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UNITED STATES DISTRICT COURT
CENTRAL DISTRICT OF CALIFORNIA-EASTERN DIVISION

GUADALUPE IONESCU,)	Case No. ED CV 14-01446-AS
)	
Plaintiff,)	MEMORANDUM OPINION
)	
v.)	
)	
CAROLYN W. COLVIN, Acting)	
Commissioner of Social)	
Security,)	
)	
Defendant.)	
)	

PROCEEDINGS

On July 21, 2014, Plaintiff, proceeding *pro per*, filed a Complaint seeking review of the denial of her application for Disability Insurance Benefits. (Docket Entry No. 3). The parties have consented to proceed before the undersigned United States Magistrate Judge. (Docket Entry Nos. 9-10). On November 26, 2014, Defendant filed an Answer along with the Administrative Record ("AR"). (Docket Entry Nos. 12-13). On April 29, 2015, Plaintiff filed an Amended Motion for Summary Judgment

1 ("Motion"). (Docket Entry No. 20).¹ On May 29, 2015, Defendant filed
2 a Cross-Motion for Summary Judgment ("Cross-Motion"). (Docket Entry No.
3 23).
4

5
6 The Court has taken this matter under submission without oral
7 argument. See C.D. Cal. L.R. 7-15; "Order Re: Procedures in Social
8 Security Case," filed July 23, 2014, and April 23, 2015 Order Granting
9 Plaintiff's request for an extension of time to submit motion for
10 summary judgment (Docket Entry Nos. 7, 19).
11

12
13 **BACKGROUND AND SUMMARY OF ADMINISTRATIVE DECISION**

14 On November 3, 2010, Plaintiff, formerly employed as a customer
15 service representative/order clerk (see AR 158, 187, 196), filed an
16 application for Disability Insurance Benefits, alleging a disability
17 since May 15, 2005. (AR 74, 140-43). On May 14, 2012, the
18 Administrative Law Judge ("ALJ"), Helen E. Hesse, heard testimony from
19 Plaintiff (who was represented by counsel), psychological expert Joseph
20 Malancharuvil, and vocational expert Alan L. Ey. (See AR 44-73). On
21 September 4, 2012, the ALJ issued a decision denying Plaintiff's
22 application. (See AR 22-34). The ALJ found that, through the date last
23 insured (December 31, 2010, AR 24), Plaintiff had severe impairments --
24 "adjustment reaction with depressive symptoms; chronic pain syndrome;
25 status post right carpal tunnel release; and fibromyalgia" (AR 24-25) --
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¹ Plaintiff mislabeled her motion as an "Amended Motion." See Court
Order dated May 4, 2015 (Docket Entry No. 22).

1 but did not have an impairment or combination of impairments that met or
2 medically equaled the severity of a listed impairment (AR 25-27), and
3 had the residual functional capacity ("RFC")² to perform light work³ with
4 the following limitations: lifting 20 pounds occasionally and ten pound
5 frequently; occasionally crawling, but no climbing ladders, ropes or
6 scaffolds; no forceful pushing or pulling, forceful gripping or
7 grasping, torquing, repetitive gripping, or prolonged fine manipulation
8 with the right upper extremity; frequent, but not constant, gross and
9 fine manipulation; no work around unprotected heights and dangerous or
10 fast-moving machinery; no responsibility for safety operations with
11 others; no exposure to high-production quota or rapid assembly line
12 work; and capable of completing moderately complex tasks. (AR 27-33).
13
14 After finding that Plaintiff was able to perform her past relevant work
15 as an order clerk as actually and generally performed, the ALJ found
16 that Plaintiff was not disabled within the meaning of the Social
17 Security Act. (AR 34).
18
19

20 Plaintiff requested that the Appeals Council review the ALJ's
21 decision. (AR 17-18). The request was denied on May 19, 2014. (AR 1-
22 5). The ALJ's decision then became the final decision of the
23
24
25

26 ² A Residual Functional Capacity is what a claimant can still do
27 despite existing exertional and nonexertional limitations. See 20
28 C.F.R. § 404.1545(a)(1).

³ "Light work involves lifting no more than 20 pounds at a time
with frequent lifting or carrying of objects weighing up to 10 pounds."
20 C.F.R. §§ 404.1567(b) and 416.967(b).

1 Commissioner, allowing this Court to review the decision. See 42 U.S.C.
2 §§ 405(g); 1383(c).

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4
5 **PLAINTIFF'S CONTENTIONS**

6 Although Plaintiff has not alleged specific claims of error, it
7 appears, liberally construing Plaintiff's allegations, that Plaintiff is
8 contending that the ALJ erred in failing to properly: (1) assess
9 Plaintiff's credibility; (2) assess the opinion of Plaintiff's treating
10 physician; and (3) determine Plaintiff's RFC. (See Motion at 1-22; see
11 also AR 201-06 [Brief submitted by Plaintiff's counsel to the Appeals
12 Council]; Cross-Motion at 1-16).

13
14
15 **DISCUSSION**

16 After consideration of the record as a whole, the Court finds that
17 the Commissioner's findings are supported by substantial evidence and
18 are free from material⁴ legal error.

19
20 **A. The ALJ Properly Assessed Plaintiff's Credibility**

21 At the hearing, Plaintiff testified as follows:

22
23 She was born in Mexico, but she is now a citizen of the
24 United States (she cannot remember how old she was when she
25 became a citizen). She has four children (a 35 year-old
26 daughter, a 27 or 28-year old daughter, a 12 year-old son, and
27 a 9 year-old daughter). She lives with her husband, her 12

28 ⁴ The harmless error rule applies to the review of administrative
decisions regarding disability. See McLeod v. Astrue, 640 F.3d 881,
886-88 (9th Cir. 2011); Burch v. Barnhart, 400 F.3d 676, 679 (9th Cir.
2005) (an ALJ's decision will not be reversed for errors that are
harmless).

1 year-old son, 9 year-old daughter, her 35 year-old daughter,
2 and that daughter's 16 year-old daughter. She took one year
3 of high school level vocational classes in bookkeeping (but
4 did not graduate). She has attended classes for English as a
5 second language in the United States. (See AR 45-49, 51-52).

6 She last worked in 2005. She received disability
7 insurance from workers' compensation (she did not remember for
8 how long she received it) and workers' compensation for years
9 (she did not remember how many years). Her workers'
10 compensation case is still pending. (See AR 50-51).

11 She is not able to work because of pain in her hand, arm,
12 stomach (constipation), back, head (headaches), and her whole
13 body sometimes. She has headaches 5 or 6 times a week
14 (sometimes lasting for days) and takes aspirin, Tylenol or
15 NyQuil for them. Since 2005, she has taken medication, mostly
16 the same ones (except for medication for her headaches,
17 stomach and pain). She hurts even with the medication (and
18 then takes NyQuil or aspirin or whatever she thinks will help
19 her). The pain makes it difficult for her to focus and
20 concentrate. (See AR 52-53, 65-66).

21 She has difficulty reaching and handling things with her
22 right arm. She cannot hold onto things (she drops them)
23 because her hand shakes and is weak. She does not have any
24 strength in her right thumb (a "trigger finger"). She has
25 difficulty using her right hand every day; nothing, including
26 the prescribed medication, helps her with the pain. She has
27 pain in her right shoulder or elbow; the pain radiates to the
28 top of her neck. She has difficulty lifting and carrying
items. She does not know how much weight she can lift, since
she lifts most items with her left (non-dominant) hand. Her
doctor told her to stretch or to continue moving her right
hand. (See AR 45, 61-63).

