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UNITED STATES DISTRICT COURT  
CENTRAL DISTRICT OF CALIFORNIA

TONYA RENEE CLOWSER,	)	Case No. EDCV 16-2044-JPR
	)	
Plaintiff,	)	
	)	<b>MEMORANDUM DECISION AND ORDER</b>
v.	)	<b>REVERSING COMMISSIONER</b>
	)	
NANCY A. BERRYHILL, Acting	)	
Commissioner of Social	)	
Security, <sup>1</sup>	)	
	)	
Defendant.	)	
_____	)	

**I. PROCEEDINGS**

Plaintiff seeks review of the Commissioner’s final decision denying her application for Social Security disability insurance benefits (“DIB”). The parties consented to the jurisdiction of the undersigned U.S. Magistrate Judge under 28 U.S.C. § 636(c). The matter is before the Court on the parties’ Joint Stipulation, filed July 11, 2017, which the Court has taken under submission without oral argument. For the reasons stated below, the Commissioner’s decision is reversed and this action is remanded

<sup>1</sup> Nancy A. Berryhill is substituted in as the correct Defendant.

1 for further proceedings.

2 **II. BACKGROUND**

3 Plaintiff was born in 1976. (Administrative Record ("AR")  
4 186.) She has a GED (AR 218) and last worked as a licensed  
5 vocational nurse (id.; see also AR 57-58).

6 On January 22, 2013, Plaintiff filed an application for DIB,  
7 alleging that she had been disabled since December 21, 2011 (AR  
8 186), because of "disc herniation facet joint hypertrophy  
9 bursitis nerve," "back injury multiple disc desiccation with  
10 annular tear," spinal retrolisthesis,<sup>2</sup> bursitis of the hips, pain  
11 and numbness in her right leg, anxiety, depression, insomnia,  
12 colitis, irritable bowel syndrome, and fibromyalgia (see AR 217,  
13 230). After her application was denied initially (AR 110) and  
14 upon reconsideration (AR 116), she requested a hearing before an  
15 Administrative Law Judge (see AR 131). A hearing was held on  
16 December 30, 2014, at which Plaintiff, who was represented by  
17 counsel, testified, as did a vocational expert. (AR 53-81.) In  
18 a written decision issued March 20, 2015, the ALJ found Plaintiff  
19 not disabled. (AR 33-47.) Plaintiff requested review from the  
20 Appeals Council, and on August 1, 2016, it denied review. (AR 1-  
21 6.) This action followed.

22 **III. STANDARD OF REVIEW**

23 Under 42 U.S.C. § 405(g), a district court may review the  
24 Commissioner's decision to deny benefits. The ALJ's findings and  
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26 <sup>2</sup> Retrolisthesis is a joint dysfunction in which a single  
27 vertebra slips backward along or underneath a disc. See  
28 Retrolisthesis: Types, Causes, and Symptoms, MedicalNewsToday,  
<https://www.medicalnewstoday.com/articles/319571.php> (last  
updated Sept. 30, 2017).

1 decision should be upheld if they are free of legal error and  
2 supported by substantial evidence based on the record as a whole.  
3 See id.; Richardson v. Perales, 402 U.S. 389, 401 (1971); Parra  
4 v. Astrue, 481 F.3d 742, 746 (9th Cir. 2007). Substantial  
5 evidence means such evidence as a reasonable person might accept  
6 as adequate to support a conclusion. Richardson, 402 U.S. at  
7 401; Lingenfelter v. Astrue, 504 F.3d 1028, 1035 (9th Cir. 2007).  
8 It is more than a scintilla but less than a preponderance.  
9 Lingenfelter, 504 F.3d at 1035 (citing Robbins v. Soc. Sec.  
10 Admin., 466 F.3d 880, 882 (9th Cir. 2006)). To determine whether  
11 substantial evidence supports a finding, the reviewing court  
12 "must review the administrative record as a whole, weighing both  
13 the evidence that supports and the evidence that detracts from  
14 the Commissioner's conclusion." Reddick v. Chater, 157 F.3d 715,  
15 720 (9th Cir. 1996). "If the evidence can reasonably support  
16 either affirming or reversing," the reviewing court "may not  
17 substitute its judgment" for the Commissioner's. Id. at 720-21.

#### 18 **IV. THE EVALUATION OF DISABILITY**

19 People are "disabled" for purposes of receiving Social  
20 Security benefits if they are unable to engage in any substantial  
21 gainful activity owing to a physical or mental impairment that is  
22 expected to result in death or has lasted, or is expected to  
23 last, for a continuous period of at least 12 months. 42 U.S.C.  
24 § 423(d)(1)(A); Drouin v. Sullivan, 966 F.2d 1255, 1257 (9th Cir.  
25 1992).

