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UNITED STATES DISTRICT COURT
CENTRAL DISTRICT OF CALIFORNIA

CANDY TREJO,)	Case No. EDCV 17-0879-JPR
)	
Plaintiff,)	
)	MEMORANDUM DECISION AND ORDER
v.)	REVERSING COMMISSIONER
)	
NANCY A. BERRYHILL, Acting)	
Commissioner of Social)	
Security,)	
)	
Defendant.)	
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I. PROCEEDINGS

Plaintiff seeks review of the Commissioner's final decision denying her applications for Social Security disability insurance benefits ("DIB") and supplemental security income benefits ("SSI"). The parties consented to the jurisdiction of the undersigned under 28 U.S.C. § 636(c). The matter is before the Court on the parties' Joint Stipulation, filed December 28, 2017, which the Court has taken under submission without oral argument. For the reasons stated below, the Commissioner's decision is reversed and this action is remanded for further proceedings.

1 **II. BACKGROUND**

2 Plaintiff was born in 1965. (Administrative Record ("AR")
3 67, 224.) She received a high school diploma (AR 38, 252) and
4 worked as a portrait finisher (AR 59, 252).

5 On December 7, 2012, and February 19, 2013, Plaintiff
6 applied for SSI and DIB, respectively, alleging that she had been
7 unable to work since September 1, 2008,¹ because of attention
8 deficit disorder, major depressive disorder, fibromyalgia, sleep
9 apnea, and osteoarthritis. (AR 67-68, 80-81, 224-30, 251.)

10 After her applications were denied initially and on
11 reconsideration (see AR 93-94, 125-26, 129, 136), she requested a
12 hearing before an Administrative Law Judge (AR 142). A hearing
13 was held on August 7, 2015, at which Plaintiff, who was
14 represented by counsel, testified, as did a vocational expert.
15 (AR 33-66, 223.) In a written decision issued September 22,
16 2015, the ALJ found Plaintiff not disabled. (AR 14-32.)
17 Plaintiff sought Appeals Council review (AR 8-9), which was
18 denied on March 7, 2017 (AR 1-6). This action followed.

19 **III. STANDARD OF REVIEW**

20 Under 42 U.S.C. § 405(g), a district court may review the
21 Commissioner's decision to deny benefits. The ALJ's findings and
22 decision should be upheld if they are free of legal error and
23 supported by substantial evidence based on the record as a whole.
24 See id.; Richardson v. Perales, 402 U.S. 389, 401 (1971); Parra
25 v. Astrue, 481 F.3d 742, 746 (9th Cir. 2007). Substantial

26
27 ¹ Plaintiff listed September 1, 2008, as her disability-
28 onset date. (AR 224, 226.) In all other paperwork, however,
including the ALJ's decision, June 15, 2006, is listed as her
onset date. (AR 14, 67-68, 80-81, 251.)

1 evidence means such evidence as a reasonable person might accept
2 as adequate to support a conclusion. Richardson, 402 U.S. at
3 401; Lingenfelter v. Astrue, 504 F.3d 1028, 1035 (9th Cir. 2007).
4 It is more than a scintilla but less than a preponderance.
5 Lingenfelter, 504 F.3d at 1035 (citing Robbins v. Soc. Sec.
6 Admin., 466 F.3d 880, 882 (9th Cir. 2006)). To determine whether
7 substantial evidence supports a finding, the reviewing court
8 "must review the administrative record as a whole, weighing both
9 the evidence that supports and the evidence that detracts from
10 the Commissioner's conclusion." Reddick v. Chater, 157 F.3d 715,
11 720 (9th Cir. 1998). "If the evidence can reasonably support
12 either affirming or reversing," the reviewing court "may not
13 substitute its judgment" for the Commissioner's. Id. at 720-21.

14 **IV. THE EVALUATION OF DISABILITY**

15 People are "disabled" for purposes of receiving Social
16 Security benefits if they are unable to engage in any substantial
17 gainful activity owing to a physical or mental impairment that is
18 expected to result in death or has lasted, or is expected to
19 last, for a continuous period of at least 12 months. 42 U.S.C.
20 § 423(d)(1)(A); Drouin v. Sullivan, 966 F.2d 1255, 1257 (9th Cir.
21 1992).

22 A. The Five-Step Evaluation Process

23 The ALJ follows a five-step evaluation process to assess
24 whether a claimant is disabled. 20 C.F.R. §§ 404.1520(a)(4),
25 416.920(a)(4); Lester v. Chater, 81 F.3d 821, 828 n.5 (9th Cir.
26 1995) (as amended Apr. 9, 1996). In the first step, the
27 Commissioner must determine whether the claimant is currently
28 engaged in substantial gainful activity; if so, the claimant is

1 not disabled and the claim must be denied. §§ 404.1520(a)(4)(i),
2 416.920(a)(4)(i).

3 If the claimant is not engaged in substantial gainful
4 activity, the second step requires the Commissioner to determine
5 whether the claimant has a "severe" impairment or combination of
6 impairments significantly limiting her ability to do basic work
7 activities; if not, the claimant is not disabled and her claim
8 must be denied. §§ 404.1520(a)(4)(ii), 416.920(a)(4)(ii).

9 If the claimant has a "severe" impairment or combination of
10 impairments, the third step requires the Commissioner to
11 determine whether the impairment or combination of impairments
12 meets or equals an impairment in the Listing of Impairments set
13 forth at 20 C.F.R. part 404, subpart P, appendix 1; if so,
14 disability is conclusively presumed. §§ 404.1520(a)(4)(iii),
15 416.920(a)(4)(iii).

16 If the claimant's impairment or combination of impairments
17 does not meet or equal an impairment in the Listing, the fourth
18 step requires the Commissioner to determine whether the claimant
19 has sufficient residual functional capacity ("RFC")² to perform
20 her past work; if so, she is not disabled and the claim must be
21 denied. §§ 404.1520(a)(4)(iv), 416.920(a)(4)(iv). The claimant
22 has the burden of proving she is unable to perform past relevant
23 work. Drouin, 966 F.2d at 1257. If the claimant meets that
24 burden, a prima facie case of disability is established. Id. If

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26 ² RFC is what a claimant can do despite existing exertional
27 and nonexertional limitations. §§ 404.1545, 416.945; see Cooper
28 v. Sullivan, 880 F.2d 1152, 1155 n.5 (9th Cir. 1989). The
Commissioner assesses the claimant's RFC between steps three and
four. Laborin v. Berryhill, 867 F.3d 1151, 1153 (9th Cir. 2017)
(citing § 416.920(a)(4)).

1 that happens or if the claimant has no past relevant work, the
2 Commissioner then bears the burden of establishing that the
3 claimant is not disabled because she can perform other
4 substantial gainful work available in the national economy.
5 §§ 404.1520(a)(4)(v), 416.920(a)(4)(v); Drouin, 966 F.2d at 1257.
6 That determination comprises the fifth and final step in the
7 sequential analysis. §§ 404.1520(a)(4)(v), 416.920(a)(4)(v);
8 Lester, 81 F.3d at 828 n.5; Drouin, 966 F.2d at 1257.

9 B. The ALJ's Application of the Five-Step Process

10 At step one, the ALJ found that Plaintiff had not engaged in
11 substantial gainful activity since June 15, 2006. (AR 16.) At
12 step two, she concluded that Plaintiff had severe impairments of
13 "history of fibromyalgia; obstructive sleep apnea;
14 osteoarthritis; degenerative disc disease of the cervical spine;
15 obesity; chronic pain syndrome; mild to moderate degenerative
16 joint disease of the right shoulder, status-post surgery; major
17 depressive disorder; attention deficit disorder/attention deficit
18 hyperactivity disorder; and anxiety." (AR 16-17.) At step
19 three, she determined that Plaintiff's impairments did not meet
20 or equal a listing. (AR 17.) At step four, the ALJ found that
21 Plaintiff had the RFC to perform a limited range of light work:

22 Standing, walking, and sitting would all be consistent
23 with light work but [she] would need to alternate
24 position approximately every 30-45 minutes, the change in
25 position would be about 1-5 minutes, and she would be
26 able to remain on task during that time. [She] is
27 limited to occasional postural activities but no climbing
28 of ladders, ropes, or scaffolds and no work at

1 unprotected heights, around moving machinery, or other
2 hazards. She can occasionally reach overhead with the
3 dominant right upper extremity but no lifting overhead
4 with the right dominant upper extremity. The non-
5 dominant left hand should be limited to frequent fine
6 manipulation and there should be no repetitive push or
7 pull with the right lower extremity such as operating
8 foot pedals [sic]. She must avoid concentrated exposure
9 to fumes, odors, gases, or other pulmonary irritants as
10 well as extreme temperatures and avoid frequently walking
11 on uneven terrain. [She] is limited to no fast paced
12 production or assembly line type work. She can
13 concentrate for up to 2 hours at a time but is limited to
14 unskilled simple tasks with occasional non-intense
15 interaction with the general public.

16 (AR 19-20.) Based on the VE's testimony, the ALJ concluded that
17 Plaintiff was unable to perform her past relevant work. (AR 26-
18 27.) At step five, the ALJ found that given Plaintiff's age,
19 education, work experience, and RFC, she could perform three
20 "representative" jobs in the national economy. (AR 27-28.)
21 Thus, the ALJ found Plaintiff not disabled. (AR 28.)

1 **V. DISCUSSION³**

2 A. The ALJ Erred in Discounting Plaintiff's Subjective
3 Symptoms

4 Plaintiff argues that the ALJ improperly rejected her
5 subjective symptom statements. (J. Stip. at 5-12, 20-21.) As
6 discussed below, the ALJ materially erred in discounting her
7 statements' credibility. Accordingly, remand is warranted.

8 1. Applicable law

9 An ALJ's assessment of the credibility of a claimant's
10 allegations concerning the severity of his symptoms is entitled
11 to "great weight." See Weetman v. Sullivan, 877 F.2d 20, 22 (9th
12 Cir. 1989) (as amended); Nyman v. Heckler, 779 F.2d 528, 531 (9th
13 Cir. 1985) (as amended Feb. 24, 1986). "[T]he ALJ is not
14 'required to believe every allegation of disabling pain, or else
15 disability benefits would be available for the asking, a result
16 plainly contrary to 42 U.S.C. § 423(d)(5)(A).'" Molina v.
17 Astrue, 674 F.3d 1104, 1112 (9th Cir. 2012) (quoting Fair v.
18 Bowen, 885 F.2d 597, 603 (9th Cir. 1989)).

19 In evaluating a claimant's subjective symptom testimony, the
20 ALJ engages in a two-step analysis. See Lingenfelter, 504 F.3d
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24 ³ In Lucia v. SEC, 138 S. Ct. 2044, 2055 (2018), the Supreme
25 Court recently held that ALJs of the Securities and Exchange
26 Commission are "Officers of the United States" and thus subject
27 to the Appointments Clause. To the extent Lucia applies to
28 Social Security ALJs, Plaintiff has forfeited the issue by
failing to raise it during her administrative proceedings. (See
AR 8-9, 33-66, 335-37; J. Stip. at 5-12, 20-21); Meanel v. Apfel,
172 F.3d 1111, 1115 (9th Cir. 1999) (as amended) (plaintiff
forfeits issues not raised before ALJ or Appeals Council).

1 at 1035-36; see also SSR 96-7p, 1996 WL 374186 (July 2, 1996).⁴
2 "First, the ALJ must determine whether the claimant has presented
3 objective medical evidence of an underlying impairment [that]
4 could reasonably be expected to produce the pain or other
5 symptoms alleged." Lingenfelter, 504 F.3d at 1036. If such
6 objective medical evidence exists, the ALJ may not reject a
7 claimant's testimony "simply because there is no showing that the
8 impairment can reasonably produce the degree of symptom alleged."
9 Smolen v. Chater, 80 F.3d 1273, 1282 (9th Cir. 1996) (emphasis in
10 original).

