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UNITED STATES DISTRICT COURT
CENTRAL DISTRICT OF CALIFORNIA

FRANCIS NASWOOD,	}	Case No. EDCV 09-1675-SVW (DTB)
Plaintiff,	}	ORDER DISMISSING COMPLAINT WITH LEAVE TO AMEND
vs.	}	
TERESSER A. BANKS, Warden, et al.,	}	
Defendants.	}	

Plaintiff, a federal inmate currently incarcerated at the Federal Correctional Institution in Adelanto, California (hereinafter “USP-Victorville”), filed a pro se civil rights Complaint herein pursuant to Bivens v. Six Unknown Federal Narcotics Agents, 403 U.S. 388, 91 S. Ct. 1999, 29 L. Ed. 2d 619 (1971) on October 15, 2009, after being granted leave to proceed without prepayment of the full filing fee. Named as defendants are five BOP employees: Warden Teresser A. Banks (“Banks”); Unit Manager J. Lavave (“Lavave”); Case Manager C. Sylvester (“Sylvester”); Counselor D. Evans (“Evans”); and Dr. Quinn, M.D. (“Dr. Quinn”). Each of the defendants was sued in both their individual and official capacities.

Insofar as the Court can glean from the Complaint, plaintiff alleges that he suffered a ruptured ear drum and that the defendants failed to treat his medical condition appropriately. (See Complaint at 5). Specifically, plaintiff contends that

1 the defendants prescribed the wrong medication for his ear drum rupture, and when
2 he sought to complain by filing a grievance, engaged in retaliatory conduct which
3 included threats of assaultive behavior, confiscation and destruction of his legal
4 documents, and heightened punishment in the form of placement on the “LT Bench”
5 in the “hot sun”. (Id.) The Complaint alleges violations of plaintiff’s rights under the
6 First and Eighth Amendments to the Constitution.

7 Although the Court granted plaintiff’s application to proceed in forma pauperis
8 and the Complaint was filed, upon further review, the Court hereby orders the
9 Complaint dismissed, with leave to amend, for the reasons set forth below. Under
10 the terms of the “Prison Litigation Reform Act of 1995” (“PLRA”), the Court is
11 required to dismiss a complaint at any time if the Court determines that the action is
12 frivolous or malicious; or fails to state a claim on which relief may be granted; or
13 seeks monetary relief against a defendant who is immune from such relief. See 28
14 U.S.C. §§ 1915(e)(2), 1915A(b); see also 42 U.S.C. § 1997e(c)(1). The Court has
15 determined that the Complaint is deficient as currently pled, and, pursuant to the
16 relevant authority, hereby dismisses the Complaint with leave to amend pursuant to
17 28 U.S.C. § 1915(e)(2).

18 The Court’s screening of the Complaint under the foregoing statutes is
19 governed by the following standards. A complaint may be dismissed as a matter of
20 law for failure to state a claim for two reasons: (1) lack of a cognizable legal theory;
21 or (2) insufficient facts under a cognizable legal theory. See Balistreri v. Pacifica
22 Police Dep’t, 901 F.2d 696, 699 (9th Cir. 1990). In determining whether a complaint
23 states a claim on which relief may be granted, allegations of material fact are taken as
24 true and construed in the light most favorable to the plaintiff. See Love v. United
25 States, 915 F.2d 1242, 1245 (9th Cir. 1989). Moreover, since plaintiff is appearing
26 pro se, the Court must construe the allegations of the Complaint liberally and must
27 afford plaintiff the benefit of any doubt. See Karim-Panahi v. Los Angeles Police
28 Dep’t, 839 F.2d 621, 623 (9th Cir. 1988). However, “the liberal pleading standard ...