##### 26 A. The Five-Step Evaluation Process

27 The ALJ follows a five-step sequential evaluation process to  
28 assess whether a claimant is disabled. 20 C.F.R.

1 § 404.1520(a)(4); Lester v. Chater, 81 F.3d 821, 828 n.5 (9th  
2 Cir. 1995) (as amended Apr. 9, 1996). In the first step, the  
3 Commissioner must determine whether the claimant is currently  
4 engaged in substantial gainful activity; if so, the claimant is  
5 not disabled and the claim must be denied. § 404.1520(a)(4)(i).

6 If the claimant is not engaged in substantial gainful  
7 activity, the second step requires the Commissioner to determine  
8 whether the claimant has a "severe" impairment or combination of  
9 impairments significantly limiting her ability to do basic work  
10 activities; if not, the claimant is not disabled and her claim  
11 must be denied. § 404.1520(a)(4)(ii).

12 If the claimant has a "severe" impairment or combination of  
13 impairments, the third step requires the Commissioner to  
14 determine whether the impairment or combination of impairments  
15 meets or equals an impairment in the Listing of Impairments set  
16 forth at 20 C.F.R. part 404, subpart P, appendix 1; if so,  
17 disability is conclusively presumed. § 404.1520(a)(4)(iii).

18 If the claimant's impairment or combination of impairments  
19 does not meet or equal an impairment in the Listing, the fourth  
20 step requires the Commissioner to determine whether the claimant  
21 has sufficient residual functional capacity ("RFC")<sup>3</sup> to perform  
22 her past work; if so, she is not disabled and the claim must be  
23 denied. § 404.1520(a)(4)(iv). The claimant has the burden of  
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25 <sup>3</sup> RFC is what a claimant can do despite existing exertional  
26 and nonexertional limitations. § 404.1545; see Cooper v.  
27 Sullivan, 880 F.2d 1152, 1155 n.5 (9th Cir. 1989). The  
28 Commissioner assesses the claimant's RFC between steps three and  
four. Laborin v. Berryhill, 867 F.3d 1151, 1153 (9th Cir. 2017)  
(citing § 416.920(a)(4)).

1 proving she is unable to perform past relevant work. Drouin, 966  
2 F.2d at 1257. If the claimant meets that burden, a prima facie  
3 case of disability is established. Id.

4 If that happens or if the claimant has no past relevant  
5 work, the Commissioner then bears the burden of establishing that  
6 the claimant is not disabled because she can perform other  
7 substantial gainful work available in the national economy.

8 § 404.1520(a)(4)(v); Drouin, 966 F.2d at 1257. That  
9 determination comprises the fifth and final step in the  
10 sequential analysis. § 404.1520(a)(4)(v); Lester, 81 F.3d at 828  
11 n.5; Drouin, 966 F.2d at 1257.

12 B. The ALJ's Application of the Five-Step Process

13 At step one, the ALJ found that Plaintiff had not engaged in  
14 substantial gainful activity since December 21, 2011, the alleged  
15 disability onset date. (AR 35.) At step two, he concluded that  
16 she had the following severe impairments: "degenerative disc  
17 disease of the lumbar spine with mild radiculopathy; bilateral  
18 bursitis of the hips, left more than right; and pain disorder,  
19 likely due to fibromyalgia."<sup>4</sup> (Id.) At step three, he found  
20 that she did not have an impairment or combination of impairments  
21 falling under a Listing. (AR 38-39.)

22 At step four, the ALJ found that Plaintiff had the RFC to  
23 perform a modified version of sedentary work: she can "lift,  
24 carry, push, and pull ten pounds occasionally and less than five  
25 pounds frequently," "sit for six hours out of an eight-hour day,  
26 for a maximum of forty minutes at a time," "stand and walk for

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28 <sup>4</sup> Plaintiff does not challenge the ALJ's finding that her  
other alleged impairments were not severe.

1 six hours of an eight-hour day, for a maximum of 10-15 minutes at  
2 a time," and "perform occasional climbing of ramps and stairs,  
3 balancing, stooping, kneeling, crouching, and crawling." (AR  
4 39.) She also "can never climb ladders, ropes, or scaffolds,"  
5 "must avoid extreme cold," and "must avoid hazardous machinery  
6 and unprotected heights." (Id.)

7 Based on the VE's testimony, the ALJ concluded that  
8 Plaintiff could not perform any past relevant work. (AR 45.) At  
9 step five, however, given her "age, education, work experience,  
10 and [RFC]," he determined that she could successfully perform two  
11 jobs in the national economy: booth ticket seller, DOT 211.467-  
12 030, 1991 WL 671853, and bench hand, DOT 715.684-026, 1991 WL  
13 679344. (AR 46.) Thus, the ALJ found Plaintiff not disabled.  
14 (AR 46-47.)

## 15 **V. DISCUSSION**

16 Plaintiff argues that the ALJ erred in evaluating (1) the  
17 medical-opinion evidence of record, specifically regarding the  
18 length of time Plaintiff could stand and walk, (2) the medical  
19 opinion from an "other source," and (3) the credibility of  
20 Plaintiff's "pain and symptom testimony." (See J. Stip. at 4-5.)  
21 Because the ALJ erred as to the medical-opinion evidence and part  
22 of the other-source opinion, the matter must be remanded for  
23 further proceedings.

