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UNITED STATES DISTRICT COURT  
CENTRAL DISTRICT OF CALIFORNIA—WESTERN DIVISION

W.M. JAQUA, LLC; NNIN, LLC,  
Plaintiffs,  
v.  
TRANSAMERICA LIFE  
INSURANCE COMPANY,  
Defendant.

Case No. 2:21-cv-00672-CAS-GJS

**~~PROPOSED~~ ORDER GRANTING  
STIPULATED PROTECTIVE  
ORDER**

1     **1.     PURPOSES AND LIMITATIONS/GOOD CAUSE STATEMENT**

2           Discovery in this action is likely to involve production of confidential and  
3 proprietary actuarial, business, technical, and financial information as well as  
4 private information of Plaintiffs W.M. JAQUA, LLC and NNIN, LLC (“Plaintiffs”)  
5 or the insured for which special protection from public disclosure and from use for  
6 any purpose other than prosecuting this litigation may be warranted. Accordingly,  
7 Plaintiffs and Defendant Transamerica Life Insurance Company (“TLIC”) hereby  
8 stipulate to and petition the Court to enter the following Stipulated Protective Order  
9 (“Order”). The parties acknowledge that this Order does not confer blanket  
10 protections on all disclosures or responses to discovery and that the protection it  
11 affords from public disclosure and use extends only to the limited information or  
12 items that are entitled to confidential treatment under the applicable legal  
13 principles. The parties further acknowledge, as set forth in Section 12.3 (Filing  
14 Protected Material), below, that this Protective Order does not entitle them to file  
15 confidential information under seal; Local Civil Rule 79-5 and the Court’s Guide to  
16 Electronically Filing Under Seal Documents in Civil Cases set forth the procedures  
17 that must be followed and the standards that will be applied when a party seeks  
18 permission from the Court to file material under seal.

19           There is a strong presumption that the public has a right of access to judicial  
20 proceedings and records in civil cases. In connection with non-dispositive motions,  
21 good cause must be shown to support a filing under seal. *See Kamakana v. City*  
22 *and County of Honolulu*, 447 F.3d 1172, 1176 (9th Cir. 2006), *Phillips v. Gen.*  
23 *Motors Corp.*, 307 F.3d 1206, 1210-11 (9th Cir. 2002), *Makar-Welbon v. Sony*  
24 *Electrics, Inc.*, 187 F.R.D. 576, 577 (E.D. Wis. 1999) (even stipulated protective  
25 orders require good cause showing), and a specific showing of good cause or  
26 compelling reasons with proper evidentiary support and legal justification, must be  
27 made with respect to Protected Material that a party seeks to file under seal. The  
28 parties’ mere designation of Disclosure or Discovery Material as CONFIDENTIAL

1 or HIGHLY CONFIDENTIAL-ATTORNEYS' EYES ONLY does not—without  
2 the submission of competent evidence by declaration, establishing that the material  
3 sought to be filed under seal qualifies as confidential, privileged, or otherwise  
4 protectable—constitute good cause.

5 Further, if a party requests sealing related to a dispositive motion or trial,  
6 then compelling reasons, not only good cause, for the sealing must be shown, and  
7 the relief sought shall be narrowly tailored to serve the specific interest to be  
8 protected. *See Pintos v. Pacific Creditors Ass'n*, 605 F.3d 665, 677-79 (9th Cir.  
9 2010). For each item or type of information, document, or thing sought to be filed  
10 or introduced under seal in connection with a dispositive motion or trial, the party  
11 seeking protection must articulate compelling reasons, supported by specific facts  
12 and legal justification, for the requested sealing order. Again, competent evidence  
13 supporting the application to file documents under seal must be provided by  
14 declaration.

15 Any document that is not confidential, privileged, or otherwise protectable in  
16 its entirety will not be filed under seal if the confidential portions can be redacted.  
17 If documents can be redacted, then a redacted version for public viewing, omitting  
18 only the confidential, privileged, or otherwise protectable portions of the document,  
19 shall be filed. Any application that seeks to file documents under seal in their  
20 entirety should include an explanation of why redaction is not feasible.

## 21 **2. DEFINITIONS**

22 2.1 Acknowledgment: the “Acknowledgment and Agreement to be  
23 Bound” form attached as Exhibit A to this Order.

24 2.2 Action: *W.M. JAQUA, LLC and NNIN, LLC v. Transamerica Life*  
25 *Insurance Company*, Case No. 2:21-cv-00672-CAS-GJS.

