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8	UNITED STATES DISTRICT COURT	
9	CENTRAL DISTRICT OF CALIFORNIA	
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11 Gi 12	GARY NORTHRUP, Plaintiff,) Case No. EDCV 20-00355 DDP (SPx)
13	v.) ORDER GRANTING DEFENDANT'S MOTION) TO EXCLUDE EXPERT OPINIONS AND
	COVIDIEN, LP,) MOTION FOR SUMMARY JUDGMENT
15	Defendants.) [Dkt. 76, 77]
16)
17	Presently before the court is Defendants Covidien, LP and	
18	Medtronic Inc. (collectively, "Covidien")'s Motion to Exclude	
19	for Summary Judgment (Dkt. 77). Having considered the submissions of the parties and heard oral argument, the court grants the	
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24 He	He subsequently developed bowel obstructions in 2009 and 2011. Plaintiff underwent a second abdominal surgery in September 2011, during which the surgeon observed that it "looked like a bomb went off" in Plaintiff's abdomen. The surgeon repaired four or five	
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28 si	small hernias.	

In December 2012, Plaintiff was diagnosed with another hernia. 1 2 Dr. Lawrence Kong recommended surgical repair with mesh. Due to the complexity of the repair as a result of Plaintiff's prior 3 abdominal surgeries, Dr. Kong referred Plaintiff to Dr. Deron 4 5 Tessier. Dr. Tessier performed the hernia repair surgery in 6 September 2013. Dr. Tessier observed "extensive adhesions" of Plaintiff's bowel to the abdominal wall. Dr. Tessier repaired 7 Plaintiff's hernia with a "PCOx" mesh, manufactured by Covidien's 8 9 subsidiary. PCOx, short for Parietex Optimized Composite Mesh, is 10 made of polyester, with a resorbable collagen coating on one side.

11 In March 2016, Plaintiff presented with abdominal pain and 12 gastrointestinal symptoms. (Declaration of Jessica Wilson ISO MSJ, 13 Ex. 28.) A doctor recommended that Plaintiff stop taking testosterone and steroids. (Id.) In June 2017, another doctor 14 15 surmised that continuing gastrointestinal symptoms and chronic 16 abdominal pain may have been related to Plaintiff's continued use of narcotic painkillers. (Wilson Decl., Ex. 27.) Later that year, 17 18 Dr. Tessier offered Plaintiff nerve block injections, which only 19 relieved Plaintiff's abdominal pain temporarily.

20 In January 2018, Dr. Tessier performed another abdominal 21 surgery, this time to remove tacks and sutures used during the 2013 22 hernia repair surgery. Plaintiff's abdominal pain persisted, 23 however. Accordingly, on March 24, 2018, Dr. Tessier performed a 24 third abdominal surgery to remove the PCOx mesh and all visible tacks and sutures remaining from the 2013 hernia repair surgery. 25 26 The surgical notes indicate that Plaintiff had "dense, fibrotic, 27 chronically inflamed and scarred down adhesions." (Wilson Dec., 28 Ex. 36.) Surgeons divided the mesh in two, cleared overlying

fascia and tissue off one half of the mesh, and "sharply excised" 1 2 the mesh. (Id.) Tacks in the mesh and abdominal wall "were excised entirely as well." (Id.) The "meticulous dissection and 3 excision of the mesh was repeated for the [other] half of the mesh 4 . . . in a similar fashion." (Id.) Dr. Tessier later testified 5 that he removed all of the mesh, and that he did not see any 6 7 problems with the mesh itself. (Wilson Decl., Ex. 21 at 43:21-144:5.) 8

9 Plaintiff's abdominal pain persisted. Plaintiff sought 10 medical care seven times in 2019, and filed the instant action in 11 2020. (Wilson Dec., Exs. 40-46.) Plaintiff's Complaint alleges a strict liability claim against Covidien on a failure to warn 12 13 theory, as well as a negligence claims based on failure to warn and 14 alleged defective manufacturing and design of the PCOx mesh. Now, following the exchange of expert witness reports, Covidien seeks to 15 16 exclude the opinions of Plaintiff's expert, Dr. David Grischkan. 17 Covidien also seeks summary judgment on all claims.

