1	BRYAN CAVE LLP	-
2	Jonathan Pink, California Bar No. 179685 3161 Michelson Drive, Suite 1500)
3	Irvine, California 92612-4414 Telephone: (949) 223-7000	
4	Facsimile: (949) 223-7100 E-mail: jonathan.pink@bryancave.co	<u>om</u>
5	BRYAN CAVE LLP	
6	Kara E. F. Cenar, (<i>Pro Hac Vice</i>) Mariangela M. Seale, (<i>Pro Hac Vice</i>) 161 North Clark Street, Suite 4300	
7	Chicago, II. 60601-3315	
8	Telephone: (312) 602-5000 Facsimile: (312) 602-5050	
9	E-mail: <u>kara.cenar@bryancave.com</u> <u>merili.seale@bryancave.com</u>	<u>1</u>
10	Attorneys for Defendants	ON: ALL AN PINEDA: and JAIME
11	GOMEZ, all individually and collectively	ON; ALLAN PINEDA; and JAIME y as THE BLACK EYED PEAS; UBLISHING; CHERRY RIVER MUSIC ING, LLC; JEEPNEY MUSIC, INC.;
12	CO.; HEADPHONE JUNKIE PUBLISH EMI APRIL MUSIC, INC.	ING, LLC; JEEPNEY MUSIC, INC.;
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15 16 17 18	BRYAN PRINGLE, an individual, Plaintiff, v. WILLIAM ADAMS, JR.; STACY FERGUSON; ALLAN PINEDA; and JAIME GOMEZ, all individually and	Case No. SACV10-1656 JST (RZx) Hon. Josephine Staton Tucker Courtroom 10A DEFENDANTS' OPPOSITION TO MOTION FOR PRELIMINARY INJUNCTION
15 16 17 18 19	BRYAN PRINGLE, an individual, Plaintiff, v. WILLIAM ADAMS, JR.; STACY FERGUSON; ALLAN PINEDA; and JAIME GOMEZ, all individually and collectively as the music group the Black Eyed Peas; DAVID GUETTA;	Case No. SACV10-1656 JST (RZx) Hon. Josephine Staton Tucker Courtroom 10A DEFENDANTS' OPPOSITION TO MOTION FOR PRELIMINARY INJUNCTION [Filed Concurrently with Declaration of Kara Cenar and Declaration of Jonathan
15 16 17 18 19 20	BRYAN PRINGLE, an individual, Plaintiff, v. WILLIAM ADAMS, JR.; STACY FERGUSON; ALLAN PINEDA; and JAIME GOMEZ, all individually and collectively as the music group the Black Eyed Peas; DAVID GUETTA; FREDERIC RIESTERER; UMG RECORDINGS, INC.; INTERSCOPE	Case No. SACV10-1656 JST (RZx) Hon. Josephine Staton Tucker Courtroom 10A DEFENDANTS' OPPOSITION TO MOTION FOR PRELIMINARY INJUNCTION [Filed Concurrently with Declaration of Kara Cenar and Declaration of Jonathan S. Pink]
15 16 17 18 19 20 21	BRYAN PRINGLE, an individual, Plaintiff, V. WILLIAM ADAMS, JR.; STACY FERGUSON; ALLAN PINEDA; and JAIME GOMEZ, all individually and collectively as the music group the Black Eyed Peas; DAVID GUETTA; FREDERIC RIESTERER; UMG RECORDINGS, INC.; INTERSCOPE RECORDS; EMI APRIL MUSIC, INC.: HEADPHONE JUNKIE	Case No. SACV10-1656 JST (RZx) Hon. Josephine Staton Tucker Courtroom 10A DEFENDANTS' OPPOSITION TO MOTION FOR PRELIMINARY INJUNCTION [Filed Concurrently with Declaration of Kara Cenar and Declaration of Jonathan S. Pink] Date: January 31, 2011 Time: 10:00 a.m.
15 16 17 18 19 20 21 22	BRYAN PRINGLE, an individual, Plaintiff, v. WILLIAM ADAMS, JR.; STACY FERGUSON; ALLAN PINEDA; and JAIME GOMEZ, all individually and collectively as the music group the Black Eyed Peas; DAVID GUETTA; FREDERIC RIESTERER; UMG RECORDINGS, INC.; INTERSCOPE RECORDS; EMI APRIL MUSIC, INC.; HEADPHONE JUNKIE PUBLISHING, LLC; WILL.I.AM. MUSIC, LLC; JEEPNEY MUSIC,	Case No. SACV10-1656 JST (RZx) Hon. Josephine Staton Tucker Courtroom 10A DEFENDANTS' OPPOSITION TO MOTION FOR PRELIMINARY INJUNCTION [Filed Concurrently with Declaration of Kara Cenar and Declaration of Jonathan S. Pink] Date: January 31, 2011 Time: 10:00 a.m. Dept.: 10A
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BRYAN CAVE LLP 3161 MICHELSON DRIVE, SUITE 1500 IRVINE, CALIFORNIA 92612-4414

TABLE OF CONTENTS

1					TABLE OF CONTENTS	
2					<u>Page</u>	
3	I.	INTR	RODUCTION 1			
4	II.	LEGA	GAL ARGUMENT			
5		A.	Pring	le is N	ot Entitled to a Preliminary Injunction3	
6		B.	Pring	le Can	not Establish Success on the Merits4	
7			1.	Pring the W	le Cannot Establish a Valid Copyright Registration for Vorks at Issue4	
8 9			2.	Even Estab Defe	Assuming a Valid Registration, Plaintiff Cannot blish Ownership of a Valid Copyright or that the hodants Factually Copied Any Element of Either Works 6	
1011				a.	Plaintiff is Not Entitled to Presumption of Copyright Validity	
12				b.	Plaintiff Has Not and Cannot Establish Ownership of a Valid Copyright	
131415				c.	Plaintiff Has Not and Cannot Establish that Defendants Factually Copied Any Element of the Works at Issue	
15		C.	Plain	tiff Ca	nnot Establish Irreparable Harm16	
16 17			D.	The l Deny	Relative Hardship to Each Party Strongly Favors ring Plaintiff's Request for a Preliminary Injunction 18	
18	III.	PLAI THE	NTIFI REQU	F SHO JIREM	OULD NOT BE PERMITTED TO DEVIATE FROM MENT OF A BOND AS SET FORTH IN RULE 65(C) 20	
19 20	IV.	THE INAI	DECL DMISS	ARAT	ΓΙΟΝS OF PLAINTIFF'S EXPERTS ARE UNDER THE FEDERAL RULES OF EVIDENCE 22	
21	V.	CON	CLUS	ION		
22						
23						
24						
25						
26						
27						
28						