26 2.3 Challenging Party: A Party or Non-Party that challenges the  
27 designation of information or items under this Order.  
28

1           2.4    “CONFIDENTIAL” Information or Items: Confidential proprietary or  
2 commercially sensitive business and financial information, trade secrets, and  
3 personal information which is not generally known or publicly available and which  
4 the Designating Party would not normally reveal to third parties or information that  
5 otherwise meets the standard for protection set forth in Rule 26(c) of the Federal  
6 Rules of Civil Procedure. It is the intent of the parties that information will not be  
7 designated as confidential for tactical reasons and that nothing be so designated  
8 without a good faith belief that it has been maintained in a confidential non-public  
9 manner, and there is good cause why it should not be part of the public record of  
10 this Action.

11           2.5    Counsel: Outside Counsel of Record and House Counsel (as well as  
12 their support staff).

13           2.6    Designating Party: A Party or Non-Party that designates information or  
14 items that it produces or that are produced in disclosures or in response to discovery  
15 as “CONFIDENTIAL” or “HIGHLY CONFIDENTIAL- ATTORNEYS’ EYES  
16 ONLY.”

17           2.7    Disclosure or Discovery Material: All items or information, regardless  
18 of the medium or manner in which it is generated, stored, or maintained (including,  
19 among other things, testimony, transcripts, and tangible things), that are produced  
20 or generated in disclosures or responses to discovery in this matter.

21           2.8    Expert: A person with specialized knowledge or experience in a matter  
22 pertinent to the litigation who has been retained by a Party or its counsel to serve as  
23 an expert witness or as a consultant in this Action and who is not a past or current  
24 employee of a Party or a current employee of a Party’s competitor and who, at the  
25 time of retention, is not anticipated to become an employee of a Party or a  
26 competitor of a Party. This definition includes a professional jury or trial  
27 consultant retained in connection with this litigation.  
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1           2.9    “HIGHLY CONFIDENTIAL- ATTORNEYS’ EYES ONLY”  
2 Information or Items: Extremely sensitive “Confidential Information or Items,”  
3 disclosure of which to another Party or Non-Party would create a substantial risk of  
4 serious harm that could not be avoided by less restrictive means.

5           2.10 House Counsel: Attorneys who are employees for a Party or of an  
6 entity that owns an interest in a Party and is responsible for controlling or directing  
7 the litigation. House Counsel does not include Outside Counsel of Record or any  
8 other outside counsel.

9           2.11 Non-Party: Any natural person, partnership, corporation, association,  
10 or other legal entity not named as a Party to this action.

11           2.12 Outside Counsel of Record: Attorneys who are not employees of a  
12 party to this Action but are retained to represent or advise a party to this Action and  
13 have appeared in this Action on behalf of that party or are affiliated with a law firm  
14 that has appeared on behalf of that party, including support staff.

15           2.13 Party: Any party to this Action, including its House Counsel, officers,  
16 directors, employees, consultants, and retained experts.

17           2.14 Parent: An entity that owns, or conducts the business affairs of, the  
18 Receiving Party and is responsible for controlling and directing the litigation.

19           2.15 Producing Party: A Party or Non-Party that produces Disclosure or  
20 Discovery Material in this Action.

21           2.16 Professional Vendors: Persons or entities that provide litigation  
22 support services (e.g., photocopying, videotaping, translating, preparing exhibits or  
23 demonstrations, and organizing, storing or retrieving data in any form or medium)  
24 and their employees and subcontractors.

25           2.17 Protected Material: Any Disclosure or Discovery Material that is  
26 designated as “CONFIDENTIAL” or “HIGHLY CONFIDENTIAL-  
27 ATTORNEYS’ EYES ONLY.”  
28

1           2.18 Receiving Party: A Party that receives Disclosure or Discovery  
2 Material from a Producing Party.

3           2.19 Related Actions: *Feller v. Transamerica Life Insurance Co.*, No. 2:16-  
4 cv-01378-CAS-GJSx (C.D. Cal. filed Feb. 28, 2016) (“*Feller*”); *EFG Bank AG*  
5 *Cayman Branch, et al. v. Transamerica Life Insurance Co.*, Case No. 2:16-cv-  
6 08104-CAS-GJSx (C.D. Cal. filed Oct. 31, 2016) (“*EFG*”).

7 **3. SCOPE**

8           The protections conferred by this Order cover not only Protected Material (as  
9 defined above), but also (1) any information copied or extracted from Protected  
10 Material; (2) all copies, excerpts, summaries, or compilations of Protected Material;  
11 and (3) any testimony, conversations, or presentations by Parties or their Counsel or  
12 their Experts that might reveal Protected Material. Any use of Protected Material at  
13 trial shall be governed by the orders of the trial judge. This Order does not govern  
14 the use of Protected Material at trial.

15 **4. DURATION**

16           FINAL DISPOSITION of the action is defined as the conclusion of any  
17 appellate proceedings, or, if no appeal is taken, when the time for filing of an  
18 appeal has run. Except as set forth below, the terms of this Order apply through  
19 FINAL DISPOSITION. The parties stipulate that they will be contractually bound  
20 by the terms of this agreement beyond FINAL DISPOSITION, and that they will  
21 have to file a separate action for enforcement of the agreement once FINAL  
22 DISPOSITION of the action occurs.

