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**UNITED STATES DISTRICT COURT
CENTRAL DISTRICT OF CALIFORNIA**

ANNA CRISTINA C.,¹

Plaintiff,

v.

ANDREW M. SAUL,
Commissioner of Social Security,

Defendant.

Case No. 5:19-cv-00988-AFM

**MEMORANDUM OPINION AND
ORDER AFFIRMING DECISION
OF THE COMMISSIONER**

Plaintiff filed this action seeking review of the Commissioner's final decision denying her applications for disability insurance benefits and supplemental security income. In accordance with the Court's case management order, the parties have filed memorandum briefs addressing the merits of the disputed issues. The matter is now ready for decision.

BACKGROUND

On October 30, 2012, Plaintiff filed applications for Disability Insurance Benefits and Supplemental Security Income, alleging disability beginning September

¹ Plaintiff's name has been partially redacted in accordance with Federal Rule of Civil Procedure 5.2(c)(2)(B) and the recommendation of the Committee on Court Administration and Case Management of the Judicial Conference of the United States.

1 22, 2009. (Administrative Record (“AR”) 593-602.) Her applications were denied
2 initially and upon reconsideration. (AR 409-422.) Plaintiff appeared with counsel at
3 hearings conducted before an ALJ on November 10, 2014, March 11, 2015, and July
4 31, 2015. At the hearings, Plaintiff, a medical expert (“ME”), and a vocational expert
5 (“VE”) testified. (AR 318-362.)

6 On August 20, 2015, the ALJ issued a decision finding that Plaintiff suffered
7 from the following medically severe impairments: degenerative disc disease of the
8 lumbar spine, stenosis, and stress incontinence. (AR 302.) The ALJ then determined
9 that Plaintiff retained the residual functional capacity (“RFC”) to perform light work
10 except that she could occasionally bend, kneel, stoop, crouch, and crawl and she
11 required access to a restroom. (AR 304-310.) After finding that Plaintiff’s RFC
12 permitted her to perform her past relevant work as a teller supervisor, the ALJ
13 concluded that Plaintiff was not disabled at any time from September 22, 2009
14 through the date of the ALJ’s decision. (AR 310-311.) The Appeals Council denied
15 review. (AR 1-7.)

16 Thereafter, Plaintiff filed an action in this Court seeking review of the decision.
17 Case No. 5:17-cv-00970-AFM. The Court found that the ALJ had failed to provide
18 legally sufficient reasons for rejecting the opinion of Plaintiff’s treating physician,
19 Suk Park, M.D., and remanded the matter to the Commissioner for further
20 proceedings. Following the remand, another hearing was conducted, at which
21 Plaintiff, a VE, and an ME testified. (AR 2927-2983.)

22 On January 29, 2019, the ALJ issued a partially favorable decision. The ALJ
23 found that Plaintiff suffered from the following severe impairments: degenerative
24 disc disease/degenerative joint disease of the lumbar spine; osteoarthritis of the left
25 hand; osteoarthritis of the bilateral knees; and stress incontinence. (AR 2906.) The
26 ALJ concluded that Plaintiff’s impairments did not meet or equal any listed
27 impairment. (AR 2909.) Further, the ALJ determined that, prior to June 1, 2016,
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1 Plaintiff retained the residual functional capacity (“RFC”) to lift/carry, and push/pull
2 20 pounds occasionally and 10 pounds frequently; stand/walk for six hours in an
3 eight-hour workday; sit for six hours in an eight-hour workday; frequent fingering
4 bilaterally; frequent pushing/pulling with lower extremities; occasional postures
5 other than crawling; frequent work with hazards; and must have ready access to a
6 restroom. (AR 2909.) Relying on the testimony of the VE, the ALJ concluded that
7 Plaintiff could perform her past relevant work as a teller supervisor and, therefore,
8 was not disabled prior to June 1, 2016. (AR 2914-2915.) The ALJ determined that
9 beginning June 1, 2016, and based upon Plaintiff’s right knee impairment, Plaintiff’s
10 RFC was further restricted to standing/walking no more than four hours in an eight-
11 hour day. Relying on the testimony of the VE, the ALJ determined Plaintiff was not
12 capable of returning to her past relevant work. (AR 2914-2916.) Applying the
13 Medical-Vocational Guidelines, the ALJ concluded that Plaintiff was disabled as of
14 June 1, 2016. (AR 2916.)