11 If the claimant meets the first test, the ALJ may discredit
12 the claimant's subjective symptom testimony only if he makes
13 specific findings that support the conclusion. See Berry v.
14 Astrue, 622 F.3d 1228, 1234 (9th Cir. 2010). Absent a finding or
15 affirmative evidence of malingering, the ALJ must provide a
16 "clear and convincing" reason for rejecting the claimant's
17 testimony. Brown-Hunter v. Colvin, 806 F.3d 487, 493 (9th Cir.

19 ⁴ Social Security Ruling 16-3p, 2016 WL 1119029, effective
20 March 16, 2016, rescinded SSR 96-7p, which provided the framework
21 for assessing the credibility of a claimant's statements. SSR
22 16-3p was not in effect at the time of the ALJ's decision in this
case, however, and therefore does not apply. Still, the Ninth
Circuit has clarified:

23 [SSR 16-3p] makes clear what our precedent already
24 required: that assessments of an individual's testimony
25 by an ALJ are designed to "evaluate the intensity and
26 persistence of symptoms after [the ALJ] find[s] that the
27 individual has a medically determinable impairment(s)
that could reasonably be expected to produce those
symptoms," and not to delve into wide-ranging scrutiny of
the claimant's character and apparent truthfulness.

28 Trevizo v. Berryhill, 871 F.3d 664, 678 n.5 (9th Cir. 2017) (as
amended) (alterations in original) (quoting SSR 16-3p).

1 2015) (as amended); Treichler v. Comm’r of Soc. Sec. Admin., 775
2 F.3d 1090, 1102 (9th Cir. 2014). In assessing credibility, the
3 ALJ may consider, among other factors, (1) ordinary techniques of
4 credibility evaluation, such as the claimant’s reputation for
5 lying, prior inconsistent statements, and other testimony by the
6 claimant that appears less than candid; (2) unexplained or
7 inadequately explained failure to seek treatment or to follow a
8 prescribed course of treatment; (3) the claimant’s daily
9 activities; (4) the claimant’s work record; and (5) testimony
10 from physicians and third parties. Rounds v. Comm’r Soc. Sec.
11 Admin., 807 F.3d 996, 1006 (9th Cir. 2015) (as amended); Thomas
12 v. Barnhart, 278 F.3d 947, 958-59 (9th Cir. 2002). If the ALJ’s
13 credibility finding is supported by substantial evidence in the
14 record, the reviewing court “may not engage in second-guessing.”
15 Thomas, 278 F.3d at 959.

16 2. Relevant background

17 i. *Treatment Records*⁵

18 Plaintiff began seeing internist Rick Tang in November
19 2006.⁶ (AR 530.) Dr. Tang observed that she “had multiple
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21 ⁵ Plaintiff consistently received primary-care treatment at
22 Riverside Medical Clinic, but she saw several different doctors
23 there. (See, e.g., AR 512-15 (family physician Steven A.
24 Salzman), 517-18 (internist Rick Tang), 533-34
(gastroenterologist Philip T. Chen), 557-58 (pulmonologist Andrew
T. Duke).)

25 ⁶ At Plaintiff’s first appointment with Dr. Tang, she
26 reported that she “ha[d] been under the care of Dr. Steven
27 Myering,” who had “done [an] EMG which . . . show[ed]
28 neuropathy.” (AR 530.) She also claimed to have been given a
“course of injections” for her pain. (Id.) No such treatment
notes, imaging, or injections from before November 2006 appear in
the record, however.

1 trigger points on [her] neck, shoulders, hips, and elbows."
2 (Id.) He assessed her with "[c]hronic pain syndrome,"
3 "[f]ibromyalgia with multiple trigger points," "[a]nxiety/
4 depression," and "[q]uestionable neuropathy with pain in both
5 arms"; he prescribed amitriptyline⁷ and Prozac.⁸ (AR 532.) In
6 February 2007, Plaintiff "complain[ed] of increasing [and] achy
7 body pain everywhere," and Dr. Tang wrote that it was "unclear"
8 whether Plaintiff's "[d]iffuse body ache[s]" were "fibromyalgia
9 versus undiagnosed inflamma[to]ry arthritis." (AR 525.) He
10 advised taking ibuprofen, prescribed Zantac⁹ and temazepam,¹⁰ and
11 referred her to rheumatologist Andre Babajanians to obtain
12 further information on her chronic pain. (Id.) Dr. Babajanians
13 found that Plaintiff had "[m]ultiple symmetric tender points" in
14 her musculoskeletal soft tissue at "16 out of 18 defined areas."
15 (AR 524.) He requested an x-ray of her cervical spine (id.),
16 which showed "[m]inimal degenerative disk disease at C5-6," with

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18 ⁷ Amitriptyline treats depression by improving mood,
19 relieving anxiety, helping patients sleep better, and increasing
20 energy levels. See Amitriptyline HCL, WebMD, <https://www.webmd.com/drugs/2/drug-8611/amitriptyline-oral/details> (last visited July 23, 2018).

21 ⁸ Prozac treats depression by improving mood, sleep,
22 appetite, and energy level. See Prozac, WebMD, <https://www.webmd.com/drugs/2/drug-6997/prozac-oral/details> (last visited July 23, 2018).

23
24 ⁹ Zantac treats stomach and intestine ulcers. See Zantac
25 Tablet, WebMD, <https://www.webmd.com/drugs/2/drug-4090-7033/zantac-oral/ranitidine-tablet-oral/details> (last visited July 23, 2018).

26
27 ¹⁰ Temazepam treats insomnia by helping patients fall asleep
28 faster, stay asleep longer, and decrease how often they wake up during the night. See Temazepam, WebMD, <https://www.webmd.com/drugs/2/drug-8715/temazepam-oral/details> (last visited July 23, 2018).

1 "very minimal anterior osteophytes," and was otherwise "normal"
2 (AR 493). He diagnosed "[c]hronic generalized fatigue, myalgia,
3 [and] lack of evidence for inflammatory process, consistent with
4 fibromyalgia"; "[c]ervical spondylosis"; and "early
5 osteoarthritis." (AR 524.) He advised her to continue Motrin
6 and temazepam and to try "50 mg" of Lyrica¹¹ "for further pain
7 control." (Id.)

8 In April 2007, Plaintiff reported no "overall improvement"
9 in her "generalized aches and pains [and] stiffness," and Dr.
10 Babajanians diagnosed "[f]ibromyalgia syndrome." (AR 522.) She
11 was taking Prozac and amitriptyline, and he also prescribed
12 Neurontin.¹² (Id.) In May 2007, Plaintiff reported "increased
13 anxiety and depression" and complained of "fatigue and daytime
14 somnolence." (AR 521.) She exhibited "[m]ultiple aches and
15 pain[s]" upon palpation of her "neck, shoulder, elbows[,] and
16 hip." (Id.) Dr. Tang noted that her chronic fatigue "may be
17 . . . related to sleep apnea" and ordered a sleep study. (Id.;
18 see also AR 474.) He wrote that her "[d]iffuse[] muscle aches
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25 ¹¹ Lyrica treats fibromyalgia pain. See Lyrica, WebMD,
26 <https://www.webmd.com/drugs/2/drug-93965/lyrica-oral/details>
(last visited July 23, 2018).

27 ¹² Neurontin relieves nerve pain and prevents and controls
28 seizures. See Neurontin Capsule, WebMD, <https://www.webmd.com/drugs/2/drug-9845-8217/neurontin-oral/gabapentin-oral/details>
(last visited July 23, 2018).

1 may be fibromyalgia" and prescribed Cymbalta¹³ and Tagamet¹⁴ on
2 top of her other prescriptions. (AR 521.) The sleep study was
3 performed in June 2007 and revealed that Plaintiff had
4 "[m]oderate obstructive sleep apnea/hypopnea" and was "a good
5 candidate for ongoing treatment with CPAP." (AR 457-59.) In
6 August 2007, it was noted that she "could not tolerate [the]
7 standard CPAP mask" (AR 471); Dr. Tang adjusted her prescription
8 to a "nasal pillow[] mirage swift" CPAP mask (AR 472-73).

9 In October 2007, Plaintiff complained to Dr. Babajanians of
10 "severe generalized pain" and "difficulty moving." (AR 519.) In
11 November 2007, she reported to Dr. Tang that she had "diffuse
12 muscle spasm[s] of both legs to the point that she could not
13 walk," and he found "diffuse pain on palpating [her] neck, upper
14 trapezius, elbows, hips, back, and legs." (AR 518.) He
15 prescribed Vicodin¹⁵ "three times a day [on an] as needed basis
16 for severe pain." (Id.) He also increased her Neurontin, added
17 Robaxin¹⁶ "as needed for muscle spasm," and "change[d] her over"
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19 ¹³ Cymbalta helps relieve ongoing pain from fibromyalgia.
20 See Cymbalta, WebMD, [https://www.webmd.com/drugs/2/drug-91491/
21 cymbalta-oral/details](https://www.webmd.com/drugs/2/drug-91491/cymbalta-oral/details) (last visited July 23, 2018). It also
22 treats depression and anxiety. See id.

23 ¹⁴ Tagamet treats stomach and intestine ulcers and prevents
24 them from returning once they have healed. See Tagamet Tablet,
25 WebMD, [https://www.webmd.com/drugs/2/drug-7035/tagamet-oral/
26 details](https://www.webmd.com/drugs/2/drug-7035/tagamet-oral/details) (last visited July 23, 2018).

27 ¹⁵ Vicodin is a narcotic pain reliever used to relieve
28 moderate to severe pain. See Vicodin, WebMD, [https://
www.webmd.com/drugs/2/drug-3459/vicodin-oral/details](https://www.webmd.com/drugs/2/drug-3459/vicodin-oral/details) (last
visited July 23, 2018).

¹⁶ Robaxin treats muscle spasms and pain. See Robaxin,
WebMD, [https://www.webmd.com/drugs/2/drug-11197/robaxin-oral/
details](https://www.webmd.com/drugs/2/drug-11197/robaxin-oral/details) (last visited July 23, 2018).

1 from Prozac to Celexa.¹⁷ (Id.) In December 2007, she "ha[d]
2 slight improve[ment] but continue[d] to have lots of aches and
3 pains." (AR 517.) She was "wobbly," had a "lot of difficulty
4 with balance issues," and "walk[ed] with a cane." (Id.) She had
5 "diffuse pain everywhere" upon palpation, but Dr. Tang did not
6 see any "peripheral shaking or tremor." (Id.) He increased her
7 Neurontin, continued Vicodin, Celexa, and Robaxin, and referred
8 her to neurologist Ronald Bailey to address her "ambulatory
9 dysfunctions and loss of balance and shaking on the left side."¹⁸
10 (Id.)

11 In May 2008, Dr. Tang wrote that "[i]nitially Lyrica [had]
12 helped [her] pain but [they] need[ed] to keep upping her [dosage]
13 as her pain ke[pt] on worsening." (AR 516.) He assessed her
14 with "[i]ncreasing" depression, anxiety, and diffuse pain; he
15 also noted that her foot pain affected her ambulation. (Id.)
16 Her Lyrica prescription was increased from "150 mg" to "300 mg"
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18 ¹⁷ Celexa treats depression. See Celexa, WebMD, [https://](https://www.webmd.com/drugs/2/drug-8603/celexa-oral/details)
19 www.webmd.com/drugs/2/drug-8603/celexa-oral/details (last visited
20 July 23, 2018).

