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**UNITED STATES DISTRICT COURT  
CENTRAL DISTRICT OF CALIFORNIA**

ELVIN H.,<sup>1</sup>  
  
Plaintiff,  
  
v.  
  
ANDREW M. SAUL, Commissioner  
of Social Security,  
  
Defendant.

Case No. 5:19-cv-01775-PD

**MEMORANDUM  
OPINION AND ORDER**

Plaintiff filed this action seeking review of the Commissioner’s final decision denying his application for supplemental security income. In accordance with the Court’s case management order, the parties filed a Joint Stipulation addressing the merits of the disputed issue. For the reasons stated below, the decision of the Commissioner is reversed and the action is remanded.

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<sup>1</sup> Plaintiff’s name has been partially redacted in accordance with Federal Rule of Civil Procedure 5.2(c)(2)(B) and the recommendation of the Committee on Court Administration and Case Management of the Judicial Conference of the United States.

1           **I.       SUMMARY OF ADMINISTRATIVE PROCEEDINGS**

2           On October 4, 2016, Plaintiff filed an application for Supplemental  
3 Security Income benefits, alleging disability since August 1, 2011. [Joint  
4 Statement (“JS”) 2; Administrative Record (“AR”) 15.] Plaintiff’s application  
5 was denied administratively. An Administrative Law Judge (“ALJ”) held a  
6 hearing and issued a decision that Plaintiff was not disabled within the  
7 meaning of the Social Security Act. [JS 2; AR 15-23.] The ALJ found that  
8 Plaintiff suffered from severe impairments but retained the residual  
9 functional capacity (“RFC”) to perform the demands of past relevant work as a  
10 tree pruner or other work. [JS 3-4; AR 19-39.] The Appeals Council denied  
11 Plaintiff’s request for review [AR 1-6], rendering the ALJ’s decision the final  
12 decision of the Commissioner.

13           The ALJ followed a five-step sequential evaluation process to assess  
14 whether Plaintiff was disabled under the Social Security Act. *Lester v.*  
15 *Chater*, 81 F.3d 821, 828 n.5 (9th Cir. 1995); 20 C.F.R. § 416.920. At step one,  
16 the ALJ found that Plaintiff had not engaged in substantial gainful activity  
17 since October 4, 2016, the application date. [AR 17.] At step two, the ALJ  
18 found that Plaintiff had the following severe impairments, which significantly  
19 limit his ability to perform basic work activities: bilateral knee arthritis,  
20 lumbar degenerative disc disease, left elbow degenerative joint disease, and  
21 left foot degenerative joint disease. [JS 2; AR 17.] At step three, the ALJ  
22 found that Plaintiff “does not have an impairment or combination of  
23 impairments that meets or medically equals the severity of one of the listed  
24 impairments in 20 CFR Part 404, Subpart P, Appendix 1.” [AR 18.]

25           Before proceeding to step four, the ALJ found that Plaintiff had the  
26 RFC to perform the demands of “medium work” with the following additional  
27 limitations:

28                   he can frequently climb ramps, stairs, and ladders; he  
                      can frequently stoop, kneel, crouch, balance, and

1 crawl; he can frequently push, pull, and operate foot  
2 controls with the bilateral lower extremities; and he  
3 can frequently push and pull with the left upper  
4 extremity.

5 [AR 18.] In making this finding, the ALJ gave little weight to the treating  
6 opinion of Kamal K. Hossain, M.D., that Plaintiff would miss multiple days a  
7 week, can rarely lift and carry up to ten pounds, can stand or walk for one  
8 hour in an eight-hour workday, would need to lie down for an hour multiple  
9 times a day, would need to use a cane, can rarely use his upper extremities,  
10 can never perform postural activities, and can rarely be exposed to numerous  
11 environmental conditions. [AR 20-21.]

12 At step four, based on Plaintiff's RFC and the vocational expert's  
13 testimony, the ALJ found that Plaintiff was capable of performing past  
14 relevant work as a tree pruner. At step five, the ALJ found that Plaintiff  
15 could also perform other jobs that existed in significant numbers in the  
16 national economy. [AR 21-23.]

