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UNITED STATES DISTRICT COURT
CENTRAL DISTRICT OF CALIFORNIA

TODD MATTHEW BRABBIN,)	Case No. SACV 10-386-OP
Plaintiff,)	
v.)	MEMORANDUM OPINION AND
)	ORDER
MICHAEL J. ASTRUE,)	
Commissioner of Social Security,)	
Defendant.)	

The Court¹ now rules as follows with respect to the eight disputed issues listed in the Joint Stipulation (“JS”).²

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¹ Pursuant to 28 U.S.C. § 636(c), the parties consented to proceed before the United States Magistrate Judge in the current action. (See Dkt. Nos. 7, 19.)

² As the Court advised the parties in its Case Management Order, the decision in this case is being made on the basis of the pleadings, the Administrative Record and the Joint Stipulation filed by the parties. In accordance with Rule 12(c) of the Federal Rules of Civil Procedure, the Court has determined which party is entitled to judgment under the standards set forth in 42 U.S.C. § 405(g).

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I.

DISPUTED ISSUES

As reflected in the Joint Stipulation, the disputed issues which Plaintiff raises as the grounds for reversal and/or remand are as follows:

- (1) Whether the ALJ properly considered Plaintiff’s subjective complaints and credibility;
- (2) Whether the ALJ properly considered the opinions of the examining and treating physicians; and
- (3) Whether the ALJ properly determined that Plaintiff was capable of performing other work.

(JS at 4.)³

II.

STANDARD OF REVIEW

Under 42 U.S.C. § 405(g), this Court reviews the Commissioner’s decision to determine whether the Commissioner’s findings are supported by substantial evidence and whether the proper legal standards were applied. DeLorme v. Sullivan, 924 F.2d 841, 846 (9th Cir. 1991). Substantial evidence means “more than a mere scintilla” but less than a preponderance. Richardson v. Perales, 402 U.S. 389, 401, 91 S. Ct. 1420, 28 L. Ed. 2d 842 (1971); Desrosiers v. Sec’y of Health & Human Servs., 846 F.2d 573, 575-76 (9th Cir. 1988). Substantial evidence is “such relevant evidence as a reasonable mind might accept as adequate to support a conclusion.” Richardson, 402 U.S. at 401 (citation omitted). The Court must review the record as a whole and consider adverse as well as supporting evidence. Green v. Heckler, 803 F.2d 528, 529-30 (9th Cir. 1986). Where evidence is susceptible of more than one rational interpretation, the

³ The disputed issues are addressed in a different order below for clarity of the ultimate disposition.

1 Commissioner’s decision must be upheld. Gallant v. Heckler, 753 F.2d 1450,
2 1452 (9th Cir. 1984).

3 **III.**

4 **DISCUSSION**

5 **A. The ALJ’s Findings.**

6 The ALJ found that Plaintiff has the severe impairments of disorder of back;
7 history of Lyme disease; affective disorder; and anxiety order, not otherwise
8 specified. (AR at 13.)

9 He found Plaintiff had the residual functional capacity (“RFC”) to perform
10 light work with the following limitations: lift twenty pounds occasionally, and ten
11 pounds frequently; stand/walk about four hours in an eight-hour workday; sit for
12 one hour at a time with a break from sitting of one to three minutes each hour; can
13 occasionally climb, balance, stoop, kneel, crouch, and crawl; no ladders, ropes, or
14 scaffolds, or unprotected heights; and no highly stressful jobs such as in an
15 emergency room or with high production levels. (Id. at 16.)

16 Relying on the testimony of the vocational expert (“VE”) to determine the
17 extent to which Plaintiff’s limitations eroded the unskilled light occupational base,
18 the ALJ asked the VE whether jobs exist in the national economy for an individual
19 with Plaintiff’s age, education, work experience, and RFC. (Id. at 23, 42-46.)

20 Based on the testimony of the VE, the ALJ determined Plaintiff could perform the
21 requirements of such light work as Information Clerk, Cashier II, and Assembler.
22 (Id.)