23           Once a case proceeds to trial, information that was designated as  
24 CONFIDENTIAL, HIGHLY CONFIDENTIAL-ATTORNEYS’ EYES ONLY, or  
25 maintained pursuant to this protective order used or introduced as an exhibit at trial  
26 becomes public and will be presumptively available to all members of the public,  
27 including the press, unless compelling reasons supported by specific factual  
28 findings to proceed otherwise are made to the trial judge in advance of the trial. *See*

1 *Kamakana*, 447 F.3d at 1180-81 (distinguishing “good cause” showing for sealing  
2 documents produced in discovery from “compelling reasons” standard when merits-  
3 related documents are part of court record). Accordingly, the terms of this  
4 protective order do not extend beyond the commencement of the trial.

5 **5. DESIGNATING PROTECTED MATERIAL**

6 5.1 Exercise of Restraint and Care in Designating Material for Protection.

7 Each Party or Non-Party that designates information or items for protection under  
8 this Order must take care to limit any such designation to specific material that  
9 qualifies under the appropriate standards. To the extent it is practical to do so, the  
10 Designating Party must designate for protection only those parts of material,  
11 documents, items or oral or written communications that qualify so that other  
12 portions of the material, documents, items or communications for which protection  
13 is not warranted are not swept unjustifiably within the ambit of this Order.

14 While mass, indiscriminate or routinized designations are prohibited, the  
15 Parties recognize that manually analyzing and designating large numbers of  
16 documents one-by-one for confidentiality can be an unduly burdensome task. The  
17 Parties agree that each Party may reasonably rely on metadata information and  
18 good-faith searches to designate documents for protection. Designations that are  
19 shown to be clearly unjustified or that have been made for an improper purpose  
20 (e.g., to unnecessarily encumber the case development process or to impose  
21 unnecessary expenses and burdens on other parties) may expose the Designating  
22 Party to sanctions.

23 If it comes to a Designating Party’s attention that information or items that it  
24 designated for protection do not qualify for protection, that Designating Party must  
25 promptly notify all other Parties that it is withdrawing the inapplicable designation.

26 5.2 Manner and Timing of Designations. Except as otherwise provided in  
27 this Order (*see, e.g.*, second paragraph of section 5.2(a) below), or as otherwise  
28 stipulated or ordered, Disclosure or Discovery Material that qualifies for protection

1 under this Order must be clearly so designated before the material is disclosed or  
2 produced. Designation in conformity with this Order requires:

3 (a) for information in documentary form (*e.g.*, paper or electronic  
4 documents, but excluding transcripts of depositions or other pretrial or trial  
5 proceedings), that the Producing Party affix at a minimum, the legend  
6 “CONFIDENTIAL” or “HIGHLY CONFIDENTIAL- ATTORNEYS’ EYES  
7 ONLY”, to each page that contains protected material. If only a portion of the  
8 material on a page qualifies for protection, the Producing Party also must clearly  
9 identify the protected portion(s) (*e.g.*, by making appropriate markings in the  
10 margins).

11 A Party or Non-Party that makes original documents available for inspection  
12 need not designate them for protection until after the inspecting Party has indicated  
13 which documents it would like copied and produced. During the inspection and  
14 before the designation, all of the material made available for inspection shall be  
15 deemed “HIGHLY CONFIDENTIAL- ATTORNEYS’ EYES ONLY.” After the  
16 inspecting Party has identified the documents it wants copied and produced, the  
17 Producing Party must determine which documents, or portions thereof, qualify for  
18 protection under this Order. Then, before producing the specified documents, the  
19 Producing Party must affix the legend “CONFIDENTIAL” or “HIGHLY  
20 CONFIDENTIAL-ATTORNEYS’ EYES ONLY” to each page that contains  
21 Protected Material. If only a portion of the material on a page qualifies for  
22 protection, the Producing Party also must clearly identify the protected portion(s)  
23 (*e.g.*, by making appropriate markings in the margins).

24 (b) any Party may designate as Protected Material testimony given in a  
25 deposition or in other pretrial or trial proceedings by informing the reporter during  
26 the deposition or by sending a letter to all Outside Counsel of Record and to the  
27 deposition reporter designating by page and line any portions of the transcript to be  
28 so restricted, or the entire transcript if applicable, within thirty (30) days after



1 receiving the deposition transcript and specifying the level of protection being  
2 asserted.

3 During this 30-day period, a transcript will be treated as if it had been  
4 designated “HIGHLY CONFIDENTIAL- ATTORNEYS’ EYES ONLY” in its  
5 entirety unless otherwise agreed. After the expiration of that period, the transcript  
6 shall be treated only as actually designated.

