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UNITED STATES DISTRICT COURT

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CENTRAL DISTRICT OF CALIFORNIA

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11 JENNIFER M. B., an Individual,

Case No.: 8:19-00153 ADS

12 Plaintiff,

13 v.

14 ANDREW M. SAUL, Commissioner of
Social Security,MEMORANDUM OPINION AND ORDER
OF REMAND

15

Defendant.

16

17 **I. INTRODUCTION**

18 Plaintiff Jennifer M. B.¹ (“Plaintiff”) challenges Defendant Andrew M. Saul²,
19 Commissioner of Social Security’s (hereinafter “Commissioner” or “Defendant”) denial
20 of her application for a period of disability and disability insurance benefits (“DIB”).

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22 ¹ Plaintiff’s name has been partially redacted in compliance with Federal Rule of Civil
Administration and Case Management of the Judicial Conference of the United States.
23 ² The complaint, and thus the docket, do not name the Commissioner of Social Security.
24 On June 17, 2019, Saul became the Commissioner. Thus, he is automatically substituted
as the defendant under Federal Rule of Civil Procedure 25(d).

1 For the reasons stated below, the decision of the Commissioner is REVERSED and
2 REMANDED.

3 **II. FACTS RELEVANT TO THE APPEAL**

4 A review of the entire record reflects certain uncontested facts relevant to this
5 appeal. Prior to filing her application for social security benefits, Plaintiff last worked in
6 2014 performing inventory control for 3M. (Administrative Record “AR” 214, 221-26).³
7 Her previous work experience also included waitressing, residential caregiving, serving
8 as a prison social worker, and a brief attempt to work for Lyft. (AR 68-69, 214, 221-26).
9 At the administrative hearing, Plaintiff testified that she held several positions at 3M
10 that all required manual labor, and she was eventually promoted to inventory. (AR 46-
11 52). That job was the least demanding, but it still required her to pull heavy items off of
12 a fork truck, and move them to areas where she could count inventory. (AR 52). She
13 also had to roll powder barrels, weighing up to 500 pounds, from one area to another.
14 (AR 52). She counted buckets, weighing 70 pounds or more, which she had to lift
15 herself and move between shelves. (AR 52). Finally, she had to pull boxes out of “big
16 bins,” inventory, and return them to the bins. (AR 52). Sometimes she was required to
17 stand at the computer in the docks and do computer work, although she was never fully
18 trained for that because she had to leave for her back surgeries. (AR 52-53).

19 Plaintiff contends that she has been unable to work since 2015 due to her
20 collapsing spine, pain, and other issues associated with her degenerative back. (AR 46,
21 54, 67, 69). After her two back surgeries, 3M tried to accommodate her condition by
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23 ³ Plaintiff’s certified earnings record indicates additional income from 3M in 2015 and
24 2016, but Plaintiff explained it was disability pay from that company. (AR 27-28 45, 74,
198, 200).

1 changing her chair and work environment, and she tried changing her lifestyle, but she
2 still had problems and the doctors recommended yet another surgery. (AR 46).

3 Plaintiff states that she has been struggling with her back for a long time and it
4 upsets her to talk about it. (AR 54, 66, 91). Her parents had histories with back
5 problems, but she didn't experience her issues until she was 40. (AR 54-55). It started
6 as a burning sensation in her foot, which lasted about a year. (AR 55). She saw various
7 foot doctors until they discovered she had an enlarged vertebra from arthritis
8 throughout her body. (AR 55). The enlarged vertebra ruptured a disc, and this caused
9 nerve problems which made her foot feel like it was "on fire." (AR 55).

10 Plaintiff had her first surgery in 2010, a laminotomy at L4-5. (AR 55).
11 Immediately after surgery, her foot stopped hurting, and she thought everything was
12 going to get better. (AR 55). She went back to light duty at work, but, by the end of that
13 first year, she stated she could no longer walk across a room. (AR 55).