She has not walked for approximately four months, because
she feels tired, has headaches and stomach problems, and does
not feel like doing anything. When she does walk, she walks
one to two days a week for approximately two to three miles.
(See AR 54-55).

The problem with her hand affects her activities of daily
living. She has difficulty dressing, brushing her hair, and
doing things in the kitchen, and she has to ask her family
members for assistance. Her husband does the laundry. She
goes grocery shopping with her husband, but she tries to do it
with her left hand. She can walk to the grocery store for a
small item. She picks up a little in the house. She drives

1 locally, to pick up her children from school, to the pharmacy,
2 and to the grocery store. The most she drives is about 25
3 minutes to the church. She tries not to drive far because of
4 her medication, and some days (and sometimes for a week) her
5 pain prevents her from leaving the house. When she cannot
6 drive or take care of her children, her sister-in-law helps
7 her with her children (one or two times a week), and her
8 husband helps her with her children (one or two times a week).
9 (See AR 63-64, 68-69).

10 Most of her day (from the time she takes her children to
11 school until the time she picks her children up from school)
12 is spent lying down and sleeping. She is not able to sleep
13 through the night; she wakes up many times because of the pain
14 and/or her worries about her dying, her health, and her
15 family. She cries all the time, feeling like she is not a
16 good mother. (See AR 67-68).

17 She has seen four mental health professional for a
18 diagnosis. The first person, through a program at work, told
19 her to go to workers' compensation (which she did). Then her
20 doctor (who she sees every month) requested a mental health
21 professional for her, but she never saw one. She is
22 depressed. (See AR 53-54, 65).

23 In an undated Disability Report - Adult, Plaintiff stated that her
24 ability to work is limited by carpal tunnel, chronic pain, depression,
25 and fibromyalgia. (See AR 157).

26 Plaintiff made the following statements in an undated "Disability
27 Report - Appeal:

28 (1) her condition has worsened since November 18, 2010; (2)
her new limitations are insomnia, attention deficit,
constipation, stomach pain, and heavy medication (preventing
her from daily functions such as drawing, thinking, talking,
domestic duties, and making her suffer emotional highs and
lows); (3) her new conditions are stiffness, pain, loss of
movement, and inability to straighten thumb; and (4) her new
conditions have affected her ability to care for her personal
hygiene, and have caused her to feel mentally exhausted and
lack short memory.

1 (See AR 170-75).

2 After summarizing Plaintiff's hearing testimony (see AR 28), the
3 ALJ wrote:

4 After careful consideration of the evidence, the
5 undersigned finds that the claimant's medically determinable
6 impairments could reasonably be expected to cause the alleged
7 symptoms; however, the claimant's statements concerning the
8 intensity, persistence and limiting effects of these symptoms
are not credible to the extent they are inconsistent with the
above residual functional capacity assessment.

9 In terms of the claimant's alleged inability to do work
10 due to adjustment reaction with depressive symptoms; chronic
11 pain syndrome; status post right carpal tunnel release; and
12 fibromyalgia, the record does not contain evidence which shows
13 the claimant is functionally unable to work.

14 (AR 28).

15 After discussing the medical evidence in the record, the ALJ wrote:

16
17 In evaluating the claimant's subjective complaints of
18 right upper extremity pain, fatigue, and alleged mental
19 impairment under the factors at 20 CFR 404.1529 and Social
20 Security Ruling 96-7p, the undersigned notes that the
21 claimant's treatment has been conservative in nature and not
22 the type one would expect from a disabling condition; the
23 record does not contain evidence that the claimant's
24 medications caused adverse side effects that would preclude
25 sustained work activity; the record does not provide
26 significant abnormal findings on examination and diagnostic
workup to support her alleged disabling condition; the record
contains evidence of mental status examinations that revealed
few abnormal findings and little evidence of cognitive
impairment; the records contains no records of psychiatric
treatment during the period under adjudication (except for one
month in September 2005); and the claimant has not had any
psychiatric hospitalizations.

27 Moreover, the claimant describes an active life that
28 includes an ability to perform some activity that does not
require significant use of the right upper extremity. The
claimant testified that she is able to drive, go shopping, and

1 pick-up the house a little. The record also showed the
2 claimant walks for 15-60 minutes with her sister in law or a
3 friend, and she was able to drive alone for 50 minutes
4 (claimant drove herself [to] a psychiatric evaluation), take
5 long sponge baths, prepare breakfast for her children, help
6 her children get ready for school, and drive her children to
7 school (Exhibit 14F/15). The evidence is inconsistent with
8 limitations that would preclude sustained work activity, and
9 is consistent with an ability to do less than a wide range of
10 light work activity.

11 The undersigned notes that the record is sparse in terms
12 of evidence to support the claimant's alleged disabling mental
13 impairment. On February 19, 2010, Dr. Freeman reported that
14 the claimant sought psychiatric treatment in August or
15 September 2005 for one month (Exhibit 14F/26). The medical
16 expert, Joseph Malancharuvil, M.D., testified that thereafter
17 the claimant did not seek any formal psychiatric treatment,
18 but did have psychiatric evaluation and testing in September
19 2009 and February 2010.

20 Also, in evaluating the claimant's subjective complaints,
21 there is evidence that the claimant exhibited malingering
22 while being examined. On September 3, 2010, Kaiser records
23 note that the claimant had 4/5 strength of the right shoulder
24 due to poor effort and also had 5/5 motor strength on external
25 rotation without pain (Exhibit 21F/66-67). This calls into
26 question the reliability of the claimant's expressed symptoms.

27 * * * * *

28 In sum, the above residual functional capacity assessment
is supported by the record, when considered as a whole, and
especially in light of the paucity of clinic deficit noted
upon physical examinations and diagnostic studies, the
relatively conservative treatment throughout the period under
adjudication, her lack of reported significant adverse side
effects from medications, the lack of records limiting the
claimant's physical activities, and the claimant's own
description of her daily activities. And the record showed
that the claimant lacked credibility on several issues and it
is therefore highly suggestive that the claimant exaggerated
her symptoms, and therefore was not found to be an entirely
credible witness. . . .

(AR 30-33).