15 On March 31, 2019, the ALJ’s decision became the final decision of the
16 Commissioner.

17 **DISPUTED ISSUES**

18 Whether the ALJ provided legally sufficient reasons for rejecting the opinion
19 of Plaintiff’s treating physician, Suk Park, M.D.

20 **STANDARD OF REVIEW**

21 Under 42 U.S.C. § 405(g), this Court reviews the Commissioner’s decision to
22 determine whether the Commissioner’s findings are supported by substantial
23 evidence and whether the proper legal standards were applied. *See Treichler v.*
24 *Comm’r of Soc. Sec. Admin.*, 775 F.3d 1090, 1098 (9th Cir. 2014). Substantial
25 evidence means “more than a mere scintilla” but less than a preponderance. *See*
26 *Richardson v. Perales*, 402 U.S. 389, 401 (1971); *Lingenfelter v. Astrue*, 504 F.3d
27 1028, 1035 (9th Cir. 2007). Substantial evidence is “such relevant evidence as a
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1 reasonable mind might accept as adequate to support a conclusion.” *Richardson*, 402
2 U.S. at 401. This Court must review the record as a whole, weighing both the
3 evidence that supports and the evidence that detracts from the Commissioner’s
4 conclusion. *Lingenfelter*, 504 F.3d at 1035. Where evidence is susceptible of more
5 than one rational interpretation, the Commissioner’s decision must be upheld. *See*
6 *Orn v. Astrue*, 495 F.3d 625, 630 (9th Cir. 2007).

7 DISCUSSION

8 I. Relevant Law

9 In determining a claimant’s RFC, an ALJ must consider all relevant evidence
10 of record, including medical opinions. *Tommasetti v. Astrue*, 533 F.3d 1035, 1041
11 (9th Cir. 2008); *see* 20 C.F.R. § 404.1527(b). Before rejecting the uncontradicted
12 opinion of a treating or examining physician, an ALJ must provide clear and
13 convincing reasons for doing so. *Hill v. Astrue*, 698 F.3d 1153, 1159-1160 (9th Cir.
14 2012); *Carmickle v. Comm’r, Soc. Sec. Admin.*, 533 F.3d 1155, 1164 (9th Cir. 2008).
15 “Even if contradicted by another doctor, the opinion of an examining doctor can be
16 rejected only for specific and legitimate reasons that are supported by substantial
17 evidence in the record.” *Hill*, 698 F.3d at 1160 (quoting *Regennitter v. Comm’r of*
18 *the Soc. Sec. Admin.*, 166 F.3d 1294, 1298-1299 (9th Cir. 1999)). An ALJ meets the
19 requisite specific and legitimate standard “by setting out a detailed and thorough
20 summary of the facts and conflicting clinical evidence, stating his interpretation
21 thereof, and making findings.” *Trevizo v. Berryhill*, 871 F.3d 664, 675 (9th Cir. 2017)
22 (citations and internal quotation marks omitted).

23 II. Medical Evidence²

24 In summarizing the medical record prior to June 1, 2016, the ALJ began by
25 noting Plaintiff’s history of degenerative disc disease/degenerative joint disease of
26 the lumbar spine, osteoarthritis of the left hand, and osteoarthritis of the bilateral
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28 ² Because Plaintiff’s claim involves only her physical impairments, the Court limits its summary to the medical evidence relevant to her claim.