21 ¹⁸ Dr. Bailey saw Plaintiff for an initial neurologic
22 consultation in January 2008. (See AR 541-43.) "Coordination
23 testing reveal[ed] normal finger-to-nose-to-finger testing";
24 motor examination "demonstrate[d] normal bulk, tone, and strength
25 throughout"; reflex testing "reveal[ed] flexor plantar responses
26 bilaterally, 1-2+ and symmetric throughout"; and sensory
27 examination was "normal." (AR 542.) Dr. Bailey's "impression"
28 was "[a]ches, pains, and cramps syndrome." (Id.) At a follow-up
appointment in March 2008, Plaintiff demonstrated "completely
normal bulk, tone, and strength in all muscle groups" and had "1-
2+ and symmetric reflexes throughout"; "[s]ensory examination
[was] normal." (AR 535.) Dr. Bailey performed a nerve-
conduction study that same day, with "[n]ormal" results; there
was "no electrophysiologic evidence to support a primary disorder
of nerve or muscle." (AR 536.) He prescribed "75 mg" of Lyrica
twice a day. (AR 535.)

1 twice a day "for better pain control." (Id.)

2 In October and November 2009, she had "pain in both her
3 upper and lower body," "multiple trigger points," "abdominal
4 pain," and depression.¹⁹ (See AR 510, 512, 514.) She had sleep
5 apnea but hadn't used her CPAP machine in two years. (AR 512,
6 514, 557.) Her "[s]ensory and motor [nerves were] grossly
7 intact," and her deep tendon reflexes were "within normal
8 limits." (AR 513-14.) She also had "epigastric pain." (AR 510,
9 512.) Lyrica was increased to "350 mg" twice a day and Vicodin
10 was continued. (AR 510, 512, 514.)

11 Dr. Babajanians saw her for a rheumatology consult in
12 November 2009. (See AR 508-09.) He observed "[m]ultiple tender
13 points" in her upper and lower back, chest wall, neck, and knees,
14 totaling "12/18 defined points." (AR 508.) She had "[n]o
15 synovial swelling" in her peripheral joints but had "[s]light
16 discomfort with full abduction of [her] arms and shoulders [and]
17 limitation in [her] lumbar flexion." (Id.) He noted that her
18 Lyrica had been increased to "700 mg per day" and "hepatic
19 enzymes [were] mildly elevated"; though her fibromyalgia showed
20 "symptomatic improvement," she had "[m]ild hepatitis, likely
21 associated with [her] medications." (AR 508-09.) He advised
22 "[d]ecreas[ing] [her] dose of Lyrica gradually" to a "maximum
23 dose [of] 450 mg per day" and "[m]onitor[ing] [her] liver
24 function tests." (AR 509.)

25 In December 2009, she had a cardiovascular consult with
26 cardiologist Houshang Karimi to address "atypical chest pain."

27
28 ¹⁹ No treatment notes appear in the record from between May
2008 and October 2009.

1 (See AR 560-62.) Dr. Karimi wrote that Plaintiff had taken a
2 treadmill stress test in November, which was "nondiagnostic"
3 because she did not reach target heart rate. (AR 560-61; see
4 also AR 467-69.) He observed that she was "in no apparent
5 distress," and her sensation and muscle strength were "intact."
6 (AR 560.) He recommended an "echo to evaluate the overall [left
7 ventricle] function and right heart pressures given her [history]
8 of [obstructive sleep apnea] and being short of breath
9 chronically." (AR 561.)

10 In July 2010, Plaintiff's depression was "doing relatively
11 well." (AR 506.) Lyrica had been "helpful" for her
12 fibromyalgia, though she complained of "aching pain" in her lower
13 back. (Id.) The pain "d[id] not radiate through the buttocks or
14 down the legs," but it got worse "with prolonged walking" and
15 "when going from . . . sitting or lying to a standing position."
16 (Id.) Family physician Steven A. Salzman observed that she had
17 "good range of motion in [her] back," with "no paraspinous
18 spasm." (AR 507.) She had "no tenderness on palpation of the
19 lumbar sacral spine" or "over the sciatic notch." (Id.) Her
20 deep tendon reflexes were "within normal limits," and her
21 straight-leg raise was "negative." (Id.) He "[r]enew[ed]" her
22 Lyrica at "150" mg twice a day and also prescribed Naprosyn.²⁰
23 (AR 506-07.)

24 In October 2010, she had a rheumatology follow-up with Dr.
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26 ²⁰ Naprosyn is a nonsteroidal antiinflammatory that relieves
27 pain from muscle aches and reduces pain, swelling, and joint
28 stiffness caused by arthritis. See Naprosyn Tablet, WebMD,
[https://www.webmd.com/drugs/2/drug-1705-1289/naprosyn-oral/
naproxen-oral/details](https://www.webmd.com/drugs/2/drug-1705-1289/naprosyn-oral/naproxen-oral/details) (last visited July 23, 2018).

1 Babajanians. (AR 505.) She reported that she "continue[d] to
2 feel relatively well, more lucid, [and] able to concentrate on
3 tasks better" on Lyrica. (Id.) Her "main problem" was "mid
4 abdominal discomfort" that "extend[ed] to the mid back region"
5 and "increas[ed] in intensity after eating." (Id.) Dr.
6 Babajanians observed "tender points in [her] upper and lower back
7 and chest" at "6/18 defined areas" and "normal and symmetric"
8 muscle strength. (Id.) He noted that her fibromyalgia was
9 "symptomatically stable" and "[c]ontinue[d] Lyrica." (Id.)

10 In February 2011, Plaintiff went to urgent care complaining
11 of mid- and low-back pain. (AR 476.) In April 2011, she had a
12 "sore throat" and other related symptoms, but her "[o]ther pains
13 [were] relatively controlled on [L]yrica and naproxen."²¹ (AR
14 497.) In May 2011, she felt an "achy sensation all over" but was
15 "slightly better since [being] on Lyrica." (AR 496.) Dr.
16 Babajanians observed that she had "persistent soft tissue tender
17 points" on her back and chest wall and "normal and symmetric"
18 muscle strength. (Id.) In July 2011, she reported that her back
19 pain "flare[d] up with walking." (AR 494.) In August 2011, she
20 went to urgent care, reporting "moderate," "intermittent[]" chest
21 pain in the "substernal region" "at a severity of 7/10." (AR
22 429.) The "sharp" pain "radiate[d] to [her] mid back," causing
23 abdominal pain, back pain, and nausea. (Id.) She exhibited
24 "tenderness" in her abdomen and on her "anterior left chest
25 wall." (AR 430.) Her physical exam was "[n]egative for
26

27 ²¹ Naproxen is a generic version of Naprosyn. See Naproxen
28 Tablet, WebMD, <https://www.webmd.com/drugs/2/drug-5173-1289/naproxen-oral/naproxen-oral/details> (last visited July 23, 2018).

1 myalgias," "dizziness, tingling, tremors and headaches" (id.),
2 and an "unremarkable" chest x-ray showed "[n]o definite acute
3 abnormality" (AR 449-50). She was advised to take ibuprofen for
4 her pain and received one ketorolac injection.²² (AR 431.) In
5 October 2011, she had no abdominal tenderness or chest pain. (AR
6 421.) Plaintiff reported that though she "ha[d] some baseline
7 levels of pain," she was "[f]eeling well" and "fe[lt] able to do
8 most of her desired activity." (Id.) She stated that
9 "motivation or laziness ha[d] made it tough to continue
10 exercising as much as she'd like." (Id.)

11 In November 2011, Plaintiff complained of a "slight increase
12 in intensity of generalized fatigue and myalgia" because of her
13 sleep apnea. (AR 414.) She was "involved in exercises" and
14 stated that "Lyrica remain[ed] effective." (Id.) Dr.
15 Babajanians wrote that she was "[p]ositive for myalgias and joint
16 pain," exhibited musculoskeletal "tenderness," and had "[m]ild
17 diffuse soft tissue tenderness including 12/18 defined tender
18 points." (AR 415.) He prescribed a trial of nortriptyline.²³
19 (Id.)

20 In March 2012, she stated that she had a headache, though
21 she did not get them "routinely." (AR 405.) She had right-knee
22 tenderness, with a "[n]ormal" musculoskeletal range of motion (AR
23 406), and was positive for "malaise/fatigue" and myalgias (AR

24
25 ²² Ketorolac is a nonsteroidal antiinflammatory used to
26 relieve moderate to severe pain. See Ketorolac Tromethamine
27 Syringe, WebMD, [https://www.webmd.com/drugs/2/drug-6419/
28 ketorolac-injection/details](https://www.webmd.com/drugs/2/drug-6419/ketorolac-injection/details) (last visited July 23, 2018).

²³ Nortriptyline treats depression. See Nortriptyline HCL,
WebMD, [https://www.webmd.com/drugs/2/drug-10710/
nortriptyline-oral/details](https://www.webmd.com/drugs/2/drug-10710/nortriptyline-oral/details) (last visited July 23, 2018).

1 398). That same month, she began receiving mental-health
2 treatment from psychologist Ronald Offenstein to address her
3 grief after the passing of her father-in-law. (AR 361, 405; see
4 also AR 352-54 (initial clinical assessment completed by nurse
5 practitioner).) She did not have orientation, cognitive, or
6 memory impairment but had "[m]oderate[ly]" poor concentration and
7 "[s]evere[ly]" decreased energy. (AR 361.) Dr. Offenstein wrote
8 that she was "motivated" but had "poor insight" (AR 362); she had
9 "average" intelligence, was "distractible," and had "intact"
10 judgment and memory (AR 363). She had "[s]evere" impairments in
11 holding an occupation and accomplishing personal-care and daily-
12 living activities. (Id.)

13 In April 2012, she told Dr. Offenstein that she "believe[d]
14 she need[ed] to get a job" but that "nobody would hire her"
15 because she couldn't "read, write, [or] spell." (AR 358.) She
16 stated that she "didn't finish school" but "went to adult
17 school." (Id.) She did not have any orientation, cognitive, or
18 memory impairment but had "[s]evere[ly]" poor concentration.
19 (Id.) She was prescribed "25 mg" of Topamax²⁴ twice a day. (AR
20 351.) In May 2012, he did not indicate that she had any mental-
21 impairment symptoms (AR 357), but that same month, Kathleen
22 Kelly, a licensed clinical social worker, wrote that Plaintiff
23 had "cognitive impairment" and "[m]oderate[ly]" poor
24 concentration (AR 356). She had "[m]oderate" problems with her
25

26
27 ²⁴ Topamax prevents migraine headaches and seizures. See
28 Topamax, WebMD, <https://www.webmd.com/drugs/2/drug-14494-6019/topamax-oral/topiramate-oral/details> (last visited July 23, 2018).

1 personal care. (Id.) She was prescribed "50 mg" of Zoloft,²⁵ to
2 be increased to "100 mg" after a week. (AR 349.) That
3 prescription was increased to "150 mg" in June 2012. (AR 348.)