7 When deposition testimony is designated Protected Material by informing the  
8 reporter during the deposition, the transcript containing Protected Material shall  
9 have an obvious legend on the title page that the transcript contains Protected  
10 Material, and the title page shall be followed by a list of all pages (including line  
11 numbers-as appropriate) that have been designated as Protected Material and the  
12 level of protection being asserted by the Designating Party. The Designating Party  
13 shall inform the court reporter of these requirements.

14 Parties shall give the other parties notice if they reasonably expect a  
15 deposition, hearing, or other proceeding to include Protected Material so that the  
16 other parties can ensure that only authorized individuals who have signed the  
17 Acknowledgment are present at those proceedings. The use of a document as an  
18 exhibit at a deposition shall not in any way affects its designation as  
19 “CONFIDENTIAL” or “HIGHLY CONFIDENTIAL- ATTORNEYS’ EYES  
20 ONLY.”

21 (c) for information produced in some form other than documentary and  
22 for any other tangible items, that the Producing Party affix in a prominent place on  
23 the exterior of the container or containers in which the information is stored the  
24 legend “CONFIDENTIAL” or “HIGHLY CONFIDENTIAL- ATTORNEYS’  
25 EYES ONLY.” If only a portion or portions of the information warrants protection,  
26 the Producing Party, to the extent practicable, shall identify the protected portion(s).

27 5.3 Inadvertent Failures to Designate. If timely corrected, an inadvertent  
28 failure to designate qualified information or items does not, standing alone, waive

1 the Designating Party’s right to secure protection under this Order for such  
2 material. Upon timely correction of a designation, the Receiving Party must make  
3 reasonable efforts to assure that the material is treated in accordance with the  
4 provisions of this Order.

5       5.4 Protected Health Information. Additionally, certain Confidential  
6 Information or Items may be Protected Health Information (“PHI”) as defined by  
7 the Health Insurance Portability and Accountability Act of 1996 (“HIPAA”) and the  
8 regulations promulgated thereunder at 45 CFR 160.103. Without limiting the  
9 generality of the foregoing, “PHI” includes, but is not limited to, health  
10 information, including demographic information, relating to either, (a) the past,  
11 present or future physical or mental condition of an individual, (b) the provision of  
12 care to an individual, or (c) the payment for care provided to an individual, which  
13 identifies the individual or which reasonably could be expected to identify an  
14 individual. All “covered entities” (as defined by 45 CFR 160.103) are hereby  
15 authorized to disclose PHI to all attorneys now of record in this Action or who may  
16 become of record in the future in this Action. Subject to the Federal Rules of Civil  
17 Procedure, and without prejudice to any Party’s objection except as otherwise  
18 provided herein, the Parties are authorized to receive, subpoena, transmit, or  
19 disclose PHI relevant to the claims at issue in this Action, subject to all terms of  
20 this Order. All PHI disclosed under this Order must be designated as Confidential  
21 Information pursuant to this Order. A Receiving Party which receives PHI in  
22 discovery shall not use or disclose such PHI for any purpose other than this Action.  
23 To the extent documents or information produced in this Action have already been  
24 exchanged or will again be exchanged between the Parties in the normal course of  
25 business, treatment of such documents prior to or after the conclusion of this Action  
26 shall be governed by this Order.

27       5.5 Specific Provisions Concerning the Disclosure of Personally  
28 Identifiable Information (“PII”). When PII (e.g., names, addresses, Social Security

1 numbers, phone numbers, etc.) is disclosed between the Parties as authorized by  
2 this Order, the PII of any individuals whose claims are not at issue in this lawsuit  
3 and who are otherwise identified in the Discovery Material may either be redacted  
4 to protect the identity of such individuals, or produced without redactions. Upon  
5 receipt of any PII, a Receiving Party shall take all reasonable measures necessary  
6 for protecting the PII from unauthorized disclosure as required under both state and  
7 federal law.

8 **6. CHALLENGING CONFIDENTIALITY DESIGNATIONS.**

9 6.1 Timing of Challenges. Any Party or Non-Party may challenge a  
10 designation of confidentiality at any time that is consistent with the Court's  
11 Scheduling Order. Unless a prompt challenge to a Designating Party's  
12 confidentiality designation is necessary to avoid foreseeable, substantial unfairness,  
13 unnecessary economic burdens, or a significant disruption or delay of the litigation,  
14 a Party does not waive its right to challenge a confidentiality designation by  
15 electing not to mount a challenge promptly after the original designation is  
16 disclosed.