TABLE OF AUTHORITIES

1	
2	<u>Page</u>
3	Cases
4	A&M Records, Inc. v. Napster, Inc., 239 F.3d 1004 (9th Cir. 2001)21
56	American Trucking Associations, Inc. v. City of Los Angeles, 559 F.3d 1046 (9th Cir. 2009)
7	Apple Computer, Inc. v. Microsoft Corp., 35 F.3d 1435 (9th Cir. 1994)26
8	Builder's World, Inc. v. Marvin Lumber & Cedar, Inc., 482 F. Supp. 2d 1065 (E.D. Wis. 2007)21
10 11	Burkhart v. Washington Metro. Area Transit Auth., 112 F.3d 1207 (D.C. Cir. 1997)24, 26
12	Castle Rock Entertainment, Inc. v. Carol Pub. Group, Inc., 150 F.3d 132 (2d Cir. 1998)
13	CFM Communications, LLC v. Mitts Telecasting Co., 424 F. Supp. 2d 1229 (E.D. Cal. 2005)24
14 15	ConFold Pacific, Inc. v. Polaris Industries, Inc., 433 F.3d 952 (7th Cir. 2006)14
16 17	Continuum Co. v. Incepts, Inc., 873 F.2d 801 (5th Cir. 1989)22
18	Cosmetic Ideas, Inc v. IAC/InteractiveCorp, 606 F.3d 612 (9th Cir. 2010)
19 20	CyberMedia, Inc. v. Symantec Corp., 19 F. Supp. 2d 1070 (N.D. Cal. 1998)21
21	eBay Inv. v. MercExhange, L.L.C., 547 U.S. 388 (2006)16
22 23	Feist Publications, Inc. v. Rural Telephone Service Co., Inc., 499 U.S. 340 (1991)6
2 <i>3</i> 24	Gucci Timepieces America Inc. v. Yidah Watch Co., 1998 WL 650078 (C.D. Cal. August 4, 1998)7
25 26	Hologic, Inc. v. Senorx, Inc., 2008 WL 1860035 (N.D. Cal. April 25, 2008)16
26 27	Jason v. Fonda, 698 F.2d 966 (9th Cir. 1982)12
28	Kodadek v. MTV Networks, Inc., 152 F.3d 1209 (9th Cir. 1998)5

- 1	
1	Lamps Plus, Inc. v. Seattle Lighting Fixture Co., 345 F.3d 1140 (9th Cir. 2003) (citation omitted)
2 3	Los Angeles Memorial Coliseum Comm'n v. National Football League, 634 F.2d 1211 (9th Cir. 1980)19
4	<i>Maiz v. Virani</i> , 253 F.3d 641 (11th Cir. 2001)
5 6	Mattel, Inc. v. MGA Entertainment, Inc., 616 F.3d 904 (9th Cir. 2010)
7	<i>Mead Johnson & Co. v. Abbott Labs.</i> , 201 F.3d 883 (7th Cir. 2000)21
8	Metro-Goldwyn-Mayer Studios, Inc. v. Grokster, Ltd., 518 F. Supp. 2d 1197 (C.D. Cal. 2007)
10	Metro-Media Broadcasting Corp. v. MGM/UA Entertainment Co., 611 F. Supp. 415 (C.D. Cal. 1985)17, 18
1112	Morgan v. Hawthorne Homes, Inc., 2009 WL 1010476 (W.D. Pa. April 14, 2009)7
13	Oakland Tribune, Inc. v. Chronicle Publ. Co., 762 F.2d 1374 (9th Cir. 1985)17, 18
1415	Reader's Digest Ass'n v. Conservative Digest, Inc., 821 F.2d 800 (D.C. Cir. 1987)10
16	Religious Technology Center v. Netcom On-Line Communication Services, Inc., 923 F. Supp. 1231 (N.D. Cal. 1995)21
17 18	Sampson v. Murray, 415 U.S. 61, 94 S.Ct. 937 (1974)
19	Selle v. Gibb, 741 F.2d 896 (7th Cir. 1984)25
2021	Sheldon v. Metro-Goldwyn Pictures, 81 F.2d 49 (2d Cir. 1936)13
22	Sid and Marty Krofft Television Productions, Inc. v. McDonald's Corp., 562 F.2d 1157 (9th Cir. 1977)
2324	Swirsky v. Carey, 376 F.3d 841 (9th Cir. 2004)
25	Tisi v. Patrick, 97 F. Supp. 2d 539 (S.D.N.Y. 2000)
2627	Trevino v. Gates, 99 F.3d 911 (9th Cir. 1996)
28	U.S. v. Sinclair,

1	74 F.3d 753 (7th Cir. 1996)24, 26
2	Ward v. National Geographic Society, 208 F. Supp. 2d 429 (S.D.N.Y. 2002)7
3	Statutes
4	17 U.S.C. § 114(a)
5	17 U.S.C. § 115
6	17 U.S.C. § 201(a)
7	17 U.S.C. § 201(d)(1)
8	17 U.S.C. § 410(c)
9	17 U.S.C. § 411(a)
10	17 U.S.C. §114(b)
11	17 U.S.C. §4089
12	17 U.S.C. §411
13	Other Authorities
14	Melville B. Nimmer & David Nimmer, Nimmer on Copyright § 13.01[B] (2011) 10,
15	11
16	Rules
17	Fed.R.Civ.Proc. 65(c)
18	
19	
20	
21	
22	
23	
24	
25	
26	
27	
28	

MEMORANDUM OF POINTS AND AUTHORITIES

I. INTRODUCTION

The first time he sought injunctive relief, Plaintiff Bryan Pringle ("Plaintiff" or "Pringle") delayed more than 18 months before filing an ex parte application for a Temporary Restraining Order ("TRO"). That was three days before Thanksgiving, on the cusp of the holiday shopping season. Plaintiff's goal was to enjoin the distribution, sale, use and performance of The Black Eyed Peas' hit song, "I Gotta Feeling."

However, it was never clear why, after the passage of 18 months, Plaintiff suddenly felt the need for emergency relief. This Court did not understand it either. In an order dated November 24, 2010, it denied Plaintiff's TRO, reasoning Plaintiff admitted "I Gotta Feeling" was released in 2009 and had been widely performed, sold, and marketed ever since. Pink Decl., ¶3, Exhibit 1. "Plaintiff has not shown why he would suffer irreparable harm if a motion for injunctive relief were heard according to a regularly-noticed motion." *Id*.

Although not expressly stated, this Court left open the possibility that Plaintiff could refile this motion. Given the apparent emergency Plaintiff felt in November (after 18 months of relative calm), one would have expected a fast turn around. But Plaintiff did not refile his motion that same week. He did not refile it the following week either. Or the week after that. Or the week after that. Plaintiff waited *six* weeks before filing this motion.

In light of this curious delay, either the relief sought is not time-critical (and thus there is no danger of irreparable harm in denying the motion); or Plaintiff needed more time to improve his motion; or the relief sought has always been without merit, and Plaintiff's timing has always been calculated to disrupt Defendants' sale, use or performance of "I Gotta Feeling."

Plaintiff's motion has not improved with age.

In fact, Plaintiff's motion has not changed very much from six weeks ago. Plaintiff again fails to establish the elements necessary to grant injunctive relief. He again fails to state under oath that he will be irreparably harmed, and again fails to explain why – six weeks later – time is of the essence. As with his TRO, Plaintiff also again fails to establish a likelihood of success on the merits, or a tipping of the harms in his favor. Indeed very serious questions of fact continue to plague and pose a significant challenge to Plaintiff's claim of infringement. Among these are:

- Defendants' expert has concluded unequivocally that it would have been technologically *impossible* for The Black Eyed Peas to have copied Plaintiff's sound recording. Defendants' work contains a "clean" guitar sequence, free of the other musical elements heard in Plaintiff's recording. Even Plaintiff's expert admits that these musical elements could not have been "scrubbed" from Plaintiff's recording to produce that "clean" guitar sequence;
- Plaintiff is unable to establish a valid copyright registration for the musical composition or the sound recording at issue in this case;
- Plaintiff is unable to establish copyright ownership in the musical composition or sound recording at issue, or Defendants' copying thereof; and
- The purported "date of creation" of Plaintiff's 1999 sound recording, as determined by Plaintiff's then-expert and under the penalty of perjury with Plaintiff's TRO papers has been "withdrawn"; Plaintiff has: (a) discarded that expert; and (b) discarded that creation date in a favor of new, more convenient "date of creation."