## 17 **II. DISPUTED ISSUE**

18 Whether the ALJ erred in determining Plaintiff's RFC by assigning  
19 little weight to the opinion of treating physician Kamal K. Hossain, M.D. [JS  
20 8.]

## 21 **III. STANDARD OF REVIEW**

22 Under 42 U.S.C. § 405(g), this Court reviews the Commissioner's  
23 decision to determine whether the findings are supported by substantial  
24 evidence and whether the proper legal standards were applied. *See Treichler*  
25 *v. Comm'r of Soc. Sec. Admin.*, 775 F.3d 1090, 1098 (9th Cir. 2014). An ALJ's  
26 assessment of a claimant's RFC must be affirmed if the ALJ has applied the  
27 proper legal standard and substantial evidence in the record as a whole  
28 supports the decision. *See Bayliss v. Barnhart*, 427 F.3d 1211, 1217 (9th Cir.  
2005). "Substantial evidence is 'more than a mere scintilla but less than a

1 preponderance; it is such relevant evidence as a reasonable mind might accept  
2 as adequate to support a conclusion.’” *Gutierrez v. Comm’r of Soc. Sec.*, 740  
3 F.3d 519, 522–23 (9th Cir. 2014) (internal citations omitted). “Even when the  
4 evidence is susceptible to more than one rational interpretation, we must  
5 uphold the ALJ’s findings if they are supported by inferences reasonably  
6 drawn from the record.” *Molina v. Astrue*, 674 F.3d 1104, 1110 (9th Cir. 2012).

7 This Court must review the record as a whole, “weighing both the  
8 evidence that supports and the evidence that detracts from the  
9 Commissioner’s conclusion.” *Lingenfelter v. Astrue*, 504 F.3d 1028, 1035 (9th  
10 Cir. 2007) (internal citation omitted); *Desrosiers v. Sec’y of Health & Human*  
11 *Servs.*, 846 F.2d 573, 576 (9th Cir. 1988). “The ALJ is responsible for  
12 determining credibility, resolving conflicts in medical testimony, and for  
13 resolving ambiguities.” *Andrews v. Shalala*, 53 F.3d 1035, 1039 (9th Cir.  
14 1995). The Court will uphold the Commissioner’s decision when the evidence  
15 is susceptible to more than one rational interpretation. *Burch v. Barnhart*,  
16 400 F.3d 676, 679 (9th Cir. 2005). However, the Court may review only the  
17 reasons stated by the ALJ in his decision “and may not affirm the ALJ on a  
18 ground upon which he did not rely.” *Orn v. Astrue*, 495 F.3d 625, 630 (9th  
19 Cir. 2007).

#### 20 **IV. RELEVANT MEDICAL EVIDENCE**

##### 21 **A. Dr. K. Hossain, Treating Physician**

22 The medical record evidence includes opinions and treatment notes  
23 from 2010 to 2018 of Mohammed Hossain, M.D. (“Dr. M. Hossain”) and Kamal  
24 K. Hossain, M.D. (“Dr. K. Hossain”),<sup>2</sup> who shared a practice. [AR 55, 341-44,  
25 355-60, 393-94, 417, 565-676.] From 2010 through 2014, Dr. M. Hossain  
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27 <sup>2</sup> The records also refer to Dr. K. Hossain as Dr. Ruby Hossain and Dr. Ruby K.  
28 Hossain. [AR 55.]

1 treated Plaintiff for pain in his back, legs, left foot, both hands, left arm and  
2 shoulder. [AR 565-582, 672.] X-ray imaging in May 2013 requested by Dr. M.  
3 Hossain of Plaintiff's left foot revealed two calcaneal spurs and degenerative  
4 joint disease. [AR 591.]