18 **II. Legal Standard**

19 Where "scientific, technical, or other specialized knowledge 20 will assist the trier of fact" to understand evidentiary or factual 21 issues, an expert witness who is qualified by "knowledge, skill, 22 experience, training, or education" may "testify thereto in the 23 form of an opinion or otherwise." Fed. R. Evid. 702. An expert's 24 experience alone can provide a sufficient foundation for expert 25 testimony, so long as the witness explains "how that experience 26 leads to the conclusion reached, why that experience is a 27 sufficient basis for the opinion, and how that experience is 28 reliably applied to the facts." Fed. R. Evid. 702 Advisory

Committee Note to 2000 Amdt. Trial courts, however, have a 1 gatekeeping function regarding expert testimony. Daubert v. 2 Merrell Dow Pharmaceuticals, Inc., 509 U.S. 579, 589 n.7 (1993). 3 The two touchstones of admissibility are relevance and reliability. 4 5 Id. Courts employ a flexible inquiry tied to the facts of the 6 particular case to make determinations regarding the reliability of 7 expert testimony. Kumho Tire Co., Ltd. v. Carmichael, 526 U.S. 137, 152 (1999). The focus should be "solely on principles and 8 methodology, not on the conclusions they generate." Daubert, 509 9 10 U.S. at 595; see also Fed. R. Evid. 702 Adv. Comm. Note to 2000 11 Amdt. Factors relevant to reliability include, but are not limited 12 to, "whether the theory or technique employed by the expert is 13 generally accepted in the scientific community; whether it's been subjected to peer review and publication; whether it can be and has 14 15 been tested; and whether the known or potential rate of error is 16 acceptable." Wendell v. GlaxoSmithKline LLC, 858 F.3d 1227, 1232 17 (9th Cir. 2017) (internal quotation marks and citation omitted). 18 The proponent of the expert testimony has the burden of establishing that the relevant admissibility requirements are met 19 by a "preponderance of the evidence." <u>Daubert</u>, 509 U.S. at 592 20 21 n.10 (citing Bourjaily v. United States, 483 U.S. 171, 175 (1987)). Summary judgment is appropriate where the pleadings, 22 23 depositions, answers to interrogatories, and admissions on file, together with the affidavits, if any, show "that there is no 24 genuine dispute as to any material fact and the movant is entitled 25 26 to judgment as a matter of law." Fed. R. Civ. P. 56(a). A party 27 seeking summary judgment bears the initial burden of informing the 28 court of the basis for its motion and of identifying those portions

of the pleadings and discovery responses that demonstrate the 1 2 absence of a genuine issue of material fact. See Celotex Corp. v. Catrett, 477 U.S. 317, 323 (1986). All reasonable inferences from 3 the evidence must be drawn in favor of the nonmoving party. See 4 Anderson v. Liberty Lobby, Inc., 477 U.S. 242, 242 (1986). If the 5 moving party does not bear the burden of proof at trial, it is 6 7 entitled to summary judgment if it can demonstrate that "there is an absence of evidence to support the nonmoving party's case." 8 9 Celotex, 477 U.S. at 323.

10 Once the moving party meets its burden, the burden shifts to 11 the nonmoving party opposing the motion, who must "set forth specific facts showing that there is a genuine issue for trial." 12 13 Anderson, 477 U.S. at 256. Summary judgment is warranted if a party "fails to make a showing sufficient to establish the 14 15 existence of an element essential to that party's case, and on 16 which that party will bear the burden of proof at trial." Celotex, 17 477 U.S. at 322. A genuine issue exists if "the evidence is such 18 that a reasonable jury could return a verdict for the nonmoving party," and material facts are those "that might affect the outcome 19 20 of the suit under the governing law." Anderson, 477 U.S. at 248. 21 There is no genuine issue of fact "[w]here the record taken as a 22 whole could not lead a rational trier of fact to find for the 23 nonmoving party." Matsushita Elec. Indus. Co. v. Zenith Radio 24 Corp., 475 U.S. 574, 587 (1986).