Plaintiff's assertions of "innocent mistake" by the computer expert are not credible. Pringle's original declaration, at paragraph 5 gave testimony under oath about this now "mistaken date." Moreover, in July 2009, Plaintiff submitted purported computer discs that he contended memorialized this claim. Defense counsel, after consultation with a computer forensic expert, was concerned enough over the questionable dating of the computer files, that it gave Plaintiff's counsel express written notice of this concern and made an express request for preservation of the entire computer files of Mr. Pringle, before Mr. Pringle had any opportunity to "make innocent mistakes." The that, after receiving this notice, Plaintiff's

Any one of the foregoing makes Plaintiff's likelihood of success unlikely.

Nonetheless, for the second time in two months, Plaintiff has asked this Court for extraordinary, equitable relief. For the second time in two months, this Court should deny that request.²

II. LEGAL ARGUMENT

A. Pringle is Not Entitled to a Preliminary Injunction

Under Ninth Circuit authority, a plaintiff needs to prove four factors to establish entitlement to preliminary injunctive relief; merely demonstrating a possibility of irreparable harm does not suffice. *See, American Trucking Associations, Inc. v. City of Los Angeles*, 559 F.3d 1046, 1052 (9th Cir. 2009).

Here, Plaintiff's motion relies on earlier cases that have suggested a lesser standard. (MPI, page 12, line 26 through page 13, line 14.) Those standards are no longer the law. Under *American Trucking*, "[a] plaintiff seeking a preliminary injunction must establish that he is likely to succeed on the merits, that he is likely to suffer irreparable harm in the absence of preliminary relief, that the balance of equities tips in his favor, and that an injunction is in the public interest. To the extent that our cases have suggested a lesser standard, *they are no longer controlling, or even viable*." *America Trucking, supra*, 559 F.3d at 1052 (emphasis added).

Plaintiff has not and cannot make the required showing under the *American Trucking* standard. Therefore, Plaintiff's motion must be denied.

B. Pringle Cannot Establish Success on the Merits

counsel failed to check the veracity of Pringle's computer files before filing the Complaint or moving for a TRO is very concerning. Cenar Decl.¶1, Ex. 1.

² In light of the fact that Plaintiff had provided no supporting evidence for much of the foregoing, Defendants requested that he produce limited discovery targeted at key issues he would have to prove in order to prevail on this motion. His lawyers made it abundantly clear that *no* discovery would be provided before the hearing on Plaintiff's motion. Cenar Decl., $\P \ 2$, 3, Exs. 2, 3.

1. Pringle Cannot Establish a Valid Copyright Registration for the Works at Issue

Two works are at issue in this lawsuit. One is the 1999 sound recording in Plaintiff's dance version of "Take a Dive" (the "Dance Version"). The other is the musical composition in the Dance Version. Plaintiff is required to have a valid copyright registration for both works. As Defendants explained in their Reply in support of their recently filed Motion to Strike, Plaintiff lacks a valid copyright registration in these works.

The Court will have considered these issues in connection with the standards for pleading (see DKT 52, Motion to Dismiss). The issues are equally significant, if not more so, at the proof stage. It is well established that 17 U.S.C. § 411(a) provides a plaintiff must register the work allegedly infringed prior to instituting an action for copyright infringement. *Cosmetic Ideas, Inc v. IAC/InteractiveCorp*, 606 F.3d 612, 615 (9th Cir. 2010) (holding "[s]ection 411(a)'s registration requirement is a pre-condition to filing a claim") (citation omitted).

Here, Plaintiff sought and received a copyright registration in the Dance Version on November 15, 2010. Plaintiff expressly *excluded* from that registration the "music and lyrics," *i.e.* the *musical composition* in the Dance Version. (See Pringle Decl., Exhibit D.) As such, the 2010 registration does <u>not</u> cover the musical composition. Therefore, under 17 U.S.C. § 411, Plaintiff may not institute an action for infringement of that work.

The 2010 registration also does not cover the 1999 sound recording of the Dance Version. That registration applies – at most – to Plaintiff's 2010 sound recording of the Dance Version. The 1999 and 2010 sound recordings are not the same. Plaintiff admits this. First, he alleges the "recording" is actually an NRG

³ Plaintiff alleges that the Dance Version is a 1999 derivative work of his 1998 work, entitled "Dive" on Plaintiff's 1998 Copyright Registration for that underlying work. (FAC, ¶ 27-29.)

Image File that contains "individual component directories, instruments, and
sequences" which, when processed through "an Ensoniq ASR-10 synthesizer,"
accesses the stored data and plays sounds. 4 (See MPI, page 5, lines 1-8.) Secondly,
Plaintiff admits that the copy he submitted the United States Copyright office was
created in 2010. (Opp. to Motion to Dismiss, page 4, lines 19-22.) He states he
made this new recording from "a saved [NRG] computer file" and submitted that
recording "for purposes of fulfilling § 408(b) of the Copyright Act." (Id.)

That new recording from Plaintiff's NRG file is a sound recording from 2010, not 1999. A sound recording from 2010 does not suffice for purposes of registering the copyright in the 1999 sound recording under 17 U.S.C. § 411. See *Kodadek v. MTV Networks, Inc.*, 152 F.3d 1209, 1211 (9th Cir. 1998) (drawings made in 1993 from memory of drawings originally made in 1991 did not satisfy deposit requirement because they were not made by "directly referring to the originals," nor were they "bona fide copies of the originals"); *Cosmetic Ideas, supra,* 606 F.3d at 621 (registration is not complete without a submission of bona fide copies of the work; a reconstruction of the same will not suffice).

Based on the foregoing, neither of the works that Plaintiff claims were infringed is subject to Plaintiff's 2010 copyright registration. Therefore, he holds no registration that covers the works at issue in this lawsuit, and hence holds no "admission ticket" to federal court.

2. Even Assuming a Valid Registration, Plaintiff Cannot Establish Ownership of a Valid Copyright or that the Defendants Factually Copied Any Element of Either Works

As indicated above, Plaintiff claims infringement of two works. One is the

⁴ An NRG Image File is not a sound recording. It merely contains image files of separate musical, spoken or other sounds, and data containing instructions to an ASR 10 on how to create a performance. Plaintiff has not submitted the NRG Image File as evidence in support of his motion for preliminary injunction, but Plaintiff himself admitted it was an image file. (DKT 10, Pringle TRO Declaration, ¶5.)