23 **B. Remand Is Warranted Due to the ALJ’s Failure to Properly Consider**
24 **the Opinion of Plaintiff’s Examining Source.**

25 Plaintiff contends that the ALJ erroneously rejected the opinion of his
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1 examining⁴ neuropsychologist, Christopher Ingalls, Ph.D., and his treating
2 internist, Charles Koftan, M.D. (JS at 32-36, 38-41.) In addition, Plaintiff argues
3 that the ALJ erred in assigning controlling weight to state agency physicians,
4 Robin Rhodes Campbell and Kristof Siciarz. (Id. at 36-38) The Court agrees with
5 Plaintiff's contentions.

6 **1. Applicable Law.**

7 In evaluating medical opinions, the case law and regulations distinguish
8 among the opinions of three types of physicians: (1) those who treat the claimant
9 (treating physicians); (2) those who examine but do not treat the claimant
10 (examining physicians); and (3) those who neither examine nor treat the claimant
11 (nonexamining physicians). See 20 C.F.R. §§ 404.1502, 404.1527, 416.902,
12 416.927; see also Lester v. Chater, 81 F.3d 821, 830 (9th Cir. 1995). Generally,
13 the opinions of treating physicians are given greater weight than those of other
14 physicians, because treating physicians are employed to cure and therefore have a
15 greater opportunity to know and observe the claimant. Orn v. Astrue, 495 F.3d
16 625, 631 (9th Cir.2007); Smolen v. Chater, 80 F.3d 1273, 1285 (9th Cir. 1996).
17 The ALJ may only give less weight to a treating physician's opinion that conflicts
18 with the medical evidence if the ALJ provides explicit and legitimate reasons for
19 discounting the opinion. See Lester, 81 F.3d at 830-31; see also Orn, 495 F.3d at
20 632-33; Social Security Ruling ("SSR") 96-2p. Similarly, "the Commissioner
21 must provide 'clear and convincing' reasons for rejecting the uncontradicted
22 opinion of an examining physician." Lester, 81 F.3d at 830 (quoting Pitzer v.
23 Sullivan, 908 F.2d 502, 506 (9th Cir.1990)). Even where an examining
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25 ⁴ The Court refers to Dr. Ingalls as an examining physician, rather than a
26 treating physician, although he examined Plaintiff on three separate occasions. Dr.
27 Ingalls' examinations of Plaintiff were condensed into a single, comprehensive
28 report and, although Dr. Ingalls administered testing and offered diagnoses and
opinions, he did not offer Plaintiff any treatment.

1 physician’s opinion is contradicted by another doctor, the ALJ must still provide
2 specific and legitimate reasons supported by substantial evidence to properly
3 reject it. Id. at 830-31 (citing Andrews v. Shalala, 53 F.3d 1035, 1043 (9th Cir.
4 1995)).

5 **2. Dr. Ingalls.**

6 Here, the ALJ rejected the opinions of Plaintiff’s examining
7 neuropsychologist as follows:

8
9 The claimant was also examined by Christopher W. Ingalls, Ph.D.,
10 a clinical psychologist and neuropsychologist, in March 2009. Dr.
11 Ingalls noted his findings indicated the claimant had significant
12 impairment in sustained and divided attention and psychological
13 functioning and assessed the claimant with a GAF of 50.^{5]} Dr. Ingalls
14 also noted the claimant had severe problems with sustained and divided
15 attention on the CPT-II beyond what would be expected from “simply”
16 attention deficit hyperactivity disorder and opined the claimant’s test
17 pattern appeared to be consistent with attention deficit hyperactivity
18 disorder complicated by a major depressive disorder. However, Dr.
19 Ingalls noted the claimant had a “longstanding history of Attention
20 Deficit Hyperactivity Disorder,” which is not supported by the evidence

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22 ⁵ A GAF score is the clinician’s judgment of the individual’s overall level
23 of functioning. It is rated with respect only to psychological, social, and
24 occupational functioning, without regard to impairments in functioning due to
25 physical or environmental limitations. See American Psychiatric Association,
26 Diagnostic and Statistical Manual of Mental Disorders-IV-TR 32 (Am. Psychiatric
27 Ass’n ed.,4th ed. 2000). A GAF score of 41-50 indicates “[s]erious symptoms
28 (e.g., suicidal ideation, severe obsessional rituals, frequent shoplifting) OR any
serious impairment in social, occupational, or school functioning (e.g., no friends,
unable to keep a job).” Id. at 34.

