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4 **UNITED STATES DISTRICT COURT**
5 **FOR THE CENTRAL DISTRICT OF CALIFORNIA**
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7 UNITED STATES SECURITIES AND
8 EXCHANGE COMMISSION,

9 Plaintiff/Petitioner,

10 v.

11 BRYCE M. KNIGHT,

12 Defendant/Respondent.
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Case No. **2:21-mc-00003-MWF-GJS**

**FINAL JUDGMENT AS TO
BRYCE M. KNIGHT**

14 THIS MATTER comes before the Court on the Plaintiff/Petitioner's
15 Application for an order compelling Respondent Bryce M. Knight ("Defendant"
16 or "Knight") to show cause why judgment should not be entered against him
17 pursuant to Section 21(e) of the Securities Exchange Act of 1934 (the "Exchange
18 Act"), 15 U.S.C. § 78u(e)(1) ("Section 21(e)") and Section 42(d) of the
19 Investment Company Act of 1940 (the "Investment Company Act"), 15 U.S.C. §
20 80a-41(d).
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23 It is **ORDERED, ADJUDGED AND DECREED** that judgment is
24 entered in favor of the United States Securities and Exchange Commission (the
25 "Commission") against Defendant Knight for a civil monetary penalty in the
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1 amount of \$50,000, plus all accrued post-order interest through the entry of this
2 Final Judgment.

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4 It is further **ORDERED, ADJUDGED AND DECREED** that the amounts
5 awarded herein shall be subject to post-judgment interest, pursuant to § 28 U.S.C.
6 1961, from the date of this Final Judgment until the date all amounts owed have
7 been paid.
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9 It is further **ORDERED, ADJUDGED AND DECREED** that Defendant
10 may transmit payment(s) electronically to the Commission, which will provide
11 detailed ACH transfer/Fedwire instructions upon request. Payments may also be
12 made directly from a bank account via Pay.gov through the SEC website at
13 <http://www.sec.gov/about/offices/ofm.htm>. Defendant may also pay by certified
14 check, bank cashier's check, or United States postal money order payable to the
15 Securities and Exchange Commission, which shall be delivered or mailed to
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19 Enterprise Services Center
20 Accounts Receivable Branch
21 6500 South MacArthur Boulevard
22 Oklahoma City, OK 73169

23 and shall be accompanied by a letter identifying the case title, civil action number,
24 and name of this Court; the name of the defendant in this action making payment;
25 and specifying that payment is made pursuant to this Final Judgment.

26 It is further **ORDERED, ADJUDGED AND DECREED** that Defendant
27 shall simultaneously transmit photocopies of evidence of payment and case
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1 identifying information to the Commission's counsel in this action. By making
2 payment, Defendant relinquishes all legal and equitable right, title, and interest in
3 such funds and no part of the funds shall be returned to Defendant.
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5 It is further **ORDERED, ADJUDGED AND DECREED** that the non-
6 monetary terms of the Commission's Administrative Order imposed against
7 Defendant on January 14, 2016 are fully incorporated herein and subject to
8 enforcement through this Final Judgment. Accordingly, it is hereby further
9 ORDERED that:
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12 (a) Defendant Knight shall cease and desist from committing or causing any
13 violations and any future violations of Sections 13(a), 13(b)(2)(A), and 13(b)(2)(B)
14 of the Exchange Act, and Rules 12b-20, 13a-1, 13a-13, 13a-14, and 13b2-1
15 thereunder, and Sections 23(a) and 34(b) of the Investment Company Act and Rule
16 38a-1 thereunder;
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19 (b) Defendant Knight is prohibited from serving or acting as an employee,
20 officer, director, member of an advisory board, investment adviser or depositor of,
21 or principal underwriter for, a registered investment company or affiliated person
22 of such investment adviser, depositor, or principal underwriter; and
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24 (c) Any reapplication for association by Defendant will be subject to the
25 applicable laws and regulations governing the reentry process, and reentry may be
26 conditioned upon a number of factors, including, but not limited to, the satisfaction
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1 of any or all of the following: (a) any disgorgement ordered against Defendant,
2 whether or not the Commission has fully or partially waived payment of such
3 disgorgement; (b) any arbitration award related to the conduct that served as the
4 basis for the Commission order; (c) any self-regulatory organization arbitration
5 award to a customer, whether or not related to the conduct that served as the basis
6 for the Commission order; and (d) any restitution order by a self-regulatory
7 organization, whether or not related to the conduct that served as the basis for the
8 Commission order.
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12 It is further **ORDERED, ADJUDGED AND DECREED** that the Court
13 shall retain jurisdiction over this action for all purposes, including to implement
14 and enforce the terms of this Final Judgment; and all other orders and decrees
15 which may have been or may be entered in this case, and to grant such relief as
16 this Court may deem necessary and just.
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18 It is further **ORDERED, ADJUDGED, AND DECREED** that, solely for
19 purposes of exceptions to discharge set forth in Section 523 of the Bankruptcy
20 Code, 11 U.S.C. §523, the allegations in the application are true and admitted by
21 Defendant, and further, any debt for disgorgement, civil penalty or other amounts
22 due by Defendant under this Final Judgment or any other judgment, order, consent
23 order, decree or settlement agreement entered in connection with this proceeding,
24 is a debt for the violation of the federal securities laws or any regulation or order
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1 issued under such laws, as set forth in Section 523(a)(19) of the Bankruptcy Code,
2 11 U.S.C. §523(a)(19).
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5 Dated this 2nd day of April, 2021



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United States District Court Judge
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10 Presented by:

11 /s/ Shuman Sohrn
12 Shuman Sohrn (*pro hac vice* pending)
13 Attorney for U.S. Securities and
14 Exchange Commission
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