

1
2
3
4
5
6
7
8
9
10
11
12
13
14
15
16
17
18
19
20
21
22
23
24
25
26
27
28

UNITED STATES DISTRICT COURT
CENTRAL DISTRICT OF CALIFORNIA—WESTERN DIVISION

WILLIAM L. SNOW,
Plaintiff,
v.
TRANSAMERICA LIFE
INSURANCE COMPANY,
Defendant.

Case No. 2:21-cv-00665-CAS-GJS

**~~PROPOSED~~ ORDER GRANTING
STIPULATED PROTECTIVE
ORDER**

1 **1. PURPOSES AND LIMITATIONS/GOOD CAUSE STATEMENT**

2 Discovery in this action is likely to involve production of confidential and
3 proprietary actuarial, business, technical, and financial information as well as
4 private information of Plaintiff WILLIAM L. SNOW (“Plaintiff”) or the insured for
5 which special protection from public disclosure and from use for any purpose other
6 than prosecuting this litigation may be warranted. Accordingly, Plaintiff and
7 Defendant Transamerica Life Insurance Company (“TLIC”) hereby stipulate to and
8 petition the Court to enter the following Stipulated Protective Order (“Order”). The
9 parties acknowledge that this Order does not confer blanket protections on all
10 disclosures or responses to discovery and that the protection it affords from public
11 disclosure and use extends only to the limited information or items that are entitled
12 to confidential treatment under the applicable legal principles. The parties further
13 acknowledge, as set forth in Section 12.3 (Filing Protected Material), below, that
14 this Protective Order does not entitle them to file confidential information under
15 seal; Local Civil Rule 79-5 and the Court’s Guide to Electronically Filing Under
16 Seal Documents in Civil Cases set forth the procedures that must be followed and
17 the standards that will be applied when a party seeks permission from the Court to
18 file material under seal.

19 There is a strong presumption that the public has a right of access to judicial
20 proceedings and records in civil cases. In connection with non-dispositive motions,
21 good cause must be shown to support a filing under seal. *See Kamakana v. City*
22 *and County of Honolulu*, 447 F.3d 1172, 1176 (9th Cir. 2006), *Phillips v. Gen.*
23 *Motors Corp.*, 307 F.3d 1206, 1210-11 (9th Cir. 2002), *Makar-Welbon v. Sony*
24 *Electrics, Inc.*, 187 F.R.D. 576, 577 (E.D. Wis. 1999) (even stipulated protective
25 orders require good cause showing), and a specific showing of good cause or
26 compelling reasons with proper evidentiary support and legal justification, must be
27 made with respect to Protected Material that a party seeks to file under seal. The
28 parties’ mere designation of Disclosure or Discovery Material as CONFIDENTIAL

1 or HIGHLY CONFIDENTIAL-ATTORNEYS' EYES ONLY does not—without
2 the submission of competent evidence by declaration, establishing that the material
3 sought to be filed under seal qualifies as confidential, privileged, or otherwise
4 protectable—constitute good cause.

5 Further, if a party requests sealing related to a dispositive motion or trial,
6 then compelling reasons, not only good cause, for the sealing must be shown, and
7 the relief sought shall be narrowly tailored to serve the specific interest to be
8 protected. *See Pintos v. Pacific Creditors Ass'n*, 605 F.3d 665, 677-79 (9th Cir.
9 2010). For each item or type of information, document, or thing sought to be filed
10 or introduced under seal in connection with a dispositive motion or trial, the party
11 seeking protection must articulate compelling reasons, supported by specific facts
12 and legal justification, for the requested sealing order. Again, competent evidence
13 supporting the application to file documents under seal must be provided by
14 declaration.

15 Any document that is not confidential, privileged, or otherwise protectable in
16 its entirety will not be filed under seal if the confidential portions can be redacted.
17 If documents can be redacted, then a redacted version for public viewing, omitting
18 only the confidential, privileged, or otherwise protectable portions of the document,
19 shall be filed. Any application that seeks to file documents under seal in their
20 entirety should include an explanation of why redaction is not feasible.

21 **2. DEFINITIONS**

22 2.1 Acknowledgment: the “Acknowledgment and Agreement to be
23 Bound” form attached as Exhibit A to this Order.

24 2.2 Action: *WILLIAM L. SNOW v. Transamerica Life Insurance Company*,
25 Case No. 2:21-cv-00665-CAS-GJS.