1 knees. (AR 2910.) An X-ray of Plaintiff’s lumbar spine in May 2013 revealed
2 degenerative changes and “mild” retrolisthesis of L5 on S1. Otherwise, Plaintiff’s
3 lumbar spine demonstrated normal alignment and there was no compression fracture.
4 (AR 753.) A March 2015 MRI of Plaintiff’s lumbar spine revealed degenerative disc
5 changes consistent with mild to moderate spinal canal stenosis at L5-S1, mild spinal
6 canal stenosis at L4-5 and L3-4, and “very mild” retrolisthesis at L4-5. (AR 2235-
7 2236.)³ In March 2016, an X-ray of Plaintiff’s knee revealed mild early degenerative
8 changes and calcification of the medial collateral ligament. (AR 3421.)

9 The ALJ noted that the record contained positive findings. In particular,
10 Plaintiff had, at times, demonstrated tenderness, pain, decreased range of motion, and
11 spasm to the lumbar spine. In addition, while straight-leg raising tests were mostly
12 negative (*see, e.g.*, AR 1500 (April 2010), 2012 (January 2015), 3173 (September
13 2015), 3196-3187 (October 2015), 3319 (December 2015)), the ALJ noted “rare”
14 positive straight-leg raising tests (*see* AR 1526 (July 28, 2010), 1764 (July 2011),
15 2446 (March 2015)).⁴ Further, treatment notes reflected that Plaintiff had effusion in
16 the right knee and tenderness over the medial joint line and posteriorly with limited
17 range of motion. (AR 2910; *see* AR 945, 972, 979, 1525.)

18 After acknowledging the foregoing positive findings, the ALJ stated that the
19 record did not demonstrate sustained gait deficits that lasted for any continuous 12-
20 month period. Instead, the ALJ remarked that the records “overwhelmingly described
21 her ambulation/gait as normal.” (AR 2911; *see* AR 1499-1500 (April 26, 2010), 1525
22 (July 28, 2010), 1786 (August 5, 2011), 2295 (February 2015), 2445 (March 2015),
23

24 ³ The MRI report itself includes two dates – February 26, 2015 and March 3, 2015. (AR 2235.) The
25 Court uses March 2015 as shorthand.

26 ⁴ Plaintiff complains that the ALJ’s decision fails to provide clear citations to the records or
27 examinations to which his conclusions refer. (ECF No. 26 at 9.) It is true that the ALJ’s method of
28 citation – namely, string citations found at the end of a paragraph – is less than ideal and requires
additional work by the reader. Nevertheless, reference to the pages of the record the ALJ identifies
does reveal the basis for his decision. In addition, the ALJ cites to duplicate records. The Court has
eliminated redundant citations.

1 3173 (September 2015), 3196 (October 2015).) The ALJ then addressed treatment
2 notes from April 2010 to October 2015. Specifically, the ALJ cited records revealing
3 that Plaintiff reported walking “a lot” in the two months prior to April 2010; she
4 ambulated without difficulty; straight-leg raising was negative; she demonstrated
5 good strength and coordination; and she performed normal toe, heel, and tandem gait
6 despite reduced lumbar range of motion. (AR 2911; *see* AR 1499-1500, 1506-1507,
7 2012, 2295, 2445, 3173, 3196-3197, 3320.)

8 Next, the ALJ discussed Plaintiff’s March 2013 consultative orthopedic
9 examination by Payam Moazzaz, M.D. The examination revealed that Plaintiff had
10 a reciprocal gait pattern with normal heel and toe walking. She exhibited mild
11 tenderness to palpation in the paraspinal musculature near the lumbosacral junction,
12 but no muscle spasm. Range of motion in the upper and lower extremities, including
13 the knees, was normal. Range of motion of the spine was somewhat reduced, but
14 straight-leg raising was negative bilaterally in both the seated and supine positions.
15 Plaintiff’s motor strength was 5/5, and both her sensations and reflexes were intact.
16 An X-ray of the lumbar spine on that date showed no scoliosis, no evidence of
17 fracture, and disc space narrowing at L5-S1 with vacuum disc phenomenon. An X-
18 ray of Plaintiff’s pelvis was unremarkable. Dr. Moazzaz diagnosed Plaintiff with L5-
19 S1 degenerative disc disease and left hip arthralgia. (AR 2911; *see* AR 745-749.)