### 24 A. The ALJ Improperly Evaluated the Medical-Opinion 25 Evidence

26 The ALJ gave only "partial weight" to the opinions of  
27 nonexamining state-agency internists G. Taylor-Holmes and M. Yee  
28 and examining internist Robin Alleyne because they were "overly

1 optimistic" and the "record support[ed] further limitations."<sup>5</sup>  
2 (AR 43-45.) Those doctors specifically opined that Plaintiff  
3 could "stand and walk for four hours in an eight-hour workday"  
4 (J. Stip. at 6 (citing AR 88-90, 101-03, 501-04)), but the ALJ  
5 rejected that finding "without an explanation," Plaintiff  
6 alleges, when he determined an RFC in which she could "stand and  
7 walk for six hours in an eight-hour day, for a maximum of 10-15  
8 minutes at a time" (id. at 6 (citing AR 39), 7).

9 1. Applicable law

10 Three types of physicians may offer opinions in Social  
11 Security cases: those who directly treated the plaintiff, those  
12 who examined but did not treat the plaintiff, and those who did  
13 neither. Lester, 81 F.3d at 830. A treating physician's opinion  
14 is generally entitled to more weight than an examining  
15 physician's, and an examining physician's opinion is generally  
16 entitled to more weight than a nonexamining physician's. Id.;  
17 see § 404.1527(c)(1).<sup>6</sup> This is so because treating physicians

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19 <sup>5</sup> As noted by both parties (see J. Stip. at 5 n.3, 11 n.6),  
20 the ALJ mistakenly refers to Dr. Alleyne as "Dr. Resnick"  
21 (compare AR 44 (stating that "Dr. Resnick" conducted consultative  
22 examination in July 2013), with AR 500-05 (Dr. Alleyne in fact  
23 conducted July 2013 consultative examination while radiologist  
24 Lawrence Resnick provided radiological assessment of Plaintiff's  
25 lumbar spine)).

26 <sup>6</sup> Social Security regulations regarding the evaluation of  
27 opinion evidence were amended effective March 27, 2017. When, as  
28 here, the ALJ's decision is the final decision of the  
Commissioner, the reviewing court generally applies the law in  
effect at the time of the ALJ's decision. See Lowry v. Astrue,  
474 F. App'x 801, 804 n.2 (2d Cir. 2012) (applying version of  
regulation in effect at time of ALJ's decision despite subsequent  
amendment); Garrett ex rel. Moore v. Barnhart, 366 F.3d 643, 647  
(8th Cir. 2004) ("We apply the rules that were in effect at the  
time the Commissioner's decision became final."); Spencer v.

1 are employed to cure and have a greater opportunity to know and  
2 observe the claimant. Smolen v. Chater, 80 F.3d 1273, 1285 (9th  
3 Cir. 1996). But "the findings of a nontreating, nonexamining  
4 physician can amount to substantial evidence, so long as other  
5 evidence in the record supports those findings." Saelee v.  
6 Chater, 94 F.3d 520, 522 (9th Cir. 1996) (per curiam) (as  
7 amended).

8 The ALJ may disregard a treating or examining physician's  
9 opinion regardless of whether it is contradicted. Magallanes v.  
10 Bowen, 881 F.2d 747, 751 (9th Cir. 1989); see Carmickle v.  
11 Comm'r, Soc. Sec. Admin., 533 F.3d 1155, 1164 (9th Cir. 2008).  
12 When a treating or examining physician's opinion is not  
13 contradicted by other medical-opinion evidence, however, it may  
14 be rejected only for "clear and convincing" reasons. Magallanes,  
15 881 F.2d at 751; Carmickle, 533 F.3d at 1164 (citing Lester, 81  
16 F.3d at 830-31). When it is contradicted, the ALJ must provide  
17 only "specific and legitimate reasons" for discounting it.  
18 Carmickle, 533 F.3d at 1164 (citing Lester, 81 F.3d at 830-31).  
19 The weight given an examining physician's opinion, moreover,  
20 depends on whether it is consistent with the record and  
21 accompanied by adequate explanation, among other things.  
22 § 404.1527(c)(3)-(6). Those factors also determine the weight  
23 afforded the opinions of nonexamining physicians. § 404.1527(e).

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25  
26 Colvin, No. 3:15-CV-05925-DWC, 2016 WL 7046848, at \*9 n.4 (W.D.  
27 Wash. Dec. 1, 2016) ("42 U.S.C. § 405 does not contain any  
28 express authorization from Congress allowing the Commissioner to  
engage in retroactive rulemaking."). Accordingly, citations to  
20 C.F.R. § 404.1527 are to the version in effect from August 24,  
2012, to March 26, 2017.