5 In March 2016, x-ray imaging requested by Dr. K. Hossain of Plaintiff's  
6 lumbar spine showed degenerative disc disease, most severe at the L4-L5  
7 discs. [AR 19-20, 373-74.] X-ray imaging in March 2017 requested by Dr. K.  
8 Hossain of Plaintiff's left elbow revealed mild generalized degenerative joint  
9 disease and mild osteophytosis developing. [AR 377-78, 386.]

10 In April 2017, Plaintiff followed up with Dr. K. Hossain for pain in the  
11 joints of his elbow and wrist. [JS 6; AR 416.] In July 2017, Plaintiff  
12 presented to Dr. K. Hossain with lower back pain and difficulty walking and  
13 standing. [AR 399.] A July 2017 CT scan of the pelvis showed degenerative  
14 changes of the lower lumbar spine. [AR 383, 388, 407.] In July 2017, Plaintiff  
15 also was triaged in a hospital emergency department for back and right flank  
16 pain after he had fallen from a ladder. He was using a cane. He rated his  
17 pain at ten of ten on a pain value scale, was given morphine and discharged.  
18 [JS 6; AR 513-14.]

19 In November 2017, a CT scan of Plaintiff's abdomen and pelvic region  
20 found that "[t]he skeletal structures show moderate to severe degenerative  
21 changes." [AR 529.] In December 2017, Plaintiff returned to Dr. K. Hossain  
22 complaining of back pain with muscle spasms, knee pain, and pain in his  
23 hernia area. Dr. K. Hossain noted that his status was worsening regarding  
24 his degenerative joint diseases. [JS 6; AR 406.]

25 In March 2018, Plaintiff returned to Dr. K. Hossain for his low back  
26 pain, and was experiencing pain in his wrist, right thigh and leg. He was  
27 prescribed Motrin 800 mg and Keflex for his pain. [AR 401.] X-ray imaging  
28 in March 2018 requested by Dr. K. Hossain of Plaintiff's lumbar spine showed

1 moderate lumbar degenerative joint disease. It found moderate multilevel  
2 degenerative endplate change, facet arthropathy and osteophytosis  
3 developing, as well as maintained vertebral body heights, anatomic  
4 alignment, and no significant spondylolisthesis. [JS 6; AR 19, 379-80, 391,  
5 398.] In July 2018, Plaintiff returned to Dr. K. Hossain for low back pain,  
6 stating that it was difficult to walk, stand, bend, and stoop. [AR 399.] In  
7 September 2018, Plaintiff followed up with Dr. K. Hossain with low back pain,  
8 tiredness, and fatigue. He related being unable to work due to the  
9 unrelenting nature of his pain. [AR 393.]

10 Also, in September 2018, Dr. K. Hossain completed an assessment of  
11 impairments and limitations, stating that she is a primary care physician and  
12 had been treating Plaintiff as needed since March 2016. She listed his  
13 diagnoses for which she had provided treatment as lower back pain, arthritis,  
14 hypertension, hyperlipidemia, and chest pain. [JS 7; AR 356-59.] Dr. K.  
15 Hossain also noted that if Plaintiff tried to work full time, he likely would be  
16 absent four or more days monthly due to impairments and/or treatment. She  
17 opined that he can never lift and carry more than twenty pounds and rarely  
18 ten pounds or less, based on x-rays showing degenerative joint disease, a cyst  
19 in the mid-upper back, and an umbilical hernia. [AR 356-57.]

20 Dr. K. Hossain also noted that Plaintiff can sit for four hours and stand  
21 for one hour in an eight-hour work day, based on lower back pain, arthritis,  
22 walking slowing, and using a cane to support his joints and legs. [AR 357.]  
23 The doctor also opined that Plaintiff requires the option to lie down or recline  
24 four to five times during an eight-hour workday for at least one hour each  
25 time. [AR 357.] The doctor further noted that Plaintiff required daily use of a  
26 cane to sustain a reasonable walking pace over sufficient distance to carry out  
27 activities of daily living, and without the cane can ambulate one block. [AR  
28 358.] The doctor also opined that Plaintiff can never push or pull with his