4 The same month, Plaintiff complained of "pain all over,"
5 specifically describing "knee pain." (AR 390-91.) She mentioned
6 completing "extensive workouts" to lose weight, though they
7 caused "some pain." (AR 390.) Gastroenterologist Philip T. Chen
8 prescribed a trial of tramadol²⁶ "for pain" and to address
9 Plaintiff's complaints that "[V]icodin on rare occasion [was] too
10 strong." (AR 390-91.) In August 2012, she was reevaluated for
11 sleep apnea. (AR 382.) She had been "unable to tolerate" the
12 CPAP mask (AR 382-33), so another was ordered for her (AR 461-
13 62). In October 2012, however, she still "struggle[d] with each
14 mask" (AR 376); another was ordered (AR 463-65). That same
15 month, she attended a follow-up appointment with Dr. Babajanians,
16 complaining of "generalized soft tissue pain, arthralgia,
17 stiffness, [and] fatigue." (AR 366.) She stated that her
18 medications were "inadequate in controlling [the] intensity of
19 [her] pain." (Id.) Dr. Babajanians observed that she
20 "exhibit[ed] tenderness" and "[m]ultiple symmetric soft tissue
21
22
23

24 ²⁵ Zoloft treats depression, panic attacks, and social
25 anxiety disorder, among other uses. See Zoloft, WebMD, [https://](https://www.webmd.com/drugs/2/drug-35-8095/zoloft-oral/sertraline-oral/details)
26 [www.webmd.com/drugs/2/drug-35-8095/zoloft-oral/sertraline-oral/](https://www.webmd.com/drugs/2/drug-35-8095/zoloft-oral/sertraline-oral/details)
[details](https://www.webmd.com/drugs/2/drug-35-8095/zoloft-oral/sertraline-oral/details) (last visited July 23, 2018).

27 ²⁶ Tramadol is a narcotic used to relieve moderate to
28 moderately severe pain. See Tramadol HCL, WebMD, [https://](https://www.webmd.com/drugs/2/drug-4398-5239/tramadol-oral/tramadol-oral/details)
[www.webmd.com/drugs/2/drug-4398-5239/tramadol-oral/tramadol-oral/](https://www.webmd.com/drugs/2/drug-4398-5239/tramadol-oral/tramadol-oral/details)
[details](https://www.webmd.com/drugs/2/drug-4398-5239/tramadol-oral/tramadol-oral/details) (last visited July 23, 2018).

1 tender points, early Heberden nodes[,]²⁷ [and] [m]ild crepitus in
2 [her] shoulders and knees." (AR 367.) Vicodin and tramadol were
3 discontinued (see AR 367, 384), and Dr. Babajanians prescribed a
4 "Butrans patch,"²⁸ to be used once a week (AR 367).

5 In January 2013, she reported "generalized" "pain all over
6 [her] body." (AR 625.) X-rays of her feet revealed "calcaneal
7 spur[s]"; the spur on her left foot was "moderately large" but on
8 her right it was "[s]mall," and the imaging was "otherwise
9 unremarkable." (AR 601-02.) X-rays of both hands were
10 "[u]nremarkable" (AR 603), and a pelvic x-ray showed "no
11 evidence" to "suggest rheumatoid arthritis" (AR 604). Imaging of
12 Plaintiff's "mid and upper cervical spine" was similarly
13 "[u]nremarkable." (AR 605.) Her lumbosacral spine showed "no
14 evidence of bone erosion to suggest rheumatoid arthritis" (AR
15 606) but had "grade 1 retrolisthesis of L5 on S1" (AR 605).
16 Imaging of her thoracic spine was "[e]ssentially normal." (AR
17 606-07.)

18 In February 2013, she was noted as having "18/18 tender
19 points." (AR 624.) In May 2013, Plaintiff sought emergency care
20 for back pain, though she was "able to ambulate." (AR 588-89.)
21 In June 2013, she complained of sternal pain and a tight chest.
22 (AR 579, 584.) A few days later, she was assessed at the
23

24 ²⁷ Heberden's nodes are bony swellings that form on the
25 hands as a result of osteoarthritis. See What Are Heberden's
26 Nodes?, Healthline, <https://www.healthline.com/health/osteoarthritis/heberdens-nodes> (last updated May 9, 2017).

27 ²⁸ A Butrans patch contains a narcotic used to relieve
28 severe ongoing pain. See Butrans Patch, Transdermal Weekly,
WebMD, <https://www.webmd.com/drugs/2/drug-155153/butrans-transdermal/details> (last visited July 23, 2018).

1 emergency department with "[a]typical [chest pain]." (AR 580.)
2 A chest x-ray that month showed "[n]o acute disease." (AR 600.)
3 In September 2013, Plaintiff complained of left-finger and -thumb
4 pain that occurred "after trying to pull a handle with a lot [of]
5 effort." (AR 612.) X-rays of her left hand and thumb were
6 ordered (AR 612-13); her left hand was "normal," with "intact"
7 soft tissues (AR 627), and her left thumb had "no fractures,
8 subluxations, foreign bodies or bony destructive processes" (AR
9 628). She received steroid injections in each finger, and the
10 "pain released after [the] injection[s]." (AR 611.) In November
11 2013, she reported that her right shoulder was injured when a
12 "large dog yanked [on the] leash" (AR 649), but an x-ray of the
13 shoulder was "normal" (AR 664).

14 In January 2014, Plaintiff was seen for her chronic shoulder
15 pain, and an MRI was ordered. (AR 643.) The MRI revealed
16 "[m]ild-to-moderate supraspinatus," "mild infraspinatus,"
17 "subscapularis tendinosis," and "[m]ild-to-moderate degenerative
18 changes at the acromioclavicular joint"; "[n]o high-grade partial
19 or full-thickness rotator cuff tendon tear, tendon retraction or
20 muscle atrophy" was found. (AR 652-53.) In March 2014, she
21 underwent an overnight sleep study that confirmed she had "[m]ild
22 overall [o]bstructive [s]leep [a]pnea," with "[s]evere REM
23 related obstructive apneas/hypopneas." (AR 656-57.) When using
24 a CPAP machine calibrated to a pressure of 10 cm, however, the
25 "apneas/hypopneas and snoring were eliminated, including during
26 REM sleep while on [her] back." (AR 656.) In April 2014, she
27 was referred to "ortho" to address "shoulder tenderness" from her
28 "right rotator cuff impingement." (AR 641, 817.) Orthopedic

1 surgeon Raja Dhalla ordered "shoulder arthroscopy with
2 subacromial decompression" (AR 744), which he performed on May
3 20, 2014 (AR 720-22, 733-34, 742). He also ordered an ECG prior
4 to her surgery; the results were "[a]bnormal" when "compared"
5 with a 2009 ECG. (AR 769-70.) Dr. Dhalla performed an
6 "[a]rthroscopic repair" of the tear and "debridement of [the]
7 labrum and synovitis." (AR 766.) Postsurgery, he diagnosed
8 Plaintiff with "[r]ight shoulder rotator cuff impingement
9 syndrome" and observed "findings of synovitis" and a "superior
10 labrum tear." (Id.) She was discharged from the hospital that
11 same day. (AR 808.)

12 Also in May, Plaintiff obtained care at an arthritis clinic
13 for "persistent" "joint pain" in "multiple sites." (AR 821, 824,
14 826.) She reported "active depression, stress/anxiety, snor[ing]
15 at night, fatigue, mood swing[s], memory loss, difficulty with
16 concentration, dizziness, numbness/tingling, abdominal pain,
17 [and] constipation" but denied "interrupted sleep, severe
18 headache[s], crying spells, exercis[ing] regularly[,] or
19 diarrhea." (Id.) She was "encouraged to lose weight and
20 exercise regularly" and to "use [her] C-PAP machine on a regular
21 basis." (AR 822, 825, 827.) She was prescribed meloxicam²⁹ and
22 Flexeril³⁰ to treat her pain. (AR 822.) In September 2014, she
23 complained of "shortness of breath"; she was advised to continue

24
25 ²⁹ Meloxicam is a nonsteroidal antiinflammatory that reduces
26 pain, swelling, and stiffness of the joints. See Meloxicam,
27 WebMD, [https://www.webmd.com/drugs/2/drug-911/meloxicam-oral/](https://www.webmd.com/drugs/2/drug-911/meloxicam-oral/details)
28 details (last visited July 23, 2018).

29 ³⁰ Flexeril treats muscle spasms by relaxing the muscles.
30 See Flexeril Tablet, WebMD, [https://www.webmd.com/drugs/2/drug-](https://www.webmd.com/drugs/2/drug-11372/flexeril-oral/details)
11372/flexeril-oral/details (last visited July 23, 2018).

1 using her CPAP machine and was referred to pulmonology. (AR 638-
2 39.) She visited the emergency room but showed "no significant
3 abnormalities." (AR 687, 699.) She underwent another ECG; the
4 results were "normal" when compared with her May 2014 test. (AR
5 715; see also AR 699.) A chest x-ray was also "within normal
6 limits." (AR 698, 714.) She refused to stay to "complete her
7 evaluation," however, and was released "against medical advice."
8 (AR 696, 710-11.)

9 In December 2014, Plaintiff complained of "pain in [her]
10 legs" because she "ha[d] been walking 3 miles/day." (AR 635.)
11 She was diagnosed with "shin splints" and advised to "ice" her
12 legs and "rest from walking." (Id.) In January 2015, she
13 visited a foot-and-ankle specialist for "orthotics for walking
14 shoes" because she was "trying to stay active to lose weight."
15 (AR 676.) She exhibited "[s]table foot posture with
16 flattening/decreased medial arch" bilaterally, showed "[g]ood
17 muscle strength," and had "adequate muscle tone and symmetry"
18 bilaterally. (Id.) Her "range of motion for all joints from the
19 ankle" was "[d]ecreased." (Id.) In February 2015, Plaintiff
20 refilled her Lyrica prescription and reported that her "pain
21 [was] controlled" on it; she "denie[d] any [other] complaints."
22 (AR 633.) She was fitted for orthotics in March 2015 (AR 671),
23 and in April she stated that they "help[ed] in [her] walking
24 shoes" and "seem[ed] to be improving some of [her] painful
25 symptoms" (AR 669-70). She had "no [foot] complaints at th[at]
26 time" and noted only that she was "concerned with arthritis in
27 [her] hands." (AR 670.)

28 On April 22, 2015, she reported to family physician Gita

1 Tavassoli that "several days" prior she had "passed out" while
2 "shaking" and had "wet herself." (AR 836.) Dr. Tavassoli
3 ordered an ECG and EEG and advised "avoid[ing] taking [her]
4 med[ications] together." (Id.) The EEG was "normal."³¹ (AR
5 679.) She saw neurologist Maninder S. Arora in June 2015,
6 reporting that she had had two such episodes of "confusion,
7 disorientation, with whole body jerking," resulting in her being
8 "unresponsive on the floor for a few minutes." (AR 682.) Dr.
9 Arora noted that her symptoms were "indicative of generalized
10 tonic-clonic seizure" and ordered a brain MRI. (AR 683.) The
11 MRI demonstrated "no acute or subacute abnormality" and showed
12 only "[m]ild" bilateral mastoid and ethmoid sinus mucosal
13 thickening. (AR 681.) It found "several old periventricular and
14 subcortical white matter [and] small vessel infarcts," which
15 apparently was a "very common and non-specific MRI finding,"
16 though the "overall number [was] more than usually seen at
17 [Plaintiff's] age." (Id.) In August 2015, Plaintiff reported
18 another episode. (AR 684.) Dr. Arora noted that a "normal EEG
19 d[id] not rule out seizure disorder" and prescribed an
20 "antiseizure medication," Topamax. (AR 685.)

21 In June 2015, Plaintiff had a sleep study done, showing that
22 at a pressure of "15.0 cwp" she had a "marked improvement of
23 apnea and hypoxia" and that she "tolerated PAP therapy well."
24 (AR 844-46.) Her mask was adjusted in July 2015. (AR 840.) In
25 August 2015, Plaintiff had a bone-density test; the results were
26

27
28 ³¹ It doesn't appear that an ECG was performed after Dr.
Tavassoli recommended it. But her most recent ECG before that,
in September 2014, was "normal." (AR 715.)

1 "normal." (AR 832-34.)