14 That led to a spinal fusion in April 2017. (AR 55, 72). She had to fight with the
15 insurance companies to get the surgery approved. (AR 73). At one point, it was
16 approved, but then they denied it and told her to try physical therapy for three months,
17 have more MRIs and other tests done, and even take part in psychological therapy. (AR
18 72-73). Plaintiff contends that the insurance company strung her out for a year, causing
19 stress and anxiety. (AR 73). After it was finally approved and she had the second
20 surgery, her doctor told her not to go back to work. (AR 55-56). However, Plaintiff
21 states that she again returned to light duty because her employer wasn't going to pay her
22 if she didn't. (AR 55-56).

1 Plaintiff testified she tried to ignore her issues and hoped to get better, but she
2 can't recall a day in her 40s of not having back pain.⁴ (AR 56-57, 70). Finally, her pain
3 became so bad she could no longer ignore it. (AR 56). Her surgeon said she would need
4 yet another fusion, this time at L2-3. (AR 56). He explained to her that the pain would
5 never get better, and her condition would continue to degenerate, but the fusion could
6 fix just that place in her back. (AR 56). In the last nine years, she may have had only a
7 two-month period where she wasn't either needing back surgery, waiting for back
8 surgery, or healing from back surgery, and she "do[es]n't want to live like that
9 anymore." (AR 57).

10 Since her April 2017 surgery, Plaintiff states that she still has daily pain, but the
11 severity of the bad days and daily pain is less. (AR 60, 72). Last month, however, she
12 had more bad days than good; about two weeks out of the month were "bad" as a result
13 of new sciatic problems that didn't exist before surgery. (AR 60). If she "really bab[ies]
14 herself," she might only have one or two bad weeks. (AR 60). She stated was going to
15 physical therapy for her new problems, but she stopped when her insurance stopped
16 covering therapy. (AR 60, 63).

17 In lieu of another surgery and with her doctor's approval she's been doing Pilates
18 and exercises to strengthen her core. (AR 57). When she's not having nerve problems
19 and her sciatica isn't bothering her, she does her workout, which includes 40 minutes of
20 stretches and crunches that "emulate" what she was doing in physical therapy. (AR 57,
21 59). Currently, her doctor is waiting for her bones to solidify around the most recent
22 hardware so he can refer her to a pain-management specialist. (AR 57-59).

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24 ⁴ Plaintiff turned 49 the day after she testified at the hearing. (AR 39, 57, 184).

1 To reduce pain, Plaintiff spends a lot of time lying horizontal or draped over an
2 exercise ball. (AR 60, 73, 90). She does the latter for about 20 minutes at a time, and it
3 relieves some of the pressure where she has the most pain. (AR 60-61). She also
4 performs “Child’s Pose”⁵ frequently, and she uses heat pads and ice packs. (AR 61).

5 Plaintiff spends most of her time at home. (AR 58). She cannot sit in normal
6 chairs because they lead to pain, so she has different spots set up with pillows for
7 comfort. (AR 58, 62). She cooks for her teenage son and drives him to school and
8 activities, and she can grocery shop but needs the groceries bagged lightly. (AR 58-60,
9 65-66). She can drive for about an hour, but she needs a brace to do that, and for sitting
10 long intervals and emergencies. Plaintiff contends the brace rubs and causes soreness in
11 other areas on her body. (AR 57, 61-62). She goes to dinner sometimes, but even that
12 can cause back pain for the days following. (AR 65). Her son does the bulk of anything
13 that requires lifting, such as taking out the garbage or doing laundry. (AR 58).

14 Recently, Plaintiff volunteered at the concession table for her son’s wrestling
15 meet. (AR 63, 65). She stood for two hours until she experienced a sharp pain, and the
16 whole next day was “pretty sad.” (AR 63).

17 Walking has always been her “therapy.” (AR 64). She used to walk three- to
18 three-and-a-half miles. (AR 64). Now, due to her back, she becomes sore suddenly and
19 needs to return home. (AR 64). She still walks, when she is up for it, but she stays near
20 home. (AR 64).

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23 ⁵ The Child’s Pose is a stretch that helps the back and muscles around the hips. See
24 <https://www.mayoclinic.org/healthy-lifestyle/stress-management/multimedia/childs-pose/vid-20453580> (last visited June 5, 2020).