1 of record. Moreover, Dr. Ingalls made no mention of claimant's use of
2 methamphetamines. Further the record does not indicate whether or not
3 the claimant ever stopped using methamphetamines. Dr. Ingalls appears
4 to have accorded the claimant's subjective complaints and self-reports
5 significant weight without having a complete picture of the claimant's
6 history, i.e. methamphetamine use. Accordingly, his opinion is rendered
7 less persuasive. Dr. Ingalls also opined the claimant shows "some
8 performance deterioration of work or work-like setting, problems with
9 persistence and pace consistent with Social Security guidelines" and
10 remains functionally impaired. However, Dr. Ingalls fails to provide any
11 opinion in terms of the degree of impairment other than making a
12 conclusory opinion that the claimant "would not be self supporting" as
13 a result of his impairments. This opinion is rejected as it is inconsistent
14 with the objective evidence as a whole and appears to rely heavily on
15 information provided by the claimant without having the benefit of
16 reviewing the record as now constituted. Dr. Ingalls' statement that the
17 claimant has a "disability" is not accorded any weight as the
18 determination of disability is a matter reserved to the Commissioner.

19 (AR at 20-21.)

20 First, the ALJ rejected Dr. Ingalls' opinions because he stated in his May
21 21, 2009, Comprehensive Neuropsychological Consultation Report that Plaintiff
22 has a "longstanding history of Attention Deficit Hyperactivity Disorder
23 ["ADHD"]," which, according to the ALJ, was not supported by the record. (AR
24 at 21.) However, the record reflects Plaintiff's diagnosis of ADHD at least as of
25 late 2003, some five or six years before Dr. Ingalls' report. (Id. at 261.) Although
26 Dr. Ingalls does not define his use of "longstanding history," certainly the record
27 supports a finding of a prolonged history of ADHD.
28

1 Next, the ALJ rejected Dr. Ingalls' opinions because he made no mention of
2 Plaintiff's methamphetamine use despite the fact that there was no evidence in the
3 record to suggest that Plaintiff had discontinued his drug use. (Id. at 21.) Again,
4 the ALJ has overlooked contrary evidence in the record. A November 5, 2007,
5 drug screen shows that Plaintiff tested negative for methamphetamine. (Id. at
6 323.) In addition, I. Anneli Hanna, M.D., the psychiatrist who treated Plaintiff at
7 the time his drug use was discovered and followed Plaintiff's progress in this
8 regard (id. at 285, 290-92, 296, 322, 376), noted on January 10, 2008, that Plaintiff
9 had not relapsed with his addiction (id. at 374). Thus, contrary to the ALJ's
10 finding that "the record does not indicate whether or not the claimant ever stopped
11 using methamphetamine" (id. at 21), the record does not indicate that Plaintiff
12 resumed his use of methamphetamine after November 2007.

13 The ALJ also rejected Dr. Ingalls' opinions because he "accorded the
14 claimant's subjective complaints and self-reports significant weight without
15 having a complete picture of the claimant's history, i.e. methamphetamine use."
16 (Id. at 21.) As explained above, there is no evidence in the record to suggest that
17 Plaintiff was using methamphetamine at the time of his examination by Dr. Ingalls
18 in 2009. Although Dr. Ingalls states in his report that Plaintiff "denies any use of
19 alcohol or recreational drugs," he does not indicate that Plaintiff denied any past
20 use of drugs. (Id. at 411.) Ultimately, however, Dr. Ingalls' opinions were based
21 on an extremely exhaustive battery of psychological testing, rather than merely on
22 Plaintiff's "subjective complaints and self-reports." Because there is no evidence
23 to indicate that Plaintiff was using methamphetamine within the year and a half
24 preceding Dr. Ingalls' examinations, there is no reason to believe that the test
25 results reported by Dr. Ingalls were invalidated by Plaintiff's drug use.