1999 sound recording of the Dance Version, and the other is the musical composition embodied therein. To establish a claim for copyright infringement, and in particular a violation of the reproduction right, a plaintiff must prove "(1) ownership of a valid copyright, and (2) copying of constituent elements of the work that are original." *Feist Publications, Inc. v. Rural Telephone Service Co., Inc.*, 499 U.S. 340, 361 (1991); see also *Swirsky v. Carey*, 376 F.3d 841, 844 (9th Cir. 2004). With respect to a sound recording, a plaintiff also must prove that the actual recording itself was used by the defendant. 17 U.S.C. §114(b) ("[t]he exclusive right of the owner of copyright in a sound recording under clause (1) of section 106 is limited to the right to duplicate the sound recording in the form of phonorecords or copies that directly or indirectly recapture the actual sounds fixed in the recording.").

Here, Plaintiff cannot establish ownership of a valid copyright or Defendants' copying of original elements from either work.

a. <u>Plaintiff is Not Entitled to Presumption of Copyright</u> <u>Validity</u>

Contrary to Plaintiff's assertion, Plaintiff's copyright registration does not "constitute evidence of the validity of the copyrights and Pringle's ownership in" them, nor does it constitute the validity of the purported factual statements made therein. (MPI, page 15, lines 3-4.) 17 U.S.C. § 410(c) makes clear that those presumptions apply where the work is registered within <u>five years</u> of the date it was first published. See, *Id.* ("In any judicial proceedings the certificate of a registration made before or within five years after first publication of the work shall constitute prima facie evidence of the validity of the copyright and of the facts stated in the certificate.").

Here, Plaintiff registration certificate, even if it could be construed to cover either of the two works at issue, was obtained roughly *eleven years* after the works' first publication in December 1999. That tardiness strips Plaintiff of the

presumptions usually associated with a certificate of registration under 17 U.S.C. § 410(c). As such, Plaintiff is now required to affirmatively allege and **prove** each of the components of a valid copyright. See, *Ward v. National Geographic Society*, 208 F. Supp. 2d 429, 455 (S.D.N.Y. 2002) (lack of a copyright registration "deprives the plaintiff... of Section 410(c)'s presumption of validity."); *Gucci Timepieces America Inc. v. Yidah Watch Co.*, 1998 WL 650078, at *1 (C.D. Cal. August 4, 1998) (lack of a valid registration removes the effect of the presumption as to the copyright's validity, originality, compliance with statutory formalities, and copyrightability). These elements include copyrightability, originality, and compliance with all statutory formalities. *Morgan v. Hawthorne Homes, Inc.*, 2009 WL 1010476, at *7 (W.D. Pa. April 14, 2009) (finding that when plaintiff lacked presumption of validity afforded by copyright registration certificate, onus was on plaintiff to prove validity of the claimed copyrights). Plaintiff has not and cannot establish these elements.

b. <u>Plaintiff Has Not and Cannot Establish Ownership of a</u> <u>Valid Copyright</u>

Plaintiff has not and cannot establish the validity of, or copyright ownership in, the Dance Version musical composition or the sound recording thereof. "Ownership of the copyright is always a threshold question" and a copyright infringement case cannot proceed in its absence. See, *Lamps Plus, Inc. v. Seattle Lighting Fixture* Co., 345 F.3d 1140, 1144 (9th Cir. 2003) (citation omitted).

There are only two ways Plaintiff could have acquired copyright ownership of the subject works, including the "guitar twang sequence" principally at issue here.⁵

It should be noted that Plaintiff, in a obviously self-serving manner, refers to the "guitar twang" figure as a "melody" (MPI at 4), as a "hook," (*Id.* at 12), or as "prominent" (*Id.*) in both his and Defendants' works. This is simply incorrect, especially considering the fact that the hook in Defendants' "I Gotta Feeling" is found in a melodic vocal line that, not coincidentally, dovetails the title of the work. The "guitar twang" is not a melody—it is a harmonic figure consisting of 3, two-note chords that are represented as "I IV vi" in the key of G major. In "I Gotta Feeling," the figure's purpose is purely harmonic in nature and supports the melodic material heard on top of it—as is typical of homophonic music. That the figure may

That is, either: (1) as an author or (2) through a transfer of ownership from another person or entity who held title. See, 17 U.S.C. § 201(a) ("Copyright in a work protected under this title vests initially in the author or authors of the work."); 17 U.S.C. § 201(d)(1) ("The ownership of a copyright may be transferred in whole or in part by any means of conveyance or by operation of law."). As set forth above, Plaintiff's eleven year delay in seeking a copyright registration deprives him of the evidentiary benefits a registration certificate often provides, including the presumption of copyright ownership. As such, Plaintiff must affirmatively prove all the elements evaluated in determining ownership: copyrightability, originality, compliance with statutory formalities, and facts surrounding the works' creation. Plaintiff is unable to surmount this initial hurdle.

While Plaintiff claims to have authored the "guitar twang sequence" at the heart of this dispute, he provides no facts or other documentary evidence supporting this claim. Indeed, even though he provides experts' declarations, none can point to Plaintiff as the sequence's <u>creator</u> with any authority. The statements of Mark Rubel, Plaintiff's forensic sound expert, are illuminating. Mr. Rubel concludes that the "guitar twang sequence" originated with Plaintiff, but then admits having simply accepted at face value the Gould Law Group's representation on this point. (Rubel Decl. ¶ 4, stating that the guitar twang sequence was "identified to [him]" by the Gould Law Group as having originated from Plaintiff). Thus, Mr. Rubel's conclusion that Plaintiff is the copyright owner of that sequence, lacks foundation and carries no evidentiary weight. Nor does Mr. Rubel, Plaintiff, or anyone else offer facts demonstrating that Plaintiff acquired a copyright ownership in that sequence through a transfer from someone else who legitimately held title. 6

²⁵ appear as "prominent" in Plaintiff's composition underscores its lack of well-defined and memorable melodic material, as well as its sparse arrangement of constituent musical elements—not the musical purpose of the figure.

^{27 | &}lt;sup>6</sup> Indeed, Plaintiff has failed to expressly allege that he composed the "guitar twang sequence." He only claims to have added it to a prior work. (FAC ¶29; Pringle Decl., ¶4.)

Further, Plaintiff has offered no evidence that the "guitar twang" harmonic figure was sufficiently original to merit copyright protection. This includes having offered no evidence that Plaintiff himself did not copy it from another source. See, Feist, 499 U.S. at 345 ("Original, as the term is used in copyright, means only that the work was independently created by the author (as opposed to copied from other works), and that it possesses at least some minimal level of creativity."); Reader's Digest Ass'n v. Conservative Digest, Inc., 821 F.2d 800, 806 F.2d 800, 806 (D.C. Cir. 1987) (originality means "that the work owes its origins to the author—i.e., that the work is independently created rather than copied from other works.").