26 2.3 Challenging Party: A Party or Non-Party that challenges the
27 designation of information or items under this Order.
28

1 2.4 “CONFIDENTIAL” Information or Items: Confidential proprietary or
2 commercially sensitive business and financial information, trade secrets, and
3 personal information which is not generally known or publicly available and which
4 the Designating Party would not normally reveal to third parties or information that
5 otherwise meets the standard for protection set forth in Rule 26(c) of the Federal
6 Rules of Civil Procedure. It is the intent of the parties that information will not be
7 designated as confidential for tactical reasons and that nothing be so designated
8 without a good faith belief that it has been maintained in a confidential non-public
9 manner, and there is good cause why it should not be part of the public record of
10 this Action.

11 2.5 Counsel: Outside Counsel of Record and House Counsel (as well as
12 their support staff).

13 2.6 Designating Party: A Party or Non-Party that designates information or
14 items that it produces or that are produced in disclosures or in response to discovery
15 as “CONFIDENTIAL” or “HIGHLY CONFIDENTIAL- ATTORNEYS’ EYES
16 ONLY.”

17 2.7 Disclosure or Discovery Material: All items or information, regardless
18 of the medium or manner in which it is generated, stored, or maintained (including,
19 among other things, testimony, transcripts, and tangible things), that are produced
20 or generated in disclosures or responses to discovery in this matter.

21 2.8 Expert: A person with specialized knowledge or experience in a matter
22 pertinent to the litigation who has been retained by a Party or its counsel to serve as
23 an expert witness or as a consultant in this Action and who is not a past or current
24 employee of a Party or a current employee of a Party’s competitor and who, at the
25 time of retention, is not anticipated to become an employee of a Party or a
26 competitor of a Party. This definition includes a professional jury or trial
27 consultant retained in connection with this litigation.
28

1 2.9 “HIGHLY CONFIDENTIAL- ATTORNEYS’ EYES ONLY”
2 Information or Items: Extremely sensitive “Confidential Information or Items,”
3 disclosure of which to another Party or Non-Party would create a substantial risk of
4 serious harm that could not be avoided by less restrictive means.

5 2.10 House Counsel: Attorneys who are employees for a Party or of an
6 entity that owns an interest in a Party and is responsible for controlling or directing
7 the litigation. House Counsel does not include Outside Counsel of Record or any
8 other outside counsel.

9 2.11 Non-Party: Any natural person, partnership, corporation, association,
10 or other legal entity not named as a Party to this action.

11 2.12 Outside Counsel of Record: Attorneys who are not employees of a
12 party to this Action but are retained to represent or advise a party to this Action and
13 have appeared in this Action on behalf of that party or are affiliated with a law firm
14 that has appeared on behalf of that party, including support staff.

15 2.13 Party: Any party to this Action, including its House Counsel, officers,
16 directors, employees, consultants, and retained experts.

17 2.14 Parent: An entity that owns, or conducts the business affairs of, the
18 Receiving Party and is responsible for controlling and directing the litigation.

19 2.15 Producing Party: A Party or Non-Party that produces Disclosure or
20 Discovery Material in this Action.

21 2.16 Professional Vendors: Persons or entities that provide litigation
22 support services (*e.g.*, photocopying, videotaping, translating, preparing exhibits or
23 demonstrations, and organizing, storing or retrieving data in any form or medium)
24 and their employees and subcontractors.

25 2.17 Protected Material: Any Disclosure or Discovery Material that is
26 designated as “CONFIDENTIAL” or “HIGHLY CONFIDENTIAL-
27 ATTORNEYS’ EYES ONLY.”
28

1 2.18 Receiving Party: A Party that receives Disclosure or Discovery
2 Material from a Producing Party.

3 2.19 Related Actions: *Feller v. Transamerica Life Insurance Co.*, No. 2:16-
4 cv-01378-CAS-GJSx (C.D. Cal. filed Feb. 28, 2016) (“*Feller*”); *EFG Bank AG*
5 *Cayman Branch, et al. v. Transamerica Life Insurance Co.*, Case No. 2:16-cv-
6 08104-CAS-GJSx (C.D. Cal. filed Oct. 31, 2016) (“*EFG*”).

7 **3. SCOPE**

8 The protections conferred by this Order cover not only Protected Material (as
9 defined above), but also (1) any information copied or extracted from Protected
10 Material; (2) all copies, excerpts, summaries, or compilations of Protected Material;
11 and (3) any testimony, conversations, or presentations by Parties or their Counsel or
12 their Experts that might reveal Protected Material. Any use of Protected Material at
13 trial shall be governed by the orders of the trial judge. This Order does not govern
14 the use of Protected Material at trial.