1 A claimant initially must produce objective medical evidence
2 establishing a medical impairment reasonably likely to be the cause of
3 the subjective symptoms. Smolen v. Chater, 80 F.3d 1273, 1281 (9th Cir.
4 1996); Bunnell v. Sullivan, 947 F.2d 341, 345 (9th Cir. 1991). Once a
5 claimant produces objective medical evidence of an underlying impairment
6 that could reasonably be expected to produce the pain or other symptoms
7 alleged, and there is no evidence of malingering, the ALJ may reject the
8 claimant's testimony regarding the severity of her pain and symptoms
9 only by articulating specific, clear and convincing reasons for doing
10 so. Brown-Hunter v. Colvin, 798 F.3d 749, 755 (9th Cir. 2015)(citing
11 Lingenfelter v. Astrue, 504 F.3d 1028, 1036 (9th Cir. 2007)); see also
12 Smolen v. Chater, supra; Reddick v. Chater, 157 F.3d 715, 722 (9th Cir.
13 1998); Light v. Social Sec. Admin., 119 F.3d 789, 792 (9th Cir. 1997).⁵
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21 ⁵ The ALJ stated that "there is evidence that the claimant
22 exhibited malingering while being examined" based on Kaiser Permanente
23 records dated September 3, 2010 which "note that the claimant had 4/5
24 strength of the right shoulder due to poor effort and also had 5/5 motor
25 strength on external rotation without pain" (AR 31, citing AR 978-79).
26 However, since the notations in those records do not constitute
27 affirmative evidence of malingering -- meaning, pretending to be sick or
28 injured in order to avoid doing work, see [www.merriam-
webster.com/dictionary/malinger](http://www.merriam-webster.com/dictionary/malinger) -- the clear and convincing standard
applies to this case. See Carmickle v. Commissioner, 533 F.3d 1155,
1160 (9th Cir. 2008) ("The only time this [clear and convincing]
standard does not apply is when there is affirmative evidence that the
claimant is malingering."); Lester v. Chater, 81 F.3d 821, 834 (9th Cir.
1995)(as amended) ("Unless there is affirmative evidence showing that
the claimant is malingering, the Commissioner's reasons for rejecting
the claimant's testimony must be 'clear and convincing.'")(citation
omitted).

1 Here, substantial evidence supports the ALJ's finding that
2 Plaintiff's testimony about the intensity, persistence and limiting
3 effects of her symptoms was not fully credible.
4

5 The ALJ properly discredited Plaintiff's testimony about her
6 limitations because it was not supported by the objective medical
7 evidence. See Burch v. Barnhart, 400 F.3d 676, 681 (9th Cir. 2005)
8 ("Although lack of medical evidence cannot form the sole basis for
9 discounting pain testimony, it is a factor that the ALJ can consider in
10 his credibility analysis); Rollins v. Massanari, 261 F.3d 853, 857 (9th
11 Cir. 2001) ("While subjective pain testimony cannot be rejected on the
12 sole ground that it is not fully corroborated by objective medical
13 evidence, the medical evidence is still a relevant factor in determining
14 the severity of the claimant's pain and its disabling effects); Morgan
15 v. Commissioner, 169 F.3d 595, 599-60 (9th Cir. 1999).
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19 The ALJ properly found that "[p]hysical examinations during the
20 period under adjudication showed abnormalities of the right upper
21 extremity, but were otherwise generally unremarkable" (AR 28). See
22 Burch v. Barnhart, supra, 400 F.3d at 679 ("Where evidence is
23 susceptible to more than one rational interpretation, it is the ALJ's
24 conclusion that must be upheld."). That finding was supported by the
25 following evidence which the ALJ discussed (see AR 24-25, 28-29):
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1 September 6, 2005 Report

2 A September 6, 2005 report prepared by Ronald D. Levin, M.D.
3 revealed: (a) an electromyogram performed on Plaintiff's upper
4 extremities showed: "[r]ight median neuropathy, distal, severe,
5 incomplete (compatible with right carpal tunnel syndrome);" (b) a nerve
6 conduction study on both ulnar nerves "reveal[ed] no delay in motor and
7 sensory conduction" and motor nerve conduction velocity within normal
8 limits; (c) a nerve conduction study on both median nerves "revealed the
9 only abnormality to be severe reduction of the amplitude of the motor
10 unit potential of the right median nerve, proximately" at "about a 75%
11 reduction;" and (d) a nerve conduction study of the bilateral
12 superficial radial nerves "reveals no delay in sensory conduits across
13 the wrists." (See AR 289-92);
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17 October 6, 2005 Examination

18 An October 6, 2005 examination performed by James D. Matiko, M.D.,
19 at Arrowhead Orthopaedics, diagnosed Plaintiff with mild right elbow
20 medial epicondylitis, status post right open carpal tunnel releases X3,
21 and chronic recurrent right carpal tunnel syndrom, finding, inter alia,
22 "Active neck range of motion is full, fluid, symmetric and painless;"
23 "Active elbow range of motion, including pronation and supination, are
24 full, fluid, and painless;" "Active wrist range of motion is full,
25 fluid, and painless;" "The tip of the thumb actively touches the fifth
26 metacarpal head. Thumb adduction, radial abduction, and opposition are
27 normal. The tips of the ulnar four digits actively flex to midpalmer
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crease. All digits can fully extend actively;" "All extrinsic hand
tendons are in continuity;" "There is no crepitus or abnormal sound with
active or passive motion;" "The patient is tender when palpating over
the medial epicondyle of the elbow;" "There is no other tenderness,
swelling, atrophy, or palpable abnormality;" "Light touch is intact over
dermatomes C5 through T1. Light touch is intact over the distribution
of the superficial branch of the radial nerve, palmar cutaneous branch
of the medial nerve, and dorsal cutaneous branch of the ulnar nerve.
Semmes-Weinstein monofilament sensory testing is normal at 2.83 on the
volar aspect of all digits. Strength in myotomes C5 through T1 is grade
5/5;" "The biceps, brachioradialis, and triceps tendon reflexes are
normoactive. Radial and ulnar pulses are palpable and there is brisk
digital capillar refill;" "Foraminal compression testing for cervical
radiculopathy is negative. . . . There is no ulnar nerve instability at
the elbow and the passive elbow flexion and percussion tests are
negative for cubital tunnel syndrome. The Phalen and carpal compression
tests are positive for carpal tunnel syndrome. The percussion test is
negative for carpal tunnel syndrome;" "Testing for specific elbow
tendonitis, instability, and arthritis is negative;" "Testing for
specific wrist and hand tendonitis, including flexor carpi radialis and
extensor carpi ulneris tendonitis, intersection syndrome, de Quervain's
disease and trigger finger is negative;" "Testing for distal radioulnar
instability and arthritis, torn triangular fibrocartilage, and carpal
instability and arthritis is negative;" "Testing for carpometacarpal,
metacapophalangeal, and interphalangeal instability and arthritis is

1 negative;" and "The remaining upper extremity examination is within
2 normal limits." (See AR 297-99);

3
4 March 8, 2006 Examination

5 A March 8, 2006 examination(following repeat right carpal tunnel
6 release surgery in December) by Dr. Matiko at Arrowhead Orthopaedics,
7 contained most of the same findings as Dr. Matiko's October 6, 2005
8 examination, with the following additional findings: "There is
9 tenderness over the medical epicondyle of the elbow. There is
10 tenderness over the previously noted surgical scar in the palm;" "There
11 is no other tenderness, swelling, atrophy, or palpable abnormality;"
12 "Static two-point sensory discrimination is normal at 6mm on the volar
13 aspect of all digits. Strength in myotomes C6 through T1 is grade 5/5.
14 Radial, median, and ulnar nerve motor function is grossly intact. Thumb
15 opposition (palmar abduction) strength is grade 4/5 on the right, 5/5 on
16 the left;" and "Testing for cervical radiculopathy and brachial
17 plexopathy, including thoracic outlet syndrome, is negative. . . . The
18 pronator compression and percussion tests are negative for proximal
19 forearm median nerve entrapment. The carpal compression, Phalen, and
20 percussion tests are negative for carpal tunnel syndrome. The carpal
21 compression and percussion tests are negative for Guyon's canal
22 syndrome." (See AR 329-30);

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1 October 19, 2006 Examination