1 bilateral arm and hands, and rarely perform any other postures like reaching  
2 overhead, handling, fingering, and feeling. [AR 358.] He is significantly  
3 limited in his abilities to climb stairs, ramps, ladders and scaffolds, balance,  
4 stoop, kneel, crouch, and crawl, but can frequently rotate head and neck.  
5 Additionally, Dr. K. Hossain noted that Plaintiff can never be exposed to  
6 moving mechanical parts or operate a vehicle, and can only rarely be exposed  
7 to unprotected heights, humidity and wetness, dust/odors/fumes/pulmonary  
8 irritants, extreme hot or cold, or vibration. [AR 359.]

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10 **B. William Wang, M.D., Orthopedic Examination**

11 In September 2015, William Wang, M.D., conducted an orthopedic  
12 evaluation of Plaintiff.<sup>3</sup> [JS 4; AR 310-316.] Plaintiff reported bilateral knee,  
13 ankle, and wrist pain, along with low back pain, which he described as sharp,  
14 sometimes radiating down the right leg to the ankle, and exacerbated by  
15 standing, walking, bending and lifting. [AR 310-311.] He described his knee  
16 pain with an intensity level of eight of ten, greater in the left knee, and  
17 exacerbated by lifting. [AR 311.] . Plaintiff “occasionally uses a cane for  
18 ambulation, although he does not bring a cane to the office today.” [AR 311.]

19 Dr. Wang performed a physical examination and documented normal  
20 mobility, minimal and mild tenderness in his lumbar spine, slight loss of  
21 lordosis, absence of painful range of motion in his back, negative straight leg  
22 raising, normal findings in his joints, mild tenderness in his wrist, minimal  
23 knee crepitus, and full motor strength. [AR 312-315.] X-rays showed diffuse  
24 degenerative disease with loss of lordosis in the lumbar spine and some  
25 degenerative changes in the bilateral knees. The doctor diagnosed bilateral  
26 knee arthritis, lumbar degenerative disease, posterior tibial tendinitis  
27 bilaterally, and bilateral wrist pain. [AR 315.] Dr. Wang also noted that

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<sup>3</sup> This examination pertained to a different application. [JS 4; AR 310-316.]

1 Plaintiff had good strength and range of motion in his knee, leading to an  
2 impression of “mild degenerative disease of the bilateral knee.” [AR 315.] Dr.  
3 Wang’s functional assessment was that Plaintiff could lift and carry fifty  
4 pounds occasionally and twenty-five pounds frequently, and in an eight-hour  
5 work day could stand, walk and sit for six hours. [AR 315-16.]

6 **C. Dr. Hopkins, Orthopedic Surgeon Examination**

7 In September 2016, Gail Hopkins, MD, an orthopedic surgeon, conducted an  
8 orthopedic examination of Plaintiff, who presented with lower back pain that is  
9 aggravated by sitting, standing, and walking and that radiates into the legs.  
10 [AR 324-27.] The doctor stated that lumbar spine x-rays showed severe  
11 multilevel degenerative changes from L2-L5. Dr. Hopkins diagnosed lumbar  
12 disc degenerative disease, recommended physical therapy, and referred  
13 Plaintiff to a pain management physician. [AR 326-27.]

14 **D. Seung Ha Lim, M.D., Internal Medicine Consultative  
15 Examination**

16 In January 2017, Seung Ha Lim, M.D., performed an internal medicine  
17 consultative examination. [JS 5; AR 20, 336.] Plaintiff reported a history of  
18 back pain since 2011 and pain in his hands and knees for twelve years. [AR  
19 336.] Dr. Lim’s findings and conclusions “relate to an internal medicine  
20 assessment of alleged disability, and should not be misconstrued as a  
21 complete physical examination for general medical purposes.” [AR 336-337.]  
22 Dr. Lim reported that Plaintiff was able to generate fifty pounds of force using  
23 his right hand and seventy using his left. [AR 337.] The doctor observed pain  
24 on motion with normal range of motion in the back, normal gait, and no need  
25 for a cane. Plaintiff had normal range of motion with pain in his knees. [AR  
26 20, 339.] Dr. Lim opined that Plaintiff could sit for six hours in an eight-hour  
27 workday with appropriate breaks, lift and/or carry fifty pounds occasionally  
28 and twenty-five pounds frequently. The doctor noted that pushing and



1 pulling is limited to frequent use of both lower extremities, and that Plaintiff  
2 has postural limitations such as frequent climbing, crouching, stooping,  
3 crawling, and kneeling. [AR 339.]