17 6.2 Meet and Confer. The Challenging Party shall initiate the dispute  
18 resolution process under Local Rule 37-1. In conferring, the Challenging Party  
19 must explain the basis for its belief that the confidentiality designation was not  
20 proper and must give the Designating Party an opportunity to review the designated  
21 material, to reconsider the circumstances, and, if no change in designation is  
22 offered, to explain the basis for the chosen designation.

23 6.3 The burden of persuasion in any such challenge proceeding shall be on  
24 the Designating Party. Frivolous designations or challenges, and those designations  
25 or challenges made for an improper purpose (*e.g.*, to harass or impose unnecessary  
26 expenses and burdens on other parties) may expose the respective Designating  
27 Party or Challenging Party to sanctions. Unless the Designating Party has waived  
28 or withdrawn the confidentiality designation, all parties shall continue to afford the

1 material in question the level of protection to which it is entitled under the  
2 Designating Party's designation until the Court rules on the challenge.

3 **7. ACCESS TO AND USE OF PROTECTED MATERIAL**

4 7.1 Basic Principles. A Receiving Party may use Protected Material that is  
5 disclosed or produced by another Party or by a Non-Party in connection with this  
6 Action only for prosecuting, defending or attempting to settle this Action. Such  
7 Protected Material may be disclosed only to the categories of persons and under the  
8 conditions described in this Order. When the Action has been terminated, a  
9 Receiving Party must comply with the provisions of section 13 below (FINAL  
10 DISPOSITION). Protected Material must be stored and maintained by a Receiving  
11 Party at a location and in a secure manner that ensures that access is limited to the  
12 persons authorized under this Order.

13 Notwithstanding anything in this Order, to the extent documents produced by  
14 TLIC in this Action were originally produced in *Feller* using the *Feller* Bates  
15 numbers or *EFG* using the *EFG* Bates numbers, and depositions taken against  
16 TLIC or TLIC-affiliated witnesses in the Related Actions, such documents and  
17 depositions will be produced in this Action in accordance with the procedures  
18 agreed to by the parties in the Stipulated Order Regarding Electronically Stored  
19 Information and Document Production where applicable, as well as the Federal  
20 Rules of Civil Procedure and the Federal Rules of Evidence as to admissibility. The  
21 treatment of Confidential Material in those documents and depositions in this  
22 Action will be governed by this Order. The Parties further stipulate that  
23 Confidential Information produced by TLIC in the Related Actions only but  
24 excluded from this Action (i.e., Owner Specific Documents) may be disclosed to  
25 Outside Counsel of Record for Plaintiffs subject to the terms of this Agreement for  
26 the sole purpose of reviewing transcripts from depositions taken in the Related  
27 Actions and reducing litigation costs incurred through document hosting platforms.  
28

1           7.2    Disclosure of “CONFIDENTIAL” Information or Items. Unless  
2 otherwise ordered by the Court or permitted in writing by the Designating Party, a  
3 Receiving Party may disclose any information or item designated

4 “CONFIDENTIAL” only to:

5           (a) the Receiving Party’s Outside Counsel of Record in this Action, as  
6 well as employees of said Outside Counsel of Record to whom it is reasonably  
7 necessary to disclose the information for this Action;

8           (b) the Receiving Party, including officers, directors, and employees  
9 (including House Counsel) of the Receiving Party to whom disclosure is reasonably  
10 necessary for this Action;

11           (c) the Receiving Party’s Parents’ officers, directors, employees, or  
12 consultants, advisors, insurers and/or reinsurers (1) to whom disclosure is  
13 reasonably necessary for this Action, and (2) who have signed the  
14 Acknowledgment;

15           (d) Experts (as defined in this Order) of the Receiving Party to whom  
16 disclosure is reasonably necessary for this Action and who have signed the  
17 Acknowledgment;

18           (e) the Related Actions plaintiffs’ counsel only as to Protected  
19 Material in or deriving from documents with a *Feller* or *EFG* Bates number if such  
20 Protected Material already has been disclosed to such counsel;

21           (f) the Court and its personnel;

22           (g) court reporters and their staff;

23           (h) professional jury or trial consultants, mock jurors, and Professional  
24 Vendors to whom disclosure is reasonably necessary for this Action and who have  
25 signed the Acknowledgment;

26           (i) the author or recipient of a document containing the information or  
27 a custodian or other person who otherwise possessed or knew the information;  
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1 (j) during their depositions, witnesses, and attorneys for witnesses, in  
2 the Action to whom disclosure is reasonably necessary provided: (1) the deposing  
3 party requests that the witness sign the Acknowledgment; and (2) they will not be  
4 permitted to keep any confidential information unless they sign the  
5 Acknowledgment, unless otherwise agreed by the Designating Party or ordered by  
6 the Court; and

7 (k) any mediator or settlement officer, and their supporting personnel,  
8 mutually agreed upon by any of the parties engaged in settlement discussions and  
9 who have signed the Acknowledgment.