1 applies only to a plaintiff's factual allegations.” Neitze v. Williams, 490 U.S. 319, 330
2 n.9, 109 S. Ct. 1827, 104 L. Ed. 2d 338 (1989). “[A] liberal interpretation of a civil
3 rights complaint may not supply essential elements of the claim that were not initially
4 pled.” Bruns v. Nat'l Credit Union Admin., 122 F.3d 1251, 1257 (9th Cir. 1997)
5 (quoting Ivey v. Bd. of Regents, 673 F.2d 266, 268 (9th Cir.1982)).

6 Pursuant to Fed. R. Civ. P. 8(a), a complaint must contain “a short and complete
7 statement of the claim showing that the pleader is entitled to relief.” As the Supreme
8 Court recently held, Rule 8(a) “requires a ‘showing,’ rather than a blanket assertion,
9 of entitlement to relief.” Further, “a plaintiff’s obligation to provide the ‘grounds’ of
10 his ‘entitlement to relief’ requires more than labels and conclusions, and a formulaic
11 recitation of the elements of a cause of action will not do. . . . Factual allegations must
12 be enough to raise a right to relief above the speculative level.” See Bell Atlantic
13 Corp. v. Twombly, 550 U.S. 544, 127 S. Ct. 1955, 1964-65, 167 L. Ed. 2d 929 (2007)
14 (internal citations omitted). Thus, plaintiff must allege a minimum factual and legal
15 basis for each claim that is sufficient to give each defendant fair notice of what
16 plaintiff’s claims are and the grounds upon which they rest. See, e.g., Brazil v. United
17 States Dep’t of the Navy, 66 F.3d 193, 199 (9th Cir. 1995); McKeever v. Block, 932
18 F.2d 795, 798 (9th Cir. 1991). Moreover, failure to comply with Rule 8(a) constitutes
19 an independent basis for dismissal of a complaint that applies even if the claims in a
20 complaint are not found to be wholly without merit. See McHenry v. Renne, 84 F.3d
21 1172, 1179 (9th Cir. 1996); Nevijel v. Northcoast Life Ins. Co., 651 F.2d 671, 673
22 (9th Cir. 1981).

23 After careful review and consideration of the Complaint under the relevant
24 standards, the Court finds that its allegations are insufficient to state a claim for
25 violation of plaintiff's federal civil rights. Although the Court has concerns about
26 whether the deficiencies of the Complaint can be overcome, the Court will afford
27 plaintiff the opportunity to attempt to do so. See Noll v. Carlson, 809 F.2d 1446, 1448
28 (9th Cir. 1987) (holding that a pro se litigant must be given leave to amend his

1 complaint unless it is absolutely clear that the deficiencies of the complaint cannot be
2 cured by amendment). The Complaint therefore is dismissed with leave to amend.
3 If plaintiff desires to pursue this action, he is ORDERED to file a First Amended
4 Complaint within thirty (30) days of the date of this Order, remedying the deficiencies
5 discussed below.

7 DISCUSSION

8 **I. The allegations of the Complaint are insufficient to state a claim against** 9 **any of the individual defendants in their official capacities.**

10 The United States and its agencies (including the BOP) are absolutely immune
11 from suit on constitutional claims for damages. See, e.g., Pereira v. U.S. Postal
12 Service, 964 F.2d 873, 876-77 (9th Cir. 1992); Daly-Murphy v. Winston, 837 F.2d
13 348, 355-56 (9th Cir. 1987); see also FDIC v. Meyer, 510 U.S. 471, 486-87, 114 S.
14 Ct. 996, 127 L. Ed. 2d 308 (1994).