1 Plaintiff takes a muscle relaxer and pain medication, but only in emergencies
2 because of the side effects. (AR 64-65). When she takes her pain medications, and then
3 stops, she experiences headaches. (AR 64). Sometimes taking her muscle relaxer and
4 then alternating hot and cold packs for a few hours will “really kind of take the edge off.”
5 (AR 65).

6 Plaintiff states can sit for about an hour and a half before her back becomes
7 painful. (AR 69). If she sits for too long, she can’t do normal things, like get on the
8 toilet. (AR 69). When she hurts herself, it can affect her for days. (AR 70). Everything
9 creaks, and she moves slowly. (AR 70). She can stand for only a few minutes before she
10 must stretch, try to bend, and do other things—like pull her knee to her chest—to
11 alleviate her pain. (AR 70.) When she sleeps, her back always hurts. (AR 70-71). She
12 states that she hasn’t had normal REM sleep in years. (AR 71). This causes fatigue, and
13 she alternates between exhaustion and manic alertness during the day. (AR 71). Her
14 pain fluctuates from a level three to ten. (AR 70).

15 Plaintiff tried to work for Lyft in September and October to help pay for her
16 medical bills, but she was unable to do it for enough hours to compensate for the vehicle
17 Lyft rented for her. (AR 68). Working for Lyft caused sciatic pain in her back and down
18 her leg, and soreness that crept up her back. (AR 68). She also experienced sharp pains
19 and tenderness that made her tear up. (AR 69).

20 Plaintiff does not feel as if she has healed. (AR 63). She states that she has lost
21 over thirty percent of her flexibility. (AR 63). Her legs and buttocks have not recovered
22 from the last surgery. (AR 63, 74). She’s had to adapt her whole life around slowing the
23 degeneration in her back. (AR 63). Her surgeon also does not believe she’s healed, and
24 he has advised her not lift anything over 10 pounds, bend, reach, or sit for extended

1 periods of time. (AR 58-59, 63, 74). Her surgeon’s physician assistant does not think
2 Plaintiff “belong[s] in the work force.” (AR 74). Plaintiff believes any work activity
3 would further hurt or injure her. (AR 67). She can’t imagine doing anything six hours a
4 day, let alone eight. (AR 67, 90).

5 **III. PROCEEDINGS BELOW**

6 **A. Procedural History**

7 Plaintiff protectively filed her claim for Title II social security benefits on
8 November 2, 2015, alleging disability beginning August 15, 2015. (AR 25, 184-85).
9 Plaintiff’s DIB application was denied initially on March 7, 2016 (AR 103), and upon
10 reconsideration on June 3, 2016 (AR 116). A hearing was held before ALJ Susanne M.
11 Cichanowicz on February 6, 2018. (AR 41-92). Plaintiff, represented by counsel,
12 appeared and testified at the hearing, as well as vocational expert Joseph Torres. (AR
13 41-92).

14 On March 20, 2018, the ALJ found Plaintiff was “not disabled” within the
15 meaning of the Social Security Act.⁶ (AR 25-34). The ALJ’s decision became the
16 Commissioner’s final decision when the Appeals Council denied Plaintiff’s request for
17 review on December 10, 2018. (AR 1-6). Plaintiff then filed this action in District Court
18 on January 25, 2019, challenging the ALJ’s decision. [Docket (“Dkt.”) No. 1].

19 The case is ready for decision.⁷

21 ⁶ Persons are “disabled” for purposes of receiving Social Security benefits if they are
22 unable to engage in any substantial gainful activity owing to a physical or mental
23 impairment expected to result in death, or which has lasted or is expected to last for a
24 continuous period of at least 12 months. 42 U.S.C. § 423(d)(1)(A).

⁷ The parties filed consents to proceed before the undersigned United States Magistrate
Judge, pursuant to 28 U.S.C. § 636(c), including for entry of final Judgment. [Dkt. Nos.
7, 10].