Because Plaintiff's Declaration is devoid of any discussion as to how he allegedly "originated" the guitar twang harmonic figure, Defendants counsel asked to Plaintiff to voluntarily provide an explanation of this critical evidentiary point prior to opposing this motion. (See Cenar Decl., Exs. 2, 3.) Exhibit 2 states "Please inform us today of the names of the actual equipment, software, internal or external sound banks used by Mr Pringle to create his song in the time frame he represents he created his song." Plaintiff's counsel refused to provide that information outside of "formal discovery." (Cenar Decl., Exs. 2, 3.) This dearth of evidence on the issue of "originality," coupled with Plaintiff's unwillingness to provide it, merits a denial of the requested preliminary relief.⁷

Finally, Plaintiff has submitted no evidence on the purported date of creation for the works at issue. In fact, when this Court compares Plaintiff's declaration in support of his TRO, at paragraph 5, to the Declaration at paragraph 5 he submits in support of this motion, it will find that Pringle has <u>withdrawn</u> his prior evidence on this point. Moreover, his lawyers have conceded that the date previously provided by Plaintiff's computer expert was <u>wrong</u>. Plaintiff has submitted no other date of

⁷ Beyond the foregoing, as set forth above, Plaintiff also neglects to provide any evidence of compliance with statutory formalities including registration pursuant to 17 U.S.C. §411, and the deposit of a bona fide copy with the Copyright Office registration pursuant to 17 U.S.C. §408.

creation, or evidence of a date of creation, or any corroborating evidence regarding the alleged date of creation in support of this motion. Again, Defendants' requests to obtain such information through a voluntary production was rebuffed by Plaintiff's counsel. (See Cenar Decl.¶ 5.)⁸

Based on the foregoing, Plaintiff has failed to adduce evidence establishing the threshold requirements of copyright validity, or copyright ownership, in the Dance Version musical composition and sound recording at issue in this lawsuit. Accordingly, Plaintiff cannot establish the likelihood of success on the merits of his claim for infringement, and thus his motion must be denied.

c. <u>Plaintiff Has Not and Cannot Establish that</u> <u>Defendants Factually Copied Any Element of the</u> <u>Works at Issue</u>

It is axiomatic that independent creation negates a critical component of a copyright plaintiff's case: *e.g.* that factual copying occurred. Melville B. Nimmer & David Nimmer, Nimmer on Copyright § 13.01[B] (2011) ("[E]ven when two works are substantially similar with respect to protectible expression, if the defendant did not copy as a factual matter, but instead independently created the work at issue, then infringement liability must be denied.").

Here, overwhelming evidence (or the lack thereof) establishes that: (1)
Defendants did not have access to Plaintiff's sound recording and musical
composition; (2) Defendants created the challenged "guitar twang" loop
independently of Pringle's work; and (3) it is factually impossible for the Dance
Version of "Take a Dive" to have provided the basis for anything heard in "I Gotta

On the evening of Friday, January 7, 2011, after the close of business, Plaintiff's counsel hand delivered a CD on which was written, with magic marker, "Correct" NRG file "Disk05 NRG." Receipt of this disc was followed by a refusal by Plaintiff's counsel to voluntarily provide any information about the disc or its creation. See Cenar Decl., Ex. 2, 3. Instead Plaintiff's counsel again obstreperously insisted on "formal discovery." Given the significance of that file, and its date of creation, to the merits of Plaintiff's motion, such stonewalling is egregious and, in and of itself, justifies a denial of Plaintiff's motion.

Feeling."

(1) <u>Defendants did have not access to Plaintiff's</u> works.

"It is generally not possible to establish copying as a factual matter by direct evidence, as it is rare that the plaintiff has available a witness to the physical act of copying. . . . Therefore, copying is ordinarily established indirectly by the plaintiff's proof of access and 'substantial' similarity." Melville B. Nimmer & David Nimmer, Nimmer On Copyright § 13.01[B] (2011). Proof of access requires "an opportunity to view or to copy plaintiff's work." See, *Sid and Marty Krofft Television*Productions, Inc. v. McDonald's Corp., 562 F.2d 1157, 1172 (9th Cir. 1977); see also Jason v. Fonda, 698 F.2d 966, 967 (9th Cir. 1982) (bare possibility of seeing or hearing the work does not establish access); see Tisi v. Patrick, 97 F. Supp. 2d 539, 547 (S.D.N.Y. 2000) (holding an unsolicited submission of a musical work to a record company does not establish access by the recording artist).

Here, Plaintiff has provided no actual evidence that any of the Defendants, and especially those directly involved in the creation of "I Gotta Feeling," were ever in contact with Plaintiff or had heard his works. Plaintiff likewise has failed to establish that the composition and sound recording he claims his brother disseminated in France 10 years before "I Gotta Feeling" was created, ever made their way to Frederic Riesterer, the creator of the "guitar twang sequence" in "I Gotta Feeling." Indeed, noticeably absent from Plaintiff's motion is any declaration from his brother. Rather than providing such evidence, Plaintiff instead reels off a roll call of general categories of persons and entities associated with the music business in the North American and European continents who were allegedly provided with a copy of his works. As discussed above, Plaintiff suspiciously declined Defendants' request for the identity of those individuals or entities. (Cenar Decl., Ex. 2, 3.)

Plaintiff's ipse dixit assertion that his unsubstantiated, and frankly

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questionable, dissemination efforts resulted in copies of his works being placed in the hands of Defendants must be rejected. Plaintiff has the burden of production (and persuasion) on the issue of factual copying, and Plaintiff has failed to carry that burden. See, *Castle Rock*, 150 F.3d at 137 (plaintiff has the "initial burden of proving actual copying by indirect evidence."). It is <u>not</u> Defendants' burden to prove that factual copying did not occur.

(2) <u>Defendants created "guitar twang" loop</u> independently of Plaintiff's work

Before a challenged work can be analyzed to determine whether it appropriates an impermissible quantum of protected material, it must be established that, as a factual matter, the defendant copied plaintiff's work in creating the challenged work. *Castle Rock Entertainment, supra*, 150 F.3d at 137 ("It is only after actual copying is established that one claiming infringement then proceeds to demonstrate that the copying was improper or unlawful by showing that the second work bears 'substantial similarity' to protected expression in the earlier work.") (citations omitted).

This is because the Copyright Act only prohibits copying; it does not provide a *per se* monopoly over the copyrighted work or its constituent elements. See *Sheldon v. Metro-Goldwyn Pictures*, 81 F.2d 49, 54 (2d Cir. 1936) ("if by some magic a man who had never known it were to compose anew Keat's Ode on a Grecian Urn, he would be an 'author,' and, if he copyrighted it, others might not copy that poen, though they might of course copy Keat's.") (L. Hand, J.); *ConFold Pacific, Inc. v. Polaris Industries, Inc.*, 433 F.3d 952, 959 (7th Cir. 2006) (Posner J.) ("[A] patent right is good against the whole world. A copyright is not because independent discovery is a defense to a copyright—or a trade secret—claim.").

The Declaration of Frederic Riesterer (submitted in Defendants' Opposition to Plaintiff's TRO) specifically addresses this issue. In that document, Mr. Riesterer states that he composed the guitar twang sequence heard in "I Gotta Feeling" in

2008, based in part on his prior work and in part on material licensed from the French music library, *Univers Sons*. (DKT 22, Riesterer Decl., ¶¶ 3-6.) Mr. Riesterer states that he created these works without access to any musical works created by Plaintiff, and without having never obtained any of Plaintiff's works. (DKT 22, Riesterer Decl., ¶ 7.)

(3) It is impossible as a factual matter for the Dance Version of "Take a Dive" to have provided the basis for anything heard in "I Gotta Feeling."