It is not the court's task "to scour the record in search of a genuine issue of triable fact." <u>Keenan v. Allan</u>, 91 F.3d 1275, 1278 (9th Cir. 1996). Counsel have an obligation to lay out their support clearly. <u>Carmen v. San Francisco Sch. Dist.</u>, 237 F.3d

1 1026, 1031 (9th Cir. 2001). The court "need not examine the entire 2 file for evidence establishing a genuine issue of fact, where the 3 evidence is not set forth in the opposition papers with adequate 4 references so that it could conveniently be found." Id.

- 5 **III. Discussion**
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A. Dr. Grischkan's Causation Opinion

Plaintiff's expert, Dr. David Grischkan, opined that "the post-operative and current abdominal pain experienced by Mr. Northrup was and is to this day directly caused by the Parietex Optimized Composite mesh." (Declaration of Jessica Wilson ISO MTE, Ex. 1.) Covidien contends that this conclusion was not the product of any scientific methodology, and that Dr. Grischkan's opinion as to causation is inadmissible.

Dr. Grischkan did not identify any methodology in his expert 14 report. At his deposition, however, Dr. Grishckan testified that 15 16 he performed a "differential diagnosis." (Wilson Dec. ISO MTE, Ex. 17 2.) As an initial matter, Defendants do not appear to dispute Plaintiff's contention that Dr. Grischkan was not required to 18 conduct a differential diagnosis. The fact remains, however, that 19 20 Dr. Grisckan claimed to have done so, and Plaintiff does not 21 identify any alternative process that Dr. Grischkan employed or claimed to have employed. 22

Differential diagnosis has long been recognized in this circuit as a reliable methodology, so long as it is "properly conducted." <u>Clausen v. M/V NEW CARISSA</u>, 339 F.3d 1049, 1057, 1058 (9th Cir. 2003) (citing <u>Kennedy v. Collagen Corp.</u>, 161 F.3d 1226 (9th Cir.1998)); <u>see also Messick v. Novartis Pharms. Corp.</u>, 747 F.3d 1193, 1199 (9th Cir. 2014). The Ninth Circuit laid out the

differential diagnosis process in Clausen. First, an expert should 1 2 "compile a comprehensive list of hypotheses that might explain the set of salient clinical findings." Clausen, 339 F.3d at 1057. 3 Failure to consider even a rare potentially explanatory hypothesis 4 may be indicative of unreliability. Id. at 1058. Next, the expert 5 must eliminate hypotheses until a conclusion is reached as to the 6 7 most likely cause of the findings at issue. Id. "The expert must provide reasons for rejecting alternative hypotheses using 8 9 scientific methods and procedures [,] and the elimination of those 10 hypotheses must be founded on more than subjective beliefs or 11 unsupported speculation." Id. (internal quotation marks and 12 citation omitted).

13 At his deposition, Dr. Grischkan was asked to explain how he conducted his differential diagnosis in this case. (Declaration of 14 15 Kristy M. Arevalo IOT MTE, Ex. A at 9.) Dr. Grischkan responded, "By considering various aspects of this case, both in terms of 16 visits to the emergency rooms, the surgical treatments -- all three 17 18 surgical procedures that he had, indications and so on, all of those were based on differential diagnosis considerations." (Id.) 19 20 When asked to lay out his process "step by step," Dr. Grischkan 21 testified, "I used a thought process -- for example issues related 22 to pain or any other factors and then arrived at a conclusion . . . 23 ." (Wilson Dec. ISO MTE, Ex. 2 at 16:35-17:4.) When asked to 24 describe the thought process, Dr. Grischkan replied, "It's called the brain." "(Id. at 17:9.) When specifically asked whether he 25 26 made a list of possible causes of Plaintiff's abdominal pain, Dr. 27 Grischkan testified that he did not make any such list. (Id. at 28 20:25.) Dr. Grischkan later stated that he "ruled in all the

potential causes that [he] felt were appropriate," but that a "list 1 2 would be endless." (Id. at 21.) He later testified, however, that 3 he did rule in "every factor I could think of," including prior abdominal surgeries, prior opioid use, prior history of bowel 4 obstructions, and psychosocial issues. (Id. at 24, 26.) 5