1 **B. Summary of ALJ Decision After Hearing**

2 In the ALJ’s March 20, 2018 decision (AR 25-34), the ALJ followed the required
3 five-step sequential evaluation process to assess whether Plaintiff was disabled under
4 the Social Security Act.⁸ 20 C.F.R. § 404.1520(a)(4). At **step one**, the ALJ
5 acknowledged that Plaintiff’s 3M earnings after the August 15, 2015 alleged onset date
6 were for disability payments rather than work activity, as Plaintiff testified, and found
7 that Plaintiff’s work for Lyft was not sufficient to rise to substantial gainful activity. (AR
8 27-28). Accordingly, the ALJ found that Plaintiff had not engaged in substantial gainful
9 activity since her alleged onset date. (Id.) At **step two**, the ALJ found that Plaintiff had
10 the following severe impairment: lumbar degenerative disc disease, status/post
11 laminotomy and two fusions. (AR 28). At **step three**, the ALJ found that Plaintiff
12 “does not have an impairment or combination of impairments that meets or medically
13 equals the severity of one of the listed impairments in 20 CFR Part 404, Subpart P,
14 Appendix 1 (20 CFR 404.1520(d), 404.1525[,], and 404.1526).” (AR 28).

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19 ⁸ The ALJ follows a five-step sequential evaluation process to assess whether a claimant
20 is disabled: Step one: Is the claimant engaging in substantial gainful activity? If so, the
21 claimant is found not disabled. If not, proceed to step two. Step two: Does the claimant
22 have a “severe” impairment? If so, proceed to step three. If not, then a finding of not
23 disabled is appropriate. Step three: Does the claimant’s impairment or combination of
24 impairments meet or equal an impairment listed in 20 C.F.R., Pt. 404, Subpt. P, App. 1?
If so, the claimant is automatically determined disabled. If not, proceed to step four.
Step four: Is the claimant capable of performing her past work? If so, the claimant is
not disabled. If not, proceed to step five. Step five: Does the claimant have the residual
functional capacity to perform any other work? If so, the claimant is not disabled. If
not, the claimant is disabled. Lester v. Chater, 81 F.3d 821, 828 n.5 (9th Cir. 1995)
(citing 20 C.F.R. § 404.1520); see also Ford v. Saul, 950 F.3d 1141, 1148-49 (9th Cir. 2020).

1 The ALJ then found that Plaintiff had the Residual Functional Capacity (“RFC”)⁹
2 to perform sedentary work as work as defined in 20 C.F.R. § 404.1567(a)¹⁰ with the
3 following additional limitations:

4 [Plaintiff] can occasionally balance, stoop, and climb ramps or stairs;
5 she cannot kneel, crouch, crawl, or climb ladders, ropes or scaffolds;
6 and she must be allowed to alternate between sitting and standing at
will, while remaining on task.

7 (AR 28-32).

8 At **step four**, based on Plaintiff’s vocational background, testimony, earnings
9 record, and the vocational expert’s testimony, the ALJ found that Plaintiff was unable to
10 perform her past relevant work as an industrial cleaner, production machine attendant,
11 packer, or stock control clerk. (AR 32).

12 At **step five**, the ALJ found that, “[c]onsidering [Plaintiff]’s age, education, work
13 experience, and [RFC], there are jobs that exist in significant numbers in the national
14 economy that [she] can perform.” (AR 33). The ALJ accepted the vocational expert’s
15 testimony that Plaintiff would be able to perform the representative occupations of: lens
16 installer (Dictionary of Occupational Titles (“DOT”) 713.687-026); assembler (DOT

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18 ⁹ An RFC is what a claimant can still do despite existing exertional and nonexertional
19 limitations. See 20 C.F.R. § 404.1545(a)(1).

¹⁰ “Sedentary work” is defined as

20 lifting no more than 10 pounds at a time and occasionally lifting or
21 carrying articles like docket files, ledgers, and small tools. Although a
22 sedentary job is defined as one which involves sitting, a certain amount of
walking and standing is often necessary in carrying out job duties. Jobs
are sedentary if walking and standing are required occasionally and other
sedentary criteria are met.

23 20 C.F.R. § 404.1567(a); see also Casey H. v. Berryhill, 2018 WL 5629303, at *3 n.3
(C.D. Cal. Oct. 29, 2018).

1 739.687-066); and table worker (DOT 739.687-182). (AR 33-34). As such, the ALJ
2 found that Plaintiff was “not disabled,” as defined in the Social Security Act, from
3 August 15, 2015, through the date of the ALJ’s decision. (AR 34).