10 7.3 Disclosure of “HIGHLY CONFIDENTIAL- ATTORNEYS’ EYES  
11 ONLY Information or Items. Unless otherwise ordered by the Court or permitted  
12 in writing by the Designating Party, a Receiving Party may disclose any  
13 information or item designated “HIGHLY CONFIDENTIAL- ATTORNEYS’  
14 EYES ONLY” only to:

15 (a) the Receiving Party’s Outside Counsel of Record in this Action, as  
16 well as employees of said Outside Counsel of Record to whom it is reasonably  
17 necessary to disclose the information for this Action;

18 (b) House Counsel and up to five additional officers, directors,  
19 employees, consultants, advisors, insurers and/or reinsurers of TLIC if it is a  
20 Receiving Party, or Plaintiffs, combined, if they are a receiving party, to whom  
21 disclosure is reasonably necessary for this Action and who have signed the  
22 “Acknowledgment and Agreement to be Bound” (Exhibit A). A House Counsel  
23 who is a member of the Bar of any state in the United States need not sign the  
24 Acknowledgment.

25 (c) Experts (as defined in this Order) of the Receiving Party to whom  
26 disclosure is reasonably necessary for this Action and who have signed the  
27 Acknowledgment;

28 (d) the Related Actions plaintiffs’ counsel only as to Protected

1 Material in or deriving from documents with a *Feller*, or *EFG* Bates number if such  
2 Protected Material already has been disclosed to such counsel;

3 (e) the Court and its personnel;

4 (f) court reporters and their staff;

5 (g) professional jury or trial consultants, mock jurors, and Professional  
6 Vendors to whom disclosure is reasonably necessary for this Action and who have  
7 signed the Acknowledgment;

8 (h) the author or recipient of a document containing the information or  
9 a custodian or other person who otherwise possessed or knew the information;

10 (i) during their depositions, witnesses, and attorneys for witnesses, in  
11 the Action to whom disclosure is reasonably necessary provided the witness signs  
12 the Acknowledgment; and

13 (j) any mediator or settlement officer, and their supporting personnel,  
14 mutually agreed upon by any of the parties engaged in settlement discussions and  
15 who have signed the Acknowledgment.

16 **8. PROTECTED MATERIAL SUBPOENAED OR ORDERED**  
17 **PRODUCED IN OTHER LITIGATION**

18 If a Party is served with a subpoena or a court order issued in other litigation  
19 that compels disclosure of any information or items designated in this Action as  
20 “CONFIDENTIAL” or “HIGHLY CONFIDENTIAL- ATTORNEYS’ EYES  
21 ONLY” that Party must:

22 (a) promptly notify in writing the Designating Party. Such notification shall  
23 include a copy of the subpoena or court order;

24 (b) promptly notify in writing the party who caused the subpoena or order to  
25 issue in the other litigation that some or all of the material covered by the subpoena  
26 or order is subject to this Protective Order. Such notification shall include a copy of  
27 this Order;

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1 (c) cooperate with respect to all reasonable procedures sought to be pursued  
2 by the Designating Party whose Protected Material may be affected; and

3 (d) otherwise comply with any applicable HIPAA rules or regulations with  
4 respect to any response or production in connection with a discovery request or  
5 subpoena.

6 If the Designating Party timely seeks a protective order, the Party served with  
7 the subpoena or court order shall not produce any information designated in this  
8 action as “CONFIDENTIAL” or “HIGHLY CONFIDENTIAL- ATTORNEYS’  
9 EYES ONLY” before a determination by the court from which the subpoena or  
10 order issued, unless the Party has obtained the Designating Party’s permission. The  
11 Designating Party shall bear the burden and expense of seeking protection in that  
12 court of its confidential material and nothing in these provisions should be  
13 construed as authorizing or encouraging a Receiving Party in this Action to disobey  
14 a lawful directive from another court.

15 **9. A NON-PARTY’S PROTECTED MATERIAL SOUGHT TO BE**  
16 **PRODUCED IN THIS LITIGATION**

17 (a) The terms of this Order are applicable to information produced by a Non-  
18 Party in this Action and designated as “CONFIDENTIAL” or “HIGHLY  
19 CONFIDENTIAL- ATTORNEYS’ EYES ONLY.” Such information produced by  
20 Non-Parties in connection with this litigation is protected by the remedies and relief  
21 provided by this Order. Nothing in these provisions should be construed as  
22 prohibiting a Non-Party from seeking additional protections.