6 When asked how he eliminated other possible causes of 7 Plaintiff's abdominal pain, Dr. Grischkan responded, "This isn't an elimination game." (Id. at 24:23.) Instead, Grischkan agreed that 8 9 his methodology consisted of reviewing records "and then relying on [] training, experience, knowledge of hernia surgery, and 10 literature."¹ (Id. at 25:6-9.) And, when asked whether he ruled 11 out Plaintiff's prior surgeries as the cause of Plaintiff's current 12 13 pain, Dr. Grischkan testified, "I did not rule out anything." (Id. 14 at 26:4.) He further testified that he "cannot give you any 15 specific details in regards to how I came to those conclusions [listed in the report] or what the conclusions entailed in terms of 16 17 the factors." (Id. at 2:12-15.)

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A differential diagnosis "grounded in significant clinical experience and examination of medical records and literature" may 21 be admissible. Messick, 747 F.3d at 1199. This does not mean, however, that a particular diagnosis is necessarily "properly 22 conducted" simply by dint of having been undertaken by an experienced clinician who examines medical records and literature. 23 An expert must consider multiple hypotheses and provide a reasoned basis for rejecting alternative hypotheses before arriving at a 24 Clausen, 339 F.3d at 1057-58. Nor does Plaintiff conclusion. appear to dispute that Dr. Grischkan did not review all of 25 Plaintiff's medical records prior to rendering his opinion as to causation. Dr. Grischkan testified, however, that additional 26 records, which he reviewed prior to his deposition, were not necessary and "would not have made any difference." (Wilson Dec. 27 ISO MTE, Ex. 2 at 13:7.) As discussed above, however, Dr. Grischkan provides no reasoning that would explain why the 28 additional records would have had little effect on his conclusions.

Dr. Grischkan's testimony is not sufficient to establish by a 1 2 preponderance of the evidence that he conducted a proper 3 differential diagnosis. Even assuming that Dr. Grischkan, notwithstanding his failure to compile a "comprehensive list," did 4 consider all possible causes of Plaintiff's abdominal pain, there 5 6 is no evidence of any reasoned basis for the elimination of any 7 potential cause other than the PCOx mesh. Indeed, it is difficult to discern how Dr. Grischkan arrived at his conclusion, given his 8 testimony that he did not rule out other potential causes and his 9 10 inability to provide "any specific details in regards to how I came 11 to those conclusions."

Absent any indication that Dr. Grischkan's causation opinion was the product of a properly conducted differential diagnosis, or any other reliable methodology, his opinion is not admissible.

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B. Design Defect Opinion

Dr. Grischkan criticized the design of the PCOx mesh for not 16 having a permanent collagen barrier and for being made of 17 18 polyester. (Wilson Dec. ISO MTE, Ex. 2 at 61:6-8, 24-25.) With 19 respect to his causation opinion, Defendants challenge Dr. 20 Grischkan's methodology rather than his gualifications, as 21 discussed above. With respect to design defects, however, 22 Defendants argue that Dr. Grischkan is not qualified to render an 23 opinion on whether the design of Covidien's PCOx mesh is defective.

It is axiomatic that "[a]n expert in one field . . . cannot express an opinion relying on data that requires expertise in another" <u>United States v. Santini</u>, 656 F.3d 1075, 1078 (9th Cir. 2011). Dr. Grischkan acknowledged that he is not a materials scientist or an expert in biomaterials or in the

manufacturing of mesh. (Id. at 59:18, 21; 60:9.) Dr. Grishckan 1 2 stated, however, that "as an end user, having done just about 3 25,000 hernia repairs, I think it qualifies me to be quite knowledgeable in the arena of materials." (Id. at 59:22-24.) 4 5 Although he has never implanted a polyester mesh, he has removed 6 polyester mesh approximately six times. (Id. at 55:18-24.) Dr. 7 Grischkan also "worked . . . to develop a prosthesis . . . based on a non-polypropylene formulation," a study of which was released in 8 9 1999. (Wilson Dec. ISO MTE, Ex. 1 at 2.)