2 An October 19, 2006 examination performed by John L. Beck, M.D., at
3 Healthpointe Medical Group, Inc., finding, inter alia, "There is no
4 evidence of loss of range of motion as the patient turns, flexes and
5 extends the head during examination. There is no complaint of any
6 trapezial spasms. The patient moves the neck well with no complaint of
7 numbness into the hands related to neck motion. There is no complaint
8 of painful clicking or catching in the cervical spine;" Plaintiff's
9 range of motion of the cervical spine with respect to flexion,
10 extension, right/left lateral bending and right/left rotation is normal;
11 "There is mild tenderness over the anterior aspect of the right
12 shoulder. Otherwise, in general there is good motion in the shoulders
13 with flexion, extension and abduction, with pain at extremes of passive
14 range of motion. The patient has not complaints of clicking, catching
15 or instability problems around the shoulder. There is no weakness noted
16 during the examination. No associated complaints of numbness into the
17 hands with shoulder motion;" Plaintiff's range of motion of the
18 shoulders with respect to abduction, adduction, internal rotation,
19 external rotation, extension and flexion is normal. "There is obvious
20 swelling over the medial aspect of the right elbow. The patient is
21 extremely hypersthetic and cannot even tolerate light palpation around
22 the elbow. The majority of her pain does seem to be more on the lateral
23 side of the elbow than on the other side. The tenderness extends down
24 to the mid forearm level. Range of motion is also correspondingly
25 restricted, due to the pain in the elbow, but passively seems to be at
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1 least 80% of normal;" "The left elbow has a well-healed medial scar over
2 the ulnar nerve, with no sensitivity and with normal range of motion;"
3 "Both wrists have bilateral carpal tunnel scars. . . . There is severe
4 sensitivity to pressure over the operated right hand. Light touch
5 sensation is intact in the fingertips. There is skin print present on
6 the thumbs and on all fingers. There is no evidence for intrinsic
7 muscle atrophy in the hands. Skin color appears to be normal. The
8 right hand appears to have less sweating than the left hand. There is
9 diffuse weakness in the right hand. There is also limitation of
10 complete grip, and the patient has limited abduction and adduction of
11 the fingers;" and grip strength in the right hand is 10/5/5, and grip
12 strength in the left hand is 45/45/30. (See AR 344-45);
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15
16 May 22, 2007 Examination

17 A May 22, 2007 examination performed by Dr. Beck at Healthpointe
18 Medical Group, Inc., finding, inter alia, "Examination of the cervical
19 spine reveals no gross tenderness of the posterior neck muscles or any
20 specific trigger points noticeable. There is no evidence of any
21 tenderness anteriorly and no noticeable swollen glands. . . . There is
22 no weakness noted of the neck musculature. Gross muscle strength
23 testing is noted to be normal about the neck. There is mild increased
24 tenderness in the lateral cervical muscles, without specific
25 localization. There is mild tenderness over the right sternoclavicular
26 joint, but no deformity or swelling;" Plaintiff's range of motion of the
27 cervical spine with respect to flexion, extension, right/left lateral
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1 bending and right/left rotation is normal; "Examination of the left
2 shoulder reveals that in general, there is good motion . . . with
3 flexion, extension and abduction. The patient has no complaints of
4 clicking, catching or instability problems around the shoulder. There
5 is no weakness noted during the examination. No associated complaints
6 of numbness into the hand with shoulder motion;" "Examination of the
7 right shoulder demonstrates no atrophy, fasciculations, swelling, or
8 discoloration. There is diffuse, nonspecific tenderness of a mild
9 degree over the anterior shoulder. Range of motion is restricted due to
10 the severe pain in the right upper extremity;" "There is full passive
11 range of motion of the left elbow. The patient is noted to move the
12 elbow freely with flexion, extension, supination, and pronation and
13 without pain. There are no complaints of tenderness or pain around the
14 epicondylar regions;" "On the right side [elbow], range of motion is
15 restricted due to pain. The medial aspect of the elbow appears to be
16 mildly swollen and very hyperesthetic to even the lightest touch.
17 Basically, the patient will not let me palpate the right elbow. Range
18 of motion cannot be effectively evaluated due to guarding;" "Examination
19 of the left wrist/hand reveals full motion of the hand with the fingers
20 coming down to the mid palmar crease fully;" "On the right hand, the
21 patient sits with her hand in a guarded, palm up position. Close
22 inspection does not reveal significant color difference but does
23 indicate some dryness of the skin, compared to the left hand. . . . The
24 radial artery and ulnar artery pulses are present to light palpation.
25 The patient is unable to perform vascular challenge (Allen's test). She
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1 can voluntarily close her fingertips to within 1 cm of the distal palmer
2 flexion crease in the palm, but she does this very slowly and carefully.
3 She cannot completely close her grip. In attempting thumb to base of
4 little finger, she clears the base of the little finger by 1.5 cm. She
5 clears the tip of the little finger by approximately 6 mm;" "Capillary
6 rebound in the fingertips appears to be sluggish. The patient
7 demonstrates limited active abduction of her thumb and abduction of her
8 digits when asked to spread her fingers;" "Sensory evaluation is limited
9 by hyperesthesia, but she alleges numbness in her little finger
10 primarily;" Plaintiff was not able to cooperate on right hand grip
11 strength testing; and Plaintiff's left hand grip strength is 25/25/30
12 (but the examiner opined her scores were "subnormal for a middle-aged
13 female"). (See AR 363-65);
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16
17 February 13, 2008 Examination

18 A February 13, 2008 examination performed by Albert Simpkins, Jr.,
19 M.D., at West Coast Orthopedics, finding, inter alia, normal range of
20 motion and no tenderness to palpation of the cervical and thoracic
21 spine; normal range of motion and no gross deformities of both shoulders
22 and diffuse tenderness to palpation of the right shoulder; normal range
23 of motion, no gross abnormalities and no swelling of both elbows and
24 diffuse tenderness to palpation of the right elbow (but negative Tinel's
25 sign at the cubital tunnel, no pain with resisted wrist dorsiflexion,
26 and negative hyperflexion testing); normal range of motion, no gross
27 abnormalities no swelling, and no thenar or hypothenar wasting of both
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1 wrists, tenderness to palpation of the flexor aspect of the right wrist
2 (with a positive Phalen's sign on the right wrist but negative Phalen's
3 sign on the right wrist, and negative Tinel's sign and Finkelstein tests
4 on both wrists), and the ability to make a complete fist; equal and
5 active deep tendon reflexes of the biceps, triceps and brachioradialis,
6 negative clonus and Hoffman signs, intact sensation testing with no
7 hypesthesia, normal muscle testing, and no weakness; a right wrist grip
8 strength of 0/0/0 and a left wrist grip strength of 18/18/17. (See AR
9 463-66);
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12 2008-2010 Examinations

13 Reports of examinations from March 5, 2008 to August 27, 2010 (pain
14 management) at Sonora Medical Group, Inc., finding, inter alia, that
15 Plaintiff had decreased spontaneous use of the right upper extremity,
16 generalized weakness throughout the right upper extremity, decreased
17 right hand grip strength, and generalized tenderness through the right
18 shoulder, arm, elbow, wrist and hand. (See AR 375-76, 381-82, 387-88,
19 392-93, 397-98, 404-05, 415-16, 427-28, 435, 453-54, 1108-09, 1112-13,
20 1117-18, 1121-22, 1126-27, 1130-31, 1136-37, 1146-47, 1158-59, 1167-68,
21 1179-80, 1187-88, 1195-96, 1199-1200, 1203-04, 1207-09, 1217-18, 1232-
22 33, 1243-44, 1248-58);
23
24