4 **E. State Agency Examining and Reviewing Physicians**

5 In February 2017, G. Spinka, M.D., performed a consultative  
6 examination of Plaintiff and concluded that his condition (severe dysfunction  
7 in his major joints and severe other arthropathies) resulted in some  
8 limitations in ability to perform work-related activities but did not prevent  
9 Plaintiff from performing past work as a cook. [AR 54-62.] The assessment  
10 listed past relevant work as a cook helper, and past work as a construction  
11 worker from 2007 to 2008, and a laborer from 2009 to 2011. [AR 61.]

12 In April 2017, Plaintiff sought reconsideration on the ground that his  
13 condition had become more severe and caused greater limitations. [AR 65-74.]  
14 G. Taylor Holmes, M.D., concluded that no new evidence was received and  
15 found the earlier determination by Dr. Spinka reasonable. [AR 72.]

16 **F. Plaintiff's Testimony**

17 At the hearing on December 21, 2018, Plaintiff testified that he suffers  
18 from rheumatoid arthritis, hypertension, right knee pain, and back pain,  
19 which limits him from working. [AR 19.] Plaintiff testified that his spine  
20 hurts every day, that he can sit for about four hours before having to get up  
21 and walk around, and that he can walk for about twenty minutes. [AR 33-34.]  
22 His daily back-pain level is a seven out of ten, which causes him to lose  
23 concentration. [AR 38.] He takes prescription pain medication, lies down to  
24 relieve his pain, and does not do household chores because of his pain. [AR  
25 33-38.]  
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1 **V. THE ALJ'S DECISION**

2 The ALJ found that although Plaintiff has a history of several  
3 orthopedic disorders and complaints of lower back, groin, hip, foot, and elbow  
4 pain, and that some physical examinations indicate decreased range of motion  
5 and tenderness in his back, other examinations highlighted normal mobility  
6 as well as normal and independent gait. The ALJ also concluded that the  
7 non-disabling nature of the impairments was underscored by the orthopedic  
8 specialist's recommendation of conservative treatment rather than surgery.  
9 The ALJ's Decision (the "Decision") stated that the medical records did not  
10 establish a medical need for a cane, even though Plaintiff attended the  
11 hearing with one. [AR 19.]

12 The ALJ also found the diagnostic imaging studies unremarkable. In  
13 particular, the ALJ discussed the May 2013, March 2016, March 2017, and  
14 March 2018 x-rays, and highlighted that they showed degenerative joint  
15 disease but no significant spondylolisthesis and no spinal canal stenosis or  
16 nerve root compromise. [AR 19-20.] The ALJ also noted the absence of any  
17 treating physician clinical notes establishing the need for a cane. [AR 21.]

18 The ALJ gave great weight to the opinions of Drs. Wang, Lim, Spinka  
19 and Taylor-Holmes. [AR 20.] In particular, the ALJ highlighted Dr. Wang's  
20 notes indicating Plaintiff's normal mobility, minimal and mild tenderness in  
21 his lumbar spine, absence of painful range of motion in his back, full motor  
22 strength, and mild degenerative disease of the bilateral knee. The ALJ also  
23 highlighted Dr. Lim's findings that Plaintiff's gait was normal, that he had  
24 some painful range of motion in his back and knees, and that he had full  
25 motor strength without focal motor deficits. The ALJ determined that these  
26 two examining physicians' opinions supported a medium work RFC, as their  
27 reports indicated mostly benign clinical findings. [AR 20.]