1 The ALJ considers findings by state-agency medical consultants  
2 and experts as opinion evidence. Id.

3 Furthermore, "[t]he ALJ need not accept the opinion of any  
4 physician . . . if that opinion is brief, conclusory, and  
5 inadequately supported by clinical findings." Thomas v.  
6 Barnhart, 278 F.3d 947, 957 (9th Cir. 2002); accord Batson v.  
7 Comm'r of Soc. Sec. Admin., 359 F.3d 1190, 1195 (9th Cir. 2004).

8 An ALJ need not recite "magic words" to reject a physician's  
9 opinion or a portion of it; the court may draw "specific and  
10 legitimate inferences" from the ALJ's opinion. Magallanes, 881  
11 F.2d at 755. "[I]n interpreting the evidence and developing the  
12 record, the ALJ does not need to 'discuss every piece of  
13 evidence.'" Howard ex rel. Wolff v. Barnhart, 341 F.3d 1006,  
14 1012 (9th Cir. 2003) (quoting Black v. Apfel, 143 F.3d 383, 386  
15 (8th Cir. 1998)).

16 The Court must consider the ALJ's decision in the context of  
17 "the entire record as a whole," and if the "'evidence is  
18 susceptible to more than one rational interpretation,' the ALJ's  
19 decision should be upheld." Ryan v. Comm'r of Soc. Sec., 528  
20 F.3d 1194, 1198 (9th Cir. 2008) (citation omitted).

## 21 2. Relevant background

22 On February 22, 2011, Plaintiff, a licensed vocational  
23 nurse, injured her lower back at work. (AR 279-81.) She "self-  
24 treated with analgesic medication she had at home" but did not  
25 seek medical care and continued to work. (AR 280.) Her pain,  
26 however, worsened, and in November 2011 an MRI scan revealed  
27 "degeneration and disc bulging." (AR 280-81; see also AR 297-  
28 300.) Surgery was not required, but Plaintiff stopped working on

1 December 20, 2011, at the request of her doctor.

2 In July 2013, Plaintiff received an internal-medicine  
3 consultation from Dr. Alleyne of the Department of Social  
4 Services. (AR 500-04.) Dr. Alleyne observed that Plaintiff had  
5 a "slightly antalgic gait" and had difficulty walking on her  
6 heels but could walk on tiptoes without difficulty. (AR 501.)  
7 There was "some lower lumbar tenderness" in Plaintiff's back but  
8 "no evidence of muscle spasm." (AR 502.) Back flexion at the  
9 waist was "limited to 40 degrees," she was "only able to crouch  
10 to 50%," and bilateral positive straight-leg raises were limited  
11 to 40 degrees. (Id.) But the ranges of motion in her hips,  
12 knees, and ankles were all within normal limits. (Id.) She  
13 noted that Plaintiff was taking various prescription medications.  
14 (AR 500.) Based on her findings, Dr. Alleyne concluded that  
15 Plaintiff had "mild to moderate limitations." (AR 503.) She  
16 could "walk and stand four hours out of an eight-hour day" and  
17 "crouch, climb and crawl occasionally," among other things.  
18 (Id.)

19 Plaintiff's medical records were reviewed in 2013 by Drs.  
20 Taylor-Holmes and Yee, who found her not disabled. (AR 82-93,  
21 94-107.) In August, Dr. Taylor-Holmes found that Plaintiff could  
22 stand and walk for four hours a day "with normal breaks" and  
23 occasionally climb stairs, crouch, and crawl. (AR 88-89.) In  
24 November, Dr. Yee assessed no change since Dr. Taylor-Holmes's  
25 evaluation (AR 99-100) and found those same exertional  
26 limitations. (See AR 102-03.)

1           3.    Analysis

2           The opinions on standing and walking of Drs. Taylor-Holmes,  
3 Yee, and Alleyne were not contradicted by other medical-opinion  
4 evidence in the record, and the ALJ was therefore required to  
5 provide clear and convincing reasons for discounting them. See  
6 Magallanes, 881 F.2d at 751; Carmickle, 533 F.3d at 1164.<sup>7</sup> But  
7 he provided no such reason for rejecting the four-hour standing-  
8 and-walking limitations found by those doctors in favor of the  
9 six-hour limitation he included in the RFC. Indeed, nothing in  
10 the record supports a six-hour standing-and-walking limitation.  
11 See Tackett v. Apfel, 180 F.3d 1094, 1102-03 (9th Cir. 1999)  
12 (finding that ALJ erred in rejecting treating physician's opinion  
13 in part because "[t]here [was] no medical evidence to support the  
14 ALJ's finding that [plaintiff] could work through an eight hour  
15 workday with breaks every two hours").