20 Dr. Moazzaz opined that Plaintiff was able to lift and carry 20 pounds
21 occasionally and 10 pounds frequently; stand/walk for six hours in an eight-hour
22 workday; sit for six hours in an eight-hour workday with normal breaks; perform
23 postural activities occasionally; perform overhead activities on an unrestricted basis
24 with full use of her hands for fine and gross manipulation; and did not require the use
25 of an assistive ambulatory device. (AR 749.)

26 The ALJ observed that treatment for Plaintiff’s musculoskeletal impairments
27 was largely conservative prior to June 1, 2016. For example, he noted that Plaintiff
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1 initially was provided with pain medication, exercises, and physical therapy. In
2 addition, physical therapy records indicated that Plaintiff's rehabilitation potential
3 was good, and she was progressing toward her goals. Although Plaintiff reported
4 increased pain in August 2010, the pain was attributed to her "moving houses."
5 Physical therapy records include notations that Plaintiff reported improvement in
6 pain. (AR 787-788, 1530, 1541, 1545-1546, 1551, 1559.) The ALJ further noted
7 that, in March 2013, Plaintiff reported that her treatment involved physical therapy,
8 chiropractic care, and acupuncture. At that time, Plaintiff had not received injections
9 of spinal surgical intervention. She took Tylenol for pain. (AR 745-746.) Plaintiff
10 subsequently did receive injection therapy for her pain. (AR 2911; *see* AR 2576-2578
11 (April 2015).)

12 Dr. Park's Opinion

13 In February 2015, Dr. Park completed a questionnaire in which he opined that
14 Plaintiff could lift and carry on an occasional and frequent basis no more than 10
15 pounds; could sit for less than two hours in an eight-hour workday; could stand/walk
16 for less than two hours in an eight-hour workday; could sit for ten minutes before
17 being required to change position; could stand for five minutes before changing
18 position; must walk around every five minutes for ten minutes; needed to lie down at
19 unpredictable intervals every fifteen minutes during a work shift; could occasionally
20 twist, stoop, crouch, and climb stairs and ladders; was "constantly" limited in her
21 ability to reach, handle, finger, feel, push, and pull; should avoid even moderate
22 exposure to extreme cold, heat, wetness, humidity, noise, fumes, and hazards, which
23 would exacerbate her pain; and would miss more than three days a month of work
24 due to her impairments. (AR 2230-2232.) In a letter dated March 12, 2015, Dr. Park
25 wrote that Plaintiff suffered from multilevel lumbar spine arthritis and mild to
26 moderate lumbar spinal stenosis and that "[t]hese conditions prevent her from
27 working." (AR 2234.)
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1 Dr. Kwock’s testimony

2 John F. Kwock, M.D., an orthopedic surgeon, testified as a medical expert.
3 Prior to his testimony, Dr. Kwock had reviewed the entire medical record. He stated
4 that the record showed that Plaintiff suffered from degenerative disc and degenerative
5 joint disease of the lumbar spine; mild osteoarthritis in the small joints of the left
6 hand; mild early osteoarthritis in both knees; and is status post arthroscopy of the left
7 knee. In Dr. Kwock’s opinion, Plaintiff is able to perform work in the light exertional
8 range – including the ability to stand/walk and sit for six hours in an eight-hour
9 workday. In response to the ALJ’s inquiry about Dr. Park’s opinion, Dr. Kwock
10 testified that he found no musculoskeletal evidence “that even comes close to
11 supporting” the limitations opined by Dr. Park. Dr. Kwock addressed specific
12 medical records, including Dr. Park’s physical examinations as well as others, which
13 showed normal motor strength, normal sensation and reflexes, and normal gait. He
14 explained that all of the examinations from 2008 to 2017 were “either close to, if not,
15 normal.” Given that the objective evidence showed minimal degenerative changes,
16 Dr. Kwock opined that the record did not support finding Plaintiff unable to perform
17 light work. (AR 2934-2945, 4648-4649.)

