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JS-6

**UNITED STATES DISTRICT COURT
CENTRAL DISTRICT OF CALIFORNIA
SOUTHERN DIVISION**

SECURITIES AND EXCHANGE
COMMISSION,

Plaintiff,

vs.

DANIEL B. VAZQUEZ, SR.,
GILBERT FLUETSCH, AND
HOPLON FINANCIAL GROUP,

Defendant.

Case No. 8:18-cv-0047 CJC (KESx)

**FINAL JUDGMENT AS TO
DANIEL B. VAZQUEZ, SR.**

1 II.

2 IT IS HEREBY FURTHER ORDERED, ADJUDGED, AND DECREED that
3 Vazquez is permanently restrained and enjoined from violating, directly or indirectly,
4 Section 17(a) of the Securities Act of 1933 (the “Securities Act”) [15 U.S.C. §
5 77q(a)] in the offer or sale of any security by the use of any means or instruments of
6 transportation or communication in interstate commerce or by use of the mails,
7 directly or indirectly:

8 (a) to employ any device, scheme, or artifice to defraud;

9 (b) to obtain money or property by means of any untrue statement of a
10 material fact or any omission of a material fact necessary in order to make the
11 statements made, in light of the circumstances under which they were made,
12 not misleading; or

13 (c) to engage in any transaction, practice, or course of business which
14 operates or would operate as a fraud or deceit upon the purchaser.

15 IT IS FURTHER ORDERED, ADJUDGED, AND DECREED that, as
16 provided in Federal Rule of Civil Procedure 65(d)(2), the foregoing paragraph also
17 binds the following who receive actual notice of this Final Judgment by personal
18 service or otherwise: (a) Defendant’s officers, agents, servants, employees, and
19 attorneys; and (b) other persons in active concert or participation with Defendant or
20 with anyone described in (a).

21 III.

22 IT IS HEREBY FURTHER ORDERED, ADJUDGED, AND DECREED that
23 Vazquez is permanently restrained and enjoined from violating, directly or indirectly,
24 Section 15(a) of the Exchange Act [15 U.S.C. § 78o(a)] by the use of any means or
25 instruments of transportation or communication in interstate commerce or by use of
26 the mails, to effect any transaction in, or induce or attempt to induce the purchase or
27 sale of, any security without being registered with the Commission or being
28 associated with a registered broker or dealer that is not a natural person.

1 IT IS FURTHER ORDERED, ADJUDGED, AND DECREED that, as
2 provided in Federal Rule of Civil Procedure 65(d)(2), the foregoing paragraph also
3 binds the following who receive actual notice of this Final Judgment by personal
4 service or otherwise: (a) Defendant's officers, agents, servants, employees, and
5 attorneys; and (b) other persons in active concert or participation with Defendant or
6 with anyone described in (a).

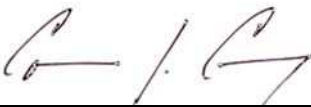
7 IV.

8 IT IS FURTHER ORDERED, ADJUDGED, AND DECREED that this Court
9 shall retain jurisdiction of this matter for the purposes of enforcing the terms of this
10 Final Judgment.

11 V.

12 There being no just reason for delay, pursuant to Rule 54(b) of the Federal
13 Rules of Civil Procedure, the Clerk is ordered to enter this Final Judgment forthwith
14 and without further notice.

15
16
17 Dated: December 11, 2019



THE HON. CORMAC J. CARNEY
UNITED STATES DISTRICT JUDGE