16           The ALJ did note that Plaintiff's "standing[] and walking  
17 abilities" as found by Drs. Taylor-Holmes, Yee, and Alleyne were  
18 undermined by medical findings suggesting "more restrictive"  
19 limitations (AR 43-44) and by "claimant's allegations concerning  
20

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21           <sup>7</sup> Though the ALJ found that the opinions of Dr. Taylor-  
22 Holmes and Dr. Yee stood "in contrast" to the opinion of  
23 chiropractors Christine Abgaryan and Anna Gasparian (AR 44), who  
24 determined that Plaintiff should not stand for longer than an  
25 hour at a time (AR 357), a chiropractor's contradictory opinion  
26 does not constitute medical-opinion evidence. See  
27 § 404.1513(d)(1); Bara v. Colvin, No. C15-5214 RAJ, 2016 WL  
28 4444030, at \*2 (W.D. Wash. Jan. 4, 2016) ("[Plaintiff] fails to  
point to a specific medical record or medical opinion (as opposed  
to other source opinion) that contradicts [the treating  
physician's] opinion," warranting clear-and-convincing-reasons  
standard (citing Baxter v. Sullivan, 923 F.3d 1391, 1396 (9th  
Cir. 1991))).

1 the maximum amount of time she can . . . stand[] and walk" (AR  
2 44-45). The ALJ noted that "findings of right leg hypesthesia,  
3 right leg sensory deficits, tenderness to the palpation of the  
4 inguinal area of the hip,<sup>8</sup> and marked tenderness to palpation of  
5 the great trochanteric area of the hip" supported "more  
6 restrictive limitations in [Plaintiff]'s sitting, standing, and  
7 walking abilities" than Drs. Taylor-Holmes and Yee had opined.  
8 (AR 43-44 (citing AR 269, 285-86).) The ALJ offered similar  
9 reasons for discounting the opinion of Dr. Alleyne, stating that  
10 "findings of tenderness to palpation in [Plaintiff's] lumbar  
11 spine and right hip, decreased sensation in her right leg, and  
12 limited range of motion in her lumbar spine and right hip  
13 support[ed] further limitations than [what was] opined." (AR 44  
14 (citing AR 269, 285-86, 380-81, 532, 543).) As a result, the ALJ  
15 added a limitation to Plaintiff's RFC that she stand or walk for  
16 no more than 10 to 15 minutes at a time.

17 The ALJ pointed to the findings of a treating orthopedic  
18 surgeon who diagnosed Plaintiff with degenerative disc disease  
19 and bilateral trochanteric bursitis in 2012. (See AR 43-44  
20 (citing AR 269, 285-86), 288.) His opinion was corroborated by a  
21 physical examination he conducted at the time (see, e.g., AR 285  
22 (Plaintiff demonstrating limited flexion and extension in her  
23 back and tenderness in areas of her lower back and hips)) and  
24 medical images of Plaintiff's spine (see, e.g., AR 286 (x-ray  
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26  
27 <sup>8</sup> The ALJ stated that Plaintiff was found to have  
28 "tenderness to palpation of the inguinal area of the hip." (AR  
44 (citing AR 269).) But at AR 269 Plaintiff was noted as having  
"no inguinal tenderness."

1 images showing narrowing of L3-4 and L4-5 intervertebral space),  
2 287 (MRI images showing disc desiccation, disc protrusion, and  
3 bilateral facet joint hypertrophy, among other things)). His  
4 findings were supported by other medical records from 2012.  
5 (See, e.g., AR 268-70 (Plaintiff's pain did not change following  
6 April 2012 extracorporeal-shockwave-therapy treatment), 478-79  
7 (in April 2012 Plaintiff demonstrated limited range of motion in  
8 her back, low-back pain, muscle spasms, and swelling), 389-93  
9 (May 2012 MRI imaging of her thoracic spine showing restricted  
10 range of motion in extension position, disc desiccation, diffuse  
11 disc protrusion, and bilateral facet joint hypertrophy).)

12       None of the cited records, however, suggest that Plaintiff  
13 could stand or walk for six hours in an eight-hour workday. The  
14 ALJ did not identify any such medical finding, nor did he  
15 articulate why a six-hour standing-and-walking limitation, even  
16 for "10-15 minutes at a time," is "more restrictive" than a four-  
17 hour standing-and-walking limitation with "normal breaks." See  
18 Kline v. Colvin, 140 F. Supp. 3d 912, 918 (D. Ariz. 2015) (as  
19 amended Jan. 12, 2016) (finding that ALJ erred under "clear and  
20 convincing" standard because "she did not explain which aspects  
21 of [treating physician's] opinion were contradicted or by whom").  
22 Defendant argues that "common sense dictates that the ALJ's RFC  
23 assessment is, in fact, more restrictive than the doctors'  
24 opinion" because of the ALJ's added limitation of standing for no  
25 more than 10 to 15 minutes at a time (J. Stip. at 13), but no  
26 matter how you do the math, six hours is more than four.  
27 Further, the ALJ never stated that he was increasing the total  
28 amount of time Plaintiff could stand or walk because he had

1 limited the length of time she could do either without a break.  
2 See Bray v. Comm’r of Soc. Sec. Admin., 554 F.3d 1219, 1225 (9th  
3 Cir. 2009) (district court must “review the ALJ’s decision based  
4 on the reasoning and factual findings offered by the ALJ – not  
5 post hoc rationalizations that attempt to intuit what the  
6 adjudicator may have been thinking”).