15 Moreover, a suit against a federal employee in his/her official capacity is a
16 suit against the United States. Larson v. Domestic & Foreign Commerce Corp., 337
17 U.S. 682, 688, 69 S. Ct. 1457, 93 L. Ed. 1628 (1949); Gilbert v. DaGrossa, 756
18 F.2d 1455, 1458 (9th Cir. 1985). The United States is a sovereign, and, as such, is
19 immune from suit unless it has expressly waived immunity and consented to be
20 sued. United States v. Mitchell, 463 U.S. 206, 212, 103 S. Ct. 2961, 77 L. Ed. 2d
21 580 (1983); United States v. Shaw, 309 U.S. 495, 500-01, 60 S. Ct. 659, 84 L. Ed.
22 888 (1940); Gilbert, 756 F.2d at 1458; Hutchinson v. United States, 677 F.2d 1322,
23 1327 (9th Cir. 1982). The waiver must be unequivocally expressed. United States
24 v. Teston, 424 U.S. 392, 399, 96 S. Ct. 948, 47 L. Ed. 2d 114 (1976). The United
25 States has not waived sovereign immunity for suits for damages based on alleged
26 civil rights violations by its employees. See, e.g., Thomas-Lazear v. Federal Bur. of
27 Investigation, 851 F.2d 1202, 1207 (9th Cir. 1988); Clemente v. United States, 766
28 F.2d 1358, 1363 (9th Cir. 1985); United States v. Timmons, 672 F.2d 1373, 1380

1 (11th Cir. 1982). The causes of action set forth in the Complaint are alleged against
2 all of the defendants in their official (as well as their individual) capacities. As the
3 defendants are immune from such allegations, the allegations, as pled, fail to state a
4 cause of action.

5
6 **II. The allegations of the Complaint also are insufficient to state a claim**
7 **based on the alleged interference with plaintiff's attempts to file an**
8 **administrative grievance.**

9 As best the Court can glean from the allegations in the Complaint, the
10 gravamen of plaintiff's claim against defendant Evans is that she refused to process
11 his grievance. (See Complaint at 4, 5).

12 To the extent that plaintiff's claims against defendant Evans is based on her
13 role in denying plaintiff's administrative appeals, plaintiff's allegations are
14 insufficient to state a § 1983 claim against her because the Ninth Circuit has held
15 that a prisoner has no constitutional right to an effective grievance or appeal
16 procedure. See Ramirez v. Galaza, 334 F.3d 850, 860 (9th Cir. 2003); Mann v.
17 Adams, 855 F.2d 639, 640 (9th Cir. 1988); see also, e.g., George v. Smith, 507 F.3d
18 605, 609-10 (7th Cir. 2007) (holding that only persons who cause or participate in
19 civil rights violations can be held responsible and that “[r]uling against a prisoner
20 on an administrative complaint does not cause or contribute to the violation”);
21 Shehee v. Luttrell, 199 F.3d 295, 300 (6th Cir. 1999) (holding that prison officials
22 whose only roles involved the denial of the prisoner's administrative grievances
23 could not be held liable under § 1983); Buckley v. Barlow, 997 F.2d 494, 495 (8th
24 Cir. 1993) (“[A prison] grievance procedure is a procedural right only, it does not
25 confer any substantive right upon the inmates.”); Wright v. Shapirshteyn, No. CV
26 1-06-0927-MHM, 2009 WL 361951, *3 (E.D. Cal. Feb. 12, 2009) (noting that
27 “where a defendant's only involvement in the allegedly unconstitutional conduct is
28 the denial of administrative grievances, the failure to intervene on a prisoner's

1 behalf to remedy alleged unconstitutional behavior does not amount to active
2 unconstitutional behavior for purposes of § 1983”); Velasquez v. Barrios, No.
3 07cv1130-LAB (CAB), 2008 WL 4078766, *11 (S.D. Cal. Aug. 29, 2008) (“An
4 official’s involvement in reviewing a prisoner’s grievances is an insufficient basis
5 for relief through a civil rights action.”).