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1           The ALJ assigned little weight to Dr. K. Hossain’s opinion, concluding  
2 that its limitations, which are so restrictive as to preclude all work, were not  
3 supported by the doctor’s notes and treatment records.[Js 19; AR 21.] The  
4 ALJ further found that the “lack of significant findings” in Plaintiff’s x-rays,  
5 “including the absence of any spinal canal stenosis or nerve root compromise,  
6 further emphasizes an inconsistency” between Dr. K. Hossain’s opinion and  
7 the restrictions. [AR 21.]

## 8 **VI. DISCUSSION**

9           After consideration of the record as a whole, the Court finds that  
10 Plaintiff’s claim of error warrants a remand for further consideration.

### 11 **A. Applicable Legal Standards**

12           The medical opinion of a claimant's treating physician is given  
13 “controlling weight” so long as it “is well-supported by medically acceptable  
14 clinical and laboratory diagnostic techniques and is not inconsistent with the  
15 other substantial evidence in [the claimant's] case record.” 20 C.F.R.  
16 § 404.1527(c)(2).<sup>4</sup> When a treating physician's opinion is not controlling, it is  
17 weighted according to factors such as the length of the treatment relationship  
18 and frequency of examination, the nature and extent of the treatment  
19 relationship, supportability, consistency with the record, and specialization of  
20 the physician. *Id.* § 404.1527(c)(2)–(6); *Trevizo v. Berryhill*, 871 F.3d 664, 675  
21 (9th Cir. 2017).

22           “If a treating or examining doctor's opinion is contradicted by another  
23 doctor's opinion, an ALJ may only reject it by providing specific and legitimate  
24 reasons that are supported by substantial evidence.” *Trevizo*, 871 F.3d at 675

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25 <sup>4</sup> Section 404.1527 applies because Plaintiff filed his application before March 27,  
26 2017. For applications filed on or after that date, 20 C.F.R. § 404.1520c applies. The  
27 new regulations change how the Social Security Administration considers medical  
28 opinions and prior administrative medical findings and eliminate the term “treating  
source” and deference to treating source medical opinions. *See* 20 C.F.R. §  
404.1520c(a); *see also* 81 Fed. Reg. 62560, at 62573-74 (Sept. 9, 2016).

1 (quotations omitted). “The ALJ can meet this burden by setting out a  
2 detailed and thorough summary of the facts and conflicting clinical evidence,  
3 stating his interpretation thereof, and making findings.” *Id.* (quoting  
4 *Magallanes v. Bowen*, 881 F.2d 747, 751 (9th Cir. 1989)).

5 **B. The ALJ Erred in Assigning Little Weight to the**  
6 **Treating Physician’s Opinion**

7 The ALJ erred by failing to apply the appropriate factors in determining  
8 the extent to which Dr. K. Hossain’s treating opinion should be credited. In  
9 weighting that opinion, the ALJ did not address the length of Dr. K. Hossain’s  
10 treating relationship with Plaintiff, the frequency of examination, or the  
11 nature and extent of the treatment relationship. *See* 20 C.F.R.  
12 § 404.1527(c)(2)-(6); *Trevizo*, 871 F.3d at 676 (“This failure [to consider  
13 § 404.1527(c)(2)-(6) factors] alone constitutes reversible legal error.”).