2 ii. *Consulting Opinions*

3 In June 2013, orthopedic surgeon Vicente R. Bernabe saw
4 Plaintiff for a consulting exam, with mostly normal results.
5 (See AR 565-69.) Her gait was "normal," she was "able to toe and
6 heel walk," and she "did not use any assistive device to
7 ambulate." (AR 566.) Her cervical spine had "no significant
8 tenderness to palpation," and its "[r]ange of motion was full and
9 painless." (Id.) "[I]nspection of [her] thoracic spine was
10 unrevealing," and "[p]alpation elicited no tenderness." (AR
11 567.) Her lumbar spine had a "normal" lordotic curve, and "no
12 spasm" was observed. (Id.) Though she was "tender at the
13 thoracolumbar and lumbosacral junction," her "[s]ciatic notches
14 and gluteal muscles were not tender." (Id.) Her shoulders had
15 "no significant tenderness to palpation," and her elbows, wrists,
16 hands, hips, knees, ankles, and feet had "no tenderness" at all.
17 (AR 567-68.) She also had "full and painless" range of motion
18 and "grossly intact" motor strength in all extremities. (Id.)

19 Dr. Bernabe diagnosed Plaintiff with a "[t]horacolumbar and
20 lumbosacral musculoligamentous strain" and a "[h]istory of
21 fibromyalgia." (AR 568.) He found that she could "lift and
22 carry 50 pounds occasionally and 25 pounds frequently" and push
23 and pull "without limitations." (Id.) She could walk and stand
24 for "six hours" and sit for "six hours" in an eight-hour day.
25 (AR 569.) She had no agility, manipulative, or postural
26 limitations. (Id.) Dr. Bernabe did not review any of
27 Plaintiff's medical records in forming his opinion. (AR 565.)

28 That same month, Plaintiff saw psychologist Colleen Daniel

1 for a consulting exam. (AR 572-76.) Upon examination,
2 Plaintiff's speech was "clear" and her thoughts were "organized,"
3 though "[p]sychomotor slowing" was "evident" and her intellectual
4 functioning was "below average." (AR 574.) Her memory was
5 "moderately diminished for immediate, intermediate[,] and remote
6 memories," and she had "markedly diminished" attention and
7 concentration span. (Id.) She possessed "fair" insight,
8 judgment, and fund of knowledge. (Id.) Dr. Daniel found that
9 "[g]iven [Plaintiff's] test results and clinical data," her
10 overall cognitive ability fell in the "borderline intellectual
11 functioning range." (AR 575; see also AR 574-75 (results of
12 tests conducted).) She diagnosed her with attention deficit
13 hyperactivity disorder, generalized anxiety disorder, and
14 dysthymic disorder. (AR 576.) She opined that Plaintiff could
15 "understand, remember and carry out short, simplistic
16 instructions with mild difficulty" but would have "moderate
17 difficulty" doing so for tasks with "detailed and complex
18 instructions." (Id.) She would have "no difficulty" making
19 simplistic work-related decisions without special supervision,
20 "mild difficulty" complying with safety- and attendance-related
21 job rules and responding to changes in a normal workplace, and
22 "moderate difficulty" maintaining persistence and pace in a
23 normal workplace. (Id.)

24 In July 2013, orthopedic surgeon David Subin reviewed
25 Plaintiff's record and assessed her functional limitations. (AR
26 88-89, 93.) He determined that she could "lift and/or carry" 50
27 pounds occasionally and 25 pounds frequently, "[s]tand and/or
28 walk" for "6 hours in an 8-hour workday," sit for "6 hours in an

1 8-hour workday," and "[p]ush and/or pull" an "[u]nlimited"
2 amount. (AR 89.) She had no postural, manipulative, visual,
3 communicative, or environmental limitations. (Id.)

4 In December 2013, internist D. Rose also assessed
5 Plaintiff's functional limitations. (AR 103-05, 125.) He found
6 the same exertional limitations as Dr. Subin but determined
7 additional postural and environmental limitations. (See id.)
8 Plaintiff could "[f]requently" climb ramps and stairs, balance,
9 stoop, kneel, crouch, and crawl but could "[n]ever" climb
10 ladders, ropes, or scaffolds "due to [her] morbid obesity." (AR
11 104.) She could have "[u]nlimited" exposure to extreme cold and
12 heat, wetness, humidity, noise, vibration, fumes, odors, dusts,
13 gases, and poor ventilation but needed to "[a]void even moderate
14 exposure" to such hazards as "unprotected heights" and "dangerous
15 machinery" "due to [her] morbid obesity." (AR 104-05.) She also
16 needed to "avoid frequent walking on uneven terrain" because of
17 her obesity. (AR 105.)

18 *iii. Daily Activities*

19 In April 2013, Plaintiff's husband filled out a third-party
20 function report (AR 258-66) and helped her complete a function
21 report for herself (AR 267-75). In his report, he wrote that she
22 was "unable to walk or stand for periods of time" and didn't have
23 "good" balance. (AR 258.) She took care of pets by "feed[ing]
24 them"; her niece helped by "bath[ing] them and tak[ing] them
25 outside."³² (AR 259.) He wrote that she "use[d] [her] C-PAP
26

27 ³² In November 2013, Plaintiff reported a sore shoulder
28 after one of her dogs yanked its leash while she was walking it.
(AR 649.) Plaintiff thus apparently also walked the dogs.

1 machine." (Id.) He helped her dress by "hook[ing] her bra for
2 her," but she was able to "take[] showers," feed herself without
3 problems, shave with a "special razor," and do her hair, though
4 "sometime[s] she ha[d] trouble lifting [her] arms." (Id.) He
5 had to remind her to take her medication, and she couldn't cook
6 because she was "unable to stand for periods of time." (AR 260.)
7 She went outside "daily," drove, and could go out alone. (AR
8 261.) She shopped "in stores" a "couple times a month" for "food
9 and clothing." (Id.) She could count change but was "unable to
10 read or spell words." (Id.) He wrote that she "talk[ed] to
11 friends and family on [the] phone" "daily" but was "unable to do
12 social activities" or walk, stand, or sit "because of [her]
13 pain." (AR 262-63.) She could lift "maybe 5 to 10 pounds," walk
14 "maybe 150 to 200 feet," and needed to rest "about 15 minutes"
15 before resuming walking. (AR 263.)

16 The function report he helped Plaintiff complete assessed
17 similar limitations. (See AR 267-75.) She stated that her
18 "hands cramp[ed]" when she cooked (AR 269), she went to church
19 regularly on Sundays (AR 271), and she didn't finish what she
20 started (AR 272). She claimed that she "c[ouldn't] lift 10
21 pounds" and was "unable to walk any distance" or "pay attention
22 for any amount of time." (Id.) Her impairment affected her
23 ability to lift, squat, bend, stand, reach, walk, sit, kneel,
24 climb stairs, remember, complete tasks, concentrate, understand,
25 and use her hands. (Id.)

26 Plaintiff reported to Dr. Daniel in June 2013 that she
27 "spen[t] her time watching television and sleeping" and "need[ed]
28 assistance with household chores, shopping[,] and ambulation."

1 (AR 573.) Her husband "manage[d] the money." (Id.) She "ha[d]
2 a valid driver's license and [was] able to drive." (Id.)

3 In October 2013, Plaintiff's friend filled out a third-party
4 function report (AR 284-92) and helped Plaintiff complete another
5 function report for herself (AR 293-301). She wrote that
6 Plaintiff was "weak and in pain constantly [and] her medications
7 limit[ed] her drastically, [as did] her lack of concentration,
8 depression, mobility, drive[,] and energy." (AR 284.) She
9 stated that Plaintiff's niece and nephew did "housework, yard
10 work, prepare[d] meals, [and] shop[ped]." (AR 285.) Plaintiff
11 had "no problem" with personal care but needed "to be reminded or
12 asked if she'[d] taken her med[ication]." (AR 285-86.) It took
13 her a "couple minutes" to prepare "breakfasts." (AR 286.) She
14 went outside "once or twice a day" and traveled by driving or
15 riding in a car. (AR 287.) She shopped "[i]n stores" for an
16 "hour to 2 h[ours]" "once a week" for "food and clothing" and
17 "household supplies." (Id.) She noted that Plaintiff could "pay
18 bills with help but checkbook balancing or writing checks [was]
19 something she c[ouldn't] do." (Id.) She watched television
20 "ver[y] well" and partook in "crafts, sewing, [and] art"
21 "depend[ing] on how she[was] feeling." (AR 288.) She had "no
22 patience" and "d[id] not carry her groceries because of pain and
23 weakness." (AR 289.) She could walk "a block maybe" before
24 needing to rest for "5 to 10 min[utes]." (Id.) She could pay
25 attention for "10" or "15" minutes before "get[ting] distracted."
26 (Id.)

27 Plaintiff completed her own October 2013 function report
28 with her friend's help. (See AR 293-301.) Plaintiff claimed

1 that her "ADD cause[d] [her] to have difficulty learning and
2 remembering stuff." (AR 293.) Her fibromyalgia caused "pain in
3 [her] body that [made] it hurt[] to stand or move around," and
4 her sleep apnea caused fatigue. (Id.) She prepared such food as
5 a "bowl of cereal, coffee, [or] bagel," but her niece prepared
6 the "rest of [the] meals." (AR 295.) She drove a car and could
7 go out alone. (AR 296.) When she shopped – "maybe once a week"
8 for "an hour to 3 h[ours]" – she "use[d] carts to lean on and
9 mobility carts" to get around the store. (Id.) She spent her
10 days "watching TV and movies, craft[ing], sewing if it [was] a
11 good day, [and] flower arranging," and she was "pretty good" at
12 doing those activities. (AR 297.) Though she had stated in
13 April that she went to church on Sundays (see AR 271), by October
14 she apparently had stopped going and went "on a regular basis"
15 only to doctor appointments (AR 297-98). She couldn't "keep in"
16 things she was "told or instructed," and her "medications
17 hamper[ed] [her] seeing and memory." (AR 298.)

18 At her August 7, 2015 hearing, Plaintiff testified that she
19 "hurt from head to toe" "[a]ll day" from fibromyalgia and
20 arthritis. (AR 41-42.) She rated the "average amount of pain"
21 she experienced at a "seven" of 10. (AR 41.) She stated that
22 her pain "pretty much stay[ed] the same" on Lyrica. (AR 41-42.)
23 On an average day, she pet her dogs, watered "out in front of
24 [her] house," did dishes, watched television, and "exercise[d]"
25 in the pool "a couple times." (AR 42-43.) She "drop[ped] stuff
26 all the time" because she had difficulty "keep[ing] grip." (AR
27 43-44.) She experienced "shaking in [her] hands" and "sometimes"
28 in her arms and legs "[e]very day." (AR 45-46.) Her ability to

1 walk and drive was affected by the shaking in her legs. (AR 46.)
2 She could lift a "gallon jug" but only "up the steps and that[]
3 [was] about it." (AR 48.) She alleged that she could sit for
4 only "10/15" minutes before needing to change position and walk
5 for "maybe ten minutes" before needing to spend "three or four
6 minutes" catching her breath. (AR 48-49.)

7 She testified that she had just "got [her CPAP machine]
8 straightened [out]" and it helped her "sleep a little longer
9 through the night," but she still got "air in [her] eyes." (AR
10 49-50.) She stated that her shoulder was "doing really good"
11 after surgery and therapy but that it now had "a pull to it . . .
12 when [she] grip[ped] something" and "it hurt[] if [she] lift[ed]
13 [something] heavy." (AR 51.) She hadn't been driving "at all"
14 because of her recent seizures. (AR 53-54.) She said that she
15 "ha[dn't] tried to work because [she] d[idn't] know what [she]
16 c[ould] do" with her limitations. (AR 56.)