26 Next, the ALJ rejects Dr. Ingalls' opinions because he "fails to provide any
27 opinion in terms of the degree of impairment" and makes "a conclusory opinion
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1 that the claimant ‘would not be self supporting.’” (Id. at 21.) Again, the ALJ
2 selectively considers the medical evidence. Dr. Ingalls’ report was far from
3 conclusory. The ten-page report was the result of three separate examinations and
4 some twenty psychological tests. Dr. Ingalls described Plaintiff’s results on the
5 tests and the practical impact of these scores. Finally, Dr. Ingalls provided
6 specific diagnoses and offered an in depth summary, as well as recommendations.
7 (Id. at 411-21.) Significantly, Dr. Ingalls opined that Plaintiff exhibited “[m]arked
8 restriction of activities of daily living” and “[m]arked difficulties in maintaining
9 social functioning,” classifications as to the degree of an impairment quite familiar
10 in the social security context. (Id. at 420.) Moreover, although Dr. Ingalls did not
11 classify each and every impairment in terms of degree, he discussed in detail
12 Plaintiff’s limitations and assessed a GAF score of 50, which in itself offers
13 insight into the degree of Plaintiff’s impairments. (Id. at 418-21.)⁶ Significantly,
14 the specific degree of impairment is an issue reserved to the Commissioner in
15 making a determination of a claimant’s RFC. See SSR 96-5p. Accordingly, any
16 opinions of Dr. Ingalls in this regard would have been ignored. Id. Finally, to the
17 extent that Dr. Ingalls’ report was ambiguous or inadequate to allow for the proper
18 evaluation of the evidence because it lacked findings as to the specific degree of
19 impairment, the ALJ had a duty to further develop the record. See Celaya v.
20 Halter, 332 F.3d 1177, 1183 (9th Cir. 2003) (ALJ has an independent duty to fully
21 and fairly develop a record in order to make a fair determination as to disability,
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24 ⁶ Morgan v. Comm’r of Soc. Sec. Admin., 169 F.3d 595, 600 (9th Cir.
25 1999), as cited by Defendant, is inapposite. There, the Ninth Circuit held that a
26 psychologist’s opinions were properly rejected because they did not show how the
27 claimant’s symptoms translated into specific functional deficits which precluded
28 Plaintiff’s impairments and explicitly discussed Plaintiff’s deficits that would
preclude work activity. (AR at 418-21.)

1 even where the claimant is represented by counsel); Tonapetyan v. Halter, 242
2 F.3d 1144, 1150 (9th Cir. 2001) (citing Smolen, 80 F.3d at 1288) (ambiguous
3 evidence, or the ALJ’s own finding that the record is inadequate to allow for
4 proper evaluation of the evidence, triggers the ALJ’s duty to “conduct an
5 appropriate inquiry”).

6 The ALJ further rejected Dr. Ingalls’ opinions because he appeared “to rely
7 heavily on information provided by the claimant without having the benefit of
8 reviewing the record as now constituted.” (AR at 21.) First, as explained above,
9 Dr. Ingalls’ opinions are the result of extensive psychological testing and do not
10 blindly rely on Plaintiff’s subjective complaints. In addition, the fact that a
11 physician did not have the benefit of each and every portion of the record as
12 constituted at the time of the hearing cannot stand as a legitimate excuse for
13 rejecting the physician’s opinion. A contrary finding would mean that any
14 medical source that examined, or discontinued treatment of, a claimant prior to the
15 hearing before the ALJ could always be rejected for the mere fact that the record
16 had not been complete at the time the source rendered the opinion.

17 In addition, the ALJ rejected Dr. Ingalls’ ultimate conclusion of disability
18 because the determination of disability is a matter reserved to the Commissioner.
19 (Id.) While it is perfectly true that the ultimate determination of disability is
20 reserved to the Commissioner, SSR 96-5p, this is not a legitimate reason for
21 rejecting the balance of Dr. Ingalls’ opinions. See 20 C.F.R. § 404.1527(e)(1) (in
22 making the ultimate determination of disability, the Commissioner will “review all
23 of the medical findings and other evidence that support a medical source’s
24 statement that you are disabled”).