15 **4. DURATION**

16 FINAL DISPOSITION of the action is defined as the conclusion of any
17 appellate proceedings, or, if no appeal is taken, when the time for filing of an
18 appeal has run. Except as set forth below, the terms of this Order apply through
19 FINAL DISPOSITION. The parties stipulate that they will be contractually bound
20 by the terms of this agreement beyond FINAL DISPOSITION, and that they will
21 have to file a separate action for enforcement of the agreement once FINAL
22 DISPOSITION of the action occurs.

23 Once a case proceeds to trial, information that was designated as
24 CONFIDENTIAL, HIGHLY CONFIDENTIAL-ATTORNEYS’ EYES ONLY, or
25 maintained pursuant to this protective order used or introduced as an exhibit at trial
26 becomes public and will be presumptively available to all members of the public,
27 including the press, unless compelling reasons supported by specific factual
28 findings to proceed otherwise are made to the trial judge in advance of the trial. *See*

1 *Kamakana*, 447 F.3d at 1180-81 (distinguishing “good cause” showing for sealing
2 documents produced in discovery from “compelling reasons” standard when merits-
3 related documents are part of court record). Accordingly, the terms of this
4 protective order do not extend beyond the commencement of the trial.

5 **5. DESIGNATING PROTECTED MATERIAL**

6 5.1 Exercise of Restraint and Care in Designating Material for Protection.

7 Each Party or Non-Party that designates information or items for protection under
8 this Order must take care to limit any such designation to specific material that
9 qualifies under the appropriate standards. To the extent it is practical to do so, the
10 Designating Party must designate for protection only those parts of material,
11 documents, items or oral or written communications that qualify so that other
12 portions of the material, documents, items or communications for which protection
13 is not warranted are not swept unjustifiably within the ambit of this Order.

14 While mass, indiscriminate or routinized designations are prohibited, the
15 Parties recognize that manually analyzing and designating large numbers of
16 documents one-by-one for confidentiality can be an unduly burdensome task. The
17 Parties agree that each Party may reasonably rely on metadata information and
18 good-faith searches to designate documents for protection. Designations that are
19 shown to be clearly unjustified or that have been made for an improper purpose
20 (e.g., to unnecessarily encumber the case development process or to impose
21 unnecessary expenses and burdens on other parties) may expose the Designating
22 Party to sanctions.

23 If it comes to a Designating Party’s attention that information or items that it
24 designated for protection do not qualify for protection, that Designating Party must
25 promptly notify all other Parties that it is withdrawing the inapplicable designation.

26 5.2 Manner and Timing of Designations. Except as otherwise provided in
27 this Order (*see, e.g.*, second paragraph of section 5.2(a) below), or as otherwise
28 stipulated or ordered, Disclosure or Discovery Material that qualifies for protection

1 under this Order must be clearly so designated before the material is disclosed or
2 produced. Designation in conformity with this Order requires:

3 (a) for information in documentary form (*e.g.*, paper or electronic
4 documents, but excluding transcripts of depositions or other pretrial or trial
5 proceedings), that the Producing Party affix at a minimum, the legend
6 “CONFIDENTIAL” or “HIGHLY CONFIDENTIAL- ATTORNEYS’ EYES
7 ONLY”, to each page that contains protected material. If only a portion of the
8 material on a page qualifies for protection, the Producing Party also must clearly
9 identify the protected portion(s) (*e.g.*, by making appropriate markings in the
10 margins).

11 A Party or Non-Party that makes original documents available for inspection
12 need not designate them for protection until after the inspecting Party has indicated
13 which documents it would like copied and produced. During the inspection and
14 before the designation, all of the material made available for inspection shall be
15 deemed “HIGHLY CONFIDENTIAL- ATTORNEYS’ EYES ONLY.” After the
16 inspecting Party has identified the documents it wants copied and produced, the
17 Producing Party must determine which documents, or portions thereof, qualify for
18 protection under this Order. Then, before producing the specified documents, the
19 Producing Party must affix the legend “CONFIDENTIAL” or “HIGHLY
20 CONFIDENTIAL-ATTORNEYS’ EYES ONLY” to each page that contains
21 Protected Material. If only a portion of the material on a page qualifies for
22 protection, the Producing Party also must clearly identify the protected portion(s)
23 (*e.g.*, by making appropriate markings in the margins).

24 (b) any Party may designate as Protected Material testimony given in a
25 deposition or in other pretrial or trial proceedings by informing the reporter during
26 the deposition or by sending a letter to all Outside Counsel of Record and to the
27 deposition reporter designating by page and line any portions of the transcript to be
28 so restricted, or the entire transcript if applicable, within thirty (30) days after

1 receiving the deposition transcript and specifying the level of protection being
2 asserted.