17 3. Analysis

18 The ALJ found that Plaintiff's symptom statements were "not
19 entirely credible" because (1) the "objective findings . . .
20 fail[ed] to provide strong support for [her] allegations of
21 disabling symptoms and limitations" (AR 21), (2) her treatment
22 was "essentially conservative in nature" (AR 21, 24), (3) her
23 "pain was controlled on Lyrica" (AR 23), (4) she was "non-
24 compliant with CPAP usage" (id.), (5) her daily activities were
25 "indicative of greater functional capabilities" (AR 25), and (6)
26 her "marginal intermittent and part-time" work history indicated
27 that a "lack of interest in working" rather than her medical
28 conditions "account[ed] for her current lack of employment"

1 (id.). Plaintiff argues that the ALJ improperly rejected her
2 "pain and symptom testimony." (J. Stip. at 5-12, 20-21.) She is
3 correct; the ALJ materially erred in discounting her statements'
4 credibility, and those errors were not harmless.

5 i. *Objective Findings*

6 Contradiction with evidence in the medical record is a
7 "sufficient basis" for rejecting a claimant's subjective symptom
8 testimony. Carmickle v. Comm'r, Soc. Sec. Admin., 533 F.3d 1155,
9 1161 (9th Cir. 2008); see Morgan v. Comm'r of Soc. Sec. Admin.,
10 169 F.3d 595, 600 (9th Cir. 1999) (upholding "conflict between
11 [plaintiff's] testimony of subjective complaints and the
12 objective medical evidence in the record" as "specific and
13 substantial" reason undermining credibility). Although a lack of
14 medical evidence "cannot form the sole basis for discounting pain
15 testimony, it is a factor that the ALJ can consider in [her]
16 credibility analysis." Burch v. Barnhart, 400 F.3d 676, 681 (9th
17 Cir. 2005); Rollins v. Massanari, 261 F.3d 853, 857 (9th Cir.
18 2001) (citing § 404.1529(c)(2)).

19 The ALJ found that the "objective findings . . . fail[ed] to
20 provide strong support for [Plaintiff's] allegations of disabling
21 symptoms and limitations." (AR 21.) She recognized that
22 Plaintiff had a "history of chronic pain complaints stemming from
23 a diagnosis of fibromyalgia," among other impairments, but found
24 that the "objective signs and findings on physical examinations
25 ha[d] not been particularly adverse[,] showing minimal if any
26 neurological deficits." (Id.) She cited an abundance of
27 "normal" and "unremarkable" physical examinations and imaging to
28 support that reason. (See AR 21-25.) But no laboratory tests or

1 objective findings confirm the presence or severity of
2 fibromyalgia. See Benecke v. Barnhart, 379 F.3d 587, 590 (9th
3 Cir. 2004). Indeed, fibromyalgia manifests with an "absence of
4 symptoms that a lay person may ordinarily associate with joint
5 and muscle pain." Revels v. Berryhill, 874 F.3d 648, 656 (9th
6 Cir. 2017) (citing Rollins, 261 F.3d at 863 (Ferguson, J.,
7 dissenting)). Fibromyalgia patients have "muscle strength,
8 sensory functions, and reflexes that are normal"; "[t]heir joints
9 appear normal, and further musculoskeletal examination indicates
10 no objective joint swelling." Id. (alteration omitted). In such
11 cases, "[t]he condition is diagnosed 'entirely on the basis of
12 the patients' reports of pain and other symptoms.'" Id. (quoting
13 Benecke, 379 F.3d at 590).

14 Plaintiff's medical records demonstrate extensive complaints
15 of generalized muscle pain (see, e.g., AR 512-14 (Oct. 2009:
16 "pain in both her upper and lower body"), 506 (July 2010: "aching
17 pain" in "lower back"), 496 (May 2011: "achy sensation all
18 over"), 390 (June 2012: "pain all over"), 366 (Oct. 2012:
19 "generalized soft tissue pain"), 625 (Jan. 2013: "generalized"
20 "pain all over body"), 821 (May 2014: "persistent" "joint pain"
21 in "multiple sites")), fatigue (see, e.g., AR 508 (Nov. 2009:
22 noting "fatigue" "over several years"), 398 (Mar. 2012:
23 "[p]ositive" for fatigue), 366 (Oct. 2012: complaining of
24 "fatigue")), sleep problems (see, e.g., AR 525 (Feb. 2007:
25 "cannot sleep at nighttime due to the pain")),³³ depression (see,

27 ³³ Though many of Plaintiff's fatigue- and sleep-related
28 complaints stemmed from obstructive sleep apnea (see, e.g., AR
414 (complaining of "generalized fatigue and myalgia, relating
the symptoms to difficulties with sleep, due to sleep apnea")),

1 e.g., AR 514 (Oct. 2009: "on Prozac" for "depression"), 821 (May
2 2014: "active depression" and "stress/anxiety")), and poor
3 concentration (see, e.g., AR 361 (Mar. 2012: "[m]oderate[ly]"
4 poor concentration), 358 (Apr. 2012: "[s]evere[ly]" poor
5 concentration), 356 (May 2012: "[m]oderate[ly]" poor
6 concentration)), all of which are indicative of fibromyalgia.
7 See SSR 12-2p, 2012 WL 3104869, at *3 (July 25, 2012) (describing
8 fibromyalgia "symptoms, signs, or co-occurring conditions" as
9 including "manifestations of fatigue, cognitive or memory
10 problems ('fibro fog'), waking unrefreshed, depression, anxiety
11 disorder, or irritable bowel syndrome"); Revels, 874 F.3d at 657
12 (same); Benecke, 379 F.3d at 589-90 (explaining that common
13 symptoms of fibromyalgia "include chronic pain throughout the
14 body, multiple tender points, fatigue, stiffness, and a pattern
15 of sleep disturbance that can exacerbate the cycle of pain and
16 fatigue associated with this disease").

17 Moreover, at least four times she was recorded as having
18 more than 11 of 18 tender points. (See AR 512 (Oct. 2009:
19 "Patient has greater than 11 positive trigger points"), 508 (Nov.
20 2009: "12/18" "tender points [at] upper and lower back, chest
21 wall, base of the neck, [and] knees"), 415 (Nov. 2011: "[m]ild
22 diffuse soft tissue tenderness including 12/18 defined tender
23 points"), 624 (Feb. 2013: "18/18 tender points"); cf. AR 505
24

25 that condition itself likely was connected to her fibromyalgia.
26 See [Sleep Apnea in Patients with Fibromyalgia](https://www.practicalpainmanagement.com/pain/myofascial/fibromyalgia/sleep-apnea-patients-fibromyalgia-growing-concern), Practical Pain
27 Mgmt., [https://www.practicalpainmanagement.com/pain/
28 myofascial/fibromyalgia/sleep-apnea-patients-fibromyalgia-
growing-concern](https://www.practicalpainmanagement.com/pain/myofascial/fibromyalgia/sleep-apnea-patients-fibromyalgia-growing-concern) (last updated Sept. 20, 2011) ("Patients with
fibromyalgia have a tenfold increase in sleep-disordered
breathing, including obstructive sleep apnea.").

1 (Oct. 2010: "6/18" "tender points in the upper and lower back and
2 chest wall".) "[T]ender-point examinations themselves
3 constitute 'objective medical evidence' of fibromyalgia."
4 Revels, 874 F.3d at 663 (quoting SSR 12-2p, 2012 WL 3104869, at
5 *2-3) (noting that plaintiff's showing of 11 or more tender
6 points at "five out of eight appointments" met "cutoff for a
7 diagnosis of fibromyalgia under SSR 12-2P's first set of
8 criteria").

9 Defendant argues that "Plaintiff presents no doctor[']s
10 opinion that suggests [she] has restrictions anywhere close to
11 her allegations," citing the less-restrictive opinions of Drs.
12 Bernabe, Subin, and Rose. (J. Stip. at 18.) But Dr. Bernabe
13 reviewed "no medical records" in making his orthopedic assessment
14 of Plaintiff's disability. (AR 565.) SSR 12-2p provides, and
15 the 9th Circuit has recognized, that an "analysis of [a
16 fibromyalgia patient's] RFC should consider 'a longitudinal
17 record whenever possible'" because "the symptoms of fibromyalgia
18 'wax and wane.'" Revels, 874 F.3d at 657 (quoting SSR 12-2p,
19 2012 WL 3104869, at *6). The opinions of the state-agency
20 consultants, Drs. Subin and Rose, suffer from the same
21 "fundamental misunderstanding of fibromyalgia" as the ALJ's
22 decision. See id. at 662. Both doctors found Plaintiff
23 "[p]artially [c]redible" because her "allegations of severity
24 [were] not fully supported by objective findings" (AR 88 (Dr.
25 Subin), 102 (Dr. Rose)), and in so doing failed to "construe[]
26 [the medical evidence] in light of fibromyalgia's unique symptoms
27 and diagnostic methods." Revels, 874 F.3d at 662.

28 Thus, the lack of abnormal objective findings on examination

1 was not a sufficient basis to discount Plaintiff's subjective
2 symptom statements. Id. at 666; Hamilton-Carneal v. Colvin, 670
3 F. App'x 613, 614 (9th Cir. 2016); Payan v. Colvin, 672 F. App'x
4 732, 732 (9th Cir. 2016).

5 ii. *Conservative Treatment*

6 The ALJ found that Plaintiff's "overall treatment ha[d] been
7 essentially conservative in nature and [was] not comm[ensurate]
8 with the alleged severity of her overall conditions." (AR 21.)
9 Conservative treatment is a legitimate reason for an ALJ to
10 discredit a claimant's testimony regarding the severity of an
11 impairment. Parra, 481 F.3d at 751. But "[a]ny evaluation of
12 the aggressiveness of a treatment regimen must take into account
13 the condition being treated," Revels, 874 F.3d at 667, and a
14 claimant "cannot be discredited for failing to pursue non-
15 conservative treatment options where none exist," Lapeirre-Gutt
16 v. Astrue, 382 F. App'x 662, 664 (9th Cir. 2010). "Fibromyalgia
17 is treated with medications and self-care," McNeal v. Berryhill,
18 No. EDCV 17-0993 SS, 2018 WL 2078810, at *7 (C.D. Cal. May 2,
19 2018), rather than "surgery or other more radical options,"
20 Sharpe v. Colvin, No. CV 13-01557 SS, 2013 WL 6483069, at *8
21 (C.D. Cal. Dec. 10, 2013).

