and who are otherwise unaffiliated with the receiving Party. Should any Designated Material be subject to expert outside of the United States

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upon agreement of the parties, the receiving party shall comply with such laws and agrees not to knowingly export, re-export or transfer the Designated Material of the producing party without first obtaining all required United States or any other applicable authorizations or licenses.

### 13.5 Preparation or Prosecution of a Patent Application

Absent obtaining written consent from the designating Party, any attorney representing a Party and any person associated or affiliated with the Party and permitted to receive the other Party's Protected Material that is designated CONFIDENTIAL and/or HIGHLY CONFIDENTIAL SOURCE CODE (collectively "Highly Sensitive Material"), who actually learns of, in whole or in part, the other Party's Highly Sensitive Material directed to technical information relevant to this case, but excluding financial data or nontechnical business information under this Order, unless such Highly Sensitive Material becomes publicly disclosed or otherwise known, shall not prepare, prosecute, supervise, or assist in the preparation or prosecution of any patent application or patent claims pertaining to the claimed subject matter in United States Patent Nos. 6,442,573, 9,203,930, and 9,654,562 and any patent or application claiming priority to the patents asserted in this Action (collectively the "Field of Invention") during the pendency of this Action and for two (2) years after its conclusion, including any appeals. For purposes of this paragraph, "prosecution" includes any activity related to (i) the preparation or prosecution (for any person or entity) of patent applications, including among others reexamination and reissue applications or (ii) directly or indirectly participating, drafting, amending, advising, or otherwise affecting the scope or maintenance

of patent claims within, for example, original prosecution, reissue, inter partes review, post grant review, covered business method review and reexamination proceedings. For the avoidance of doubt, this paragraph does not prohibit any person from challenging a patent in inter partes review or other post-grant proceeding nor does it prohibit counsel for a Party from participating in and representing such Party in any inter partes review or other post-grant proceeding, provided they do not participate in amending any claims. To ensure compliance with the purpose of this provision, each Party shall create an "Ethical Wall" between those persons with access to Highly Sensitive Material and any individuals who, on behalf of the Party or its acquirer, successor, predecessor, or other affiliate, prepare, prosecute, supervise or assist in the preparation or prosecution of any patent application pertaining to the Field of Invention.

### 14. FINAL DISPOSITION

After the final disposition of this Action, within sixty (60) days of a written request by the Designating Party, each Receiving Party must return all Protected Material to the Producing Party or destroy such material. As used in this subdivision, "all Protected Material" includes all copies, abstracts, compilations, summaries, and any other format reproducing or capturing any of the Protected Material. Whether the Protected Material is returned or destroyed, the Receiving Party must submit a written certification to the Producing Party (and, if not the same person or entity, to the Designating Party) by the 60-day deadline that (1) identifies (by category, where appropriate) all the Protected Material that was returned or destroyed and (2) affirms that the Receiving Party has not retained any copies, abstracts, compilations, summaries or any other format reproducing or capturing any of the Protected Material. Notwithstanding this provision, Counsel is entitled to retain an archival copy of all pleadings; motion papers; trial, deposition,

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1	and hearing transcripts; legal memoranda; correspondence; deposition and trial			
2	exhibits; expert reports; attorney work product; and consultant and expert work			
3	product, even if such materials contain Protected Material. Any such archival			
4	copies that contain or constitute Protected Material remain subject to this Stipulated			
5	Protective Order as set forth in Section 5.			
6	6 15. <u>VIOLATION</u>			
7	Any violation of this Stipulated Order may be punished by any and all			
8	appropriate measures including, without limitation, contempt proceedings and/or			
9	monetary sanctions.			
10	IT IS SO STIPULATED, THROUGH COUNSEL OF RECORD.			
11	11			
12	L <del>L</del>	LSON MANDALA, LLP		
13	By: /s/ Gary A. Haal			
	Gary A. Heel	•		
14	CEIVA LOG			
15	15	, : - :		
16	Dated: October 23, 2020 FENWICK &	& WEST LLP		
17	17 By: /s/ Ravi I	R. Ranganath		
18				
19	Counsel for I			
	TAIVIT LEOIV.C	OM, INC.		
20	FOR GOOD CAUSE SHOWN, IT IS SO ORDERED.	FOR GOOD CAUSE SHOWN, IT IS SO ORDERED.		
	21	ภะ		
22	Dated:10/26/20	7		
23	Maria A. Aud	dero		
24	United States	s Magistrate Judge		
25	25			
26				
27	27			
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### 1 **EXHIBIT A** 2 ACKNOWLEDGMENT AND AGREEMENT TO BE BOUND I, \_\_\_\_\_ [full name], of \_\_\_\_\_ 3 \_\_\_\_\_ [address], declare under penalty of perjury that I have read in its 4 5 entirety and understand the Stipulated Protective Order that was issued by the United States District Court for the Central District of California on \_\_\_\_\_ 6 7 [date] in the case of \_\_\_\_\_ 8 [case name and number]. I agree to comply with and to be bound by all the terms 9 of this Stipulated Protective Order, and I understand and acknowledge that failure 10 to so comply could expose me to sanctions and punishment in the nature of 11 contempt. I solemnly promise that I will not disclose in any manner any 12 information or item that is subject to this Stipulated Protective Order to any person 13 or entity except in strict compliance with the provisions of this Stipulated Protective 14 Order. 15 I further agree to submit to the jurisdiction of the United States District Court 16 for the Central District of California for the purpose of enforcing the terms of this Stipulated Protective Order, even if such enforcement proceedings occur after 17 18 termination of this action. I hereby appoint \_\_\_\_\_ [full name] of \_\_\_\_\_ [address and telephone number] 19 20 as my California agent for service of process in connection with this action or any 21 proceedings related to enforcement of this Stipulated Protective Order. 22 23 Signature: 24 Printed Name: 25 Date: 26 City and State Where Sworn and Signed: 27 28

1	ATTESTATION REGARDING E-SIGNATURES
2	Pursuant to Local Rule 5-4.3.4, I hereby attest that I have obtained
3	concurrence in the filing of this document from each signatory whose signature is
4	indicated by a conformed signature (/s/) within this e-filed document.
5	By: /s/ Gary A. Hecker
6	Gary A. Hecker
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# **CERTIFICATE OF SERVICE** I certify that on October 23, 2020, I electronically filed the foregoing Stipulated Protective Order with the Clerk of Court using the CM/ECF system which will send notification of such filing to all counsel and parties of record. By: /s/ Sarabi Rodriguez Dated: October 21, 2020 Sarabi Rodriguez