25
26 November 5, 2009

27 A November 5, 2009 examination (rheumatology) performed by Rodney
28 Bluestone, M.B., at Rodney Bluestone Medical Corporation, finding, inter

1 alia, a full and painless range of motion of the cervical spine; 30% off
2 full range of motion of the right shoulder, accompanied by joint
3 discomfort to slight pain (but almost full range of motion without an
4 increase in discomfort with a passive assist); full and painless range
5 of motion of the right elbow; full and painless range of motion (with a
6 negative Phalen's test) of the right wrist; no discoloration, synovitis
7 or myopathy of the right hand; right hand grip strength 15/15/15
8 (against an expected 55 pounds of force), and left hand grip strength
9 35/40/40 (against an expected 49 pounds of force); mild tenderness over
10 the right trapezial area, the right rhomboid region, and several distal
11 interphalangeal joints (although Plaintiff said she did not like even
12 light contact on the right upper arm); and no other abnormal physical
13 signs. (see AR 538-49); and
14
15

16
17 August 5, 2010 X-Ray

18 An August 5, 2010 X-ray of the bilateral shoulders, revealing: a
19 mild narrowing at the acromioclavicular joint of the right shoulder with
20 a small calcific density and no acute bony abnormalities; and a
21 narrowing of the acromioclavicular joint of the left shoulder with a few
22 tiny densities and no evidence of acute fracture or dislocation. (see AR
23 931-32).
24
25

26 As the ALJ noted, treatment records from the Medical Center for
27 Bone and Joint Disorders [Scott Goldman, M.D.] (where Plaintiff was
28 treated from February 4, 2004 through December 20, 2010) "did not

1 provide significant findings on examination, but did report sign[s] of
2 tenderness and generalized pain in the right upper extremity, as well as
3 positive Tinel's sign and Phalen's sign findings of the right upper
4 extremity." (AR 29).⁶

5
6
7 Although the ALJ apparently was focused on the treatment records
8 prior to December 31, 2010 (the date last insured), Plaintiff was
9 treated at the Medical Center for Bone and Joint Disorders through April
10 20, 2012, according to Dr. Goldman, see AR 1600). However, the
11 treatment records after December 31, 2010 reflect essentially the same
12 findings as the earlier treatment records. (See AR 888, 1524-25
13

14
15 ⁶ (See AR 799, 846 [February 4, 2004], 786 [January 25, 2005],
16 847 [February 2, 2005], 784 [March 2, 2005], 794 [March 30, 2005], 838
17 [April 27, 2005], 781, 783 [May 23, 2005], 789 [June 20, 2005], 792
18 [June 28, 2005], 818 [July 18, 2005], 812 [August 12, 2005], 842 [August
19 26, 2005], 790 [September 23, 2005], 798 [October 21, 2005], 828
20 [November 16, 2005], 802 [December 15, 2005], 797 [January 13, 2006],
21 819 [February 13, 2006], 776 [March 15, 2006], 811 [April 5, 2006], 777
22 [May 4, 2006], 833 [June 6, 2006], 793 [July 3, 2006], 824 [August 11,
23 2006], 844 [September 22, 2006], 836 [November 2, 2006], 835 [December
24 14, 2006], 841 [January 25, 2007], 785 [March 8, 2007], 805 [April 19,
25 2007], 814 [May 31, 2007], 820 [July 10, 2007], 796 [August 7, 2007],
26 806 [September 18, 2007], 778 [October 30, 2007], 834 [November 28,
27 2007], 810 [January 10, 2008], 826 [February 21, 2008], 788 [March 20,
28 2008], 848 [April 30, 2008], 815 [June 11, 2008], 804 [July 14, 2008],
849 [August 12, 2008], 823 [September 9, 2008], 774 [October 9, 2008],
839 [November 6, 2008], 845 [December 8, 2008], 795 [January 7, 2009],
827 [February 5, 2009], 787 [March 10, 2009], 837 [April 9, 2009], 809
[May 7, 2009], 780 [June 9, 2009], 808 [July 15, 2009], 829 [August 17,
2009], 803 [September 15, 2009], 832 [October 13, 2009], 831 [November
12, 2009], 830 [January 4, 2010], 840 [February 1, 2010], 816 [March 5,
2010], 817 [April 8, 2010], 775 [May 6, 2010], 801 [July 21, 2010], 821
[October 22, 2010], 779 [November 19, 2010], 800 [December 10, 2010] and
825 [December 20, 2010]; see also AR 1443-1523 [Progress Notes dated May
7, 2009, June 9, 2009, July 15, 2009, August 17, 2009, September 15,
2009, October 13, 2009, November 12, 2009, December 10, 2009, January 4,
2010, February 1, 2010, March 5, 2010, April 8, 2010, May 6, 2010, July
21, 2010, October 22, 2010, November 19, 2010, and December 20, 2010].

1 [January 19, 2011], 887, 1536-37 [February 21, 2011], 886, 1543-44
2 [March 23, 2011], 885, 1547-48 [April 25, 2011], 1551-52 [May 23,
3 2011],1555-56 [June 21, 2011], 1571-72 [July 21, 2011], 1579-80 [August
4 26, 2011], 1559-60 [September 27, 2011], 1588-89 [October 25, 2011]).
5
6

7 The ALJ also noted that treatment records from Kaiser Permanente
8 (where Plaintiff was treated from June 29, 2010 to May 5, 2011)
9 "appeared to show some improvement [in her] upper extremity symptoms"
10 (AR 29). (See AR 915-18 [June 29, 2010, noting a normal neck,
11 cardiovascular, pulmonary/chest, abdominal, musculoskeletal and
12 neurological examination], 925-26 [August 5, 2010, stating that
13 Plaintiff was complaining of pain in her shoulders, and noting normal
14 neck, cardiovascular, pulmonary/chest, abdominal, musculoskeletal ("Normal
15 range of motion. She exhibits tenderness.")], 963-67 [August 27, 2010,
16 noting a normal neck, cardiovascular, pulmonary/chest, abdominal,
17 musculoskeletal, and neurological examination], 977-79 [September 3,
18 2010, stating that Plaintiff was complaining of increasing bilateral
19 shoulder pain; finding that Plaintiff exhibited tenderness and pain but
20 showed a normal range of motion, no swelling, effusion, crepitus,
21 deformity, laceration or spasm, and normal pulse and strength; and
22 finding (based on a bilateral shoulder exam), inter alia, that Plaintiff
23 had some atrophy in the right shoulder, full range of motion (except the
24 internal rotation was with pain), 4/5 strength (based on poor effort
25 bilaterally), and 5/5 strength on external rotation and internal
26 rotation without pain], 986-87 [September 17, 2010, noting a normal
27
28

1 neck, cardiovascular, pulmonary/chest, abdominal, musculoskeletal, and
2 neurological examination], and 997-99 [May 5, 2011, noting a normal
3 neck, cardiovascular, pulmonary/chest, abdominal, musculoskeletal, and
4 neurological examination].
5

6
7 The ALJ properly found that “[m]ental examinations were generally
8 unremarkable with few abnormal findings” (AR 30). That finding was
9 supported by the following evidence discussed by the ALJ (see id.):
10