It is impossible as a factual matter for the Dance Version of "Take a Dive" to have provided the basis for the "guitar twang sequence" in "I Gotta Feeling" for two reasons. First, Plaintiff's 2010 copyright registration does not cover the 1999 sound recording of "Take a Dive." Rather, the 2010 registration only covers a sound recording that was created in 2010, which post-dates Defendants' creation of "I Gotta Feeling." Plaintiff has not alleged – nor could he -- that Defendants' 2009 work copied Plaintiff's 2010 recording.

Second, it is technologically impossible for the guitar twang sequence in "I Gotta Feeling" to have been sampled from the derivative Dance Version of "Take a Dive." (See Declaration Of Paul Geluso In Support Of Defendants' Opposition To Plaintiff's Motion For Preliminary Injunction, ["Geluso Decl."], ¶7.) The "guitar twang" sequence in "Take a Dive" is layered with other musical elements. The "guitar twang" sequence in "I Gotta Feeling," is not. It is — in many instances — "clean," meaning it is <u>not</u> layered with other musical elements. It is technologically impossible to obtain the "clean" guitar twang sequence from one that is layered with other musical elements. (*Id.*)

Had the sequence that appears in "I Gotta Feeling" been sampled from the Dance Version of "Take a Dive," it could not have been "cleaned." (Geluso Decl.¶7.) It would always have the remnants of other musical elements. (*Id.*) Indeed, even Plaintiff's expert, Mark Rubel, does not dispute this conclusion.

According to Mr. Rubel, the guitar twang sequence in the derivative version of "Take a Dive" is layered with other sound elements that are not present in "I Gotta Feeling." (Rubel Decl. ¶4; Rubel Report, pages 17, 18.) Moreover, even Mr. Rubel was unable to isolate a clean sample of the guitar twang sequence from "Take a Dive." As stated in the supporting Declaration of Paul Geluso, "[t]his supports the conclusion that the producer of "I Gotta Feeling" likewise could not have sampled the guitar twang sequence from the derivative version of 'Take a Dive' allegedly distributed by Plaintiff." (Geluso Decl., ¶7.)

Notably, none of the facts underpinning Mr. Geluso's analysis are contradicted by Mark Rubel. Mark Rubel's report states that he was able to obtain a nearly clean sample of the guitar twang from the "I Gotta Feeling" sound recording, which would be impossible if that sound recording had been sampled from Plaintiff's Demo CD. (Rubel Decl., ¶ 9, lines 19-22.) This is because, as Mr. Rubel concedes, he was unable to isolate a clean sample of the guitar twang sequence from "Take a Dive." (Rubel Decl., Ex. A, page 17.) Mr. Rubel also concedes that he would need additional testing to reinforce his conclusions. (Rubel Decl., Ex. A, page 19.) That admission, when coupled with his disregard (turning a "deaf ear") to information that disproves Defendants' sampling, defeats Plaintiff's assertion of a likelihood of success on the merits.

C. Plaintiff Cannot Establish Irreparable Harm

In light of the Supreme Court's holding in *eBay Inv. v. MercExhange, L.L.C.*, 547 U.S. 388 (2006), Pringle is simply wrong that if a copyright plaintiff establishes a likelihood of success on the merits, a presumption of irreparable harm automatically follows. (MPI at page 2.)

Neither of Pringle's other two experts, Alexander Stewart or Kevin Byrnes, address the sound recording sampling claim. These experts only address aspects related to the musical compositions at issue, and both only review a 2010 sound recording – not a 1999 sound recording. Notably, they are also inconsistent with one another. See e.g. Compare Byrnes para 6B to Stewart 4C. These flaws make their options unreliable under FRE 702.

In *eBay*, the Court stated that it had "consistently rejected invitations to replace traditional equitable considerations with a rule that an injunction automatically follows a determination that a copyright has been infringed." *Id.* at 392-93. As one post-*eBay* court noted, it is "doubtful that the Supreme Court intended for the presumption to survive for purposes of preliminary injunctions." *Hologic, Inc. v. Senorx, Inc.*, 2008 WL 1860035, *15 (N.D. Cal. April 25, 2008); *see also Metro-Goldwyn-Mayer Studios, Inc. v. Grokster, Ltd.*, 518 F. Supp. 2d 1197, 1211 (C.D. Cal. 2007) ("The *eBay* Court held that it is Plaintiffs who 'must demonstrate' (meaning, have the burden of proof) that the traditional factors favor a permanent injunction.").

It is thus clear that even if Plaintiff can demonstrate a likelihood of success on the merits—which he cannot—he still must make an affirmative showing that he will likely suffer irreparable harm if the requested preliminary relief is not granted. This he cannot do.

It has been held that a "long delay before seeking a preliminary injunction implies a lack of urgency and irreparable harm." *Oakland Tribune, Inc. v. Chronicle Publ. Co.*, 762 F.2d 1374, 1377 (9th Cir. 1985). In fact, at least one Court in the Central District of California held that a *four month* delay in seeking injunctive relief supported a denial of plaintiff's motion for preliminary injunction. *See, Metro-Media Broadcasting Corp. v. MGM/UA Entertainment Co.*, 611 F. Supp. 415, 427 (C.D. Cal. 1985).

Here, Plaintiff's delay was significantly greater than four months. The alleged "infringement" began upon release of "I Gotta Feeling" in June 2009, more than a year and a half ago. Plaintiff likely heard the song at that time given its popular success and wide distribution (Pink Decl., Ex. 1.) He certainly knew of it in May 2010 when his counsel contacted The Black Eyed Peas regarding the alleged infringement. (DKT 22, Cenar Decl. in Opp. to TRO, ¶ 1.) Yet Pringle did not seek an injunction last May.

He also did not seek an injunction in September 2010, after having made various settlement demands on The Black Eyed Peas, each of which was rejected. Nor did he seek injunctive relief in October 2010, when filing his original Complaint. (DKT 22, Cenar Decl. in Opp. to TRO, ¶ 2.) He did eventually file for emergency injunctive relief in November 2010, but that request was denied as the Court found that no emergency existed to justify its granting. While the Court did not expressly permit it, it also did not preclude Plaintiff from refiling its motion for injunctive relief. Plaintiff did not expeditiously act on that ruling. Not in the least. Apparently in no hurry whatsoever, he did not file this motion for *six weeks*. There is an old expression that actions speak louder than words. While Plaintiff may claim urgency and irreparable harm, his actions tell a different story. There is no hurry, no urgency and -- by definition -- no irreparable harm. See *Oakland Tribune*, *supra*, 762 F.2d at 1377; *Metro-Media, supra*, 611 F. Supp. 427. ¹⁰

Equally telling is the absence of any claim of "irreparable harm" by Plaintiff himself in his Declaration. This cannot have been mere oversight. Plaintiff made the same omission in connection with his application for a TRO. Given the six week hiatus prior to filing the instant motion (during which Plaintiff prepared a *new* declaration), he could easily have correct this had he wanted to. Nonetheless, and

In the moving papers Plaintiff claims irreparable harm in that "[e]ach time the song [musical composition] is played or sold, Pringle is denied his exclusive right to copy, distribute, and perform the song, including his right to control how, by whom, and in what manner his recording is used." MPI at 21. Never mind that the foregoing conflates two discrete works, the musical composition and the sound recording, Plaintiff is wrong. First, assuming Plaintiff's efforts in disseminating his works, under his authority, rises to a public distribution under the Copyright Act (his descriptions of such are hopelessly vague), it is quite possible that 17 U.S.C. § 115's compulsory licensing scheme is implicated, thus providing Defendants with the right to reproduce and distribute Plaintiff's composition subject to the payment of statutorily prescribed mechanical royalties. Second, a live performance would not infringe the allegedly infringed sound recording as there is no performance right in non-digitally transmitted sound recordings. 17 U.S.C. § 114(a) ("The exclusive rights of the owner of copyright in a sound recording are limited to the rights specified by clauses (1), (2), (3) and (6) of section 106, and do not include any right of performance under section 106."). As such, Plaintiff's characterization of his ability to control the subject works is not as expansive as he would suggest.

despite his own self interest, he apparently refused to state under oath that he would suffer irreparable harm absent the granting of his motion. This is a strong indication that no such harm *exists*. If the relief Plaintiff seeks was truly intended to protect his valuable rights, he would – at the very least – have shared this belief with the Court in his sworn declaration.