25 Finally, it appears that the ALJ also rejected Dr. Ingalls’ opinions because
26 they were inconsistent with other evidence of record. (AR at 21.) However, this
27 inconsistency is what triggers the ALJ’s duty to provide specific and legitimate
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1 reasons for rejecting the examining source, but it is not a reason in and of itself to
2 reject the medical source. Cf. SSR 96-2p (“[a] finding that a treating source’s
3 medical opinion is not entitled to controlling weight does not mean that the
4 opinion is rejected. It may still be entitled to deference and be adopted by the
5 adjudicator); see Lester, 81 F.3d at 830-31 (same specific and legitimate standard
6 for treating source must be applied to examining source).

7 While this Court makes no finding as to the validity or weight of the Dr.
8 Ingalls’ opinions, the Court finds that the ALJ failed to provide specific and
9 legitimate reasons supported by substantial evidence to reject those opinions. On
10 remand, the ALJ will have an opportunity to address this issue again and should
11 consider these issues in determining the merits of Plaintiff’s case.

12 **3. Dr. Koftan.**

13 Here, the ALJ discussed the opinions of Plaintiff’s treating internist as
14 follows:
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16 The claimant’s treating physician Charles Koftan, M.D., also
17 provided an opinion with regard to the claimant’s functional abilities.
18 Dr. Koftan first saw the claimant on March 2, 2009 at which time the
19 claimant’s chief complaints were chronic fatigue and brain fog.
20 However, Dr. Koftan noted no abnormalities from his physical
21 examination. The next treating record from Dr. Koftan is dated March
22 31, 2009 and although the claimant reported feeling “30% of normal
23 functioning” Dr. Koftan noted Stratera was helping with function and
24 concentration and the claimant’s energy was better. On June 22, 2009,
25 Dr. Koftan completed a “Physical Residual Functional Capacity
26 Questionnaire” wherein he stated he sees the claimant every one to three
27 months, but first saw the claimant on March 2, 2009. However, Dr.
28 Koftan opined the claimant’s limitations have applied since June 2006,

1 nearly three years before he ever saw the claimant but conveniently the
2 month prior to the claimant's alleged onset date. Dr. Koftan's
3 backdating without any basis renders his opinion less persuasive and
4 suggests Dr. Koftan may be acting as an advocate with sympathy for the
5 claimant rather than providing an opinion based on objective evidence.
6 Dr. Koftan opined the claimant's limitations included: could sit and
7 stand/walk for less than 2 hours of an 8 hour day; could frequently lift
8 and carry 10 pounds, occasionally 20 pounds; could occasionally
9 perform neck motions; could occasionally twist, stoop (bend),
10 crouch/squat; climb ladders; and climb stairs. However, these
11 limitations appear to have been based on the claimant's subjective
12 complaints as Dr. Koftan failed to identify any clinical findings and
13 objective signs in support of his opinion other than stating the claimant's
14 examinations were within normal limits. Indeed, Dr. Koftan's
15 limitations to the extent more restrictive than found herein are
16 unsupported by any objective evidence. Dr. Koftan opined the claimant
17 was limited to using his hands for grasping, turning and twisting as well
18 as his fingers for fine manipulation only 10% with reaching only 25%
19 of an 8 hour day. However, his physical examination noted no
20 abnormalities. Further, at the time of the consultative examination, the
21 claimant did not exhibit any significant weakness with grip strength.
22 The degree of limitations opined by Dr. Koftan is inconsistent with the
23 objective evidence.

24 According to the California Board of Medicine, Dr. Koftan is an
25 internist. Yet, has opined the claimant has significant mental
26 impairments and "remains functionally impaired" despite treatment. Dr.
27 Koftan did not have the benefit of reviewing the other medical reports
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1 contained in the current record. Moreover, Dr. Koftan's opinion in this
2 regard appears to rest at least in part on an assessment of impairments
3 outside his area of expertise. While the doctor does have a treating
4 relationship with the claimant, the treatment history is quite brief and it
5 appears Dr. Koftan is not aware of the claimant's methamphetamine use,
6 which could account for his alleged symptoms. Finally, the possibility
7 always exists that a doctor may express an opinion in an effort to assist
8 a patient with whom he or she sympathizes for one reason or another.
9 Another reality which should be mentioned is that patients can be quite
10 insistent and demanding in seeking supportive notes or reports from
11 their physicians, who might provide such a note in order to satisfy their
12 patients' requests and avoid unnecessary doctor/patient tension. While
13 it is difficult to confirm the presence of such motives, they are more
14 likely in situations where the opinion in question departs substantially
15 from the rest of the evidence of record, as in the current case. Further,
16 the record contains evidence that the claimant has "screamed" at a
17 previous treating physician for refusing to sign disability papers for him
18 For all these reasons, I accord little weight to, and to the extent
19 inconsistent with the findings herein rejected, Dr. Koftan's opinion.