4 **IV. ANALYSIS**

5 **A. Issues on Appeal**

6 Plaintiff raises three issues for review: (1) whether the ALJ properly considered
7 her subjective allegations regarding her pain and limitations; (2) whether the ALJ
8 properly considered the State Agency opinions; and (3) whether new and material
9 evidence supports remand. [Dkt. No. 16 (Joint Stipulation), p. 2]. For the reasons
10 below, the Court agrees with Plaintiff regarding the ALJ’s failure to properly consider
11 her subjective testimony, and remands on that ground.

12 **B. Standard of Review**

13 A United States District Court may review the Commissioner’s decision to deny
14 benefits pursuant to 42 U.S.C. § 405(g). The District Court is not a trier of the facts but
15 is confined to ascertaining by the record before it if the Commissioner’s decision is
16 based upon substantial evidence. Garrison v. Colvin, 759 F.3d 995, 1010 (9th Cir. 2014)
17 (District Court’s review is limited to only grounds relied upon by ALJ) (citing Connett v.
18 Barnhart, 340 F.3d 871, 874 (9th Cir. 2003)). A court must affirm an ALJ’s findings of
19 fact if they are supported by substantial evidence and if the proper legal standards were
20 applied. Mayes v. Massanari, 276 F.3d 453, 458-59 (9th Cir. 2001).

21 “[T]he Commissioner’s decision cannot be affirmed simply by isolating a specific
22 quantum of supporting evidence. Rather, a court must consider the record as a whole,
23 weighing both evidence that supports and evidence that detracts from the Secretary’s
24 conclusion.” Aukland v. Massanari, 257 F.3d 1033, 1035 (9th Cir. 2001) (citations and

1 internal quotation marks omitted). “Where evidence is susceptible to more than one
2 rational interpretation,’ the ALJ’s decision should be upheld.” Ryan v. Comm’r of Soc.
3 Sec., 528 F.3d 1194, 1198 (9th Cir. 2008) (citing Burch v. Barnhart, 400 F.3d 676, 679
4 (9th Cir. 2005)); see Robbins v. Soc. Sec. Admin., 466 F.3d 880, 882 (9th Cir. 2006) (“If
5 the evidence can support either affirming or reversing the ALJ’s conclusion, we may not
6 substitute our judgment for that of the ALJ.”). However, the Court may review only “the
7 reasons provided by the ALJ in the disability determination and may not affirm the ALJ
8 on a ground upon which [s]he did not rely.” Orn v. Astrue, 495 F.3d 625, 630 (9th Cir.
9 2007) (citation omitted).

10 **C. The ALJ Failed to Properly Consider Plaintiff’s Subjective**
11 **Complaints**

12 Plaintiff asserts that the ALJ improperly evaluated her subjective testimony
13 regarding her pain and limitations. Defendant contends that the ALJ appropriately
14 found Plaintiff’s testimony not fully supported by the record, and Plaintiff’s
15 disagreement with the ALJ is not evidence of error.

16 1. Legal Standard for Evaluating Claimant’s Testimony

17 A claimant carries the burden of producing objective medical evidence of his or
18 her impairments and showing that the impairments could reasonably be expected to
19 produce some degree of the alleged symptoms. Benton ex rel. Benton v. Barnhart, 331
20 F.3d 1030, 1040 (9th Cir. 2003). Once the claimant meets that burden, medical
21 findings are not required to support the alleged severity of pain. Bunnell v. Sullivan,
22 947 F.2d 341, 345 (9th Cir. 1991) (en banc); see also Light v. Soc. Sec. Admin., 119 F.3d
23 789, 792 (9th Cir. 1997) (“claimant need not present clinical or diagnostic evidence to
24 support the severity of [her] pain”) (citation omitted)).

1 Once a claimant has met the burden of producing objective medical evidence, an
2 ALJ can reject the claimant’s subjective complaint “only upon (1) finding evidence of
3 malingering, or (2) expressing clear and convincing reasons for doing so.” Benton, 331
4 F.3d at 1040; Brown-Hunter v. Colvin, 806 F.3d 487, 489 (9th Cir. 2015) (“we require
5 the ALJ to specify which testimony she finds not credible, and then provide clear and
6 convincing reasons, supported by evidence in the record, to support that credibility
7 determination”); Laborin v. Berryhill, 867 F.3d 1151, 1155 (9th Cir. 2017).