3 During this 30-day period, a transcript will be treated as if it had been
4 designated “HIGHLY CONFIDENTIAL- ATTORNEYS’ EYES ONLY” in its
5 entirety unless otherwise agreed. After the expiration of that period, the transcript
6 shall be treated only as actually designated.

7 When deposition testimony is designated Protected Material by informing the
8 reporter during the deposition, the transcript containing Protected Material shall
9 have an obvious legend on the title page that the transcript contains Protected
10 Material, and the title page shall be followed by a list of all pages (including line
11 numbers-as appropriate) that have been designated as Protected Material and the
12 level of protection being asserted by the Designating Party. The Designating Party
13 shall inform the court reporter of these requirements.

14 Parties shall give the other parties notice if they reasonably expect a
15 deposition, hearing, or other proceeding to include Protected Material so that the
16 other parties can ensure that only authorized individuals who have signed the
17 Acknowledgment are present at those proceedings. The use of a document as an
18 exhibit at a deposition shall not in any way affects its designation as
19 “CONFIDENTIAL” or “HIGHLY CONFIDENTIAL- ATTORNEYS’ EYES
20 ONLY.”

21 (c) for information produced in some form other than documentary and
22 for any other tangible items, that the Producing Party affix in a prominent place on
23 the exterior of the container or containers in which the information is stored the
24 legend “CONFIDENTIAL” or “HIGHLY CONFIDENTIAL- ATTORNEYS’
25 EYES ONLY.” If only a portion or portions of the information warrants protection,
26 the Producing Party, to the extent practicable, shall identify the protected portion(s).

27 5.3 Inadvertent Failures to Designate. If timely corrected, an inadvertent
28 failure to designate qualified information or items does not, standing alone, waive

1 the Designating Party’s right to secure protection under this Order for such
2 material. Upon timely correction of a designation, the Receiving Party must make
3 reasonable efforts to assure that the material is treated in accordance with the
4 provisions of this Order.

5 5.4 Protected Health Information. Additionally, certain Confidential
6 Information or Items may be Protected Health Information (“PHI”) as defined by
7 the Health Insurance Portability and Accountability Act of 1996 (“HIPAA”) and the
8 regulations promulgated thereunder at 45 CFR 160.103. Without limiting the
9 generality of the foregoing, “PHI” includes, but is not limited to, health
10 information, including demographic information, relating to either, (a) the past,
11 present or future physical or mental condition of an individual, (b) the provision of
12 care to an individual, or (c) the payment for care provided to an individual, which
13 identifies the individual or which reasonably could be expected to identify an
14 individual. All “covered entities” (as defined by 45 CFR 160.103) are hereby
15 authorized to disclose PHI to all attorneys now of record in this Action or who may
16 become of record in the future in this Action. Subject to the Federal Rules of Civil
17 Procedure, and without prejudice to any Party’s objection except as otherwise
18 provided herein, the Parties are authorized to receive, subpoena, transmit, or
19 disclose PHI relevant to the claims at issue in this Action, subject to all terms of
20 this Order. All PHI disclosed under this Order must be designated as Confidential
21 Information pursuant to this Order. A Receiving Party which receives PHI in
22 discovery shall not use or disclose such PHI for any purpose other than this Action.
23 To the extent documents or information produced in this Action have already been
24 exchanged or will again be exchanged between the Parties in the normal course of
25 business, treatment of such documents prior to or after the conclusion of this Action
26 shall be governed by this Order.

27 5.5 Specific Provisions Concerning the Disclosure of Personally
28 Identifiable Information (“PII”). When PII (e.g., names, addresses, Social Security

1 numbers, phone numbers, etc.) is disclosed between the Parties as authorized by
2 this Order, the PII of any individuals whose claims are not at issue in this lawsuit
3 and who are otherwise identified in the Discovery Material may either be redacted
4 to protect the identity of such individuals, or produced without redactions. Upon
5 receipt of any PII, a Receiving Party shall take all reasonable measures necessary
6 for protecting the PII from unauthorized disclosure as required under both state and
7 federal law.

8 **6. CHALLENGING CONFIDENTIALITY DESIGNATIONS.**

9 6.1 Timing of Challenges. Any Party or Non-Party may challenge a
10 designation of confidentiality at any time that is consistent with the Court's
11 Scheduling Order. Unless a prompt challenge to a Designating Party's
12 confidentiality designation is necessary to avoid foreseeable, substantial unfairness,
13 unnecessary economic burdens, or a significant disruption or delay of the litigation,
14 a Party does not waive its right to challenge a confidentiality designation by
15 electing not to mount a challenge promptly after the original designation is
16 disclosed.

