

1 **UNITED STATES DISTRICT COURT**
2 **CENTRAL DISTRICT OF CALIFORNIA**

3
4 SECURITIES AND EXCHANGE
5 COMMISSION,

6 Plaintiff,

7 vs.

8 HEART TRONICS, INC., MITCHELL
9 JAY STEIN, WILLIE JAMES GAULT,
10 J. ROWLAND PERKINS, II, MARTIN
11 BERT CARTER, MARK CROSBY
12 NEVDAHL, and RYAN ALLAN
13 RAUCH,

14 Defendants,

15 TRACEY HAMPTON-STEIN, ARC
16 FINANCE GROUP, LLC, ARC BLIND
17 TRUST, THS BLIND TRUST, JAYMI
18 BLIND TRUST, OAK TREE
19 INVESTMENTS BLIND TRUST, WBT
20 INVESTMENTS BLIND TRUST,
21 CATCH 83 GENERAL PARTNERSHIP,
22 and FIVE INVESTMENTS
23 PARTNERSHIP,

24 Relief Defendants.

JS-6

Case No. SACV11-1962-JVS(ANx)

25 **FINAL JUDGMENT AS TO**
26 **RELIEF DEFENDANT TRACEY HAMPTON-STEIN AND RELIEF**
27 **DEFENDANTS ARC FINANCE GROUP, LLC, ARC BLIND TRUST, THS**
28 **BLIND TRUST, JAYMI BLIND TRUST, OAK TREE INVESTMENTS**
 BLIND TRUST, AND WBT INVESTMENTS BLIND TRUST

 The Securities and Exchange Commission having filed a Complaint, which
against Relief Defendants Tracey Hampton-Stein (“Hampton-Stein”) and ARC
Finance Group, LLC, ARC Blind Trust, THS Blind Trust, JAYMI Blind Trust, Oak

1 Tree Investments Blind Trust, and WBT Investment Blind Trust (collectively, “the
2 Hampton-Stein Entities”) is based exclusively on a claim for unjust enrichment;
3 and these Relief Defendants having entered a general appearance; consented to the
4 Court’s jurisdiction over them and the subject matter of this action; consented to
5 entry of this Final Judgment without admitting or denying the allegations of the
6 Complaint (except as to jurisdiction); waived findings of fact and conclusions of
7 law; and waived any right to appeal from this Final Judgment:

8 I.

9 IT IS HEREBY ORDERED, ADJUDGED, AND DECREED that each and
10 every of the Relief Defendants Hampton-Stein and the Hampton-Stein Entities are
11 liable, jointly and severally, for disgorgement, based exclusively upon a claim for
12 unjust enrichment,¹ of \$3,927,553.82, representing unjust enrichment as a result of
13 the conduct alleged in the Complaint, together with prejudgment interest in the
14 amount of \$1,380,545.24, for a total of \$5,308,099.06.

15 II.

16 IT IS HEREBY FURTHER ORDERED, ADJUDGED, AND DECREED
17 that a Relief Defendant may transmit payment on this Final Judgment electronically
18 to the Commission, which will provide detailed ACH transfer/Fedwire instructions
19 upon request. Payment may also be made directly from a bank account via
20 Pay.gov through the SEC website at <http://www.sec.gov/about/offices/ofm.htm>. A
21 Relief Defendant may also pay by certified check, bank cashier’s check, or United
22 States postal money order payable to the Securities and Exchange Commission,
23 which shall be delivered or mailed to

24 Enterprise Services Center
25 Accounts Receivable Branch
26 6500 South MacArthur Boulevard
Oklahoma City, OK 73169

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28 ¹ The Commission neither alleges nor claims in its Complaint that Hampton-Stein herself
violated any securities laws; nor does this Court make or intend to make by entry of this
Final Judgment any findings that she violated any securities law.

1 and accompanied by a letter identifying the case title, civil action number, and
2 name of this Court; Hampton-Stein or the entity as a defendant; and specifying that
3 payment is made pursuant to this Final Judgment. In making payment, a Relief
4 Defendant shall simultaneously transmit photocopies of evidence of payment and
5 case identifying information to the Commission's counsel in this action.

6 In making payment required to extinguish liability pursuant to this Final
7 Judgment, a Relief Defendant relinquishes all legal and equitable right, title, and
8 interest in such funds and no part of the funds shall be returned to it. The
9 Commission shall send the funds to the United States Treasury. And after fourteen
10 (14) days from entry of this Final Judgment, if full payment is not made by Relief
11 Defendants, Relief Defendants shall accrue liability for post-judgment interest on
12 any delinquent amounts pursuant to 28 USC § 1961.

13 III.

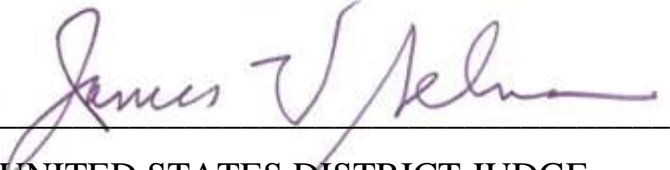
14 IT IS HEREBY FURTHER ORDERED, ADJUDGED, AND DECREED
15 that the Consent of Relief Defendants is incorporated herein with the same force
16 and effect as if fully set forth herein, and that Relief Defendants shall comply with
17 all of the undertakings and agreements set forth therein.

18 IV.

19 IT IS HEREBY FURTHER ORDERED, ADJUDGED, AND DECREED
20 that this Court shall retain jurisdiction of this matter for the purposes of enforcing
21 the terms of this Final Judgment.

22 There being no just reason for delay, pursuant to Rule 54(b) of the Federal
23 Rules of Civil Procedure, the Clerk is ordered to enter this Final Judgment
24 forthwith and without further notice.

25
26 Dated: December 8, 2014

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28 UNITED STATES DISTRICT JUDGE