8 The ALJ may consider at least the following factors when weighing the claimant’s
9 credibility: (1) his or her reputation for truthfulness; (2) inconsistencies either in the
10 claimant’s testimony or between the claimant’s testimony and his or her conduct; (3) his
11 or her daily activities; (4) his or her work record; and (5) testimony from physicians and
12 third parties concerning the nature, severity, and effect of the symptoms of which she
13 complains. Thomas v. Barnhart, 278 F.3d 947, 958-59 (9th Cir. 2002) (citing Light, 119
14 F.3d at 792). “If the ALJ’s credibility finding is supported by substantial evidence in the
15 record, [the court] may not engage in second-guessing.” Id. at 959 (citing Morgan v.
16 Apfel, 169 F.3d 595, 600 (9th Cir. 1999)).

17 2. The ALJ Failed to Provide Clear and Convincing Reasons Supported by
18 Substantial Evidence

19 Having carefully reviewed the record, the Court finds that the ALJ failed to
20 articulate specific clear and convincing reasons for discounting Plaintiff’s testimony.¹¹
21 The ALJ found Plaintiff’s various statements of record about her impairments, activities,
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23 ¹¹ The ALJ did not make a finding of malingering in her opinion. Thus, in discounting
24 Plaintiff’s subjective complaints, the ALJ was required to articulate specific, clear and
convincing reasons. See Benton, 331 F.3d at 1040; Brown-Hunter, 806 F.3d at 489.

1 and the intensity, persistence, and limiting effects of her symptoms “generally
2 consistent” with her testimony. (AR 29). The ALJ also found her medically
3 determinable impairments could reasonably be expected to cause her alleged symptoms.
4 (Id.). However, the ALJ nonetheless discounted Plaintiff’s subjective complaints based
5 on her reporting less-severe pain after surgery, her daily activities, and because her
6 testimony was not consistent with the objective medical evidence. (AR 29-32).

7 First, the ALJ improperly faulted Plaintiff regarding her hearing testimony about
8 her condition after surgery. (AR 30). The ALJ specifically noted Plaintiff’s testimony
9 that her pain was less severe and she had fewer “bad days” than prior to surgery. (AR
10 30). While the ALJ could consider medical improvement, here, it’s an insufficient
11 reason to discount the testimony. Plaintiff’s candid acknowledgment of slight post-
12 surgical improvement, (AR 60, 72), is not necessarily inconsistent with her testimony
13 that she still experiences disabling pain and related symptoms even after the procedure
14 (AR 60, 72 (explaining that her pain before surgery wasn’t “that different” from her pain
15 now). See, e.g., Hernandez v. Astrue, 2009 WL 56760, at *7 (C.D. Cal. Jan. 6, 2009)
16 (claimant’s acknowledgment that symptoms improved with treatment are not
17 necessarily inconsistent with allegations of a disabling impairment). Moreover, she
18 estimated about half the month was still “bad” as a result of new sciatic problems that
19 arose after surgery, and she testified her doctor said her back will continue to
20 degenerate and the pain will never go away. (See AR 56, 58-59, 63, 74). There is
21 nothing inherently inconsistent about that testimony, at least such that it meets the
22 clear and convincing standard. See Benton, 331 F.3d at 1040; Neyman-Reese v.
23 Berryhill, 2018 WL 1336048, at *8 (D. Or. Mar. 13, 2018) (even though claimant
24 testified her condition improved, and the record unequivocally reflected improvement,

1 symptom abatement was not a clear and convincing reason to discount subjective
2 complaints because claimant continued to experience symptoms of her “lifelong
3 condition”); Hernandez, 2009 WL 56760 at *7 (even though record supported ALJ’s
4 finding that condition improved with medication and therapy, ALJ improperly rejected
5 claimant’s credibility because claimant explained the improvement was only partial and
6 he still suffered significant symptoms).