22 Plaintiff was prescribed myriad medications for her
23 impairments, including amitriptyline, Prozac, Zantac, temazepam,
24 Lyrica, Neurontin, Cymbalta, Tagamet, Vicodin, Robaxin, Celexa,
25 Naprosyn, nortriptyline, Topamax, Zoloft, tramadol, Butrans
26 patches, meloxicam, and Flexeril, to treat her pain, depression,
27 anxiety, insomnia, and other symptoms related to fibromyalgia.
28 (See AR 348-49, 351, 367, 391, 415, 502-03, 507-10, 512, 514-16,

1 518-19, 521-22, 524-25, 532, 535, 685, 822.) Her doctors had
2 increased her Lyrica prescription to a more aggressive dosage,
3 but they had to decrease it again after she experienced liver
4 problems. (See AR 516 (May 2008: "we need to keep upping her
5 Lyrica as her pain keep[s] on worsening"), 508-09 (Nov. 2009:
6 Lyrica dose of 700 mg decreased "gradually" to 450 mg because
7 liver enzymes "elevated"), 57-58 (Aug. 2015: Plaintiff testifying
8 that her doctors increased her Lyrica prescription but it caused
9 "a problem with [her] liver").) Her doctors regularly
10 supplemented Lyrica with narcotics, such as Vicodin, tramadol,
11 and Butrans patches, to further manage her pain. Though at times
12 she tried to "minimiz[e]" her use of narcotics because they were
13 "sleep-inducing" or "too strong" (see AR 390, 421, 497), her
14 longitudinal use of them was fairly regular (see AR 58, 353, 366-
15 67, 390-91, 399, 407, 494, 496, 502-03, 506, 508, 510, 512, 514,
16 516-18, 560, 566, 625, 643). See SSR 12-2p, 2012 WL 3104869, at
17 *6 (Commissioner should "consider a longitudinal record whenever
18 possible because the symptoms of [fibromyalgia] can wax and
19 wane"). She also received a ketorolac injection in August 2011.
20 (AR 431.) The use of narcotics to control pain in conjunction
21 with injections likely does not constitute "conservative"
22 treatment. See, e.g., Ruiz v. Berryhill, No. CV 16-2580-SP, 2017
23 WL 4570811, at *5-6 (C.D. Cal. Oct. 11, 2017) (treatment by
24 "narcotic medication, facet joint injections, and epidural
25 steroid injections" not conservative). Moreover, "[t]he ALJ
26 provided no explanation why [s]he deemed this treatment
27 'conservative' for fibromyalgia." Revels, 874 F.3d at 667; see
28 Sharpe, 2013 WL 6483069, at *8 (fibromyalgia treatment not

1 conservative when plaintiff was "consistently and heavily
2 medicated" and "referred to fibromyalgia specialists"); Matamoros
3 v. Colvin, No. CV 13-3964-CW, 2014 WL 1682062, at *4 (C.D. Cal.
4 Apr. 28, 2014) (fibromyalgia treatment consisting of "trigger
5 point injections and a variety of medications" not conservative).

6 To the extent her mental impairments can be distinguished
7 from her physical fibromyalgia-related symptoms, the ALJ may have
8 properly discounted those symptoms based on "conservative
9 treatment consisting mainly of medication management through her
10 primary care physician." (See AR 24.) Plaintiff did not "pursue
11 regular mental health care treatment," seeing Dr. Offenstein, a
12 psychologist, or his nurse practitioner only from March to July
13 2012, to treat her grief after her father-in-law passed away.
14 (AR 347-55, 356-58, 361-63); see Matin v. Comm'r of Soc. Sec.
15 Admin., 478 F. App'x 377, 379 (9th Cir. 2012). As noted by the
16 ALJ, "the record includes no hospitalization or extensive
17 psychotherapy treatment." (AR 24.) Rather, she managed her
18 depression and anxiety through medications prescribed by her
19 primary-care doctors at Riverside Medical Clinic. Such mental-
20 health treatment likely was conservative. But see Nguyen v.
21 Chater, 100 F.3d 1462, 1464-65 (9th Cir. 1996) (claimant's
22 failure to seek any psychiatric treatment for over three years
23 not legitimate basis for discounting medical opinion).

24 But Plaintiff's overall treatment was likely not
25 conservative, and thus that was not a clear and convincing reason
26 to discount her statements' credibility. See Revels, 874 F.3d at
27 667.

1 iii. *Pain Controlled On Lyrica*

2 The ALJ found that Plaintiff "reported that her pain was
3 controlled on Lyrica." (AR 23 (citing only AR 633); see also AR
4 25.) "Impairments that can be controlled effectively with
5 medication are not disabling for the purpose of determining
6 eligibility for SSI benefits." Warre v. Comm'r of Soc. Sec.
7 Admin., 439 F.3d 1001, 1006 (9th Cir. 2006). But the "symptoms
8 of fibromyalgia 'wax and wane,'" and "a person may have 'bad days
9 and good days.'" Revels, 874 F.3d at 657 (quoting SSR 12-2p,
10 2012 WL 3104869, at *6).

11 Though at times Lyrica helped manage Plaintiff's pain (see,
12 e.g., AR 506 (July 2010: Lyrica "has been helpful"), 505 (Oct.
13 2010: Plaintiff "feel[s] relatively well" on Lyrica), 497 (Apr.
14 2011: pain "relatively controlled on [L]yrica and naproxen"), 414
15 (Nov. 2011: "Lyrica remains effective"), 633 (Feb. 2015: "pain
16 controlled with Lyrica")), in fact, the medication's
17 effectiveness fluctuated (see, e.g., AR 508 (Nov. 2009: "[t]rial
18 of multiple medications with inadequate control of pain"), 366
19 (Oct. 2012: "[c]urrent medications[] inadequate in controlling
20 intensity of pain")), and Plaintiff often turned to narcotics to
21 obtain further relief (see, e.g., AR 496 (May 2011: "Takes
22 Vicodin . . . once a day"), 391 (June 2012: tramadol "for pain"),
23 366-67 (Oct. 2012: using Vicodin "twice or sometimes three times
24 a day," so discontinued and "Butrans patch" prescribed instead)).
25 Moreover, Plaintiff testified that though Lyrica "work[ed]," it
26 wasn't "enough to stop the pain." (AR 57; see also AR 496 (May
27 2011: feeling "achy sensation all over" despite being "slightly
28 better since on Lyrica," and taking Vicodin "once a day").) An

1 ALJ "should consider 'a longitudinal record whenever possible.'"
2 Revels, 874 F.3d at 657 (quoting SSR 12-2p, 2012 WL 3104869, at
3 *6). The ALJ here was provided with eight years of medical
4 records; focusing on Lyrica's effectiveness at only one point in
5 time was error. Ghanim v. Colvin, 763 F.3d 1154, 1160 (9th Cir.
6 2014) (reviewing court "may not affirm simply by isolating a
7 specific quantum of supporting evidence" (citations omitted)).

8 *iv. Noncompliance With C-PAP Machine*

9 The ALJ further found that Plaintiff was "consistently noted
10 throughout the treatment record to have been non-compliant with
11 CPAP usage." (AR 23.) An ALJ may discount a claimant's symptom
12 testimony based on a "lack of consistent treatment." Burch, 400
13 F.3d at 681. But "no adverse credibility finding is warranted
14 where a claimant has a good reason for failing to obtain
15 treatment." Lapeirre-Gutt, 382 F. App'x at 664 (citing Orn v.
16 Astrue, 495 F.3d 625, 638 (9th Cir. 2007)).

17 Plaintiff contends that her noncompliance was because of an
18 "inability to afford the machine." (J. Stip. at 10.) She also
19 explains that she had "problems with the fit of her mask." (Id.
20 at 9-10.) Plaintiff was diagnosed with sleep apnea in June 2007
21 (AR 457), and in August it was noted that she "could not tolerate
22 [the] standard CPAP mask" (AR 471). A new mask was immediately
23 ordered for her. (AR 473.) In November 2007, she stated that
24 she "could not afford to rent the CPAP machine on a monthly
25 basis" and "ha[d] stopped using [the] machine due to [that] cost
26 issue." (AR 518.) Two years later, in October 2009, she was
27 "not using her nasal CPAP" and was referred "back to pulmonary."
28 (AR 514.) Three weeks later, she was still not "using her nasal

1 CPAP" but had "an appointment with pulmonary next week." (AR
2 512.) At that appointment, in November 2009, a pulmonologist
3 noted that her machine had "too much pressure," and he
4 recommended several adjustments. (AR 557-58.)

5 In June 2012, she still "ha[d] not used CPAP due to
6 frustration with the fit" and "ha[d] not seen pulmonology to
7 discuss fitting or titration for several years." (AR 391.) In
8 August 2012, she was reevaluated for sleep apnea (AR 382) and
9 another mask was ordered (AR 461-62). In October 2012, however,
10 she still "struggle[d] with each mask" (AR 376), and a "new
11 order" was placed (AR 463-65). In February 2013, she was using
12 the CPAP mask "inconsistent[ly]" (AR 624) and hadn't gotten it
13 "adjusted" by June 2013 (AR 617). She underwent a sleep study in
14 March 2014 to calibrate her mask (AR 656-57), and in May 2014 she
15 was "instructed to use [her] C-PAP machine on a regular basis"
16 (AR 822, 825, 827). In September 2014, she was advised to
17 "continue" her CPAP usage (AR 638), implying that she had been
18 using it. She completed another sleep study in June 2015 (AR
19 844-46); her mask was "[a]djusted" in July (AR 840), and follow-
20 up "goals" included "ensur[ing] CPAP treatment compliance" (AR
21 841). Plaintiff testified in August 2015 that she had "just went
22 and got [her CPAP mask] straighted up" and that it helped her
23 "sleep a little longer through the night." (AR 49.)

24 Failure to seek treatment because of a "lack of funds" is a
25 valid reason for limited treatment. Orn, 495 F.3d at 638
26 (holding that benefits cannot be denied when plaintiff's failure
27 to obtain treatment arises from lack of medical insurance (citing
28 Gamble v. Chater, 68 F.3d 319, 321 (9th Cir. 1995))); see Smolen,

1 80 F.3d at 1284 (Plaintiff "had not sought treatment" because
2 "she had no insurance and could not afford treatment"). As
3 described above, Plaintiff seemingly stopped using the CPAP
4 machine in late 2007 because she could not afford it. Although
5 that 2007 treatment note is the only record of cost issues in
6 relation to her CPAP machine, money problems appear elsewhere in
7 the record, including times when she held off on or canceled
8 other treatment for financial reasons.

9 In May 2008, she reported "financial stress [because] her
10 husband [was] working less hours." (AR 516.) In December 2009,
11 her doctor recorded that they would "hold off on egd/colonoscopy
12 for now given [Plaintiff's] financial situation." (AR 533.) At
13 an appointment in November 2011, she asked that certain
14 "paperwork [be] filled out to help with the cost of [her]
15 med[ication]." (AR 414.) In March 2012, Plaintiff reported to
16 Dr. Offenstein that she had "constant" "financial worry" (AR
17 361), told his nurse practitioner that she was "unstable
18 financially" (AR 353), and canceled an appointment because she
19 had "no money" for it (AR 359). And in May 2012, she reported
20 being worried about making her "house payment" and paying
21 "bills." (AR 357.) The ALJ recognized Plaintiff's apparent
22 financial difficulties only in summarizing her mental-health
23 treatment (AR 24 (describing "constant financial worry" Plaintiff
24 reported to her psychologist)) but not in the context of her
25 ability to afford her CPAP machine (see AR 23-24).

26 It is unclear whether Plaintiff's inability to afford the
27 CPAP machine or her frustration with the myriad adjustments
28 accounts for the extended periods when she didn't follow through

1 on obtaining appropriately fitted masks. Likely it was a
2 combination of the two. To the extent her financial instability
3 explained her noncompliance, the ALJ was wrong to discount the
4 credibility of her symptom statements on that basis. See
5 Lapeirre-Gutt, 382 F. App'x at 664; Orn, 495 F.3d at 638; Smolen,
6 80 F.3d at 1284. Although Plaintiff's ability to seek and
7 receive other care during the relevant period suggests that
8 perhaps she could afford the machine at least at times, see
9 Flaten v. Sec'y of Health & Human Servs., 44 F.3d 1453, 1464 (9th
10 Cir. 1995) (affirming ALJ's discounting of plaintiff's "claim
11 that lack of money prevented her from seeking help for ongoing
12 problems" "because she sought appropriate medical care . . . for
13 other medical symptoms . . . during the intervening years"), the
14 ALJ failed to recognize that financial problems may have impacted
15 Plaintiff's "non-complian[ce]" (see AR 23-24). Thus, the
16 noncompliance likely was not a sufficient reason to discount
17 Plaintiff's symptom statements.³⁴

18 v. *Daily Activities*

19 The ALJ further discounted Plaintiff's pain and symptom
20 testimony because her daily activities were "indicative of
21 greater functional capabilities." (AR 25.) He noted that she
22 "testified to watering in front of her house, washing dishes,
23 swimming a couple of times at the local pool, and shopping."
24

25 ³⁴ The ALJ also did not explain how noncompliance with her
26 CPAP machine, used only for treating sleep apnea, demonstrated
27 that her subjective fibromyalgia-related pain testimony was not
28 credible. See Cagle v. Colvin, No. 1:15-cv-00852-SKO, 2016 WL
3912950, at *9 (E.D. Cal. July 20, 2016) (finding that
plaintiff's "failure to use his CPAP mask" was not "proper basis"
for rejecting pain testimony "without further explanation").