7 Thus, the ALJ erred in evaluating the medical-opinion  
8 evidence as to Plaintiff’s standing and walking abilities, which  
9 was uncontradicted in concluding that Plaintiff could stand and  
10 walk no more than four hours in an eight-hour day. See Reddick,  
11 157 F.3d at 725 (ALJ must explain why his conclusions, rather  
12 than doctors’, are correct); Burden v. Berryhill, No. 2:17-cv-  
13 00222-RBL, 2017 WL 4417225, at \*2 (W.D. Wash. Oct. 5, 2017)  
14 (“[T]he ALJ erred by tacitly rejecting part of [a nonexamining  
15 physician’s] opinion without explanation.”); see also Soholt v.  
16 Astrue, No. 10-cv-5937-RBL-JRC, 2011 WL 5909992, at \*5 (W.D.  
17 Wash. Oct. 31, 2011) (finding that ALJ erred in part because he  
18 “did not explain why he did not adopt the aforementioned opinions  
19 of [an examining physician] regarding plaintiff’s functional  
20 ability to sit, stand or walk without breaks every thirty  
21 minutes”), accepted by 2011 WL 5909998 (W.D. Wash. Nov. 28,  
22 2011).

23 Accordingly, because the ALJ failed to provide a clear and  
24 convincing reason for rejecting the standing-and-walking opinions  
25 of Drs. Taylor-Holmes, Yee, and Alleyne, remand is warranted.<sup>9</sup>

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26  
27 <sup>9</sup> Defendant has not argued that any error was harmless (see  
28 generally J. Stip. at 11-15), so the Court does not examine  
whether that is so. See Press v. Astrue, No. CV. 08-1089-AC,

1           B.    The ALJ Likely Improperly Evaluated the Other-Source  
2                    Opinion

3           Plaintiff “mainly takes issue with the ALJ’s rejection of  
4 the lifting and carrying restrictions assessed by Dr[s]. Abgaryan  
5 and Gasparian,” chiropractors who examined her in 2012. (J.  
6 Stip. at 17.) She argues that the ALJ did not properly evaluate  
7 and reject that portion of their opinion. (Id. at 17, 19.) She  
8 also complains that the ALJ improperly evaluated their opinion  
9 regarding her ability to crouch. (Id. at 16.)

10                   1.    Applicable law

11           “Acceptable medical sources” under the Social Security  
12 regulations include only licensed physicians, psychologists,  
13 optometrists, podiatrists, and speech pathologists.  
14 § 404.1513(a).<sup>10</sup> Chiropractors are treated as “other sources,”  
15 see § 404.1513(d)(1), and an ALJ may reject opinions from other  
16 sources by giving “reasons germane to each witness for doing so.”  
17 Molina v. Astrue, 674 F.3d 1104, 1111 (9th Cir. 2012) (citation  
18 omitted); Turner v. Comm’r of Soc. Sec., 613 F.3d 1217, 1224 (9th  
19 Cir. 2010) (citation omitted); see also Bruce v. Astrue, 557 F.3d  
20 1113, 1115 (9th Cir. 2009) (reasons for rejecting other-source  
21 testimony must be “germane” and “specific”).

22 \_\_\_\_\_  
23 2010 WL 3222103, at \*9 n.6 (D. Or. Aug. 13, 2010) (because  
24 “Commissioner did not argue that the ALJ’s failure was harmless  
25 error,” “the Commissioner has given the court no basis upon which  
26 to evaluate whether the error was harmless and the court declines  
27 to seek one out”).

28           <sup>10</sup> Social Security regulations regarding the categories of  
acceptable medical evidence were amended effective March 27,  
2017. See § 404.1513. Again, the Court applies the law in  
effect at the time of the ALJ’s decision.

1           2.    Additional relevant background

2           Chiropractors Abgaryan and Gasparian conducted a functional-  
3 capacity evaluation for Plaintiff on June 12, 2012. (See AR 355-  
4 72.) They administered several tests and questionnaires  
5 measuring both the reliability of Plaintiff's subjective-pain  
6 complaints and the extent of her functional limitations in  
7 various areas, including strength, range of motion, mobility, and  
8 dexterity. (See id.) They had Plaintiff complete, for example,  
9 a "dynamic lift" test, in which she demonstrated that she could  
10 carry 8.5 pounds for 30 feet but 11 pounds at most. (AR 368.)  
11 She also demonstrated the ability to lift 8.5 pounds from "floor  
12 to knuckle," six pounds from "knuckle to shoulder," and less than  
13 six pounds from "shoulder to overhead." (Id.) At most she could  
14 lift 11 pounds from floor to knuckle, 8.5 pounds from knuckle to  
15 shoulder, and six pounds overhead. (Id.)