7 Second, the ALJ pointed to Plaintiff’s testimony that she walked regularly and, on
8 “most days,” she completed 40 minutes of physical therapy-type exercise, and then
9 listed a number of other activities, including cooking, driving her son to school and
10 activities, going to dinner “sometimes,” and shopping for groceries. (AR 30). The ALJ
11 found that “her described activities do not support the severity of her alleged pain and
12 functional restrictions.” (AR 30). This is insufficient for several reasons. Plaintiff’s
13 testimony that she followed treatment recommendations and attempted to get better by
14 walking and exercising, (see AR 57 (Plaintiff’s explanation that she partakes in her
15 previously “approved” physical-therapy exercises with her doctor’s “blessing”), 59 (“I
16 follow all of my doctor’s instructions.”), 375 (treating physician’s letter to insurance
17 company indicating Plaintiff “has been doing a daily home exercise program”), is a
18 reason to credit Plaintiff, not a convincing reason to doubt her complaints.¹² Cf. Molina
19 v. Astrue, 674 F.3d 1104, 1112 (9th Cir. 2012) (“the ALJ may consider . . . unexplained or
20 inadequately explained failure . . . to follow a prescribed course of treatment”); see 20
21 C.F.R. § 404.1530 (claimants must “follow treatment prescribed by [their] medical
22 source(s) if this treatment is expected to restore [their] ability to work”); Garcia v.

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24 ¹² Notably, and inconsistently, the ALJ later found that Plaintiff’s “expressed desire to avoid another surgery is certainly reasonable . . .” (AR 31).

1 Berryhill, 2017 WL 942903, at *5 (W.D. Wash. Mar. 10, 2017) (claimant’s testimony that
2 she walked twice a week and stretched daily is evidence she followed treatment
3 recommendations, not a valid reason supported by substantial evidence for discounting
4 her statements).

5 More importantly, while the ALJ listed other activities in addition to walking and
6 exercising, such as driving, cooking, eating, and grocery shopping, the Ninth Circuit has
7 “repeatedly warned that ALJs must be especially cautious in concluding that daily
8 activities are inconsistent with testimony about pain, because impairments that would
9 unquestionably preclude work and all the pressures of a workplace environment will
10 often be consistent with doing more than merely resting in bed all day.” Garrison, 759
11 F.3d at 1016; Vertigan v. Halter, 260 F.3d 1044, 1050 (9th Cir. 2001) (“This court has
12 repeatedly asserted that the mere fact that a plaintiff has carried on certain daily
13 activities, such as grocery shopping, driving a car, or limited walking for exercise, does
14 not in any way detract from her [testimony] as to her overall disability.”). “[O]nly if
15 [the] level of activity [was] inconsistent with [a claimant’s] claimed limitations would . . .
16 activities have any bearing on . . . [subjective testimony].” Garrison, 759 F.3d at 1016.
17 Moreover, the ALJ failed to make requisite findings as to the application of any of
18 Plaintiff’s activities to the work setting. See Martinez v. Berryhill, 721 F. App’x 597, 600
19 (9th Cir. 2017) (ALJ improperly discounted testimony “based on [claimant’s] daily
20 activities . . . [without] support[ing] the conclusions as to the frequency of those
21 activities or their transferability to the workplace.”); Orn, 495 F.3d at 630 (ALJ must
22 make “specific findings related to [the daily] activities and their transferability to
23 conclude that a claimant’s daily activities warrant an adverse credibility
24

1 determination”). Accordingly, the second reason for discounting Plaintiff’s testimony is
2 not clear or convincing.