10 Plaintiff argues that Dr. Grischkan's experience qualifies him 11 to render an opinion as to the design of PCOx mesh, notwithstanding his lack of expertise in biomaterials or mesh production. Rule 702 12 13 "contemplates a broad conception of expert qualifications." Thomas v. Newton Int'l Enterprises, 42 F.3d 1266, 1269 (9th Cir. 1994). 14 15 Indeed, "the text of Rule 702 expressly contemplates that an expert 16 may be qualified on the basis of experience." Fed. R. Evid. 702, 17 2000 Adv. Comm. Note. Here, however, Dr. Grischkan's experience is 18 not sufficient to render him an expert in polyester mesh product design. First, Dr. Grischkan could not state whether, of the six 19 20 polyester meshes he removed over the course of several decades, any 21 were PCOx meshes, and there is no indication whether any of those 22 meshes included a collagen barrier of any sort. (Wilson Dec. ISO 23 MTE, Ex. 2 at 56:3,7.) Second, although Dr. Grischkan had some 24 (somewhat vague) prior experience with the design of a mesh 25 product, that experience was over two decades old, and involved a 26 non-polypropylene (and presumably non-polyester) mesh. Third, 27 despite claiming to be "quite knowledgeable in the arena of 28 materials," and notwithstanding his opinion that a non-defective

PCOx design would have included a permanent collagen barrier, Dr. 1 2 Grischkan was not aware of any mesh that included such a barrier, either in 2013 when Plaintiff's mesh was installed or in August 3 2021, when Dr. Grischkan was deposed. (Id. at 61.) Indeed, Dr. 4 Grischkan did not know whether "it's even possible, [] from a 5 material standpoint, to develop a collagen or glycerol barrier that 6 7 can permanently exist without absorbing into the body.") (Id. at 143.) Further, Dr. Grischkan's opinion as to "the nature of 8 9 polypropylene" and its propensity to cause inflammation appears to have been based largely on his experience of having removed 10 polyester meshes.² (Id. at 62.) As discussed above, however, that 11 experience spanned only six instances, the most recent of which was 12 13 at least five to ten years prior to his deposition, and may have been as many as thirty years in the past. (<u>Id.</u> at 63.) 14 This 15 limited experience is insufficient to qualify Dr. Grischkan as an expert in polyester mesh product design. 16

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C. Instructions for Use Opinion

Dr. Grischkan also opined that the instructions for use ("IFU") accompanying the PCOx mesh "fail[] to adequately warn surgeons of the potential for severe chronic pain that would mandate surgical removal of the device." (Wilson Dec. ISO MTE, Ex.

² Dr. Grischkan's opinion that COVx is defectively designed, 24 insofar as it is made of polyester and lacks a permanent barrier, is difficult to square with his testimony that a reasonable surgeon 25 might choose to use PCOx even today, and that polyester meshes should not be pulled off the market, even though he is not aware of 26 any product that uses a permanent barrier and does not know whether, as a question of materials science, such a barrier is 27 feasible. (Wilson Decl. ISO MTE, Ex. 2 at 132, 135.) In other words, Dr. Grischkan appears to opine that it is reasonable to use 28 a product that he believes is defectively designed.

1 at 7.) Covidien argues that Dr. Grischkan lacks the
 2 qualifications to render an expert opinion on IFUs.

During a deposition in other litigation earlier this year, Dr. 3 Grischkan was asked whether a certain warning should be included in 4 5 an IFU for another product. (Wilson Dec. ISO MTE, Ex. 9 at 105.) 6 Dr. Grischkan responded that he could not comment on what should or should not be included, as that is "the manufacturer's 7 responsibility." (Id. at 106:1-3.) In this matter, Dr. Grischkan 8 9 testified that he has not looked at any IFU in fifteen to twenty 10 years. (Id., Ex. 2 at 34:6-7.)