17 6.2 Meet and Confer. The Challenging Party shall initiate the dispute
18 resolution process under Local Rule 37-1. In conferring, the Challenging Party
19 must explain the basis for its belief that the confidentiality designation was not
20 proper and must give the Designating Party an opportunity to review the designated
21 material, to reconsider the circumstances, and, if no change in designation is
22 offered, to explain the basis for the chosen designation.

23 6.3 The burden of persuasion in any such challenge proceeding shall be on
24 the Designating Party. Frivolous designations or challenges, and those designations
25 or challenges made for an improper purpose (*e.g.*, to harass or impose unnecessary
26 expenses and burdens on other parties) may expose the respective Designating
27 Party or Challenging Party to sanctions. Unless the Designating Party has waived
28 or withdrawn the confidentiality designation, all parties shall continue to afford the

1 material in question the level of protection to which it is entitled under the
2 Designating Party's designation until the Court rules on the challenge.

3 **7. ACCESS TO AND USE OF PROTECTED MATERIAL**

4 7.1 Basic Principles. A Receiving Party may use Protected Material that is
5 disclosed or produced by another Party or by a Non-Party in connection with this
6 Action only for prosecuting, defending or attempting to settle this Action. Such
7 Protected Material may be disclosed only to the categories of persons and under the
8 conditions described in this Order. When the Action has been terminated, a
9 Receiving Party must comply with the provisions of section 13 below (FINAL
10 DISPOSITION). Protected Material must be stored and maintained by a Receiving
11 Party at a location and in a secure manner that ensures that access is limited to the
12 persons authorized under this Order.

13 Notwithstanding anything in this Order, to the extent documents produced by
14 TLIC in this Action were originally produced in *Feller* using the *Feller* Bates
15 numbers or *EFG* using the *EFG* Bates numbers, and depositions taken against
16 TLIC or TLIC-affiliated witnesses in the Related Actions, such documents and
17 depositions will be produced in this Action in accordance with the procedures
18 agreed to by the parties in the Stipulated Order Regarding Electronically Stored
19 Information and Document Production where applicable, as well as the Federal
20 Rules of Civil Procedure and the Federal Rules of Evidence as to admissibility. The
21 treatment of Confidential Material in those documents and depositions in this
22 Action will be governed by this Order. The Parties further stipulate that
23 Confidential Information produced by TLIC in the Related Actions only but
24 excluded from this Action (i.e., Owner Specific Documents) may be disclosed to
25 Outside Counsel of Record for Plaintiff subject to the terms of this Agreement for
26 the sole purpose of reviewing transcripts from depositions taken in the Related
27 Actions and reducing litigation costs incurred through document hosting platforms.
28

1 7.2 Disclosure of “CONFIDENTIAL” Information or Items. Unless
2 otherwise ordered by the Court or permitted in writing by the Designating Party, a
3 Receiving Party may disclose any information or item designated
4 “CONFIDENTIAL” only to:

5 (a) the Receiving Party’s Outside Counsel of Record in this Action, as
6 well as employees of said Outside Counsel of Record to whom it is reasonably
7 necessary to disclose the information for this Action;

8 (b) the Receiving Party, including officers, directors, and employees
9 (including House Counsel) of the Receiving Party to whom disclosure is reasonably
10 necessary for this Action;

11 (c) the Receiving Party’s Parents’ officers, directors, employees, or
12 consultants, advisors, insurers and/or reinsurers (1) to whom disclosure is
13 reasonably necessary for this Action, and (2) who have signed the
14 Acknowledgment;

15 (d) Experts (as defined in this Order) of the Receiving Party to whom
16 disclosure is reasonably necessary for this Action and who have signed the
17 Acknowledgment;

18 (e) the Related Actions plaintiffs’ counsel only as to Protected
19 Material in or deriving from documents with a *Feller* or *EFG* Bates number if such
20 Protected Material already has been disclosed to such counsel;

21 (f) the Court and its personnel;

22 (g) court reporters and their staff;

23 (h) professional jury or trial consultants, mock jurors, and Professional
24 Vendors to whom disclosure is reasonably necessary for this Action and who have
25 signed the Acknowledgment;

26 (i) the author or recipient of a document containing the information or
27 a custodian or other person who otherwise possessed or knew the information;
28

1 (j) during their depositions, witnesses, and attorneys for witnesses, in
2 the Action to whom disclosure is reasonably necessary provided: (1) the deposing
3 party requests that the witness sign the Acknowledgment; and (2) they will not be
4 permitted to keep any confidential information unless they sign the
5 Acknowledgment, unless otherwise agreed by the Designating Party or ordered by
6 the Court; and

7 (k) any mediator or settlement officer, and their supporting personnel,
8 mutually agreed upon by any of the parties engaged in settlement discussions and
9 who have signed the Acknowledgment.