18 **III. The ALJ’s Decision**

19 In assessing Plaintiff’s RFC for the period prior to June 1, 2016, the ALJ stated
20 that he relied heavily upon the opinion of Dr. Kwock. The ALJ found Dr. Kwok’s
21 opinion to be consistent with the evidence and observed that Dr. Kwock has a
22 background in orthopedic surgery, so he possessed the relevant education, training,
23 and experience to assess Plaintiff’s particular impairments. Further, the ALJ noted
24 that Dr. Kwock had experience testifying as an expert in Social Security
25 Administration proceedings, and therefore he had knowledge of the relevant rules
26 and regulations. The ALJ emphasized that Dr. Kwock was the only physician who
27 had access to all of the medical evidence in the record and reviewed that evidence
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1 before the hearing. Finally, the ALJ noted that Dr. Kwock's opinion was generally
2 consistent with the opinion of the State agency medical consultant as well as the
3 opinion of the consultative orthopedic examiner (Dr. Moazzaz), both of whom opined
4 that Plaintiff could perform work in the light exertion range. (AR 2912.)

5 Nevertheless, the ALJ gave some weight to Plaintiff's subjective allegations
6 of pain and stress incontinence issues, and imposed functional restrictions beyond
7 those opined by Dr. Kwock, the State agency physician, and Dr. Moazzaz.
8 Specifically, the ALJ further limited Plaintiff's postural activities and included a
9 requirement that Plaintiff be provided ready access to a restroom. (AR 2912.)

10 The ALJ accorded little weight to Dr. Park's opinion. The ALJ recognized that
11 that a treating physician's opinions are typically afforded greater weight, but
12 concluded that Dr. Park's opinions were unsupported by, and inconsistent with, the
13 weight of the medical evidence. Specifically, the ALJ found that the objective
14 evidence prior to June 1, 2016 reflected only minimal degenerative joint disease that
15 would not support the limitations assessed by Dr. Park. The ALJ pointed out that
16 "nearly all physical examinations, including those conducted by Dr. Park, were close
17 to normal with minimal changes on objective findings." (AR 2913.) For example, Dr.
18 Park's examinations revealed normal gait without difficulty, normal motor strength,
19 normal neurological findings, and negative straight-leg raising tests. Other
20 evaluations similarly revealed 5/5 strength in the bilateral lower extremities, intact
21 toe-heel walk, normal gait, and normal motor, sensory, and symmetrical reflexes in
22 the upper and lower extremities. In addition, the ALJ noted that the diagnostic
23 evidence prior to June 1, 2016, including X-ray results, showed minimal degenerative
24 changes. (AR 2913.)

25 With respect to Dr. Park's March 2015 statement that Plaintiff's conditions
26 prevented her from working, the ALJ noted that the opinion lacked any objective
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1 clinical findings or other evidence supporting it. In addition, the ALJ stated that the
2 opinion was on an issue reserved to the Commissioner. (AR 2913.)

3 **IV. Analysis**

4 Because Dr. Park’s opinion regarding Plaintiff’s functional limitations was
5 controverted by the opinions of Dr. Moazzaz, Dr. Kwok, and the State agency
6 physician, the ALJ was required to provide specific and legitimate reasons supported
7 by substantial evidence in the record before rejecting it. *See Orn*, 495 F.3d at 632.

8 As set forth above, the ALJ found Dr. Park’s opinion was not supported by the
9 objective medical evidence, which showed only minimal degenerative disease, and
10 was inconsistent with both Dr. Park’s physical examinations and with other
11 evaluations. (AR 2913.)