16           They concluded that Plaintiff should not sit for longer than  
17 60 minutes at a time; should not stand for longer than 60 minutes  
18 at a time; could not carry greater than "8.5 pounds over a length  
19 of 30 feet maximum"; could not lift more than 8.5 pounds, and for  
20 less than 30 percent of the day; and should "[a]void bending,"  
21 "crouching," and "twisting." (AR 357.) They also found her  
22 subjective reports of pain and disability "moderately reliable"  
23 and concluded that she "displayed moderate physical effort"  
24 during the evaluation. (AR 356.)

25           3.    Analysis

26           The ALJ gave "partial weight" to the opinion of  
27 chiropractors Abgaryan and Gasparian. (AR 44.) He acknowledged  
28 that "their findings from their examination support their

1 opinion," but he concluded that his RFC was "a more accurate  
2 depiction of [Plaintiff's] functional abilities." (Id.)

3 Plaintiff argues that the ALJ incorrectly discounted the  
4 chiropractors' opinion that she "could never crouch." (See J.  
5 Stip. at 16 (citing AR 44).) She contends that her demonstrated  
6 ability "to crouch to 50%," as found by Dr. Alleyne (see AR 502),  
7 indicated that she was unable to crouch "to the full range of 100  
8 percent," which she seems to suggest is consistent with the  
9 Abgaryan and Gasparian opinion (J. Stip. at 16). But the ALJ did  
10 not err in this regard. Because Drs. Abgaryan and Gasparian are  
11 chiropractors, they are considered "other" sources, see  
12 § 404.1513(d)(1), and the ALJ properly offered a germane reason –  
13 contradiction with medical evidence (AR 44) – for discounting  
14 their crouching opinion, see Molina, 674 F.3d at 1111.

15 An ALJ may properly discount an other-source opinion when it  
16 conflicts with medical evidence. Id. at 1112. Though the Ninth  
17 Circuit has held that "lack of support from medical records is  
18 not a germane reason," see Diedrich v. Berryhill, 874 F.3d 634,  
19 640 (9th Cir. 2017); accord Bruce, 557 F.3d at 1116; Smolen, 80  
20 F.3d at 1289 (citing SSR 88-13, 1988 WL 236011 (July 20, 1988)),  
21 those cases are distinguishable. Each involved an ALJ's  
22 rejection of a family member's laywitness observations. See  
23 Diedrich, 874 F.3d at 640 (plaintiff's fiancé); Bruce, 557 F.3d  
24 at 1116 (plaintiff's wife); Smolen, 80 F.3d at 1289 (various  
25 family members). But see Bayliss v. Barnhart, 427 F.3d 1211,  
26 1218 (9th Cir. 2005) (holding that inconsistency with medical  
27 evidence is germane reason for discrediting testimony of family  
28 members); Lewis v. Apfel, 236 F.3d 503, 511 (9th Cir. 2001)

1 (same); Vincent ex rel. Vincent v. Heckler, 739 F.2d 1393, 1395  
2 (9th Cir. 1984) (per curiam) (same).

3 Here, by contrast, the ALJ rejected the opinion of a medical  
4 "other source," namely, two chiropractors. See § 404.1513(d)  
5 (distinguishing between other "[m]edical sources," such as  
6 chiropractors and physicians' assistants, and other "non-medical  
7 sources," such as spouses and family members). Contradiction  
8 with medical evidence is germane to discounting the opinion of  
9 medical "other sources." See Molina, 674 F.3d at 1111-12 (ALJ  
10 properly discounted opinion of physician's assistant because it  
11 was inconsistent with medical evidence); Minton v. Astrue, CV 11-  
12 00461-PHX-FJM, 2012 WL 1019591, at \*4 (D. Ariz. Mar. 26, 2012)  
13 ("One example of a germane reason to discount the opinion of an  
14 'other' medical source is when that opinion conflicts with  
15 medical evidence.").

16 The Social Security Administration defines "crouching" as  
17 "bending both the legs and spine in order to bend the body  
18 downward and forward." See SSR 83-10, 1983 WL 31251 (Jan. 1,  
19 1983); see also Filimoshyna v. Astrue, No. CIV S-08-2131 GGH,  
20 2009 WL 3627946, at \*8 (E.D. Cal. Oct. 29, 2009). The ALJ  
21 properly determined that Drs. Abgaryan and Gasparian's opinion  
22 that Plaintiff "should never crouch" was contradicted by medical  
23 evidence demonstrating that she could crouch, albeit only to 50  
24 percent. (AR 44.) Nothing in the regulations or case law  
25 appears to require that "crouching" be to a certain depth.

26 The ALJ cited and relied on AR 502 (see AR 44), Dr.  
27 Alleyne's opinion that Plaintiff was "able to crouch to 50%" and  
28 could crouch "occasionally" (AR 502-03). That finding was

1 supported by a thorough physical examination conducted at the  
2 time (see AR 501-02) and by medical opinions in the record also  
3 stating that Plaintiff could crouch (see AR 89, 103). Thus,  
4 contradiction with Dr. Alleyne's opinion, which itself was  
5 supported by substantial evidence, was a sufficiently germane  
6 reason to reject the crouching opinion of Drs. Abgaryan and  
7 Gasparian. See Mendoza v. Colvin, No. 1:13-cv-01213-SKO, 2015 WL  
8 1320093, at \*22 (E.D. Cal. Mar. 24, 2015) (finding that ALJ  
9 properly rejected nurse's other-source opinion because it was  
10 directly contradicted by consultative examiner's opinion, which  
11 was "an acceptable medical source entitled to more weight").