10 7.3 Disclosure of “HIGHLY CONFIDENTIAL- ATTORNEYS’ EYES
11 ONLY Information or Items. Unless otherwise ordered by the Court or permitted
12 in writing by the Designating Party, a Receiving Party may disclose any
13 information or item designated “HIGHLY CONFIDENTIAL- ATTORNEYS’
14 EYES ONLY” only to:

15 (a) the Receiving Party’s Outside Counsel of Record in this Action, as
16 well as employees of said Outside Counsel of Record to whom it is reasonably
17 necessary to disclose the information for this Action;

18 (b) House Counsel and up to five additional officers, directors,
19 employees, consultants, advisors, insurers and/or reinsurers of TLIC if it is a
20 Receiving Party, or Plaintiff, if he is a receiving party, to whom disclosure is
21 reasonably necessary for this Action and who have signed the “Acknowledgment
22 and Agreement to be Bound” (Exhibit A). A House Counsel who is a member of
23 the Bar of any state in the United States need not sign the Acknowledgment.

24 (c) Experts (as defined in this Order) of the Receiving Party to whom
25 disclosure is reasonably necessary for this Action and who have signed the
26 Acknowledgment;

27 (d) the Related Actions plaintiffs’ counsel only as to Protected
28 Material in or deriving from documents with a *Feller*, or *EFG* Bates number if such

1 Protected Material already has been disclosed to such counsel;

2 (e) the Court and its personnel;

3 (f) court reporters and their staff;

4 (g) professional jury or trial consultants, mock jurors, and Professional
5 Vendors to whom disclosure is reasonably necessary for this Action and who have
6 signed the Acknowledgment;

7 (h) the author or recipient of a document containing the information or
8 a custodian or other person who otherwise possessed or knew the information;

9 (i) during their depositions, witnesses, and attorneys for witnesses, in
10 the Action to whom disclosure is reasonably necessary provided the witness signs
11 the Acknowledgment; and

12 (j) any mediator or settlement officer, and their supporting personnel,
13 mutually agreed upon by any of the parties engaged in settlement discussions and
14 who have signed the Acknowledgment.

15 **8. PROTECTED MATERIAL SUBPOENAED OR ORDERED**
16 **PRODUCED IN OTHER LITIGATION**

17 If a Party is served with a subpoena or a court order issued in other litigation
18 that compels disclosure of any information or items designated in this Action as
19 “CONFIDENTIAL” or “HIGHLY CONFIDENTIAL- ATTORNEYS’ EYES
20 ONLY” that Party must:

21 (a) promptly notify in writing the Designating Party. Such notification shall
22 include a copy of the subpoena or court order;

23 (b) promptly notify in writing the party who caused the subpoena or order to
24 issue in the other litigation that some or all of the material covered by the subpoena
25 or order is subject to this Protective Order. Such notification shall include a copy of
26 this Order;

27 (c) cooperate with respect to all reasonable procedures sought to be pursued
28 by the Designating Party whose Protected Material may be affected; and

1 (d) otherwise comply with any applicable HIPAA rules or regulations with
2 respect to any response or production in connection with a discovery request or
3 subpoena.

4 If the Designating Party timely seeks a protective order, the Party served with
5 the subpoena or court order shall not produce any information designated in this
6 action as “CONFIDENTIAL” or “HIGHLY CONFIDENTIAL- ATTORNEYS’
7 EYES ONLY” before a determination by the court from which the subpoena or
8 order issued, unless the Party has obtained the Designating Party’s permission. The
9 Designating Party shall bear the burden and expense of seeking protection in that
10 court of its confidential material and nothing in these provisions should be
11 construed as authorizing or encouraging a Receiving Party in this Action to disobey
12 a lawful directive from another court.

13 **9. A NON-PARTY’S PROTECTED MATERIAL SOUGHT TO BE**
14 **PRODUCED IN THIS LITIGATION**

15 (a) The terms of this Order are applicable to information produced by a Non-
16 Party in this Action and designated as “CONFIDENTIAL” or “HIGHLY
17 CONFIDENTIAL- ATTORNEYS’ EYES ONLY.” Such information produced by
18 Non-Parties in connection with this litigation is protected by the remedies and relief
19 provided by this Order. Nothing in these provisions should be construed as
20 prohibiting a Non-Party from seeking additional protections.