20 (AR at 18-19 (citations omitted).)

21 The ALJ provided specific and legitimate reasons for affording Dr. Koftan's
22 opinions less weight. First, the ALJ suggests that many of Dr. Koftan's opinions
23 were based solely on Plaintiff's subjective complaints, as they were not supported
24 by any clinical findings or objective signs. See Morgan, 169 F.3d at 602 (an ALJ
25 may properly reject the findings of a treating physician premised largely on the
26 subjective complaints of the Plaintiff when those complaints have been "properly
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1 discounted” by the ALJ);⁷ Fair v. Bowen, 885 F.2d 597, 605 (9th Cir. 1989). The
2 record supports this reasoning, particularly with respect to Dr. Koftan’s
3 assessment of hand and finger impairments in the absence of abnormalities upon
4 physical examination. (AR at 446-48, 450-51.)

5 In addition, the ALJ gave less weight to Dr. Koftan because he offered an
6 opinion on medical areas outside his area of expertise. See Smolen, 80 F.3d at
7 1285 (citing 20 C.F.R. §§ 404.1527(d)(5), 416.9127(d)(5)). It is clear from the
8 record that Dr. Koftan offered conclusions about Plaintiff’s mental impairment
9 despite his specialization in internal medicine. (AR at 446, 448-49, 451.)

10 The foregoing reasons constitute specific and legitimate reasons, supported
11 by the record, for rejecting Dr. Koftan’s opinions.⁸ However, because this action
12 must be remanded for the consideration of Dr. Ingalls’ opinions, on remand the
13 ALJ should reconsider the opinions of Dr. Koftan, taking into consideration the
14 medical record as a whole.

15 **4. State Agency Physicians.**

16 The ALJ gave great weight to state agency examining internist Kristof
17 Siciarz, M.D., and examining psychologist Robin Rhodes-Campbell, Ph.D., who
18 both reported essentially normal findings. (Id. at 368-71, 382-88.) As this action
19 must be remanded for further consideration of Dr. Ingalls’ conclusions, the ALJ is
20 further directed to reconsider the weight to be afforded the opinions of the state
21 agency examining physicians in light of the entirety of the medical record.
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25 ⁷ As discussed below, the Court finds that the ALJ properly rejected
26 Plaintiff’s subjective complaints of impairment.

27 ⁸ The Court does not express an opinion as to the remaining reasons offered
28 by the ALJ for rejecting Dr. Koftan’s conclusions, as the reasons cited above are
sufficient to sustain the ALJ’s decision.

1 **C. The ALJ Properly Considered Plaintiff’s Subjective Complaints and**
2 **Properly Assessed Plaintiff’s Credibility.**

3 Plaintiff contends that the ALJ failed to provide specific and legitimate
4 reasons for rejecting his subjective complaints of impairment. (JS at 4-24.)⁹ The
5 Court disagrees with Plaintiff’s contention.

6 **1. Applicable Law.**

7 An ALJ’s assessment of pain severity and claimant credibility is entitled to
8 “great weight.” Weetman v. Sullivan, 877 F.2d 20, 22 (9th Cir. 1989); Nyman v.
9 Heckler, 779 F.2d 528, 531 (9th Cir. 1986). When, as here, an ALJ’s disbelief of a
10 claimant’s testimony is a critical factor in a decision to deny benefits, the ALJ
11 must make explicit credibility findings. Rashad v. Sullivan, 903 F.2d 1229, 1231
12 (9th Cir. 1990); Lewin v. Schweiker, 654 F.2d 631, 635 (9th Cir. 1981); see also
13 Albalos v. Sullivan, 907 F.2d 871, 874 (9th Cir. 1990) (an implicit finding that
14 claimant was not credible is insufficient).