3 The remaining reason provided by the ALJ is also insufficient. On at least three
4 occasions, the ALJ referenced the same justification for doubting Plaintiff’s complaints:
5 the lack of support in the objective medical evidence of record. See AR 30 (finding
6 Plaintiff’s statements were “not entirely consistent with the medical evidence”), 30 (the
7 “objective evidence in the record and [Plaintiff’s] surgical history demonstrate that [she]
8 has back related functional limitations, but they do not fully support her allegations”);
9 34 (“relevant evidence in the record . . . does not generally support [Plaintiff]’s
10 statements”). However, because the ALJ did not provide any other clear and convincing
11 reason for discounting the subjective complaints, reliance on a conflict with objective
12 evidence alone is not a sufficient basis to support the credibility determination. See
13 Burch, 400 F.3d at 681 (lack of objective medical evidence to support subjective
14 symptom allegations cannot form the sole basis for discounting pain testimony);
15 Dschaak v. Astrue, 2011 WL 4498835, at *1 (D. Or. Sept. 27, 2011) (“[O]nce the[] other
16 bases for the ALJ’s decision were discarded as erroneous, the ALJ’s credibility
17 determination could not rely solely on conflicts with the medical evidence.”). The ALJ’s
18 summary of the medical evidence is not sufficient to support the finding. See Brown-
19 Hunter, 806 F.3d at 494 (credibility determination insufficient when ALJ “simply
20 state[s] her non-credibility conclusion and then summarize[s] the medical evidence”).

21 The Court thus concludes the ALJ committed error in discounting Plaintiff’s
22 testimony without a clear and convincing explanation supported by substantial
23 evidence. In this instance, the Court cannot conclude that the ALJ’s error was harmless.
24 See, e.g., Id. at 492-93 (ALJ’s failure adequately to specify reasons for discrediting

1 claimant testimony “will usually not be harmless”). In light of the significant functional
2 limitations reflected in Plaintiff’s subjective statements, the Court cannot “confidently
3 conclude that no reasonable ALJ, when fully crediting the [Plaintiff’s] testimony, could
4 have reached a different disability determination.” Stout v. Comm’r, Soc. Sec. Admin.,
5 454 F.3d 1050, 1055-56 (9th Cir. 2006).

6 **D. The Court Declines to Address Plaintiff’s Remaining Arguments**

7 Having found that remand is warranted, the Court declines to address Plaintiff’s
8 remaining arguments. See Hiler v. Astrue, 687 F.3d 1208, 1212 (9th Cir. 2012)

9 (“Because we remand the case to the ALJ for the reasons stated, we decline to reach
10 [plaintiff’s] alternative ground for remand.”); see also Alderman v. Colvin, 2015 WL

11 12661933, at *8 (E.D. Wash. Jan. 14, 2015) (remanding in light of interrelated nature of
12 ALJ’s decision to discount claimant’s credibility and give appropriate consideration to
13 physician’s opinions, step-two findings, and step-five analysis); Augustine ex rel.

14 Ramirez v. Astrue, 536 F. Supp. 2d 1147, 1153 n.7 (C.D. Cal. 2008) (“[The] Court need
15 not address the other claims plaintiff raises, none of which would provide plaintiff with
16 any further relief than granted, and all of which can be addressed on remand.”).

17 Because it is unclear, in light of these issues, whether Plaintiff is in fact disabled, remand
18 here is on an “open record.” See Brown-Hunter, 806 F.3d at 495; Bunnell, 336 F.3d at
19 1115-16. The parties may freely take up all issues raised in the Joint Stipulation, and any
20 other issues relevant to resolving Plaintiff’s claim of disability, before the ALJ.

21 **E. Remand For Further Administrative Proceedings**

22 Remand for further administrative proceedings, rather than an award of benefits,
23 is warranted here because further administrative review could remedy the ALJ’s errors.

24 See Brown-Hunter, 806 F.3d at 495 (remanding for an award of benefits is appropriate

1 in rare circumstances). The Court finds that the ALJ failed to properly evaluate
2 Plaintiff’s subjective complaints. On remand, the ALJ shall properly review and
3 evaluate Plaintiff’s pain testimony and reassess Plaintiff’s RFC. The ALJ shall then
4 proceed through steps four and five, if necessary, to determine what work, if any,
5 Plaintiff is capable of performing.

6 **V. ORDER**

7 IT IS ORDERED that Judgment shall be entered REVERSING the decision of the
8 Commissioner denying benefits, and REMANDING the matter for further proceedings
9 consistent with this Order. Judgment shall be entered accordingly.

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11 DATE: September 23, 2020

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13 /s/ Autumn D. Spaeth
14 THE HONORABLE AUTUMN D. SPAETH
15 United States Magistrate Judge
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