21 (b) In the event that a Party is required, by a valid discovery request, to
22 produce a Non-Party’s confidential information in its possession, and the Party is
23 subject to an agreement with the Non-Party not to produce the Non-Party’s
24 confidential information, then the Party shall:

25 (1) promptly notify in writing the Requesting Party and the Non-Party
26 that some or all of the information requested is subject to a confidentiality
27 agreement with a Non-Party;
28

1 (2) promptly provide the Non-Party with a copy of the Order in this
2 Action, the relevant discovery request(s), and a reasonably specific description of
3 the information requested; and

4 (3) make the information requested available for inspection by the
5 Non-Party, if requested.

6 (c) If the Non-Party fails to seek a protective order from this court within 21
7 days of receiving the notice and accompanying information, the Receiving Party
8 may produce the Non-Party's confidential information responsive to the discovery
9 request. If the Non-Party timely seeks a protective order, the Receiving Party shall
10 not produce any information in its possession or control that is subject to the
11 confidentiality agreement with the Non-Party before a determination by the court.
12 Absent a court order to the contrary, the Non-Party shall bear the burden and
13 expense of seeking protection in this court of its Protected Material.

14 **10. UNAUTHORIZED DISCLOSURE OF PROTECTED MATERIAL**

15 If a Receiving Party learns that, by inadvertence or otherwise, it has disclosed
16 Protected Material to any person or in any circumstance not authorized under this
17 Order, the Receiving Party must immediately (a) notify in writing the Designating
18 Party of the unauthorized disclosures, (b) use its best efforts to retrieve all
19 unauthorized copies of the Protected Material, (c) inform the person or persons to
20 whom unauthorized disclosures were made of all the terms of this Order, and (d)
21 request such person or persons to execute the Acknowledgment.

22 **11. INADVERTENT PRODUCTION OF PRIVILEGED OR OTHERWISE**
23 **PROTECTED MATERIAL**

24 When a Producing Party gives notice to Receiving Parties that certain
25 inadvertently produced material is subject to a claim of privilege or other protection
26 (e.g., work product immunity), the obligations of the Receiving Parties are those set
27 forth in Federal Rule of Civil Procedure 26(b)(5)(B). This provision is not intended
28 to modify whatever procedure may be established in an e-discovery order that

1 provides for production without prior privilege review. Pursuant to Federal Rule of
2 Evidence 502(d) and (e), the parties agree that the inadvertent or unintentional
3 disclosure by the Producing Party of material that is privileged or subject to other
4 protection shall not be deemed a waiver in whole or in part of the claim of privilege
5 or other protection, either as to the specific information disclosed or as to any other
6 information relating thereto on the same or related subject matter.

7 Upon learning of an inadvertent or unintentional disclosure of privileged
8 information, the Producing Party shall provide written notice to the parties who
9 have received such information. If the Receiving Party does not timely challenge
10 the assertion of privilege, then within ten business days of the date of that written
11 notice, the documents or materials described in that notice (“Privileged
12 Documents”) shall be returned to counsel for the Producing Party or destroyed by
13 the Receiving Party, and in the same time frame, any notes or other writing or
14 recordings that copy, summarize, reflect, or discuss the content of the Privileged
15 Documents (“Privileged Notes”) shall be destroyed by the Receiving Party. No use
16 shall be made of such documents or materials from such inadvertent production
17 during deposition or at trial, nor shall such documents or materials be provided to
18 anyone who did not already have access to them prior to the request by the
19 Producing Party that they be returned.

20 If the Receiving Party intends to challenge the assertion of privilege, it must
21 provide written notice within this ten-day period explaining the grounds for its
22 challenge, initiate the dispute resolution process under Local Rule 37.1, and
23 sequester the Privileged Documents and Privileged Notes.

24 If the Parties cannot resolve a challenge without court intervention, the
25 Receiving Party may move the Court for an order compelling production of any
26 Privileged Documents in compliance with Local Rule 37, but the motion shall not
27 assert as a ground for production the fact of the inadvertent production or
28 disclosure. Pending the Court’s ruling, the party challenging the assertion of

1 privilege shall sequester the Privileged Documents and Privileged Notes and shall
2 not make any use of such information.

3 **12. MISCELLANEOUS**

4 12.1 Right to Further Relief. Nothing in this Order abridges the right of any
5 person to seek its modification by the Court in the future.