12 An ALJ may properly reject a treating physician’s opinion that is unsupported
13 by clinical findings. *See Chaudhry v. Astrue*, 688 F.3d 661, 671 (9th Cir. 2012); *Bray*
14 *v. Comm’r of Soc. Sec. Admin.*, 554 F.3d 1219, 1228 (9th Cir. 2009). Here, the ALJ
15 pointed out the absence of significant clinical findings supporting Dr. Park’s opinion.
16 While Dr. Park indicated that he relied upon X-rays and MRI findings to support his
17 opinion (AR 2231),⁵ the ALJ noted that the X-ray and MRI results predating June 1,
18 2016 showed “very mild” to mild retrolisthesis at L4-L5 and L5 on S1, mild spinal
19 canal stenosis at L4-5 and L3-4, and mild to moderate spinal canal stenosis at L5-S1.
20 (See AR753, 2235-2236.) Given these mild to moderate clinical findings, the ALJ
21 could properly reject Dr. Park’s opinion as to Plaintiff’s extreme limitations. *See*
22 *Charles B. v. Berryhill*, 2019 WL 1014781, at *6 (C.D. Cal. Mar. 4, 2019) (ALJ
23 properly rejected treating physician’s opinion for lack of objective support where
24 MRI showed small disc bulges, mild to moderate foraminal stenosis, but no central
25 canal stenosis or root impingement); *Gonzalez v. Astrue*, 2013 WL 394415, at *7-8

26
27 ⁵ Plaintiff points out that, to the question, “What medical findings support the limitations described
28 above,” Dr. Park not only identified X-ray and MRI findings, but also wrote “referrals to physical
medicine, physical therapy...” (AR 2231.) It is unclear how a referral to physical therapy constitutes
a medical “finding” supporting functional limitations.

1 (E.D. Cal. Jan. 30, 2013) (ALJ properly rejected treating physician’s opinion for lack
2 of objective support where MRI and CT scans revealed “mild stenosis”); *Coelho v.*
3 *Astrue*, 2011 WL 3501734, at *6 (N.D. Cal. Aug. 10, 2011) (ALJ met his burden of
4 providing a specific, legitimate reason to reject the treating physicians’ opinions for
5 lack of supporting objective evidence where evidence of cervical spine condition
6 included an MRI showing stenosis, disc narrowing, desiccation, and posterior disc
7 bulging, but normal cord signal), *aff’d*, *Coelho v. Colvin*, 525 F. App’x 637 (9th Cir.
8 2013).

9 Plaintiff complains that in weighing Dr. Park’s opinion, the ALJ failed to
10 consider an October 2015 MRI showing, among other things, grade 1 retrolisthesis
11 of L5 on S1 with “moderate degenerative disc disease and disc space height loss.
12 Diffuse disc bulge combines with moderate to severe bilateral facet
13 arthropathy/hypertrophy changes to result in moderate left and mild right neural
14 foraminal stenosis.” (ECF No. 26 at 11-12; AR 25-27.) Dr. Park, however, rendered
15 his opinion more than half a year before the October 31, 2015 MRI findings. The
16 ALJ was not required to consider evidence not in existence at the time Dr. Park
17 rendered his opinion as evidence supporting that opinion. In sum, the ALJ did not err
18 in concluding that Dr. Park’s opinion lacked objective evidence to support it.

19 The ALJ also concluded that Dr. Park’s opinion was not supported by either
20 his treatment notes or the medical record as a whole. As set forth above, Plaintiff’s
21 physical examinations, including those by Dr. Park, were predominantly normal with
22 minimal positive findings. In particular, Plaintiff’s motor strength, reflexes, and
23 sensation were consistently normal; her gait was almost always normal; and straight-
24 leg raising was, with few exceptions, most often negative.