D. The Relative Hardship to Each Party Strongly Favors Denying Plaintiff's Request for a Preliminary Injunction

A balancing of the hardships here strongly favors The Black Eyed Peas, and thus justifying a denial of Plaintiff's motion for a preliminary injunction.

Specifically, Plaintiff has neither shown nor even suggested that monetary damages are insufficient to compensate him for injuries he would suffer should injunctive relief be denied at this stage of the litigation. *Sampson v. Murray*, 415 U.S. 61, 88, 94 S.Ct. 937, 952 (1974). In *Sampson*, the Supreme Court expressly stated that "the temporary loss of income, ultimately to be recovered, does not usually constitute irreparable injury ... 'The key word in this consideration is irreparable. Mere injuries, however substantial, in terms of money, time and energy necessarily expended ... are not enough. The possibility that adequate compensatory or other corrective relief will be available at a later date, in the ordinary course of litigation, weighs heavily against a claim of irreparable harm.'" *Id.* (citations omitted).

Here, Plaintiff cannot demonstrate the existence of any potential irreparable damage from the denial of his motion under the holding in *Sampson*, *supra*; see also *Los Angeles Memorial Coliseum Comm'n v. National Football League*, 634 F.2d 1211, 1202 (9th Cir. 1980). This is because, even assuming *arguendo* Plaintiff can establish infringement, he can be adequately compensated in terms of monetary relief at a later date. Plaintiff has not alleged – nor could he – that such compensation (in conjunction with any other corrective relief) would be entirely adequate. As the Supreme Court stated, the possibility of such relief, albeit at a later date, "weighs heavily against a claim of irreparable harm." *Sampson*, 415 U.S. at

88. This alone warrants the denial of Plaintiff's request for preliminary injunctive relief.

Conversely, The Black Eyed Peas and the other Defendants in this action would be greatly harmed by an injunction. Defendants are in the business of selling, performing and licensing music. In order to comply with Plaintiff's proposed injunctive relief, Defendants would be required to pull from third-party distributors all albums that include "I Gotta Feeling" and any derivative version thereof. That is no simple task. That song is included on The Black Eyed Peas' multi-platinum album, The E.N.D., which is sold in thousands of stores worldwide and over the Internet. Not only that, but as Plaintiff admits, "I Gotta Feeling" was licensed for use "in several nationwide commercials, television episodes," and motion pictures which Defendants neither own nor distribute. (See FAC, ¶43(e).) Nonetheless, under the relief Plaintiff requests, Defendants would be obligated to prevent buyers from purchasing other companies' products, likely harming their ability to license similar works in the future.

In short, the relief Plaintiff seeks is not only unnecessary, but if granted, it is assured to wreak havoc on *all* the Defendants in this case as well as those third parties to whom the song has been licensed. Thus, not only is there no need for the relief sought, a balancing of the hardships that would follow sharply favors the Defendants.

III. PLAINTIFF SHOULD NOT BE PERMITTED TO DEVIATE FROM THE REQUIREMENT OF A BOND AS SET FORTH IN RULE 65(C)

Plaintiff would like this Court to rule that, as a struggling musician, he should not be required to post a bond around the requested relief. If Plaintiff did not want to post a bond, he should not have brought this motion. Federal Rule of Civil

As stated in the brief defendant UMG filed in opposition to Plaintiff's application for a temporary restraining order, pulling the album from inventory would cost hundreds of thousands of dollars, if not millions of dollars in harm.

Procedure 65(c) expressly requires a plaintiff to post a security before a preliminary injunction may issue. *Id.* ("no restraining order or preliminary injunction shall issue except upon the giving of security by the applicant, in such sum as the court deems proper, for the payment of such costs and damages as may be incurred or suffered by any party who is found to have been wrongfully enjoined or restrained").

While Rule 65(c) grants courts discretion in determining the amount of the bond to be posted, "[b]ecause an error in setting the bond too high is not serious, the district courts should err on the high side when setting bond." *Builder's World, Inc.* v. Marvin Lumber & Cedar, Inc., 482 F. Supp. 2d 1065, 1078 (E.D. Wis. 2007) (citing Mead Johnson & Co. v. Abbott Labs., 201 F.3d 883, 888 (7th Cir. 2000)).

Indeed, in the Ninth Circuit, the general rule is to require the posting of a bond in a copyright infringement action where a preliminary injunction has been sought. A&M Records, Inc. v. Napster, Inc., 239 F.3d 1004, 1028 (9th Cir. 2001) (\$5 million bond in copyright infringement action); Religious Technology Center v. Netcom On-Line Communication Services, Inc., 923 F. Supp. 1231, 1266 (N.D. Cal. 1995) (\$25,000 bond in copyright case); CyberMedia, Inc. v. Symantec Corp., 19 F. Supp. 2d 1070, 1080 (N.D. Cal. 1998) (requiring the posting of a bond of \$1.6 million, which figure represented the profits the alleged infringer expected to lose on lost sales pending trial).

The bond requirement of Rule 65 is based on sound public policy. Specifically, it "assures the enjoined party that it may readily collect damages from the funds posted or the surety provided in the event that it was wrongfully enjoined, without further litigation and without regard to the possible insolvency of the assured." *Continuum Co. v. Incepts, Inc.*, 873 F.2d 801, 803 (5th Cir. 1989). This is precisely the situation here.

As a struggling musician who has based his request for a departure from Rule 65 in part on the argument that this is appropriate where the "moving party cannot afford to post" a bond, this is precisely the type of case for which a security is meant

to provide assurance and protection. That is, Plaintiff has everything to gain and little to lose. He claims to be an "unknown singer/songwriter" yet seeks to enjoin any use, performance, or reproduction of Defendants' "Grammy award-winning, record-breaking, mega-hit single." Defendants, on the other hand, stand to lose a fortune if they are wrongfully enjoined.

In light of this, and the policy behind Rule 65(c), Defendants respectfully request that the Court require Plaintiff to post security in the amount of \$15,000,000. This amount should cover costs and pecuniary damages for being wrongfully enjoined. Defendants will provide further proof of costs and damages as required.