6 12.2 Right to Assert Other Objections. By stipulating to the entry of this
7 Order, no Party waives any right it otherwise would have to object to disclosing or
8 producing any information or item on any ground not addressed in this Order.
9 Similarly, no Party waives any right to object on any ground to use in evidence of
10 any of the material covered by this Protective Order.

11 12.3 Filing Protected Material. A Party that seeks to file under seal any
12 Protected Material must comply with Local Civil Rule 79-5. Protected Material
13 may only be filed under seal pursuant to a court order authorizing the sealing of the
14 specific Protected Material at issue. If a Party's request to file Protected Material
15 under seal is denied by the court, then the Receiving Party may file the information
16 in the public record unless otherwise instructed by the court.

17 **13. FINAL DISPOSITION**

18 After the final disposition of this Action, as defined in Section 4
19 (DURATION), within 60 days of a written request by the Designating Party, each
20 Receiving Party must return all Protected Material to the Producing Party or destroy
21 such material, except such material that exists on back-up tapes or similar storage
22 and systems, in which case such material need not be immediately deleted or
23 destroyed, and instead, should be overwritten and destroyed in the normal course of
24 business. Until that material is overwritten and destroyed in the normal course of
25 business, the Receiving Party will take reasonable steps to limit access, if any, to
26 the persons necessary to conduct routine IT and cybersecurity functions. As used in
27 this subdivision, "all Protected Material" includes all copies, abstracts,
28 compilations, summaries, and any other format reproducing or capturing any of the

1 Protected Material. Whether the Protected Material is returned or destroyed, the
2 Receiving Party must submit a written certification to the Producing Party (and, if
3 not the same person or entity, to the Designating Party) by the 60 day deadline that
4 (1) identifies (by category, where appropriate) all the Protected Material that was
5 returned or destroyed and (2) affirms that the Receiving Party has not retained any
6 copies, abstracts, compilations, summaries or any other format reproducing or
7 capturing any of the Protected Material. Notwithstanding this provision, Counsel
8 are entitled to retain an archival copy of all pleadings, motion papers, trial,
9 deposition, and hearing transcripts, legal memoranda, correspondence, deposition
10 and trial exhibits, expert reports, attorney work product, and consultant and expert
11 work product, even if such materials contain Protected Material. Any such archival
12 copies that contain or constitute Protected Material remain subject to this Protective
13 Order as set forth in Section 4 (DURATION).

14 **IT IS SO STIPULATED, THROUGH COUNSEL OF RECORD.**

15 Dated: September 21, 2021 ARENT FOX LLP

17 By: /s/ Franjo M. Dolenac
18 Michael Cryan
19 Franjo M. Dolenac

20 Attorneys for Plaintiff
21 WILLIAM L. SNOW

22 Dated: September 21, 2021 McDOWELL HETHERINGTON LLP

23 By: /s/ Hutson B. Smelley
24 Hutson B. Smelley

25 Attorneys for Defendant
26 TRANSAMERICA LIFE INSURANCE
27 COMPANY

28 I, Hutson B. Smelley, in accordance with Local Rule 5-4.3.4, attest that all
other signatories listed, and on whose behalf this filing is submitted, concur in the

1 EXHIBIT A
2 ACKNOWLEDGMENT AND AGREEMENT TO BE BOUND

3 I, _____ [print or type full name], of
4 _____ [print or type full address], declare under penalty of perjury
5 that I have read in its entirety and understand the Stipulated Protective Order that
6 was issued by the United States District Court for the Central District of California
7 on _____ [date] in the case of *WILLIAM L. SNOW v. Transamerica Life*
8 *Insurance Company*, Case No. 2:21-cv-00665-CAS-GJS. I agree to comply with
9 and to be bound by all the terms of this Stipulated Protective Order and I
10 understand and acknowledge that failure to so comply could expose me to sanctions
11 and punishment in the nature of contempt. I solemnly promise that I will not
12 disclose in any manner any information or item that is subject to this Stipulated
13 Protective Order to any person or entity except in strict compliance with the
14 provisions of this Stipulated Protective Order. I further agree to submit to the
15 jurisdiction of the United States District Court for the Central District of California
16 for enforcing the terms of this Stipulated Protective Order, even if such
17 enforcement proceedings occur after termination of this action. I hereby appoint
18 _____ [print or type full name] of
19 _____ [print or type full address and
20 telephone number] as my California agent for service of process in connection with
21 this action or any proceedings related to enforcement of this Stipulated Protective
22 Order.

23
24 Date: _____

25 City and State where sworn and signed: _____

26 Printed name: _____

27 Signature: _____

28