23 (b) In the event that a Party is required, by a valid discovery request, to  
24 produce a Non-Party’s confidential information in its possession, and the Party is  
25 subject to an agreement with the Non-Party not to produce the Non-Party’s  
26 confidential information, then the Party shall:

27  
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1 (1) promptly notify in writing the Requesting Party and the Non-Party  
2 that some or all of the information requested is subject to a confidentiality  
3 agreement with a Non-Party;

4 (2) promptly provide the Non-Party with a copy of the Order in this  
5 Action, the relevant discovery request(s), and a reasonably specific description of  
6 the information requested; and

7 (3) make the information requested available for inspection by the  
8 Non-Party, if requested.

9 (c) If the Non-Party fails to seek a protective order from this court within 21  
10 days of receiving the notice and accompanying information, the Receiving Party  
11 may produce the Non-Party's confidential information responsive to the discovery  
12 request. If the Non-Party timely seeks a protective order, the Receiving Party shall  
13 not produce any information in its possession or control that is subject to the  
14 confidentiality agreement with the Non-Party before a determination by the court.  
15 Absent a court order to the contrary, the Non-Party shall bear the burden and  
16 expense of seeking protection in this court of its Protected Material.

17 **10. UNAUTHORIZED DISCLOSURE OF PROTECTED MATERIAL**

18 If a Receiving Party learns that, by inadvertence or otherwise, it has disclosed  
19 Protected Material to any person or in any circumstance not authorized under this  
20 Order, the Receiving Party must immediately (a) notify in writing the Designating  
21 Party of the unauthorized disclosures, (b) use its best efforts to retrieve all  
22 unauthorized copies of the Protected Material, (c) inform the person or persons to  
23 whom unauthorized disclosures were made of all the terms of this Order, and (d)  
24 request such person or persons to execute the Acknowledgment.

25 **11. INADVERTENT PRODUCTION OF PRIVILEGED OR OTHERWISE**  
26 **PROTECTED MATERIAL**

27 When a Producing Party gives notice to Receiving Parties that certain  
28 inadvertently produced material is subject to a claim of privilege or other protection

1 (e.g., work product immunity), the obligations of the Receiving Parties are those set  
2 forth in Federal Rule of Civil Procedure 26(b)(5)(B). This provision is not intended  
3 to modify whatever procedure may be established in an e-discovery order that  
4 provides for production without prior privilege review. Pursuant to Federal Rule of  
5 Evidence 502(d) and (e), the parties agree that the inadvertent or unintentional  
6 disclosure by the Producing Party of material that is privileged or subject to other  
7 protection shall not be deemed a waiver in whole or in part of the claim of privilege  
8 or other protection, either as to the specific information disclosed or as to any other  
9 information relating thereto on the same or related subject matter.

10       Upon learning of an inadvertent or unintentional disclosure of privileged  
11 information, the Producing Party shall provide written notice to the parties who  
12 have received such information. If the Receiving Party does not timely challenge  
13 the assertion of privilege, then within ten business days of the date of that written  
14 notice, the documents or materials described in that notice (“Privileged  
15 Documents”) shall be returned to counsel for the Producing Party or destroyed by  
16 the Receiving Party, and in the same time frame, any notes or other writing or  
17 recordings that copy, summarize, reflect, or discuss the content of the Privileged  
18 Documents (“Privileged Notes”) shall be destroyed by the Receiving Party. No use  
19 shall be made of such documents or materials from such inadvertent production  
20 during deposition or at trial, nor shall such documents or materials be provided to  
21 anyone who did not already have access to them prior to the request by the  
22 Producing Party that they be returned.

23       If the Receiving Party intends to challenge the assertion of privilege, it must  
24 provide written notice within this ten-day period explaining the grounds for its  
25 challenge, initiate the dispute resolution process under Local Rule 37.1, and  
26 sequester the Privileged Documents and Privileged Notes.

27       If the Parties cannot resolve a challenge without court intervention, the  
28 Receiving Party may move the Court for an order compelling production of any

1 Privileged Documents in compliance with Local Rule 37, but the motion shall not  
2 assert as a ground for production the fact of the inadvertent production or  
3 disclosure. Pending the Court's ruling, the party challenging the assertion of  
4 privilege shall sequester the Privileged Documents and Privileged Notes and shall  
5 not make any use of such information.

6 **12. MISCELLANEOUS**

7 12.1 Right to Further Relief. Nothing in this Order abridges the right of any  
8 person to seek its modification by the Court in the future.

9 12.2 Right to Assert Other Objections. By stipulating to the entry of this  
10 Order, no Party waives any right it otherwise would have to object to disclosing or  
11 producing any information or item on any ground not addressed in this Order.  
12 Similarly, no Party waives any right to object on any ground to use in evidence of  
13 any of the material covered by this Protective Order.

14 12.3 Filing Protected Material. A Party that seeks to file under seal any  
15 Protected Material must comply with Local Civil Rule 79-5. Protected Material  
16 may only be filed under seal pursuant to a court order authorizing the sealing of the  
17 specific Protected Material at issue. If a Party's request to file Protected Material  
18 under seal is denied by the court, then the Receiving Party may file the information  
19 in the public record unless otherwise instructed by the court.