1 (Id.) He also found that she "reportedly cared for her father in
2 law prior to his passing" and had "recently" been exercising and
3 "reportedly walking three miles per day." (Id.) An ALJ may
4 properly discount the credibility of a plaintiff's subjective
5 symptom statements when they are inconsistent with her daily
6 activities. See Molina, 674 F.3d at 1113. "Even where those
7 [daily] activities suggest some difficulty functioning, they may
8 be grounds for discrediting the claimant's testimony to the
9 extent that they contradict claims of a totally debilitating
10 impairment." Id. But the "mere fact that a plaintiff has
11 carried on certain daily activities does not in any way detract
12 from her credibility as to her overall disability." Revels, 874
13 F.3d at 667 (alteration omitted) (citing Benecke, 379 F.3d at
14 594). Impairments that would "unquestionably preclude work . . .
15 will often be consistent with doing more than merely resting in
16 bed all day." Kelly v. Berryhill, __ F. App'x __, No. 16-17173,
17 2018 WL 2022575, at *3 (9th Cir. May 1, 2018) (citing Garrison v.
18 Colvin, 759 F.3d 995, 1016 (9th Cir. 2014)).

19 Plaintiff's ability to "water out in front of [her] house"
20 using a "lightweight" hose (AR 42), wash dishes "if [she's] not
21 dropping them (AR 43), swim "a couple times" in her local pool
22 (id.), and "go to the grocery store" while "hold[ing] on to the
23 shop[ping] cart" (AR 48) was not inconsistent with her claims
24 that it "hurt[] to stand or move around" (AR 293, 298), she
25 couldn't "stand for more than 15 minutes" (AR 267), her "hands
26 cramp[ed]" (AR 267, 269), and she had difficulty lifting,
27 squatting, bending, standing, reaching, walking, sitting,
28 kneeling, stair-climbing, seeing, remembering, completing tasks,

1 concentrating, understanding, following instructions, and using
2 her hands (AR 272, 298). See Revels, 874 F.3d at 667-68
3 (plaintiff's daily activities of "using the bathroom, brushing
4 her teeth, washing her face, taking her children to school,
5 washing dishes, doing laundry, sweeping, mopping, vacuuming,
6 going to a doctor's appointment for her or for one of her
7 children, visiting her mother and father, cooking, shopping,
8 getting gas, and feeding her dogs" didn't "detract from her
9 credibility" when she could "complete only some of the tasks in a
10 single day and regularly needed to take breaks"); Popa v.
11 Berryhill, 872 F.3d 901, 907 (9th Cir. 2017) (as amended)
12 ("attending church and shopping for groceries" not inconsistent
13 with plaintiff's moderate limitations); Blau v. Astrue, 263 F.
14 App'x 635, 637 (9th Cir. 2008) ("[d]aily household chores and
15 grocery shopping" not "easily transferable to a work
16 environment").

17 The ALJ also noted that Plaintiff "reportedly cared for her
18 father in law prior to his passing." (AR 25.) But that
19 "finding, standing alone, [was] not a sufficient basis to
20 question [her] testimony regarding the extent of her pain"
21 because the record does not "indicate that she performed [that]
22 work on any kind of regular or sustained basis." See Lapeirre-
23 Gutt, 382 F. App'x at 664-65.

24 The ALJ further found that in late 2014, Plaintiff was
25 walking "three miles per day" (AR 25 (citing AR 635)), which
26 directly contradicted her allegations that she was "unable to
27 walk any distance" (AR 272) and couldn't "stand for but a few
28 minutes" (AR 298). Though walking that distance apparently

1 caused "foot pain" and "shin splints" (AR 635 (Dec. 2014), 676
2 (Jan. 2015)), she subsequently sought "orthotics for walking
3 shoes" (AR 676 (Jan. 2015)), which then helped "improv[e] some of
4 [her] painful symptoms" (AR 670 (Apr. 2015)). It is unclear
5 whether she continued to walk three miles a day after being
6 fitted for orthotics, but the record suggests she was actively
7 "exercising/walking more" at that point (AR 669; see also AR 390
8 (reporting "some pain with extensive workouts" in 2012)).

9 Thus, the ALJ's finding that Plaintiff's walking "three
10 miles per day" was "indicative of greater functional
11 capabilities" than she testified to may have been a sufficient
12 reason to discount the credibility of her statements. (AR 25);
13 see Molina, 674 F.3d at 1113. But as explained below, remand is
14 warranted because the ALJ's errors discussed above were not
15 harmless.

16 vi. *Work History*

17 Finally, the ALJ found that Plaintiff's work history
18 "reflect[ed] a pattern of marginal[,] intermittent[,] and part-
19 time work, indicating that her impairments may not [have been]
20 the sole reason for her . . . inability to sustain full-time
21 competitive employment." (AR 25 (citing AR 244).) Plaintiff
22 argues that the ALJ made that "speculation without any inquiry
23 into [her] life circumstances, for instance, if [she] spent
24 [that] time raising a child or taking care of a home." (J. Stip.
25 at 11.)

26 An ALJ may consider work history when evaluating a
27 claimant's credibility. See Thomas, 278 F.3d at 958-59. And the
28 fact that a claimant had "spotty" or "sporadic" work history

1 before filing for disability may constitute a clear and
2 convincing reason for discounting the credibility of her
3 subjective statements. Id. at 959; Sherman v. Colvin, 582 F.
4 App'x 745, 747-48 (9th Cir. 2014). Indeed, Plaintiff's work
5 history was "spotty, at best." See Thomas, 278 F.3d at 959. She
6 testified that "in the last 15 years" she had had "only" "two
7 jobs." (AR 38.) She sold cooking products with her niece but
8 "wasn't with it that long," never making "more than a thousand
9 [dollars] in . . . a month." (AR 38-39.) For a period of time,
10 she also worked in a portrait studio "full-time" and "sometimes a
11 little more on holidays" but stopped working in June 2006 because
12 her employer wouldn't "give [her] time off" to be with her
13 grandkids after they were seriously injured. (AR 39-41, 252.)
14 Her earnings summary shows that before 1994, she made less than
15 \$3000 a year; between 1994 and 2001, she had no earnings at all;
16 and between 2002 and her alleged onset date in 2006, her income
17 varied remarkably. (See AR 244.) In her disability report, she
18 claimed that between January 2002 and June 2006, she worked the
19 portrait-studio job eight hours a day for five days a week,
20 making \$9.50 an hour. (AR 252.) If that were true, she should
21 show earnings of around \$19,000 each of those years. But she
22 made over \$15,000 during only two of those years, suggesting that
23 she was not in fact working full-time for a substantial portion
24 of that time. (See AR 244.)

25 Moreover, Plaintiff apparently left that job not because she
26 had a "lack of interest in working" (AR 25) but rather because
27 her "grandkids got burned in a fire" and she thought it was
28 "important" to "be with [them]" (AR 40-41). She also seemed to

1 have difficulty reading, writing, and doing math (AR 39, 54-55,
2 261, 263, 267, 270, 272, 287, 289, 296, 298), which could explain
3 her "sporadic work history" (see AR 25). Indeed, Plaintiff told
4 one of her doctors in 2012 that she wanted a job but that "nobody
5 would hire her" because she couldn't "read, write, [or] spell."
6 (AR 358.) Thus, although the ALJ's observation that a "lack of
7 interest in working[] unrelated to any medical condition[] may
8 account for her current lack of employment" may have been a
9 reasonable inference (see AR 25); Thomas, 278 F.3d at 959, there
10 were apparently other reasons for her intermittent work history.

11 Nonetheless, though two of the ALJ's reasons for discounting
12 Plaintiff's subjective symptom testimony – her daily activities
13 and "sporadic" work history (AR 25) – may have been valid, the
14 Court cannot conclude that her errors in discounting those
15 statements' credibility because of a lack of objective findings,
16 her supposedly conservative treatment, Lyrica's alleged
17 effectiveness, and her CPAP noncompliance were harmless. See
18 Hamilton-Carneal, 670 F. App'x at 614 (holding that error in
19 ALJ's discounting of claimant's fibromyalgia-related "subjective
20 complaints" was not harmless despite her providing other
21 legitimate reasons because "the ALJ's decision indicate[d] that
22 the absence of 'objective medical evidence' was a central factor
23 in her determination"). Thus, remand is warranted.

24 B. Remand for Further Proceedings Is Appropriate

25 When an ALJ errs, as here, the Court "ordinarily must remand
26 for further proceedings." Leon v. Berryhill, 880 F.3d 1041, 1045
27 (9th Cir. 2017) (as amended Jan. 25, 2018); see also Harman v.
28 Apfel, 211 F.3d 1172, 1175-78 (9th Cir. 2000) (as amended);


1 Connett v. Barnhart, 340 F.3d 871, 876 (9th Cir. 2003). The
2 Court has discretion to do so or to make a direct award of
3 benefits under the "credit-as-true" rule. Leon, 880 F.3d at
4 1045. "[A] direct award of benefits was intended as a rare and
5 prophylactic exception to the ordinary remand rule[.]" Id. The
6 "decision of whether to remand for further proceedings turns upon
7 the likely utility of such proceedings," Harman, 211 F.3d at
8 1179, and "[w]here . . . an ALJ makes a legal error, but the
9 record is uncertain and ambiguous, the proper approach is to
10 remand the case to the agency," Leon, 880 F.3d at 1045 (second
11 alteration in original) (citing Treichler, 775 F.3d at 1105); see
12 also Garrison v. Colvin, 759 F.3d 995, 1021 (9th Cir. 2014).

13 Here, further administrative proceedings would serve the
14 useful purpose of allowing the ALJ to "evaluate the record in
15 light of the unique characteristics of fibromyalgia," see Revels,
16 874 F.3d at 667 n.6, and to resolve some of the inconsistencies
17 in the record, including Plaintiff's work history, daily
18 activities, and CPAP noncompliance, see Garrison, 759 F.3d at
19 1021 (recognizing flexibility to remand for further proceedings
20 when "record as a whole creates serious doubt as to whether the
21 claimant is, in fact, disabled"). If the ALJ again discounts
22 Plaintiff's subjective symptoms, she can then provide an adequate
23 discussion of the evidence justifying her doing so. See Payan,
24 672 F. App'x at 733. Therefore, remand for further proceedings
25 is appropriate. See Garrison, 759 F.3d at 1020 n.26.

1 **VI. CONCLUSION**

2 Consistent with the foregoing and under sentence four of 42
3 U.S.C. § 405(g),³⁵ IT IS ORDERED that judgment be entered
4 REVERSING the Commissioner's decision, GRANTING Plaintiff's
5 request for remand, and REMANDING this action for further
6 proceedings consistent with this memorandum decision.

7
8 DATED: July 25, 2018



JEAN ROSENBLUTH
U.S. Magistrate Judge

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26 _____
27 ³⁵ That sentence provides: "The [district] court shall have
28 power to enter, upon the pleadings and transcript of the record,
a judgment affirming, modifying, or reversing the decision of the
Commissioner of Social Security, with or without remanding the
cause for a rehearing."