14 The ALJ also erred by not setting forth specific and legitimate reasons  
15 supported by substantial evidence for discounting Dr. K. Hossain’s opinion.  
16 *See Valentine v. Comm’r Soc. Sec. Admin.*, 574 F.3d 685, 692-93 (9th Cir.  
17 2009); *Orn*, 495 F.3d at 634-35 (ALJ reasoning that is “not responsive” to the  
18 basis of a physician’s opinion fails the “specific and legitimate” standard). The  
19 ALJ found that the restrictive limitations to which Dr. K. Hossain opined  
20 were not supported by her clinical notes, elaborating that the treatment  
21 records as a whole reflects Plaintiff’s “relatively stable, benign, and  
22 unremarkable physical findings;” the opinion is inconsistent with x-rays,  
23 which display a “lack of significant findings”, including the absence of “spinal  
24 canal stenosis or nerve root compromise;” and that the clinical notes “fail to  
25 establish a medical necessity” for a cane. [AR 20-21.] The ALJ supported  
26 these conclusions with citations to Exhibits 12F and 15F [AR 21], which are  
27 25 pages of materials dated March 14, 2017 to September 11, 2018 and over  
28 100 pages of records dated August 19, 2010 to September 28, 2014,

1 respectively. [AR 392-417; 564-676.] The ALJ did not specify how the clinical  
2 records of Dr. K. Hossain fail to support her opinion.

3 The ALJ concludes that the x-rays do not reveal significant findings  
4 because they do not show spinal canal stenosis or nerve root compromise. [AR  
5 21.] However, the ALJ does not explain how the absence of these conditions is  
6 inconsistent with Dr. K. Hossain's opinion, particularly since that opinion  
7 does not identify either condition as the basis for Plaintiff's limitations. *See*  
8 *Kelly v. Berryhill*, 732 Fed. App'x 558, 561 (9th Cir. 2018) (ALJ may not  
9 "cherry-pick" normal and ignore abnormal findings; error to emphasize  
10 absence of a condition when doctor's opinion as to limitations was not based  
11 on the plaintiff suffering from that condition); *Orn*, 495 F.3d at 635 (ALJ may  
12 not exclude a physician's testimony for a lack of objective evidence of  
13 impairment not referenced by the physician).

14 The ALJ also fails to explain how the findings of "moderate multilevel  
15 degenerative endplate change, facet arthropathy, and osteophytosis  
16 developing" in the March 2018 lumbar spine x-ray ordered by Dr. K. Hossain  
17 [AR 398-401], and of "underlying degenerative disc disease" evidenced by  
18 findings of "severe narrowing of L4-5 disc with vacuum phenomenon, mild  
19 narrowing of L1-L2 and L3-4 disc spaces" in the March 2016 lumbar spine x-  
20 ray ordered by Dr. K. Hossain [AR 373-74], are not significant. The ALJ also  
21 does not address the November 2017 CT scan indicating that Plaintiff's  
22 "skeletal structures show moderate to severe degenerative changes." [AR  
23 529.]

24 Additionally, the ALJ does not address how Dr. K. Hossain's notes are  
25 inconsistent with these x-ray and CT findings. In fact, consistent with these  
26 findings, in December 2017 Dr. K. Hossain noted Plaintiff's status was  
27 worsening regarding his degenerative joint disease. [JS 6; AR 406.] *See Orn*,  
28 495 F.3d at 634 (error to disregard treating physician's opinion substantiated

1 by contemporaneous medical tests; gradual decrease in Plaintiff's physical  
2 capacity, as illustrated by treating physicians' evaluations, supported by  
3 record).

4 The ALJ also discounted the treating physician's opinion on the ground  
5 that the clinical notes fail to establish a medical necessity for a cane. [AR 21.]  
6 In March 2018, Dr. Hossain opined that Plaintiff requires daily use of a cane  
7 to sustain a reasonable walking pace over sufficient distance to carry out  
8 activities of daily living, and that without the cane he can ambulate one block.  
9 [AR 21, 358.] Dr. K. Hossain's notes reflect that in July and December 2017  
10 and in March 2018 Plaintiff presented with ongoing lower back pain and  
11 difficulty walking and standing, consistent with the CT and x-ray imaging,  
12 and at his July 2017 emergency room treatment after he fell from a ladder,  
13 Plaintiff was using a cane. [AR 383, 388, 399, 401, 406-7, 513-14.] The ALJ  
14 does not explain why this information does not support Dr. K. Hossain's  
15 opinion.