20 **13. FINAL DISPOSITION**

21 After the final disposition of this Action, as defined in Section 4  
22 (DURATION), within 60 days of a written request by the Designating Party, each  
23 Receiving Party must return all Protected Material to the Producing Party or destroy  
24 such material, except such material that exists on back-up tapes or similar storage  
25 and systems, in which case such material need not be immediately deleted or  
26 destroyed, and instead, should be overwritten and destroyed in the normal course of  
27 business. Until that material is overwritten and destroyed in the normal course of  
28 business, the Receiving Party will take reasonable steps to limit access, if any, to

1 the persons necessary to conduct routine IT and cybersecurity functions. As used in  
2 this subdivision, “all Protected Material” includes all copies, abstracts,  
3 compilations, summaries, and any other format reproducing or capturing any of the  
4 Protected Material. Whether the Protected Material is returned or destroyed, the  
5 Receiving Party must submit a written certification to the Producing Party (and, if  
6 not the same person or entity, to the Designating Party) by the 60 day deadline that  
7 (1) identifies (by category, where appropriate) all the Protected Material that was  
8 returned or destroyed and (2) affirms that the Receiving Party has not retained any  
9 copies, abstracts, compilations, summaries or any other format reproducing or  
10 capturing any of the Protected Material. Notwithstanding this provision, Counsel  
11 are entitled to retain an archival copy of all pleadings, motion papers, trial,  
12 deposition, and hearing transcripts, legal memoranda, correspondence, deposition  
13 and trial exhibits, expert reports, attorney work product, and consultant and expert  
14 work product, even if such materials contain Protected Material. Any such archival  
15 copies that contain or constitute Protected Material remain subject to this Protective  
16 Order as set forth in Section 4 (DURATION).

17 **IT IS SO STIPULATED, THROUGH COUNSEL OF RECORD.**

18 Dated: September 21, 2021                      ARENT FOX LLP

19  
20 By: /s/ Franjo M. Dolenac  
21                      Michael Cryan  
22                      Franjo M. Dolenac

23 Attorneys for Plaintiffs  
24 W.M. JAQUA, LLC and NNIN, LLC

25 Dated: September 21, 2021                      McDOWELL HETHERINGTON LLP

26 By: /s/ Hutson B. Smelley  
27                      Hutson B. Smelley

28 Attorneys for Defendant  
TRANSAMERICA LIFE INSURANCE  
COMPANY

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I, Hutson B. Smelley, in accordance with Local Rule 5-4.3.4, attest that all other signatories listed, and on whose behalf this filing is submitted, concur in the filing's content and have authorized the filing.

Dated: September 21, 2021

/s/ Hutson B. Smelley  
Hutson B. Smelley

**FOR GOOD CAUSE SHOWN, IT IS SO ORDERED.**

Dated: September 23, 2021

  
\_\_\_\_\_  
HON. GAIL J. STANDISH  
United States Magistrate Judge

1 EXHIBIT A  
2 ACKNOWLEDGMENT AND AGREEMENT TO BE BOUND

3 I, \_\_\_\_\_ [print or type full name], of  
4 \_\_\_\_\_ [print or type full address], declare under penalty of perjury  
5 that I have read in its entirety and understand the Stipulated Protective Order that  
6 was issued by the United States District Court for the Central District of California  
7 on \_\_\_\_\_ [date] in the case of *W.M. JAQUA, LLC and NNIN, LLC v.*  
8 *Transamerica Life Insurance Company*, Case No. 2:21-cv-00672-CAS-GJS. I agree  
9 to comply with and to be bound by all the terms of this Stipulated Protective Order  
10 and I understand and acknowledge that failure to so comply could expose me to  
11 sanctions and punishment in the nature of contempt. I solemnly promise that I will  
12 not disclose in any manner any information or item that is subject to this Stipulated  
13 Protective Order to any person or entity except in strict compliance with the  
14 provisions of this Stipulated Protective Order. I further agree to submit to the  
15 jurisdiction of the United States District Court for the Central District of California  
16 for enforcing the terms of this Stipulated Protective Order, even if such  
17 enforcement proceedings occur after termination of this action. I hereby appoint  
18 \_\_\_\_\_ [print or type full name] of  
19 \_\_\_\_\_ [print or type full address and  
20 telephone number] as my California agent for service of process in connection with  
21 this action or any proceedings related to enforcement of this Stipulated Protective  
22 Order.

23  
24 Date: \_\_\_\_\_

25 City and State where sworn and signed: \_\_\_\_\_

26 Printed name: \_\_\_\_\_

27 Signature: \_\_\_\_\_

28