12 But the ALJ's stated reason for rejecting the chiropractors'  
13 more restrictive opinion concerning Plaintiff's "lifting and  
14 carrying restrictions" - "spinal tenderness" (AR 44) - does not  
15 appear to be germane. The ALJ did not explain why "spinal  
16 tenderness" would enable Plaintiff to lift more than the  
17 chiropractors found. He may do so on remand.

### 18 C. Plaintiff's Subjective Symptom Statements

19 Plaintiff asserts that the ALJ erred in assessing the  
20 credibility of her subjective symptom statements. (J. Stip. at  
21 19-23, 26.) The ALJ may have to reevaluate Plaintiff's  
22 statements' credibility after he reassesses the opinions of Drs.  
23 Taylor-Holmes, Yee, and Alleyne and chiropractors Abgaryan and  
24 Gasparian, so the Court does not address this argument. See  
25 Negrette v. Astrue, No. EDCV 08-0737 RNB, 2009 WL 2208088, at \*2  
26 (C.D. Cal. July 21, 2009) (finding it unnecessary to address  
27 further disputed issues when court found that ALJ failed to  
28 properly consider treating doctor's opinion and laywitness

1 testimony).

2 D. Remand for Further Proceedings Is Appropriate

3 Plaintiff requests that the Court reverse the ALJ's decision  
4 and "order the payment of benefits in this case." (J. Stip. at  
5 26-27.) But when, as here, an ALJ errs, the Court "ordinarily  
6 must remand to the agency for further proceedings." Leon v.  
7 Berryhill, 874 F.3d 1130, 1132 (9th Cir. 2017); see also Harman  
8 v. Apfel, 211 F.3d 1172, 1175-78 (9th Cir. 2000) (as amended);  
9 Connett v. Barnhart, 340 F.3d 871, 876 (9th Cir. 2003). The  
10 Court has discretion to make a direct award of benefits under the  
11 "credit-as-true" rule, which asks (1) "whether the 'ALJ failed to  
12 provide legally sufficient reasons for rejecting evidence,'" (2)  
13 "whether there are 'outstanding issues that must be resolved  
14 before a disability determination can be made,'" and (3) "whether  
15 further administrative proceedings would be useful." See Leon,  
16 874 F.3d at 1132-33 (citations omitted). When the first two  
17 conditions are satisfied, the discredited testimony is credited  
18 as true, but even then, at step three, "it is within the court's  
19 discretion either to make a direct award of benefits or to remand  
20 for further proceedings." Id. at 1133 (explaining that "a direct  
21 award of benefits was intended as a rare and prophylactic  
22 exception to the ordinary remand rule"); see also Harman, 211  
23 F.3d at 1179 (noting that "the decision of whether to remand for  
24 further proceedings turns upon the likely utility of such  
25 proceedings"); Garrison v. Colvin, 759 F.3d 995, 1019-20 (9th  
26 Cir. 2014).

27 Here, further administrative proceedings would serve the  
28 useful purpose of allowing the ALJ to reassess the opinions of

1 Drs. Taylor-Holmes, Yee, and Alleyne and chiropractors Abgaryan  
2 and Gasparian. If he again rejects the doctors' opinions as to  
3 Plaintiff's standing-and-walking limitations, he can then provide  
4 a clear and convincing reason for that finding. He may also  
5 clarify his rejection of chiropractors Abgaryan and Gasparian's  
6 lifting-and-carrying opinion, reassess his evaluation of the  
7 credibility of Plaintiff's symptom statements, and reevaluate  
8 Plaintiff's RFC in light of the evidence he did not previously  
9 consider or did not adequately explain his consideration of.  
10 Thus, remand is appropriate. See Garrison, 759 F.3d at 1020  
11 n.26.

12 **VI. CONCLUSION**

13 Consistent with the foregoing and under sentence four of 42  
14 U.S.C. § 405(g),<sup>11</sup> IT IS ORDERED that judgment be entered  
15 REVERSING the Commissioner's decision, GRANTING Plaintiff's  
16 request for remand, and REMANDING this action for further  
17 proceedings consistent with this memorandum decision.

18  
19 DATED: November 30, 2017

  
\_\_\_\_\_  
JEAN ROSENBLUTH  
U.S. Magistrate Judge

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26 \_\_\_\_\_  
27 <sup>11</sup> That sentence provides: "The [district] court shall have  
28 power to enter, upon the pleadings and transcript of the record,  
a judgment affirming, modifying, or reversing the decision of the  
Commissioner of Social Security, with or without remanding the  
cause for a rehearing."