6
7 **III. Plaintiff’s allegations are insufficient to state a § 1983 claim based on**
8 **inadequate medical care.**

9 In order to establish an Eighth Amendment claim based on inadequate
10 medical care, plaintiff must show that the defendants were deliberately indifferent
11 to his serious medical needs. See Helling v. McKinney, 509 U.S. 25, 32, 113 S. Ct.
12 2475, 125 L. Ed. 2d 22 (1993); Estelle v. Gamble, 429 U.S. 97, 106, 97 S. Ct. 285,
13 50 L. Ed 2d 251 (1976); McGuckin v. Smith, 974 F.2d 1050, 1059 (9th Cir. 1992),
14 overruled on other grounds, WMX Technologies v. Miller, 104 F.3d 1133 (9th Cir.
15 1997). Deliberate indifference to the serious medical needs of a prisoner constitutes
16 the “unnecessary and wanton infliction of pain” proscribed by the Eighth
17 Amendment. See McKinney, 509 U.S. at 32; Gamble, 429 U.S. at 104; McGuckin,
18 974 F.2d at 1059.

19 A “serious” medical need arises if the failure to treat the plaintiff could result
20 in further significant injury or the “unnecessary and wanton infliction of pain.”
21 Gamble, 429 U.S. at 104; McGuckin, 974 F.2d at 1059.

22 Deliberate indifference may be manifested by the intentional denial, delay or
23 interference with the plaintiff’s medical care, or by the manner in which the medical
24 care was provided. See Gamble, 429 U.S. at 104-05; McGuckin, 974 F.2d at 1059.
25 However, the defendant must purposefully ignore or fail to respond to the plaintiff’s
26 pain or medical needs. See McGuckin, 974 F.2d at 1060. Thus, neither an
27 inadvertent failure to provide adequate medical care, nor mere negligence or
28 medical malpractice, nor a mere delay in medical care (without more), nor a

1 difference of opinion over proper medical treatment, is sufficient to constitute an
2 Eighth Amendment violation. See, e.g., Gamble, 429 U.S. at 105-06; Toguchi v.
3 Chung, 391 F.3d 1051, 1058-60 (9th Cir. 2004); Jackson v. McIntosh, 90 F.3d 330,
4 332 (9th Cir. 1996); Sanchez v. Vild, 891 F.2d 240, 242 (9th Cir. 1989); Shapley v.
5 Nevada Bd. of State Prison Commissioners, 766 F.2d 404, 407 (9th Cir. 1984).
6 Moreover, the Eighth Amendment does not require optimal medical care or even
7 medical care that comports with the community standard of medical care. “[A]
8 complaint that a physician has been negligent in diagnosing or treating a medical
9 condition does not state a valid claim of medical mistreatment under the Eighth
10 Amendment. Medical malpractice does not become a constitutional violation
11 merely because the victim is a prisoner.” See Gamble, 429 U.S. at 106; see also,
12 e.g., Anderson v. County of Kern, 45 F.3d 1310, 1316 (9th Cir. 1995); McGuckin,
13 974 F.2d at 1050; Broughton v. Cutter Laboratories, 622 F.2d 458, 460 (9th Cir.
14 1980). Even gross negligence is insufficient to establish deliberate indifference to
15 serious medical needs. See Wood v. Housewright, 900 F.2d 1332, 1334 (9th Cir.
16 1990).

17 Here, the precise nature of plaintiff’s claim of deliberate indifference to his
18 medical needs is unclear to the Court from its review of the Complaint. However,
19 plaintiff alleges that he was prescribed the “wrong medication (Meclizine)” for his
20 hearing loss. (See Complaint at 5). Under the authority set forth above, plaintiff’s
21 allegations, even if taken as true, are insufficient to satisfy the deliberate
22 indifference element of an Eighth Amendment claim.

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25 If plaintiff chooses to file a First Amended Complaint, it should bear the
26 docket number assigned in this case; be labeled “First Amended Complaint”; and be
27 complete in and of itself without reference to the original complaint, or any other
28 pleading, attachment or document. **Plaintiff is admonished that, if he fails to**

1 **timely file a First Amended Complaint, the Court will recommend that the**
2 **action be dismissed with prejudice on the grounds set forth above and for**
3 **failure to diligently prosecute.**

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5 DATED: December 17, 2009



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8 DAVID T. BRISTOW
9 UNITED STATES MAGISTRATE JUDGE

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