15 Under the “Cotton test,” where the claimant has produced objective medical
16 evidence of an impairment which could reasonably be expected to produce some
17 degree of pain and/or other symptoms, and the record is devoid of any affirmative
18 evidence of malingering, the ALJ may reject the claimant’s testimony regarding
19 the severity of the claimant’s pain and/or other symptoms only if the ALJ makes
20 specific findings stating clear and convincing reasons for doing so. See Cotton v.
21 Bowen, 799 F.2d 1403, 1407 (9th Cir. 1986); see also Smolen, 80 F.3d at 1281;
22 Dodrill v. Shalala, 12 F.3d 915, 918 (9th Cir. 1993); Bunnell v. Sullivan, 947 F.2d
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24
25 ⁹ Within this claim, Plaintiff also argues that the ALJ failed to fully
26 consider the effect of Plaintiff’s Attention Deficit Disorder (JS at 4-7), and erred in
27 finding that Plaintiff did not meet or equal a listed impairment (id. at 7-12). On
28 remand, the Court directs the ALJ to reconsider Plaintiff’s allegations with respect
to these issues in light of all the medical evidence of record.

1 341, 343 (9th Cir. 1991).

2 To determine whether a claimant’s testimony regarding the severity of his
3 symptoms is credible, the ALJ may consider the following evidence: (1) ordinary
4 techniques of credibility evaluation, such as the claimant’s reputation for lying,
5 prior inconsistent statements concerning the symptoms, and other testimony by the
6 claimant that appears less than candid; (2) unexplained or inadequately explained
7 failure to seek treatment or to follow a prescribed course of treatment; (3) the
8 claimant’s daily activities; and (4) testimony from physicians and third parties
9 concerning the nature, severity, and effect of the claimant’s symptoms. Thomas v.
10 Barnhart, 278 F.3d 947, 958-59 (9th Cir. 2002); see also Smolen, 80 F.3d at 1284.
11 SSR 96-7p further provides that an individual may be less credible for failing to
12 follow prescribed treatment without cause. SSR 96-7p.

13 “[A]ffirmative evidence suggesting” that a claimant is malingering vitiates
14 the applicability of a clear and convincing standard of review. Smolen, 80 F.3d at
15 1283-84; see also Schow v. Astrue, 272 Fed. Appx. 647, 651, 654-55 (9th Cir.
16 2008).

17 **2. Analysis.**

18 The Court must begin its analysis with the ALJ’s reference to evidence of
19 Plaintiff’s malingering. In this regard, the ALJ cited the April 19, 2008,
20 psychological report of Dr. Rhodes-Campbell for the ALJ’s conclusion that “the
21 claimant’s responses during psychological/psychiatric testing resulted in an
22 invalid profile, raising the possibility that the claimant was not putting forth
23 maximal effort or was not fully cooperating.” (AR at 22.) In fact, in her report,
24 Dr. Rhodes-Campbell explained that Plaintiff’s score on the Rey 15 Item Memory
25 Test were indicative of malingering (id. at 386, 387), and that overall his effort
26 during the examination was suboptimal (id. at 384, 385, 386-87). In light of the
27 affirmative evidence suggesting malingering, and the ALJ’s explicit reliance
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1 thereon, the ALJ was not required to provide clear and convincing reasons for
2 rejecting Plaintiff's credibility. Smolen, 80 F.3d at 1283-84.