11 July 20, 2005 Assessment

12 A July 20, 2005 Employee Support Systems Intake Assessment, finding
13 that Plaintiff had a depressed mood, but was oriented, dressed
14 appropriately, and had intact thought processes. (See AR 231);
15

16
17 September 29, 2009 Psychiatric Examination

18 A psychiatric examination performed by Esther Liba Chodakiewitz,
19 M.D., at Inland Empire Psychiatric Care, Inc., on September 29, 2009
20 finding, inter alia, that Plaintiff appeared to be unhappy, inhibited
21 and hopeless, and disinterested in social appearance, but was
22 cooperative, attentive and interested during the examination;
23 Plaintiff’s speech was monotonous, soft, low-pitched and slow, but there
24 were no disturbances in her speech; Plaintiff’s affect was flat and her
25 face was immobile; there were no hallucinations, illusions,
26 depersonalization or derealization; Plaintiff’s thought process was
27 goal-directed and relevant and there was not distractibility or language
28

1 impairment; Plaintiff was mainly preoccupied about her illness,
2 finances, family responsibilities, competency for work and the future
3 (she had paranoid, and possibly somatic, delusions), and was capable of
4 abstract thinking; Plaintiff was alert and oriented, and had intact
5 memory; Plaintiff had fair judgment and insight; and Plaintiff was not
6 reliable or capable of reporting her situation accurately - "she is
7 confused with dates about important events." (See AR 525-26); and
8

9
10 February 19, 2010 Psychological Examination

11 A February 19, 2010 psychological examination performed by David
12 Freeman, Ph.D. and Susan C. Rose, Ph.D. at Cal Psych FMT, finding, inter
13 alia, that Plaintiff was cooperative and cordial during the examination;
14 responded thoughtfully to the questions, although her responses seemed
15 somewhat controlled; Plaintiff's speech was normal; Plaintiff presented
16 the facts of her case rationally; Plaintiff's affect was mildly to
17 moderately dysphoric with tearfulness (based on feelings of sadness,
18 worthlessness and anxiety); Plaintiff was oriented; there was no
19 evidence of homicidal or suicidal ideation, pressured speech, loose
20 associations, ideas of reference, or auditory or visual hallucinations;
21 Plaintiff was of average intelligence, with a fund of knowledge
22 commensurate with education and background; Plaintiff's judgment and
23 insight were fair; Plaintiff's long-term memory was somewhat
24 impressionistic (she was unclear about specific dates and details of her
25 history), but she was able to give a reasonably fair accounting of her
26 life events; and Plaintiff had impaired concentration and short-term
27
28

1 memory, but not severe enough to interfere with normal functioning (see
2 AR 662-64).

3
4 The ALJ's finding that there was sparse evidence concerning
5 Plaintiff's mental impairment was also a clear and convincing reason for
6 partially discrediting Plaintiff's testimony. See Burch v. Barnhart,
7 supra ("The ALJ is permitted to consider lack of treatment in his
8 credibility determination."); Bunnell v. Sullivan, 947 F.2d 341, 346 ((th
9 Cir. 1991) ("Another relevant factor [in a credibility determination may
10 be 'unexplained, or inadequately explained, failure to seek treatment or
11 follow a prescribed course of treatment.'"). As the ALJ noted, (see AR
12 31), at the February 19, 2010 psychological examination, Plaintiff
13 reported that she sought psychiatric treatment in August or September
14 2005 for only about one month (see AR 680), and at the hearing the
15 psychological expert (Dr. Malancharuvil) testified that Plaintiff sought
16 mental health treatment for one month in 2005, did not seek any mental
17 health treatment prior to the psychiatric evaluation in December 2009,
18 and did not seek any mental health treatment prior to or after the
19 psychological evaluation in February 2010 (see AR 58).

20
21
22
23 Moreover, the ALJ's finding that, during a September 3, 2010
24 bilateral shoulder strength examination, Plaintiff gave "poor effort"
25 but was able to do external rotation and external rotation without pain
26 (AR 31, citing AR 978) was a clear and convincing reason for partially
27 discrediting Plaintiff's testimony regarding her symptoms. See Thomas
28

1 v. Barnhart, 278 F.3d 947, 959 (9th Cir. 2002)(claimant's "efforts to
2 impede accurate testing of her limitations", namely, her failure to give
3 maximum or consistent effort during two physical capacity evaluations,
4 "supports the ALJ's determinations as to her lack of credibility").
5

6 In addition, the ALJ's finding that Plaintiff's abilities to
7 perform certain daily activities not requiring significant use of her
8 upper right extremity, such as driving, shopping, picking up the house
9 a little, walking 15 to 60 minutes with her sister-in-law or a friend,
10 driving alone for as long as 50 minutes, taking long sponge baths,
11 preparing breakfast, helping her children get ready for school, and
12 driving her children to school (see AR 54, 64, 662, 669), undermined her
13 credibility concerning her functional limitations because "such evidence
14 is inconsistent with limitations that would preclude sustained work
15 activity and is consistent with an ability to do less than a wide range
16 of light work activity" (AR 30), was a clear and convincing reason for
17 partially discrediting Plaintiff's testimony. See Rollins v. Massanari,
18 261 F.3d 853, 857 (9th Cir. 2001); Bunnell v. Sullivan, supra, 947 F.2d
19 at 345-46; see also Morgan v. Commissioner of Social Sec. Admin., 169
20 F.3d 595, 600 (9th Cir. 1999)("If a claimant is able to spend a
21 substantial part of his day engaged in pursuits involving the
22 performance of physical functions that are transferable to a work
23 setting, a specific finding as to this fact may be sufficient to
24 discredit a claimant's allegations."); Reddick v. Chater, supra ("Only
25 if the level of activity were inconsistent with the Claimant's claimed
26
27
28

1 limitations would these activities have any bearing on Claimant's
2 credibility.").⁷

3
4 **B. The ALJ Properly Rejected the Opinion of Plaintiff's Treating**
5 **Physician, Scott Goldman, M.D.**

6 Although a treating physician's opinion is generally afforded the
7 greatest weight in disability cases, it is not binding on an ALJ with
8 respect to the existence of an impairment or the ultimate determination
9 of disability. Batson v. Comm'r of Soc. Sec. Admin., 359 F.3d 1190,
10 1195 (9th Cir. 2004); Magallanes v. Bowen, 812 F.2d 747, 751 (9th Cir.
11 1989). The weight given a treating physician's opinion depends on
12 whether it is supported by sufficient medical data and is consistent
13 with other evidence in the record. 20 C.F.R. § 416.927(b)-(d). If a
14 treating doctor's opinion is not contradicted by another doctor, the ALJ
15 can reject the treating doctor's opinion only for "clear and convincing
16 reasons." Carmickle v. Commissioner, 533 F.3d 1155, 1164 (9th Cir.
17 2008); Lester v. Chater, supra, 81 F.3d at 830. If the treating
18
19
20

21 ⁷ Even if the ALJ erred in discrediting Plaintiff's testimony
22 based on her ability to perform daily activities, see Vertigan v.
23 Halter, 260 F.3d 1044, 1050 (9th Cir. 2001)("[T]he mere fact that a
24 plaintiff has carried on certain daily activities . . . does not in any
25 way detract from her credibility as to her overall disability. One does
26 not need to be 'utterly incapacitated' in order to be disabled."), the
27 Court finds any such error to be harmless since, as discussed above, the
28 ALJ provided other clear and convincing reasons for partially rejecting
Plaintiff's testimony about her symptoms and limitations. See Carmickle
v. Commissioner, 533 F.3d 1155, 1162-63 (9th Cir. 2008)("So long as
there remains 'substantial evidence supporting the ALJ's conclusion on
. . . credibility' and the error 'does not negate the validity of the
ALJ's ultimate [credibility] conclusion,' such is deemed harmless and
does not warrant reversal.")(citation omitted); Tommasetti v. Astrue,
533 F.3d 1035, 1038 (9th Cir. 2008) (an ALJ's error is harmless
"when it is clear from the record . . . that it was 'inconsequential to
the ultimate nondisability determination.'").