11 Plaintiff argues that Dr. Grischkan's admission in another 12 case that he is not qualified to offer an opinion on what should 13 have been included in an IFU does not disqualify him as an expert here because he "is not opining on what warning should have been 14 15 given to Plaintiff, only that the warnings contained in the PCOx 16 IFU were inadequate." (Opp. to MTE at 19:27-20:1.) This argument 17 has no merit. Dr. Grischkan opined that the IFU here was 18 inadequate because it did not adequately warn of the risk of severe chronic pain. In other words, Dr. Grischkan opined that the PCOx 19 20 IFU should have included a warning regarding the possibility of 21 severe chronic pain. Although Plaintiff argues, as he does with 22 respect to product design, that Dr. Grischkan's "over 30 years of 23 experience" qualifies him to render an expert opinion on IFUs, that 24 experience is limited. As discussed above, Dr. Grischkan has never 25 implanted a PCOx mesh. He has only removed approximately six 26 polyester meshes, and there is no indication that he reviewed any 27 of the IFUs for those products. Indeed, the evidence suggests that 28 he has not, as Dr. Grischkan himself acknowledged that he has not

reviewed an IFU for fifteen or twenty years. This testimony also 1 2 undercuts Plaintiff's argument that Dr. Grischkan has experience with IFUs pertaining to "a host of uncoated and coated 3 polypropylene meshes, ePTFE (Gore-Tex), compressed PTFE, polyester, 4 5 and absorbable meshes . . . " (Id. at 19:18-21.) To the 6 contrary, Dr. Grischkan testified that he has not reviewed an IFU 7 in fifteen to twenty years because he has used the same product for that entire period of time. ((Wilson Dec. ISO MTE, Ex. 2 at 34:6-8 9 The record does not, therefore, establish that Dr. Grischkan 7.) 10 has sufficient experience with IFUs related to a "a host" of mesh 11 products to qualify him as an IFU expert. His IFU opinion is, 12 therefore, inadmissible under Rule 702.

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D. Summary Judgment

14 "A product liability case must be based on substantial evidence establishing both the defect and causation . . . [W]here 15 16 . . . the complexity of the causation issue is beyond common 17 experience, expert testimony is required to establish causation." Stephen v. Ford Motor Co., 134 Cal. App. 4th 1363, 1373 (2005); see 18 19 also Reed v. Stryker Howmedica Osteonics, No. CV1210745MWFSHX, 2014 20 WL 12558120, at *4 (C.D. Cal. Apr. 22, 2014) ("District courts . . 21 . grant summary judgment for defendants[] where the plaintiff has 22 failed to introduce competent expert testimony"). With 23 respect to negligence claims, courts have also concluded that 24 plaintiffs cannot meet their burden to show a violation of the standard of care, let alone causation, without expert proof. 25 See, 26 e.g., Kamerik v. Depuy Orthopaedics, Inc., No. CV1106920MMMMANX, 27 2013 WL 12322041, at *6 (C.D. Cal. Jan. 28, 2013); Sanchez v.

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1 <u>Stryker Corp.</u>, No. 2:10-CV-08832-ODW, 2012 WL 1570569, at *6 (C.D. 2 Cal. May 2, 2012).

Here, for the reasons discussed above, the opinions of Dr.
Grischkan must be excluded. Plaintiff has not put forward any
other expert evidence. Because there is no admissible evidence to
support Plaintiff's strict liability or negligence claims, Covidien
is entitled to summary judgment on all claims.

8 IV. Conclusion

9 Dr. Grischkan is not qualified to render an opinion as to the 10 PCOx product design or IFU. Although his qualifications are not at 11 issue with respect to causation, Dr. Grischkan's opinion is not the 12 product of a properly conducted differential diagnosis, or any 13 other discernible methodology. For these reasons, and for the reasons stated above, Covidien's Motion to Exclude is GRANTED. 14 Ιn 15 the absence of any admissible expert evidence supporting Plaintiff's claims, Covidien's Motion for Summary Judgment is 16 GRANTED. 17

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20 IT IS SO ORDERED.

Dated: November 24, 2021

Keverson

DEAN D. PREGERSON United States District Judge

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