3 Nevertheless, even under the clear and convincing standard, the ALJ
4 properly rejected Plaintiff's credibility. First, the ALJ noted that Plaintiff
5 complained of significant limitations due to his back impairment. However, as the
6 ALJ concludes (AR at 17), Plaintiff received little if any treatment for his back
7 impairment. See Tidwell v. Apfel, 161 F.3d 599, 602 (9th Cir. 1998) (ALJ may
8 properly rely on weak objective support, lack of treatment, daily activities
9 inconsistent with total disability, and helpful medication); Johnson v. Shalala, 60
10 F.3d 1428, 1432 (9th Cir. 1995) (ALJ may properly rely on the fact that only
11 conservative treatment had been prescribed). In addition, the ALJ cited Plaintiff's
12 ability to perform activities that are inconsistent with his subjective complaints of
13 severe impairments. (AR at 21, 22.) The record supports such a finding. Plaintiff
14 testified that he can spend hours at a time using a computer despite subjective
15 complaints of extreme fatigue and lack of concentration. (Id. at 41.) In addition,
16 multiple portions of the record reflect that Plaintiff has episodes of significant
17 activity despite his assertions that he suffers from debilitating fatigue. (Id. at 22,
18 31, 41, 192, 193.) See Morgan, 169 F.3d at 600 (ALJ may properly rely on
19 plaintiff's daily activities inconsistent with total disability); Tidwell, 161 F.3d at
20 602 (same); Orteza v. Shalala, 50 F.3d 748, 750 (9th Cir. 1995) (same).

21 Based on the foregoing, the Court finds that the ALJ provided clear and
22 convincing reasons, supported by substantial evidence, for rejecting Plaintiff's
23 subjective symptoms and discounting his credibility.¹⁰

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26 ¹⁰ The ALJ also appeared to reject Plaintiff's credibility in large part due to
27 the unsupported belief that Plaintiff continues to abuse methamphetamine. While
28 it is possible that Plaintiff continues to engage in the use of illicit drugs, there is no
(continued...)

1 **D. Plaintiff's Ability to Perform Other Work Activity.**

2 Finally, Plaintiff challenges the ALJ's conclusion that he retains the RFC to
3 perform work that exists in significant numbers in the national economy. (JS at
4 44-46.)

5 Based on the medical evidence and the weight assessed thereto in the
6 opinions, the ALJ assessed Plaintiff's RFC as follows:

7
8 After careful consideration of the entire record, I find that the
9 claimant has the residual functional capacity to perform light work as
10 defined in 20 CFR 404.1567(b) and 416.967(b) except the claimant can
11 lift 20 pounds occasionally, 10 pounds frequently; can stand and walk
12 4 hours and sit for 6 hours in an 8 hour day; can sit for 1 hour at a time
13 and must have a break from sitting, 1 to 3 minutes each hour; can
14 occasionally climb, balance, stoop, kneel, crouch, and crawl; no ladders,
15 ropes or scaffolds or unprotected heights; no highly stressful jobs such
16 as in an emergency room or high production levels.

17 (AR at 16.)

18 In light of this RFC assessment, and with the support of testimony from a
19 VE, the ALJ concluded that Plaintiff could not perform his past relevant work as a
20 registered nurse, but could perform other work as an information clerk, cashier,
21

22 ¹⁰(...continued)

23 support for such a finding in the record. To the contrary, the record supports a
24 finding that Plaintiff stopped using methamphetamine at the end of 2007 and has
25 not resumed such use. (AR at 323, 374.) However, because the ALJ cited other,
26 legitimate reasons for rejecting Plaintiff's subjective complaints, the credibility
27 determination must be upheld. See Carmickle v. Comm'r, Soc. Sec. Admin., 533
28 F.3d 1155, 1162, 1163 (9th Cir. 2008) (an error by the ALJ with respect to one or
more factors in a credibility determination may be harmless if there "remains
substantial evidence supporting the ALJ's conclusions" in that regard).

1 and assembler of small parts. (Id. at 22-23, 42-44.)


2 The ALJ assessed Plaintiff's RFC based on the medical evidence and the
3 weight he assigned to the opinions of each medical source. Significantly, the
4 Court has determined that this action must be remanded for the ALJ to reconsider
5 the weight to be afforded those medical sources. Accordingly, on remand, the ALJ
6 is directed to reconsider Plaintiff's RFC in light of all the evidence of record and
7 the appropriate weight afforded thereto.

8 **IV.**

9 **ORDER**

10 Pursuant to sentence four of 42 U.S.C. § 405(g), IT IS HEREBY
11 ORDERED THAT Judgment be entered reversing the decision of the
12 Commissioner of Social Security, and remanding this matter for further
13 administrative proceedings consistent with this Memorandum Opinion.

14 DATED: February 16, 2011

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16 _____
17 HONORABLE OSWALD PARADA
18 United States Magistrate Judge
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