25 Plaintiff argues that the ALJ ignored medical evidence containing positive
26 findings. In particular, Plaintiff points to treatment notes from May to August 2008
27 in which Plaintiff exhibited tenderness, pain, decreased range of motion, and one
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1 positive straight-leg raising test. (ECF No. 26 at 9-10, citing AR 945, 950 (May 10,
2 2008), 969 (May 28, 2008), 979 (July 2, 2008), 1011 (August 13, 2008).)⁶ Plaintiff
3 also points to other records, including a treatment note from September 2013
4 revealing point tenderness in the sciatic nerve (AR 755); a January 2014 examination
5 revealing left hip positive points and decreased range of motion (AR 788); a check
6 mark in a note from March 2014 indicating Plaintiff’s lower back and spine were
7 “abnormal” without further specification (AR 789); a notation from August 2014
8 indicating Plaintiff exhibited pain on range of motion of the lower back (AR 787);
9 and examinations in 2010, 2011, and 2015, which Plaintiff contends include positive
10 straight-leg raising. (ECF No. 26 at 10, 12 (citing AR 1526, 1725, 1764, 2456,
11 2477).)⁷

12 Plaintiff’s argument is unpersuasive. Plaintiff is correct that an ALJ may not
13 reject a physician’s opinion by selectively relying on some evidence while ignoring
14 other evidence. *See Holohan v. Massanari*, 246 F.3d 1195, 1207-1208 (9th Cir.
15 2001). At the same time, an ALJ is not required to “discuss every piece of evidence.”
16 *Howard ex rel. Wolff v. Barnhart*, 341 F.3d 1006, 1012 (9th Cir. 2003) (citation
17 omitted). Here, the ALJ accurately summarized the medical evidence and none of the
18 evidence cited by Plaintiff undermines the ALJ’s characterization of the record.
19 Rather, it is substantively the same as the evidence that the ALJ discussed in detail,
20 including identical physical examination findings. Contrary to Plaintiff’s suggestion
21 that the ALJ ignored the positive findings, the ALJ explicitly acknowledged and
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23 ⁶ The Court notes that the positive findings from 2008 were made more than a year prior to
24 Plaintiff’s alleged date of onset.

25 ⁷ Of the five records cited by Plaintiff, only three reveal positive straight-leg raising on the left and
26 negative straight-leg raising on the right. (AR 1526 (July 2010), 1764 (July 2011), 2456 (March
27 2015).) One record is not a positive clinical finding, but rather a physical therapy progress note
28 assigning Plaintiff various exercises, one of which is “SLR in prone x 10 x 2.” (AR 1725.) The last
is a record from Plaintiff’s April 2015 joint injection, which did not include a physical examination
or clinical finding, but instead recites the positive left straight-leg raising finding from the March
2015 examination. (AR 2477.)

1 addressed findings such as those Plaintiff points to, and in fact, cited some of the
2 exact same treatment notes. (*See* AR 2911, citing 945, 972, 979.)⁸ Moreover, the ALJ
3 did not conclude that there was *no* evidence of a musculoskeletal impairment. Rather,
4 he concluded that the quantity and type of positive findings such as the ones Plaintiff
5 points to – i.e., tenderness, spasm, reduced range of motion, and sporadic positive
6 straight-leg raising tests – did not support the extreme limitations opined by Dr. Park,
7 such as an inability to occasionally lift/carry ten pounds and an inability to stand,
8 walk, or sit for even two hours in an eight-hour day.

9 Next, Plaintiff objects to the ALJ’s citations to records in which Plaintiff
10 sought treatment for conditions other than her back impairment, such as diabetes or
11 uterine bleeding. Although not entirely clear, Plaintiff appears to contend that the
12 ALJ could not properly consider medical findings contained in those records when
13 evaluating her back impairment. (ECF No. 26 at 11.) Plaintiff, however, cites no
14 authority for such a proposition, and the Court is aware of none.

15 Plaintiff argues that the ALJ improperly rejected Dr. Park’s March 12, 2015
16 opinion on the ground that it was on an issue reserved to the Commissioner. (ECF
17 No. 26 at 13.) Dr. Park’s opinion is found in a letter that states in full:

18 To whom it may concern:

19 [Plaintiff] suffers from multilevel lumbar spine arthritis and mild-
20 moderate lumbar spinal stenosis. See MRI report. These conditions
21 prevent her from working.