1 doctor's opinion is contradicted by another doctor, the ALJ must provide
2 "specific and legitimate reasons" for rejecting the treating doctor's
3 opinion. Orn v. Astrue, 495 F.3d 625, 632 (9th Cir. 20071); Reddick v.
4 Chater, supra, 157 F.3d at 725; Lester v. Chater, supra.

5
6 Scott Goldman, M.D., a Board certified orthopedic surgeon, treated
7 Plaintiff from February 4, 2004 to April 20, 2012. (See AR 774-849,
8 885-97, 902-12, 1443-1532, 1535-86, 1588-91, 1600).⁸ In an Upper
9 Extremity Impairment Questionnaire dated April 20, 2012, Dr. Goldman
10 diagnosed Plaintiff with right elbow cubital tunnel syndrome, right
11 wrist carpal tunnel syndrome, "CRPS/RSO right upper extremity," right
12 thumb trigger finger, constipation secondary to opiod use and
13 depression, supported by the following clinical findings: reduced grip
14 strength, tenderness, swelling, loss of sensation, and loss of fine
15 coordination of the upper right extremity, and decreased sensation to
16 the fingertips of the right hand. (AR 1600-01). Dr. Golman opined that
17 Plaintiff had the following functional limitations: can lift and carry
18 up to 5 pounds occasionally but never more than 5 pounds; cannot keep
19 her neck in a constant position (i.e., looking at a computer screen or
20 looking down at the desk), preventing her from doing a full-time
21 competitive job requiring that activity on a sustained basis; had
22 significant limitations in repetitive reaching, handling, fingering or
23 lifting -- with respect to her upper right extremity, marked limitations
24
25
26

27
28 ⁸ Although Dr. Goldman states he began treating Plaintiff on
January 5, 2005 (see AR 1600), it appears Dr. Goldman began treating
Plaintiff as early as February 4, 2004. (See AR 799, 846).

1 in grasping/turning/twisting objects, using fingers/hands for fine
2 manipulations, and using arms for reaching -- and with respect to her
3 left upper extremity, minimal limitations in those areas; significant
4 repetitive reaching, handling or fingering increase her symptomatology
5 and pain; other limitations affecting her ability to work at a regular
6 job on a sustained basis are psychological limitations, the need to
7 avoid wetness, temperature extremes, fumes, gases, humidity and heights,
8 and no pushing or pulling; anxiety and depression contribute to her pain
9 and lack of mobility; every 30 minutes during an 8-hour workday she will
10 need to take unscheduled breaks lasting 30 to 45 minutes; and she would
11 likely be absent from work more than 3 times a month as a result of her
12 impairments. (See AR 1600-05).
13

14
15 The ALJ addressed Dr. Goldman's opinion as follows:

16
17 A treating physician's medical opinion, on the issue of
18 the nature and severity of an impairment, is entitled to
19 special significance; and, when supported by objective medical
20 evidence and consistent with otherwise substantial evidence of
21 record, entitled to controlling weight (Social Security Ruling
22 96-20). However, the undersigned, pursuant to 20 CFR §
23 404.1527 and Social Security Ruling 96-2p, finds no support in
24 the findings reported by Dr. Goldman. Some weight is given to
25 the right upper extremity limitations imposed by Dr. Goldman,
26 [but] the physical examination findings throughout the record
do not support the extent of limitations found by Dr. Goldman.
In addition, Dr. Goldman's report primarily summarizes the
claimant's subjective complaints and diagnoses but does not
present objective clinical or laboratory diagnostic findings
that support its conclusions. Accordingly, the undersigned
gives little evidentiary weight to this opinion which, if
otherwise accepted as credible, would indicate that the
claimant could not perform any kind of work.

27 (AR 32-33).
28

1 The ALJ properly discredited Dr. Goldman's opinion because it was
2 conclusory and not supported by the objective medical evidence. See
3 Thomas v. Barnhart, 278 F.3d 947, 957 (9th Cir. 2002) (An ALJ "need not
4 accept the opinion of any physician, including a treating physician, if
5 that opinion is brief, conclusory and inadequately supported by clinical
6 findings."); Rollins v. Massanari, 261 F.3d 853, 856 (9th Cir. 2001)
7 (ALJ properly discounted treating physician's opinion for being "so
8 extreme as to be implausible" and "not supported by any findings" where
9 there was "no indication in the record what the basis for these
10 restrictions might be"); Magallanes v. Bowen, supra, 881 F.2d at 752
11 (ALJ's decision to reject the treating physician's opinion due to a lack
12 of medical evidence was sufficiently "specific and legitimate" and based
13 on substantial evidence in the record).
14
15

16 Although Dr. Goldman's examinations of Plaintiff revealed issues
17 with her upper right extremity *prior to the date last insured* -- (see AR
18 799, 846, 786, 847, 784, 794, 838, 781, 783, 789, 792, 818, 812, 842,
19 790, 798, 828, 802, 797, 819, 776, 811, 777, 833, 793, 824, 844, 836,
20 835, 841, 785, 805, 814, 820, 796, 806, 778, 834, 810, 826, 788, 848,
21 815, 804, 849, 823, 774, 839, 845, 795, 827, 787, 837, 809, 780, 808,
22 829, 803, 832, 831, 830, 840, 816, 817, 775, 801, 821, 779, 800, 825,
23 1443-1523)-- and *after the date last insured* (see AR 885-88, 1524-25,
24 1536-37, 1543-44, 1547-48, 1551-52, 1555-56, 1559-60, 1571-72, 1579-80,
25 1588-89, those examinations (as well as the other physical examinations
26 and medical evidence discussed above, see AR 297-99, 289-92, 329-30,
27
28

1 344-45, 363-65, 463-66, 375-76, 381-82, 387-88, 392-93, 397-98, 404-05,
2 415-16, 427-28, 435, 453-54, 538-49, 915-18, 925-26, 931-32, 963-67,
3 977-79, 986-87, 997-99, 1108-1227, 1232-39, 1243-61) did not provide a
4 basis for Dr. Goldman's overall extremely restrictive limitations
5 (except for the limitations of no forceful pushing or pulling, forceful
6 gripping or grasping, torquing, repetitive gripping, and fine
7 manipulation with the right upper extremity, see AR 27).
8
9

10 Moreover, the ALJ properly rejected Dr. Goldman's opinion based on
11 Dr. Goldman's reliance on Plaintiff's self-reports of symptoms and
12 limitations which the ALJ properly discredited, as discussed above. See
13 Tomasetti v. Astrue, 533 F.3d 1035, 1041 (9th Cir. 2008) ("An ALJ may
14 reject a treating physician's opinion if it is based 'to a large extent'
15 on a claimant's self-reports that have been properly discounted as
16 incredible.") (citations omitted).
17
18