IV. THE DECLARATIONS OF PLAINTIFF'S EXPERTS ARE INADMISSIBLE UNDER THE FEDERAL RULES OF EVIDENCE

This Court should disregard the expert declarations submitted by Plaintiff due to their substantial deficiencies. The declarations (and concomitant reports) of Mark Rubel, Kevin Byrnes, and Alexander Stewart are inadmissible because they are not helpful to the trier of fact based on reliability issues, and impermissibly opine on the ultimate issue of copyright infringement. *See*, Fed. R. Evid. 702. As the proponent of his experts' testimony, Pringle bears the "burden to show that [they are] 'qualified to testify competently regarding the matters he intend[ed] to address; [] the methodology by which the expert reach[ed] [their] conclusions is sufficiently reliable; and [] the testimony assists the trier of fact." *Maiz v. Virani*, 253 F.3d 641, 662 (11th Cir. 2001). This, Pringle has failed to.

First, the conclusions offered by Mr. Rubel are flawed because he analyzed the wrong work: not the allegedly infringed 1999 sound recording of the Dance Version, but instead, the 2010 sound recording, which postdates "I Gotta Feeling." [Rubel Decl., Ex. B]. The 2010 work is not a part of this lawsuit, and any discussion of it will only serve to confuse the trier of fact.

Further, the underlying basis for Rubel's opinion consists of twenty audio examples he claims to have sampled. *See*, Rubel Decl., Exh. A, page 000020 (index

of CD Audio Examples 1-20.). Those examples, however, were withheld from the Defendants. Notably, they are also the same audio tracks which were withheld from Defendants during the TRO proceedings, at which time Defendants raised the impropriety and inadmissibility of Mr. Rubel's opinion based upon this. Defendants' submit that Plaintiff's calculated decision to continue withholding these critical audio files – especially during a second shot at extraordinary relief, and given the leisurely pace at which Plaintiff elected to renew this motion – shows a bad faith intent to withhold evidence and impede Defendants' opposition. Simply put, this merits the striking of Mr. Rubel's declaration. Without these underlying audio samples, neither this Court nor Defendants have a fair opportunity to understand, let alone test, the veracity of Mark Rubel's representations.

Mark Rubel's report is also flawed because he takes no steps to determine the origin or date of creation of Pringle's work. His report does not reflect that he undertook this analysis, that he himself looked at any "computer files," or that he even spoke to Mr. Pringle himself. Thus, even if Mr. Rubel's work had been done properly, and there were similarities between these two works, he has absolutely no foundation to conclude which party copied the other. Indeed, Mr. Rubel's report could easily be read to conclude that Pringle (who has yet to provide evidence of how and when he came up with his "guitar twang") copied The Black Eyed Peas. ¹² Complete discovery of Mr. Pringle's Computer(s) and Computer files (which were asked to be preserved back in July 2009) and discovery of Pringle's claims of creation will provide significant material evidence of Mr. Pringle's activities.

Beyond the foregoing deficiencies, Rubel's opinions are problematic because he attempts to opine regarding the protected status of a work based on copyright

Certainly Pringle was technologically sophisticated enough to take the vocals from "I Gotta Feeling" and place them over his work, which he published on the Internet. (Pink Decl., Ex. 2.) Thus Pringle has demonstrated an ability and past conduct of access to The Black Eyed Peas work and co-mingling of The Black Eyed Peas work with his own.

duration rules, a well as on whether a certain act constitutes infringement. *See*, Rubel Rep. at 3. Rubel's opinions regarding the same are impermissible legal conclusions that do not assist the trier of fact, especially considering that they emanate from an individual who styles himself as a "professional forensic sound engineer," and not a lawyer versed in copyright law. *See*, *Burkhart v. Washington Metro. Area Transit Auth.*, 112 F.3d 1207, 1213 (D.C. Cir. 1997) ("Each courtroom comes equipped with a 'legal expert,' called a judge, and it is his or her province alone to instruct the jury on the relevant legal standards."); *U.S. v. Sinclair*, 74 F.3d 753, 758 n. 1 (7th Cir. 1996) (Fed. R. Evid. 702 and 704 "prohibit experts from offering opinions about legal issues that will determine the outcome of a case."); *CFM Communications, LLC v. Mitts Telecasting Co.*, 424 F. Supp. 2d 1229, 1238 (E.D. Cal. 2005) (expert's opinions concerning law as it applied to the facts of the case were "utterly unhelpful" to the court.").

Moreover, Rubel's report is littered with statements for which he obviously has no foundation as they relate to the facts of this case, *e.g.*, his opinions on the "recording/assembly process" or whether recordings "contain data or instructions for sound." Rubel Rep. at 3. Such statements must be disregarded. *See*, *Trevino v. Gates*, 99 F.3d 911, 922 (9th Cir. 1996) ("Where foundational facts demonstrating relevancy are not sufficiently established, exclusion of proffered expert testimony is justified.") (citation omitted). Rubel's report indicates that he never reviewed any original Pringle-computer image file.

The declarations of Messers. Stewart and Byrnes should likewise be discarded because both individuals stray beyond their purported expertise as musicologists to offer legal conclusions that speak to the ultimate issue in an infringement case: actionable copying. For instance, Stewart opines that the Dance Version and "I Gotta Feeling" are "more than *substantially similar*, they are *strikingly similar*." Stewart Decl., ¶ 4. Both are terms of art that have a special significance in copyright law; the former speaks to the ultimate issue of actionable copying and the

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latter speaks to the establishment of factual copying. See, e.g., MELVILLE B. NIMMER & DAVID NIMMER, § 13.01[b] (2011) (substantially similarity refers to copying as a legal proposition—a taking that is characterized by a sufficient quantum of protected material such that liability attaches); Selle v. Gibb, 741 F.2d 896, 901 (7th Cir. 1984) (striking similarity is characterized by "similarity which is so striking that the possibilities of independent creation, coincidence and prior common source are, as a practical matter, precluded.").

The Byrnes declaration is also problematic as he purports to apply the extrinsic/intrinsic test used in the Ninth Circuit to determine whether two works are "substantially similar." See, e.g., Byrnes Decl., ¶¶ 3-4. Nowhere does Byrnes attempt to identify and filter the unprotected material in Plaintiff's works as required by the extrinsic test. See, Apple Computer, Inc. v. Microsoft Corp., 35 F.3d 1435, 1446 (9th Cir. 1994) ("the unprotectable elements have to be identified, or filtered, before the works can be considered as a whole."); Mattel, Inc. v. MGA Entertainment, Inc., 616 F.3d 904, 913 (9th Cir. 2010) ("At the initial "extrinsic" stage, we examine the similarities between the copyrighted and challenged works and then determine whether the similar elements are protectable or unprotectable. For example, ideas, scenes a faire (standard features) and unoriginal components aren't protectable. When the unprotectable elements are 'filtered' out, what's left is an author's particular expression of an idea, which most definitely is protectable."). Compounding this error, Byrnes apparently applied the intrinsic test, which focuses on whether the "total concept and feel" of the copyrighted and challenged work is "substantially similar." Three Boys Music Corp. v Bolton, 212 F.3d 477, 485 (9th Cir. 2000). Notably, expert testimony is not permitted when conducting the intrinsic test. See, Sid & Marty Krofft Television Productions, Inc. v. McDonalds Corp., 562 F.2d 1157, 1164 (for the "intrinsic test, analytic dissection and expert testimony are not appropriate.") (emphasis added). Simply put, Plaintiff's effort to marshal selfserving legal analysis (if it can even be called that, especially when misapplying 9th