22 (AR 2234.)

23 The regulations provide that a treating physician’s opinion on the ultimate
24 issue of disability is not entitled to controlling weight, because statements by a
25 medical source that a claimant is “disabled” or “unable to work” are not medical
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27 ⁸ The administrative record includes multiple copies or versions of the same treatment notes. While
28 Plaintiff cites to page 969, the ALJ’s citation to page 972 of the record refers to the treatment notes
from May 28, 2008.

1 opinions. 20 C.F.R. §§ 404.1527(e), 416.927(e); *see Tristan v. Berryhill*, 752
2 F. App'x 516, 517 (9th Cir. 2019) (“The ALJ properly rejected Dr. Posner’s opinion
3 that Tristan was unable to work as an opinion on an issue reserved to the
4 Commissioner.”). Nevertheless, while the ALJ is not bound by a treating physician’s
5 opinion on the ultimate issue of disability, he or she still cannot reject it without
6 presenting legally sufficient reasons for doing so. *See Hill*, 698 F.3d at 1159-1160;
7 *Reddick v. Chater*, 157 F.3d 715, 725 (9th Cir. 1998). The ALJ met that obligation
8 here by providing the specific and legitimate reasons for rejecting Dr. Park’s opinion
9 discussed above. The March 2015 letter does not include any additional objective
10 evidence that would alter the Court’s conclusion.

11 Finally, relying on *Trevizo*, 862 F.3d at 998, Plaintiff argues that reversal is
12 warranted because the ALJ failed to consider the regulatory factors set forth in 20
13 C.F.R. §§404.1527, 416.927. (ECF No. 26 at 13-14.) While an ALJ must consider
14 the regulatory factors, there is no requirement that an ALJ explicitly discuss the
15 factors in his/her decision. *See Kelly v. Berryhill*, 732 F. App'x 558, 562-563 n.4 (9th
16 Cir. May 1, 2018) (clarifying *Trevizo*, 871 F.3d at 676); *Huddleston v. Berryhill*, 2018
17 WL 2670588, at *10 (C.D. Cal. May 31, 2018) (*Trevizo* holds that an ALJ must
18 “consider” factors when evaluating a treating physician’s opinion, but courts “have
19 declined to read *Trevizo* as requiring that each factor be explicitly enumerated in the
20 ALJ decision.”). Two of the regulatory factors are supportability and consistency
21 with the record, both of which the ALJ here expressly discussed. *See* 20 C.F.R. §
22 416.927. In addition, the ALJ acknowledged the length of the treating relationship
23 and recognized that Dr. Park was a treating physician. Thus, the record confirms that
24 the ALJ’s assessment of Dr. Park’s opinion was consistent with the regulations. *See*
25 *Amanda R. v. Saul*, 2020 WL 2218769, at *5 (C.D. Cal. May 7, 2020) (ALJ’s
26 assessment complied with *Trevizo* where ALJ “twice acknowledged [physician]’s
27 status as Plaintiff’s primary care treating physician” and addressed the “supportability
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1 and consistency of [physician's] opinion compared to the medical record as a
2 whole"); *Susan O. v. Comm'r of Soc. Sec.*, 2019 WL 1777727, at *5 (W.D. Wash.
3 Apr. 23, 2019) (ALJ's assessment complied with regulations and *Trevizo* where ALJ
4 considered two of the regulatory factors – namely, supportability and consistency
5 with the record).

6 **ORDER**

7 IT IS THEREFORE ORDERED that Judgment be entered affirming the
8 decision of the Commissioner and dismissing this action with prejudice.

9
10 DATED: 7/31/2020

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12
13

ALEXANDER F. MacKINNON
14 UNITED STATES MAGISTRATE JUDGE