19 **C. The ALJ Properly Determined Plaintiff's RFC**

20

21 To the extent that Plaintiff is challenging the ALJ's determination
22 about her RFC based on: (1) findings by Dr. Goldman that she was
23 temporarily totally disabled and then unable to work (see Motion at 3,
24 citing AR 776-858 [Treatment records showing that Dr. Goldman found
25 Plaintiff temporarily totally disabled beginning on February 2, 2005 and
26 then unable to work beginning on October 22, 2010], and (2) findings by
27 Dr. Beck that Plaintiff has a severe disability and is not capable of
28

1 any type of useful work (see Motion at 3, citing AR 366-67 [In a report
2 dated May 22, 2007, Dr. Beck, following a physical examination,
3 diagnosed Plaintiff with chronic regional pain syndrome, status post
4 multiple nerve surgeries, and found that Plaintiff was temporarily
5 totally disabled and "cannot at this time return to her usual and
6 customary work"])⁹, Plaintiff's claim fails.
7

8
9 Dr. Goldman's and Dr. Beck's findings of temporary total disability
10 and inability to work were specific to Plaintiff's workers' compensation
11 claim and were not binding on the ALJ. See 20 C.F.R. § 404.1504 ("A
12 decision by any nongovernmental or any other governmental agency about
13 whether you are disabled or blind is based on its rules and is not our
14 decision about whether you are disabled or blind. We must make a
15 disability or blindness determination based on social security law.
16 Therefore, a determination made by another agency that you are disabled
17 or blind is not binding on us."); Alvarez v. Colvin, 562 Fed.Appx. 553,
18 553 (9th Cir. 2014) ("Because the ALJ is obliged to make a disability
19 determination based on social security law, the ALJ was not bound by Dr.
20 Larsen's finding that [the claimant] was temporarily totally disabled
21 for purposes of California workers' compensation"); see also 20 C.F.R.
22 § 404.1527(d)(1) ("A statement by a medical source that you are
23 'disabled' or "unable to work" does not mean that we will determine that
24 you are disabled."). While the ALJ did not specifically mention Dr.
25
26

27 ⁹ The Court notes that consultative psychological examiners Drs.
28 Freeman and Rose opined that Plaintiff was temporarily totally
psychologically disabled from March 8, 2006 through November 5, 2008.
(See AR 758).

1 Goldman's and Dr. Beck's findings of temporary total disability and
2 inability to work, it is clear from the ALJ's Decision that such
3 findings were considered (see AR 28-29, 31 [noting Dr. Malancharvuil's
4 testimony that Plaintiff was found to be temporarily disabled in 2005
5 and 2009, see AR 56-57)]. See SSR 96-5p, 1996 WL 374183, *3 ("Opinions
6 from any medical source on issues reserved to the Commissioner must
7 never be ignored. The adjudicator is required to evaluate all evidence
8 in the case record that may have a bearing on the determination or
9 decision of disability, including opinions from medical sources about
10 issues reserved to the Commissioner.").

11
12
13 Moreover, contrary to Plaintiff's implied assertion (see Motion at
14 3), the fact that Dr. Goldman stated on June 21, 2010 that "[f]uture
15 medical care should include medications, repeat injections, including
16 stellate ganglion blocks, diagnostic studies, surgery for the right
17 shoulder, right elbow, right wrist, and pain management" (AR 892) does
18 not mean that the ALJ was required to find Plaintiff disabled. Dr.
19 Goldman's statement about Plaintiff's future medical care was not
20 definitive; Dr. Goldman did not say that all those measures would be
21 absolutely necessary. Nonetheless, the ALJ's determination about
22 Plaintiff's RFC (with the exception of an overhead reaching limitation)
23 includes a majority of the work restrictions assessed by Dr. Goldman in
24 the June 21, 2010 report, such as "forceful pushing and pulling,
25 repetitive gripping and torquing, and prolonged fine manipulation with
26 the right upper extremity" (id.).

1 To the extent that Plaintiff is contending that the ALJ improperly
2 relied on nonexamining psychological expert, Joseph Malancharuvil, M.D.,
3 in determining Plaintiff's RFC, Plaintiff's claim is without merit.
4

5 The ALJ gave great weight to Dr. Malancharuvil who opined, based on
6 his review of the entire medical record, including Plaintiff's
7 testimony, that Plaintiff had adjustment reaction with depressive
8 symptoms and chronic pain syndrome; no limitations in her activities of
9 daily living, mild limitations in social functioning, and mild to
10 moderate limitations in concentration, persistence or pace; Plaintiff's
11 impairment or combination of impairments did not equal or meet any
12 Listing; Plaintiff could perform moderately complex tasks; Plaintiff was
13 precluded from operating hazardous or fast-moving machinery, safety-
14 related operations, and highly fast-paced work (such as an assembly
15 line); Plaintiff's limitations would be mostly physical in nature; and
16 Plaintiff's reaction to her physical issues caused her depression (see
17 AR 55-61). (See AR 31-32).
18
19
20

21 As noted by the ALJ (see AR 32), Dr. Malancharuvil's opinion about
22 Plaintiff's limitations was consistent with: (a) the February 19, 2010
23 consultative psychological examination performed by Drs. Freeman and
24 Rose who (based on Plaintiff's history, their review of records,
25 psychological testing and clinical examination, as discussed above)
26 diagnosed Plaintiff with depressive disorder not otherwise specified,
27
28

1 mild to moderate and chronic and with a Global Functioning Score of 60,¹⁰
2 and found that Plaintiff had a very slight impairment in her abilities
3 to comprehend and follow instructions, no impairment in her ability to
4 perform simple and repetitive tasks, slight impairment in her ability to
5 maintain a work pace appropriate to a given work load, very slight to
6 slight impairment in her ability to perform complex or varied tasks,
7 slight impairment in her ability to relate to other people beyond giving
8 and receiving instructions, slight impairment in her ability to
9 influence people, very slight to slight impairment in her ability to
10 make decisions, evaluations, judgments or generalizations without
11 immediate supervision, and very slight to slight impairment in her
12 ability to accept and carry out responsibility for direction, control
13 and planning (see AR 751-52, 758-60); and (b) the findings at the
14 September 29, 2009 psychiatric examination, as discussed above. See
15 Thomas v. Barnhart, supra ("The opinions of non-treating or non-
16 examining physicians may also serve as substantial evidence when the
17 opinions are consistent with independent clinical findings and evidence
18 in the record.").

21
22
23
24 ¹⁰ A Global Assessment Functioning score of 51-60 indicates
25 moderate symptoms (e.g., flat affect and circumstantial speech,
26 occasional panic attacks) or moderate difficulty in social,
27 occupational, or school functioning (e.g., few friends, conflicts with
peers or co-workers). American Psychiatric Association, *Diagnostic and
Statistical Manual of Mental Disorders*, Fourth Edition, Text Revision
(DSM-IV-TR), 34 (2000).

28 "[T]he [GAF] score is used for treatment purposes and not for
rating a person's ability to work." Deck v. Colvin, 2014 WL 7388792, *1
(9th Cir.).

ORDER

For the foregoing reasons, the decision of the Commissioner is affirmed.

LET JUDGMENT BE ENTERED ACCORDINGLY.

DATED: November 30, 2015

/s/
ALKA SAGAR
UNITED STATES MAGISTRATE JUDGE