16 The ALJ gave great weight to the opinions of Drs. Wang, Lim, Spinka  
17 and Taylor-Holmes. [AR 20.] The discussion of these physicians'  
18 observations, all based on single visits in 2015, and January, February, and  
19 April 2017 that predated the November 2017 CT scan and March 2018 x-rays,  
20 do not address the progressively degenerating nature of Plaintiff's condition,  
21 which is reflected in the medical record [JS 15-16]. Moreover, none of these  
22 examining physicians had reviewed Plaintiff's treatment records before  
23 rendering their opinions.<sup>5</sup> *Osenbrock v. Apfel*, 240 F.3d 1157, 1165 (9th Cir.  
24 2001) ("A treating physician's most recent medical reports are highly

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25 <sup>5</sup> See AR 311 (Dr. Wong's report states "There are no medical records for review at  
26 this time"); AR 336 (Dr. Lim performed a physical examination based on formal  
27 testing and observation of Plaintiff; no mention of any review of medical records); AR  
28 57-58, 69 (state agency had not acquired records from Dr. K. Hossain at time of Dr.  
Spinka's evaluation; Dr. Taylor-Holmes' review notes that no new evidence was  
received).

1 probative.”); *Kelly*, 732 Fed. App’x at 562 (“[T]he ALJ is not free to ignore  
2 relevant, competent evidence—such as a recent lumbar MRI for a claimant  
3 who suffers from lumbar degenerative disc disease and lumbar spondylosis—  
4 that would lend support to a claim of disability.”).

5 Accordingly, the Court finds that substantial evidence does not support  
6 the ALJ’s rejection of Dr K. Hossain’s opinion. The Commissioner does not  
7 dispute that Dr. K. Hossain’s limitations were restrictive enough to preclude  
8 work. [JS 19.] Had the ALJ given Dr. K. Hossain’s treating opinion  
9 controlling weight, the outcome would have been different. *See Stout v.*  
10 *Comm’r, Soc. Sec. Admin.*, 454 F.3d 1050, 1055 (9th Cir. 2006) (error is  
11 harmless if it is inconsequential to the ultimate non-disability  
12 determination).<sup>6</sup> Thus, the ALJ’s error is not harmless, and remand is  
13 warranted. *See Salvador v. Sullivan*, 917 F.2d 13, 15 (9th Cir. 1990) (remand  
14 is proper where additional administrative proceedings could remedy the  
15 defects in the decision.) On remand, the ALJ should re-evaluate Dr. K.  
16 Hossain’s treating opinion and the medical records, and if appropriate,  
17 provide specific and legitimate reasons, supported by substantial evidence, for  
18 discounting her treating opinion.

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25 <sup>6</sup> Courts in this circuit have concluded that conditions apparently similar to  
26 Plaintiff’s support an RFC of light, rather than medium, work. *See Kovalenko v.*  
27 *Berryhill*, 2018 WL 2441582, at \*6 (E.D. Cal. May 31, 2018); *Morris v. Berryhill*,  
28 2018 WL 582572, at \*4 (C.D. Cal. Jan. 25, 2018); *Flores v. Colvin*, 2017 WL 367408,  
at \*6 (C.D. Cal. Jan. 24, 2017); *Leon v. Berryhill*, 2017 WL 1198587, at \*10 (E.D.  
Cal. Mar. 30, 2017) .

1 **VII. CONCLUSION**

2 IT IS THEREFORE ORDERED that Judgment be entered reversing the  
3 decision of the Commissioner of Social Security and remanding this matter for  
4 further administrative proceedings consistent with this opinion.

5 IT IS FURTHER ORDERED that the Clerk of the Court serve copies of  
6 this Order and the Judgment on counsel for both parties.

7  
8 DATED: February 17, 2021

*Patricia Donahue*

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11 PATRICIA DONAHUE  
12 UNITED STATES MAGISTRATE JUDGE  
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NOTICE: THIS DECISION IS NOT INTENDED FOR PUBLICATION IN WESTLAW, LEXIS/NEXIS, OR ANY OTHER LEGAL DATABASE.